

Meeting Agenda
Thursday, November 2, 2023
9:30 AM
Cabinet Meeting Room, The Capitol, Lower Level
Tallahassee, Florida

1. Approval of meeting minutes
 - 1.1. September 15, 2023
 - 1.2. October 5, 2023
2. Discussion of applications for change of ownership
 - 2.1. 2023-059299 – King's Court Key, LLC
 - 2.2. 2023-059307 – South Florida Racing Association, LLC
 - 2.3. 2023-059487 – Hamilton Downs Horsetrack, LLC
3. Discussion of amended application for cardroom license
 - 3.1. 2023-056141 – TBD Entertainment, LLC
4. Discussion of renewal application for slot machine license
 - 4.1. 2023 –048572 – Dania Entertainment Center, LLC
5. Discussion of consent order
 - 5.1. 2023-047841 – Tushin, Valeriy
6. Discussion of default final orders
 - 6.1. 2023-013251 – Delgado, Jorge Luis
 - 6.2. 2023-046348 – Mejias, Larry Victor
7. Discussion of order to show cause
 - 7.1. 2023-013135 – Capestro, Paula S.
8. Discussion of license denials
 - 8.1. 2023-038525 – Pierre, Ronald
 - 8.2. 2023-045352 – Figueroa Quiles, Nicky
 - 8.3. 2023-051224 – Gonzalez Lozano, Jefferson
 - 8.4. 2023-052159 – Lazo, Alberto
 - 8.5. 2023-057165 – Porter, Stephanie L.
 - 8.6. 2023-057292 – Ziadie, Kirk M.

- 8.7. 2023-058863 – Gardner, Crashona Rachelle
9. Discussion of policies and procedures
10. Executive Director update
11. Public comment

PERSONS WISHING TO ADDRESS
THE COMMISSION ON ANY OF THE AGENDA ITEMS
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NO LESS THAN 24 HOURS BEFORE THE
START OF THE MEETING

1. Approval of Meeting Minutes

Florida Gaming Control Commission

PUBLIC MEETING

September 15, 2023

Vol 01



September 15, 2023

FLORIDA GAMING CONTROL COMMISSION

LOCATION: Remote Via Zoom

(Page Nos. 1 - 31)

Friday, September 15, 2023

9:32 a.m. - 10:09 a.m.

BEFORE: Commissioner Julie I. Brown
Commissioner Charles Drago
Commissioner John D'Aquila
Commissioner Tina Repp
Executive Director, Louis Trombetta

Stenographically Reported By:
I. Iris Cooper
Stenographic Reporter

Job No.: 327775

1 APPEARANCES: (Appearing remotely via Zoom)

2

COMMISSION MEMBERS:

3

Commissioner Julie I. Brown

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Commissioner Charles Drago

Commissioner John D'Aquila

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Commissioner Tina Repp

Executive Director, Louis Trombetta

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7 COMMISSION STAFF:

8

Joe Dillmore, Director, Division of Pari-Mutuel
Wagering, Florida Gaming Control
Commission

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Jamie Pouncey, Program Admin
Florida Gaming Control Commission

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Dixie Parker, Senior Management Analyst II
Florida Gaming Control Commission

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13

Ross Marshman, Esq.

14

Elizabeth K. Stinson, Esq.

Florida Gaming Control Commission

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- Various Members of the Public

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- The Florida Channel

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24

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1 Thereupon, the following proceeding began at 9:32 a.m.:

2 VICE CHAIR BROWN: We are going to set this
3 meeting today, September 15th. Time is 9:32. This
4 is our first live GoTo Meeting. I apologize to the
5 folks if we have a little hiccup. I personally did
6 this morning as well.

7 Just for purposes of decorum, though, please
8 raise your hand. For those of you that are on the
9 call, Commissioners, raise your hand so that we
10 don't speak over each other.

11 Same thing with staff, please feel free to
12 just wait for people to finish their speaking
13 before we interrupt so that the stenographer can
14 also have a clear record.

15 Also for those that want to speak from the
16 public, if you would like to speak, you can raise
17 your hand as well or send Dixie Parker an email
18 right now. Her email address is
19 Dixie.Parker@FLGaming.gov.

20 And then for the items, we have three items
21 before us today. Again, you can speak. You can
22 raise your hand, and I'll identify you. Or you can
23 let Dixie know, and then we'll let you speak.

24 So with that, if there are no further
25 administrative matters, Mr. Trombetta, I think we

1 go ahead and go to Item 1.1.

2 MR. TROMBETTA: My name is Louis Trombetta, as
3 it's spelled on the screen. I'm joined here with
4 three other members of staff on video. I'll kind
5 of just run through it. Joe Dillmore,
6 D-i-l-l-m-o-r-e. Joe is the Director of the
7 Division of Pari-Mutuel Wagering.

8 On the other side of the table is Ross
9 Marshman, general counsel, M-a-r-s-h-m-a-n. And
10 then next to him is Ms. Jamie Pouncey,
11 P-o-u-n-c-e-y.

12 So thank you, Commissioner Brown. As you
13 mentioned, I think the first item on the agenda is
14 an application for cardroom license, which, Jamie,
15 are you prepared to present?

16 MS. POUNCEY: Yes. So this is Ocala Breeders'
17 Sales initial cardroom license application, Case
18 2023042076. Ocala Breeders' Sales possesses a
19 current and valid quarter horse permit that held an
20 operating license for the conduct of pari-mutuel
21 wagering for the 2020-2021 fiscal year.

22 And Ocala Bets was issued a pari-mutuel
23 operating license for the 2023-2024 Fiscal Year.
24 They have submitted an initial application for a
25 cardroom license and paid the \$34,000 licensing fee

1 to operate 34 tables.

2 The Commission must confirm that Ocala Bets is
3 a licensed pari-mutuel permitholder that held an
4 operating license for the conduct of pari-mutuel
5 wagering for the 2020-2021 fiscal year.

6 They have paid their \$1,000 per table annual
7 licensing fee for the cardroom. The authorized
8 cardroom will be operated at the same facility in
9 which the permitholder is authorized under its
10 valid pari-mutuel wagering permit to conduct
11 pari-mutuel wagering activities.

12 We've confirmed that they have submitted their
13 written internal controls and that Ocala Bets has
14 provided proof of authorization of the cardroom by
15 the governing body where the cardroom will be
16 operating.

17 VICE CHAIR BROWN: Thank you, Ms. Pouncey.
18 For purposes of just our legal posture, we heard
19 this at our last meeting on September 7, 2023.

20 Mr. Trombetta or Mr. Marshman, do you want to
21 just give us the legal posture we're in today?

22 MR. TROMBETTA: Sure. The applicant has
23 applied for an initial cardroom license. This was
24 previously on the agenda scheduled for last week,
25 last Thursday. We, due to just getting some

1 information in, we decided to move this to a
2 separate meeting to make sure that the public had
3 full notice and materials to be provided to the
4 public. So we're now in a position where the
5 Commission is in a position to make a decision on
6 whether or not the applicant is fit for licensure.

7 VICE CHAIR BROWN: Thank you for summarizing
8 that.

9 Commissioners, do you have any questions?

10 Or, Mr. Ventura, would you like to speak?

11 If not, we can take it to the Commissioners.

12 COMMISSIONER DRAGO: Vice Chair Brown, can I
13 ask a question?

14 VICE CHAIR BROWN: Certainly, Commissioner
15 Drago.

16 COMMISSIONER DRAGO: Director Trombetta, is
17 there a staff recommendation on this item?

18 MR. TROMBETTA: Commissioner Drago, we can
19 provide -- I'm just trying to figure out how to
20 answer this question. I think we're comfortable
21 kind of saying that it appears that the applicant
22 has provided the required information.

23 But in a situation like this where the
24 applicant is applying for a license for a cardroom,
25 we didn't necessarily think it was our position to

1 advocate for or on behalf of the applicant.

2 So we'd be happy to provide any information
3 about any documentation as part of this
4 application. But I think in terms of the
5 recommendation, the applicant has provided and met
6 all the requirements as part of this application.

7 COMMISSIONER DRAGO: Thank you.

8 VICE CHAIR BROWN: Commissioner Drago, any
9 further comments or questions?

10 COMMISSIONER DRAGO: No. I'm good. Thank
11 you.

12 COMMISSIONER D'AQUILA: Vice Chairman Brown,
13 may I ask a question? This is Commissioner
14 D'Aquila.

15 VICE CHAIR BROWN: Thank you, Commissioner.
16 Yes.

17 COMMISSIONER D'AQUILA: Executive Director
18 Trombetta, have all of the internal control
19 concerns that were addressed earlier in the
20 application process been addressed to the
21 satisfaction of the Gaming Commission.

22 VICE CHAIR BROWN: We can't hear you,
23 Mr. Trombetta.

24 MR. TROMBETTA: I'm going to refer to Joe
25 Dillmore to answer that question.

1 MR. DILLMORE: Joe Dillmore, for the record.
2 Yes, there were a couple of slight clarifications
3 in internal controls and then one slight amendment
4 to the internal controls. So we think the internal
5 control requirements have all been met with the
6 amended application.

7 COMMISSIONER D'AQUILA: Thank you.

8 VICE CHAIR BROWN: Thank you. Good question,
9 Commissioner D'Aquila.

10 Any other questions from Commissioners?

11 Commissioner Repp.

12 COMMISSIONER REPP: No questions.

13 VICE CHAIR BROWN: All right. Well, we are
14 ripe for a motion to take up this application for a
15 cardroom license. Commissioners, can I get a
16 motion to approve the initial application here?

17 Commissioner D'Aquila.

18 COMMISSIONER D'AQUILA: I would like to make a
19 motion to approve this initial application to
20 operate a cardroom.

21 VICE CHAIR BROWN: Thank you. Can I get a
22 second, please?

23 Thank you, Commissioner Drago.

24 COMMISSIONER DRAGO: Second.

25 VICE CHAIR BROWN: All those in favor, signify

1 by saying aye?

2 (Multiple ayes.)

3 VICE CHAIR BROWN: It passes unanimously.
4 Thank you. And thank you, Mr. Ventura.

5 MR. VENTURA: Thank you. I just want to thank
6 the Commission for doing this in this fashion. I
7 appreciate the effort that was made on the Division
8 side and the Commission side to accommodate this
9 situation. I just want to thank you, and hopefully
10 we'll be open soon.

11 VICE CHAIR BROWN: Excellent. Thank you.
12 Good luck. Best of wishes to you.

13 Let's move on to Issue No. 2, which is a
14 discussion of Florida Gaming Control logo design.
15 Commissioners, you have all been given -- I know,
16 Commissioner D'Aquila, we've been given a few
17 different examples of potential logos over the past
18 few months.

19 Since that time, we have a new Commissioner as
20 well on board. My understanding is that we have a
21 team of creative folks, and I don't see them on,
22 but I know that they're on.

23 MR. HUNT: We're here.

24 VICE CHAIR BROWN: Before we get to
25 Commissioner questions, can we have staff kind of

1 just give us an overview of how we got to these
2 different design options, please.

3 MR. TROMBETTA: Yes, Vice Chair. So
4 background, we've been working with 223 Agency.
5 I'm going to introduce Mr. Jim Hunt. We initially
6 entered into a contract with them to procure
7 services related to design.

8 There's been several back and forth where we
9 provided feedback to the graphic designers. They
10 have been very responsive and accommodating to our
11 requests and to our information.

12 (Inaudible.)

13 Would you like me to repeat?

14 VICE CHAIR BROWN: Thank you.

15 MR. TROMBETTA: That's not a problem. So we,
16 the staff, has been working with 223 Agency on the
17 solicitation and procurement and essentially to get
18 them to draft a design for us.

19 We have had several back and forths with them
20 where we provided feedback and they gave us some
21 drafts and some options based on that feedback, and
22 then we've had kind of further feedback based on
23 that.

24 Included in the meeting materials is a
25 document that kind of summarizes. There's one,

1 two, three, four, five, six, seven, eight.

2 There's ten options that have been provided.
3 They are contained in the meeting materials. And
4 the idea today is to allow Mr. Jim Hunt to
5 introduce his team and to interact with the
6 Commission directly in a public setting so that the
7 Commissioners can all get feedback from each other
8 because up until this point, I have been
9 interacting with each of you individually.

10 So in a second, I will stop talking, and I
11 will turn it over to Mr. Hunt, who will then kind
12 of report to you, Vice Chair Brown, however you'd
13 like to do this to kind of then go through the
14 options and get further feedback.

15 The goal here today is to leave this meeting
16 with more feedback so that the graphic design team
17 can essentially give you guys one or two options
18 based on the feedback today so you can then make a
19 vote at some later evening. Any questions?

20 VICE CHAIR BROWN: No questions on the
21 process.

22 Commissioners, any questions before we turn it
23 over to Mr. Hunt?

24 MR. HUNT: Good morning, and thank you for
25 allowing us to join your meeting this morning. On

1 behalf of the 223 Agency, we're very pleased to be
2 working with the Commission, and nice to meet with
3 the Commissioners. Our team here --

4 VICE CHAIR BROWN: Can I just stop you for a
5 second. If you could identify yourself and all of
6 those in the room for the record, that would be
7 great.

8 MR. HUNT: Yes. Good morning. My name is
9 Jim Hunt, and I am the COO and partner at the 223
10 Agency here in Tallahassee. I'm joined today by my
11 colleagues, Nick Williams.

12 MR. WILLIAMS: Nick Williams, Director of
13 Business Development for the 223 Agency.

14 MR. HUNT: And Javier Lopez.

15 MR. LOPEZ: Javier Lopez, partner and design
16 director.

17 MR. HUNT: And Andy Hlushak.

18 MR. HLUSHAK: Andy Hlushak, creative manager.

19 MR. HUNT: This is the team that has been
20 working throughout the process with the Gaming
21 Commission. Today what we're going to do is
22 hopefully answering questions from the Commission
23 and get some fine tune direction on finalizing the
24 project.

25 We have been very pleased with our working

1 relationship thus far. We are committed to the
2 success of this to represent the agency, not only
3 across the state, but by anyone who is interested.
4 So with that, I'm going to turn it over to Nick
5 Williams who's led the project.

6 MR. WILLIAMS: Hi, everybody. So does
7 everybody have the PDF that has like all the
8 options included --

9 VICE CHAIR BROWN: We do.

10 MR. WILLIAMS: -- that's part of the agenda
11 packet?

12 We'll go through this really quick just so you
13 can understand our thinking, our thought process,
14 and I think that will lay kind of some foundational
15 work and kind of understanding of like how we got
16 to where we've gotten.

17 So the first iterations, we were a little bit
18 more playful, a little bit more open. Some of the
19 examples we were given, this kind of models that.
20 We wanted to include some symbols of the State, but
21 also make a nod to the gaming.

22 So you'll notice throughout you'll see kind of
23 a poker chip element that is kind of the edge of a
24 poker chip that's just kind of used, whether that's
25 in the sunrise, whether that's kind of connecting

1 the Keys to the Florida Panhandle.

2 In option B, a sunrise has this kind of poker
3 chip element as well as the orange. If you're
4 asking how we were really stuck with a poker chip
5 when we initially started based on our meeting with
6 staff, this was kind of the one element that we
7 were really allowed to use, even though it covers
8 -- the agency covers more.

9 After this kind of first round, we came back
10 with kind of having a more corporate feel, a little
11 bit more of like a State agency, so we kind of
12 revisited. This is where you have option E through
13 H. You know, option E and F kind of both represent
14 having kind of a seal.

15 The option G with a lion kind of creates some
16 trust and strength and security. That also has
17 kind of a standalone element that can be used.
18 Option H is a direction I think we wanted to head.
19 And then our last option kind of goes towards that
20 a little bit more with some modifications.

21 But this is kind of your more traditional
22 seal. Some of the feedback we were hearing was we
23 kind of liked that traditional approach, and so we
24 began to work throughout some refinement.

25 The shield was also on the table for

1 discussion, so this is where we landed with option
2 I and option J. Option I is a refind version that
3 doesn't have the stylized eagle.

4 It more elevates the State and kind of the
5 oversight kind of with the columns and the stars.
6 And then option J was kind of a modernization of
7 the shield kind of for protection for the State
8 that incorporated the two logos or the two initial
9 elements to it.

10 Any version of, you know, what we're elevating
11 as far as the weight within the font, those are all
12 easily modifiable. So really kind of where we've
13 landed based on our initial conversation with staff
14 and where we are now, really I and J, from our
15 understanding, I is a very strong contender.

16 There's other options. There's variations of
17 that. There is a variation that has the elements
18 broken out of the seal into a more horizontal style
19 approach that can be used for envelopes,
20 letterheads, items where a round logo just may not
21 work.

22 These were all provided to staff. There's
23 multiple varieties within the setups of each of the
24 logos. So that's kind of where we are today. You
25 know, I think it's been a little working through

1 just finding general consensus, you know, of things
2 that either represent the State or represent the
3 gambling elements.

4 You know, originally we were really limited to
5 the poker chip, and now it's our understanding that
6 there may be some flexibility in that. So we're
7 really just trying to find some consensus on a
8 direction, that way we can really kind of bring
9 this in for a landing.

10 So we realize everybody has a different
11 palate, different taste, different vision
12 potentially. You know, this is what we do day in
13 and day out is kind of pull out these ideas that
14 are in your head and you can't necessarily
15 articulate it in trying to create, like I said,
16 this consensus from everybody.

17 So that's really kind of where we are today.
18 Like Jim said, we're committed to getting this
19 across the finish line. We've invested a
20 significant amount of time and effort into this,
21 and I think we're headed in the right direction,
22 but we're welcoming this opportunity to hear from
23 everybody.

24 VICE CHAIR BROWN: Thank you so much, and
25 thank you for the time and effort that you have

1 spent on it. I know it can be difficult when
2 you're not interacting directly with the
3 commissioners, so this form is really great to get
4 direct feedback.

5 I'm sure each of the commissioners have strong
6 opinions about some of them and maybe others, so it
7 is somewhat hard to come to a decision without all
8 of us being in a room together. So this is great,
9 so thank you for your time.

10 Commissioner D'Aquila, I know you had your
11 hand raised earlier. If you want to start by
12 asking some questions, that would be great.

13 COMMISSIONER D'AQUILA: Yes, please. Thank
14 you, Vice Chairman Brown. Mine is a general
15 question. Today quite often the phone or a passing
16 logo is the one way you identify an organization.

17 Did you consider putting the URL within any of
18 the logos? I was curious if that was a
19 consideration in the process, specifically just
20 FLGaming.gov?

21 And I mention that for a number of reasons, as
22 there are many other gaming URLs out there that
23 could be confused, and we're concerned about it
24 being clear which is ours and how best to reach us.

25 So was that a consideration, and is that a

1 possibility?

2 MR. WILLIAMS: It's a point that we discussed
3 internally. We would consider that a variation.
4 Really, when we're going in this creating kind of
5 the main option, this is what would be on your
6 sign, what would be on your car, what would be on a
7 badge.

8 We would consider that a variation. In our
9 daily work, it's not something that we would really
10 consider as a primary logo. It can be, should the
11 Commission choose that.

12 But it would be our suggestion to have that as
13 an option, and that's kind of where we really
14 focused on creating a main. But there would be
15 multiple variations of it.

16 MR. HUNT: One of the elements that we provide
17 in the logo development --

18 VICE CHAIR BROWN: Could you hold on one
19 second, Mr. Hunt. I just want to make sure
20 Ms. Cooper is okay with each -- okay. Great. All
21 right. Thank you, Mr. Hunt.

22 MR. HUNT: One of the elements that we provide
23 in this whole process would be what we'll call a
24 brand book. So once the final logo is approved in
25 whatever format, our team puts together a full

1 array of how that logo can be presented, as Nick
2 alluded to, on letterhead, on vehicles, on business
3 cards. And in there, that is where a lot of the
4 places where the URL will be displayed.

5 VICE CHAIR BROWN: Commissioner D'Aquila.

6 COMMISSIONER D'AQUILA: Well, I understand the
7 point. I guess I'd like to maybe hear from the
8 other commissioners and maybe even the staff as to
9 is there value in having it there within the logo
10 itself. I understand the point of that. It's an
11 option in adding such.

12 VICE CHAIR BROWN: Commissioners or staff, do
13 you have an opinion? Yes, Mr. Trombetta.

14 MR. TROMBETTA: Just to add to what Mr. Hunt
15 just said, the variations or what they provide are
16 done for each of the examples that we've asked them
17 to do are different. So each of these options,
18 they have provided kind of how that option could be
19 in use.

20 They show usually each option. They show
21 where there's one that would work for like a
22 website. There's one that would look good on a
23 T-shirt and would all be the same option, just kind
24 of little variations. I think that having a URL
25 incorporated in one variation is probably a good

1 idea.

2 VICE CHAIR BROWN: I would tend to agree as
3 well, Commissioner D'Aquila.

4 Commissioners, do you have any other comments
5 on that point?

6 COMMISSIONER REPP: I would also agree.

7 VICE CHAIR BROWN: Thank you.

8 Commissioner D'Aquila, do you have any other
9 questions?

10 COMMISSIONER D'AQUILA: No.

11 VICE CHAIR BROWN: Commissioners, do you want
12 to talk about the options or if you have any other
13 questions on the options?

14 COMMISSIONER D'AQUILA: One question. Is it
15 possible to have a screen share of the options when
16 discussing them so we're not all fumbling through
17 our drives?

18 VICE CHAIR BROWN: That's a great question.
19 Ms. Stinson, she's working the screen, so I think
20 it would be great if she could help out with that.
21 She could share her screen.

22 MS. STINSON: Absolutely. I'm working on that
23 right now.

24 VICE CHAIR BROWN: While you're working on
25 that, I did want to talk about option C. First, I

1 did like a more formal look. I like a more
2 professional look. I guess when we started out
3 with this originally, it was less more enforcement.

4 And I was looking at various other Commission
5 states that have a very strong regulatory
6 enforcement arm. There were three that really
7 jumped out at me that I liked. I loved the Nevada
8 Gaming Commission Board.

9 They have like an actual sheriff's symbol. I
10 like that kind of a more enforcement oriented.
11 Ohio also had a more professional, sophisticated
12 less playful look. I liked that one. I loved
13 Mississippi as well.

14 I love the more professional rather than the
15 poker chip itself somehow on option C,
16 particularly. At one point, I was talking with
17 staff about the emphasis on gaming isn't really
18 necessarily what we want to be focused on, so that
19 was another comment.

20 We have the screen shared right now with
21 everyone. So those are just some comments. I
22 liked the look with the Florida. I didn't
23 understand the eagle or the lion, although I
24 thought those options -- I mean, I thought option H
25 definitely had that more professional look, as well

1 as option I.

2 I just don't get what the column is, really.
3 It's just not obvious to me. I'm just trying to
4 give you transparent feedback, not criticism, but
5 feedback.

6 Commissioners, do you have any comments?

7 COMMISSIONER DRAGO: Vice Chair Brown.

8 VICE CHAIR BROWN: Yes, Commissioner Drago.

9 COMMISSIONER DRAGO: If I could just make a
10 couple of comments, I think I like a combination of
11 option C and option E. I think it meets a lot of
12 the things I think that we stand for, if we were to
13 combine C with E. And by that, I mean take C, put
14 it inside the shield of E.

15 So it would be kind of like E, but the inside
16 would be actually C, but without the Florida Gaming
17 Control Commission in there. So I know I'm
18 probably confusing you even more.

19 So the shield with the drawing of C inside the
20 shield and the Florida Gaming Control Commission
21 written underneath like E is. So not emphasizing
22 gaming, but making it -- I think the way it's
23 written in E is a little more official looking and
24 not emphasizing the gaming as much.

25 But once we put that C inside the shield of E,

1 then I would add -- I think I would add if we could
2 cross flags behind Florida, the flag, the
3 United States flag and the Florida flag to give it
4 the government authority look.

5 And then again, we've gone back and forth
6 about the law enforcement side and the regulatory
7 side, and I think those kinds of things would cover
8 both. And if everybody was so inclined, we might
9 be able to add in place of the chip around the
10 state of Florida, some stars around the state of
11 Florida.

12 You know, I agree with Vice Chair Brown in
13 trying to show enforcement official organization, a
14 State jurisdiction and in both regulatory and law
15 enforcement.

16 So if you have any questions or if I've
17 confused you even more, I apologize, but that's
18 kind of where I'm thinking.

19 VICE CHAIR BROWN: Thank you, Commissioner
20 Drago. You encapsulated that pretty well. I like
21 your idea as well, and it would be more official
22 looking.

23 Does 223 have any questions or clarification?

24 MR. HUNT: No questions. We're just
25 feverishly taking notes.

1 VICE CHAIR BROWN: That's great.

2 Commissioners, do you have any comments or
3 additional questions? I liked Mr. Drago's
4 suggestion.

5 Commissioner D'Aquila.

6 COMMISSIONER D'AQUILA: I concur with
7 Commissioner Dragos's suggestion. I favor option E
8 and again with maybe a smaller font size on the
9 Florida Gaming Control Commission wording. I'm not
10 necessarily sure I understand the stars and
11 crowning.

12 My only other thing was is gambling today is
13 more understood by the general public with regard
14 to sports gambling, and there's so much more
15 besides chips. I just question the value of the
16 chip and might it crowd the shield.

17 I think I'm going to repeat myself. I think I
18 would always like people to be aware of that it's
19 FLGaming.gov and not one of the many copycat sites
20 out there. That's it.

21 VICE CHAIR BROWN: That's great.
22 Commissioner D'Aquila, great. We're getting
23 somewhere here.

24 Commissioner Repp, do you have any comments or
25 questions?

1 COMMISSIONER REPP: Yes. I do, too, like the
2 idea of C inside the shield. I think that I like
3 the colors and the simplicity, which is why I
4 really wouldn't want any more added. I think for
5 the effect of being able to put it -- embroiderer
6 it like on a shirt or something or put it --
7 transfer it onto a vehicle.

8 If you're putting too much in there, I think
9 if you shrink it down to size, it's going to be
10 less recognizable. So I think C inside of E,
11 something like that where it's easy to recognize
12 and see and to actually go various sizes
13 comfortably without it becoming just a blob if we
14 ever tried to shrink it down. That's all.

15 VICE CHAIR BROWN: Thank you,
16 Commissioner Repp. Good points as well.

17 If 223 has any additional questions?

18 MR. WILLIAMS: General consensus is we
19 appreciate the feedback. I think we have some
20 clear direction. We've been having some internal
21 conversation and internal questions while
22 listening. I think we got very clear direction.

23 VICE CHAIR BROWN: That's great. Again,
24 really thank you all for the time and work you've
25 done. I want to make sure we're good on the color

1 scheme as well.

2 Commissioners, do we like the color scheme of
3 option C and E? I personally do as well.

4 Yes, Commissioner Drago.

5 COMMISSIONER DRAGO: Yes, I do, too. I guess
6 I would like to know what the Commissioners think
7 about the flags, whether we want to keep that idea
8 or eliminate it? I think it gives the appearance
9 of authority for the organization to have the State
10 of Florida and the United States flag in there.
11 But also, I think it also gives it a little bit of
12 color.

13 And I understand what Commissioner Repp was
14 saying that sometimes when you replicate these
15 things, you can get too many colors with the State
16 emblem and all the little figures and everything
17 that are in it can sometimes be very difficult to
18 replicate.

19 But I wonder if we want to consider the flags
20 in there? Or if nobody wants them, then we can
21 eliminate that now and not have to put everybody to
22 work on that. Do we want to consider the flags in
23 there or do we want to not, I guess?

24 VICE CHAIR BROWN: I do think it gets a little
25 busy with more than two colors. When you look at

1 option F, it's just a little flashy. I don't know
2 if you put a flag in there, it will add a lot more
3 busyness.

4 Commissioner D'Aquila.

5 COMMISSIONER D'AQUILA: I think as you use the
6 logo on printed matter, in an app, in an email, it
7 gets a bit crowded, as the flags have a lot going
8 on. So I like the concept and the idea, but I
9 don't know if it's practical. I'll leave that to
10 the design folks.

11 VICE CHAIR BROWN: Absolutely.

12 Commissioner Repp.

13 COMMISSIONER REPP: I also think that the
14 flags in there is going to make it difficult for us
15 to replicate it in various sizes and formats.

16 VICE CHAIR BROWN: Maybe 223 can add an
17 option, and we can just see it without spending too
18 much time on that aspect, if that's okay.

19 MR. WILLIAMS: Yes, we would be happy to add
20 that element as an option. Just to echo, when
21 we're printing this -- or really when designing
22 this, it needs to be printable and legible as the
23 size of your thumb.

24 So if you can't print it and read it at the
25 size of your thumb on a business card, on a

1 letterhead, on something like a small stationery,
2 it's going to start to run into issues. These are
3 kind of all tests that we run throughout our design
4 process.

5 So I love the enthusiasm and the Americana of
6 it. You're not going to find a more Americana crew
7 than us. We've got some Army Reserve here. We can
8 create it as an option. But as a primary, we're a
9 little hesitant.

10 VICE CHAIR BROWN: Commissioner Drago.

11 COMMISSIONER DRAGO: Sounds good. Thank you.

12 VICE CHAIR BROWN: If there are no other
13 questions from Commissioners or if staff have no
14 other questions -- I'm just going to pause -- then
15 we thank 223 for your time, and we look forward to
16 seeing some additional options. Again, thank you
17 for your time.

18 Now we are going to take public comment. For
19 those that would like to speak under this item,
20 please feel free to raise your hand.

21 I'm going to turn to Dixie Parker, actually,
22 or Ms. Stinson and see if we've received any
23 notification of people who would like to speak?

24 MS. STINSON: It does not appear that we have
25 anyone who has requested to speak in the group

1 chat.

2 MS. PARKER: I have received no emails.

3 VICE CHAIR BROWN: Thank you, Ms. Parker and
4 Ms. Stinson.

5 If there is nobody on the phone here that
6 would like to speak?

7 We don't have a place here for other matters,
8 but are there any other matters to discuss?

9 Mr. Trombetta, any other matters to discuss?
10 I know we have a workshop coming up next week, just
11 a reminder.

12 MR. TROMBETTA: No, I have nothing else to
13 discuss, Vice Chair.

14 VICE CHAIR BROWN: What time is the workshop
15 next week, just a reminder?

16 MS. STINSON: It's at 9:30 in the morning.

17 VICE CHAIR BROWN: Great. Thank you.

18 Commissioners, any other matters to discuss?
19 Seeing none, this concludes our first virtual
20 meeting. Thank you.

21 (Proceedings concluded at 10:09 a.m.)

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CERTIFICATE OF REPORTER

STATE OF FLORIDA
COUNTY OF MIAMI-DADE

I, I. IRIS COOPER, do hereby certify that I was authorized to and did stenographically report the foregoing remote proceeding, and that the transcript is a true and complete record of my stenographic notes.

Dated this 29th day of September, 2023.



I. Iris Cooper
Stenographic Reporter
Notary Public, State of Florida
My Commission No. 1366674
Expires: July 27, 2025

Job No.: 327775

Florida Gaming Control Commission

October 05, 2023



FLORIDA GAMING CONTROL COMMISSION

LOCATION: TELEPHONIC

(Page Nos. 1 - 75)

Thursday, October 5, 2023

9:30 a.m. - 10:48 a.m.

BEFORE: Commissioner Julie I. Brown
Commissioner Charles Drago
Commissioner John D'Aquila
Commissioner Tina Repp

Stenographically Reported By:
I. Iris Cooper
Stenographic Reporter

Job No.: 319736

1 APPEARANCES: (Appearing telephonically)

2

COMMISSION MEMBERS:

3

Commissioner Julie I. Brown

4

Commissioner Charles Drago

Commissioner John D'Aquila

5

Commissioner Tina Repp

6

Executive Director, Louis Trombetta

7

8

SPEAKERS:

9

Item 1: Kimberly Ferree, Chief Auditing Officer

10

Items 2-4: Jamie Pouncey, Program Administrator

11

Items 5-8: Emily Alvarado, Deputy Chief Attorney

12

Item 9: Steven Woods, Attorney Supervisor

13

Items 10-11: Louis Trombetta, Executive Director

14

15

OTHERS PRESENT:

16

17

- Various Members of the Public

18

- The Florida Channel

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1 Thereupon, the following proceeding began at 9:30 a.m.:

2 VICE CHAIR BROWN: Good morning. Today is
3 October 5th. The time is 9:30. This is the
4 Florida Gaming Control Commission's October
5 meeting.

6 And if you would like, please join me with the
7 Pledge of Allegiance, which will be led by
8 Commissioner D'Aquila.

9 (Pledge of Allegiance.)

10 VICE CHAIR BROWN: Thank you, Commissioner
11 D'Aquila. All right. We're going to just jump
12 right into the agenda today. Our first item is the
13 discussion of Florida Thoroughbred Breeders' and
14 Owners' Association annual plan. We also have a
15 speaker.

16 But first, we'll just turn to our staff to
17 introduce the item, please.

18 MS. FERREE: Good morning. As you have just
19 previously introduced, we're going to talk about
20 the Florida Thoroughbred Breeders' and Owners'
21 Association annual plan, which is in your packet
22 there.

23 They're required annually to file this plan,
24 and it has to be filed within 60 days of their
25 annual payment plan. They're on a calendar fiscal

1 year. They submitted the plans on September the
2 5th, which is 118 days prior to the beginning of
3 their payment plan, so they timely filed it.

4 There's a rubrics, which is called a checklist
5 in your materials where we compare the statutory
6 requirements with the plan that's filed. It meets
7 the requirements that are listed. So I'm here to
8 answer any further questions you may have.

9 VICE CHAIR BROWN: Thank you so much. Before
10 we get to the speaker, does anybody have any
11 questions for staff?

12 Thank you for the introduction.

13 With that, we've got Mr. Lonny Powell, CEO of
14 FTBOA. Welcome back.

15 MR. POWELL: Thank you, Madam Chair.
16 Commissioners, it's good to be back. It's good to
17 be here to convey good news, which in your Miami
18 workshop, I had anticipated we might have good news
19 to talk about it sometime, but we weren't all the
20 way there.

21 And now thanks to a lot of people, we were
22 able to get there. I will note, our CFO, Peggy
23 Yost, asked to be put on the same speaker slip, in
24 case there's going to be any questions needed of
25 her or comments or so forth.

1 Thank you for having us. This is an annual
2 submission, our second in front of the Gaming
3 Commission. I think what's really exciting about
4 this one is if you approve this, this will be the
5 most robust, the most lucrative breeding and
6 stallion awards program in the 75-year history of
7 breeders and stallion awards in my organization's
8 history.

9 So that's an exciting thing. That's a big
10 deal. We have to be totally focused on the fact
11 that it would not have been possible at all without
12 the passage of HB 7063.

13 Because approximately what makes this plan
14 different from plans in the past is the way 24 is
15 being handled is 50 percent of the money that's
16 coming from Statute 550 funds, the funds you
17 normally make in the decision of this plan, and the
18 other 50 percent by legislative design is coming
19 from the money that's from the Department of
20 Agriculture.

21 I will advise that the Department of
22 Agriculture and myself concluded the fully-executed
23 contract on the \$10 million as of yesterday, so
24 they have approved their 50 percent, and I'm here
25 talking to you about the other.

1 But forgive me if I talk to them collectively
2 because ultimately to the breeders out there and
3 the owners, the money is the money. The plan
4 before you, Ms. Kimberly advised you, checks off
5 all of the statutory boxes.

6 Again, what makes it different is the way the
7 funding happens. This year, it's a 50/50 blend.
8 Next year in '25, it may be a 60/40 blend. It just
9 depends. We're trying to manage both of the
10 accounts.

11 As you can see, it leads to an incredible
12 increase in breeders awards, and we're anticipating
13 about a 50 percent increase in breeders awards,
14 payments in '24 over this year. That's a very big
15 deal.

16 On the racing side, which we're not really
17 here to talk about, but to tell you what that
18 legislation did and because Florida breders are
19 involved in a big part of it, just to show you the
20 impact on the racing side that legislation is going
21 to have -- and I'm just talking about for Florida
22 breders.

23 Florida bred thoroughbreds and Gulfstream in
24 '24 are going to be running for approximately 90
25 percent more money than was offered this year. At

1 Tampa, it's going to be worth 50 percent more money
2 that Florida breders will be running for.

3 By the way, Florida breders win 40 percent of
4 all the races. They earn 40 percent of the purses,
5 40 percent of the starters. And of those Florida
6 breders, 73 percent of them are sired by Florida
7 stallions.

8 So the tracks in this state are very dependent
9 on each other, and that's why something like the
10 year-round racing circuit and no wholesale
11 elimination of live racing dates is still important
12 because no matter what our awards are, the purses,
13 if you don't have enough live dates to make people
14 to want to race here, none of this makes any
15 difference.

16 But going back to the way things are and with
17 this plan, this is I think a plan that is going to
18 make a lot of the breeders happy. Because our new
19 funding exists for two years, I don't think it
20 brings true stability to racing or breeding, but
21 it's a heck of a start.

22 For those that said what we achieved at this
23 last session was a Band-Aid, I say I'd rather take
24 a Band-Aid than multiple amputations, and Band-Aids
25 help you get better.

1 To be truthful, we started working on the
2 future years and what we're going to do after those
3 two years, you know, yesterday and the day before
4 because that's what we always do.

5 But getting back to the plan before you, we
6 are here to answer any questions. We certainly
7 hope that you may approve so we can advise our
8 membership. Again, none of it would have happened
9 without the passage of that legislation.

10 We will always be very grateful to President
11 Passidomo, Speaker Renner, obviously the governor
12 for signing it, and then all those that did a lot
13 of the spade work like Representative Stan McClain
14 and a number of others.

15 So that's my overall presentation. Again,
16 this will be the biggest award. We've done 20
17 percent a number of years ago when Calder was still
18 around and some of the things that have happened in
19 this industry hadn't happened.

20 But we didn't make any other adjustments
21 within the caps of the payout up to different
22 categories. The reason why this one is most
23 lucrative, not only does it have the top statute 20
24 percent, it has adjustments allowing for more money
25 to be made in races by breeders by raising the

1 caps.

2 Otherwise, it's pretty much the same plan. So
3 I'd be more than happy to answer any questions or
4 if you would like to hear from our CFO, Peggy Yost,
5 or if she needs to answer any questions. But
6 that's the general presentation.

7 VICE CHAIR BROWN: Thank you, Mr. Powell. And
8 I have to first compliment you with such a thorough
9 award proposal in your letter summarizing the key
10 points were just spot on and very helpful in then
11 reviewing the plan.

12 So it is exciting that this program is going
13 to keep Florida competitive and the industry
14 competitive, so hats off to you for all the work
15 that you and your team did on getting that
16 legislation passed.

17 MR. POWELL: Thank you very much. By the way,
18 I want to say something that is pretty interesting,
19 too, that's a credit to you all just in general
20 what you do.

21 You know, I used to do what you do for a
22 living. I've been a longtime regulator, and you
23 can do business like you all do and still be
24 supportive of the industry and want to see it do
25 good.

1 And since this Gaming Commission has come
2 together, I will tell you, it's a feeling that's
3 across the country I'm not used to, but I like what
4 you do there.

5 Even if we don't agree on some things, I like
6 the way that you all seem to very much want to see
7 what you're regulating still be in existence more
8 than just to regulate, just because it has a
9 purpose.

10 So I'm sorry to get tangential there, but you
11 reminded me of something I was just telling my
12 folks last night over dinner. So I like the
13 Commission's approach to how they look at the
14 industry they regulate.

15 VICE CHAIR BROWN: Thank you very much.
16 You've warmed us up very well, so thank you.

17 Commissioner D'Aquila.

18 COMMISSIONER D'AQUILA: Sir, can you share the
19 amount of the money that's coming from the
20 Department of Agriculture beginning in the calendar
21 year 2024?

22 MR. POWELL: Sure. The Department of
23 Agriculture became the holding agency for our money
24 created in the legislation. And now with the
25 release of that money as per the legislation,

1 \$5 million each over the next two years will be put
2 in towards breeders and stallion awards.

3 And obviously the purse components and so
4 forth, they kick in over the two years. Because
5 right now, we're looking at, even though we have to
6 come to you for approval each year for the plan,
7 this is a two-year vision, right, because the
8 legislative money is for two years.

9 So \$5 million each of '24 and '25 will go
10 towards the breeders stallion awards and the
11 general breeders and mounters. And then on top of
12 that, the tracks are supposed to race purses with
13 this. That raises breeders awards.

14 We don't know what Gulfstream is going to be
15 doing. We can see Tampa did a great job with what
16 they're doing with that new money so far.

17 So it's really tough for us to absolutely say
18 how high the awards will go until you know what the
19 purse structure is going to be like at Gulfstream,
20 but that is the money. It's \$5 million each of the
21 two years.

22 COMMISSIONER D'AQUILA: Could there be an
23 instance where Florida horses are not doing as well
24 that awards are not distributed and go the
25 following year, or they'll all be distributed based

1 on --

2 MR. POWELL: Well, they way that -- they way
3 that -- if I understand, Commissioner, Madam Chair,
4 the question the way -- the way you put it, the
5 money is right now the way the legislation was is a
6 must spend by the end of the second year.

7 So you might see, depending on how -- now, I
8 don't expect us to win less than 40 percent of the
9 races. I think we're going to probably win more.
10 But if, let's say, the performance wasn't there,
11 you're going to see even higher.

12 You know, we do a lot of other things. We
13 bonus. We do all kinds of other things to enhance
14 Florida-bred participation. Twenty-five -- here's
15 my dream. Twenty-five and becoming an even more
16 aggressive plan, and then I'm going to be able to
17 tell you about -- let's see. What time of year is
18 it.

19 I'm going to tell you about the legislation we
20 got passed this session that we're about to face,
21 and that will add to future years of discussion on
22 what we're doing because obviously two years does
23 not a stable future make.

24 But again, it gets us to the launching pad.
25 I'm hopeful we'll have even more things to talk

1 about a year from now when I'm talking about the 25
2 plan. In addition to answering the core of your
3 question and wandering all over, did I hit there
4 what you were looking for?

5 COMMISSIONER D'AQUILA: Yes. I think the
6 answer, as I understand it, is that if there is a
7 residual, it will be permitted to be rolled into
8 year two.

9 MR. POWELL: Yes. And right now, year two
10 can't be moved forward because it was a two-year
11 legislation. Now, that might change. But if we
12 have additional things going on that may help in
13 future years, I just assume it be a transitional
14 thing with no real noticeable difference, yes.

15 COMMISSIONER D'AQUILA: That was my question.
16 Thank you.

17 MR. POWELL: You know, I did this with the CEO
18 over at -- are you okay? Okay. Yes.

19 VICE CHAIR BROWN: Commissioners, do you have
20 any questions for Mr. Powell? I do want to just
21 mention that the projected expenses continue to go
22 down. Again, I appreciate that. I wanted to get
23 maybe some further clarity on how you are going to
24 strive to get those expenses down?

25 MR. POWELL: Expenses, I'm trying to put that

1 into perspective. On the plan?

2 VICE CHAIR BROWN: For December 31, 2024, the
3 total expenses are the awards stakes program and 10
4 percent admin promo fee.

5 MR. POWELL: Right. Do you understand the
6 question, Peggy? This will give me a reason to
7 have her come up.

8 VICE CHAIR BROWN: It's always great to have
9 an accountant nearby.

10 MR. POWELL: Yes. Peggy Yost, our CFO who
11 makes me look good all the time.

12 MS. YOST: Thank you, Madam Chair,
13 Commissioners. If I understand the question
14 correctly, the expenses for 2023 versus 2024, it is
15 a little bit more complicated because 50/50 is
16 being paid out of the House Bill 7063 and 50
17 percent out of 550.

18 And if you look at the breeders and our
19 stallion award expenses for 2023 projected, they're
20 about \$5.2 million. And then there's also stakes
21 payments in there as well.

22 Going forward, if you look at 50 percent of
23 the \$5.2 million at 15 percent, that amounts to
24 \$2.6 million. And then there is another \$1.6
25 million in additional breeders awards that will be

1 paid out due to purse increases and other things,
2 such as the increase in the cap.

3 Our 50 percent of that would amount to about
4 \$75,000. Just going from 15 to 20 percent increase
5 in the breeder and stallion awards amounts to a
6 total of \$1.6 million a year, which half of that
7 obviously is \$800,000 that would be an expense to
8 us.

9 In addition, the tri-party agreements
10 increased by \$2.05 million, which increases
11 breeders and stallion awards as well. So our 50
12 percent of that would be about \$200,000. And what
13 that did was last year, our FBIF FTBOAs portion was
14 \$450,000.

15 This year through the tri-party agreement, the
16 amount that Gulfstream Park alone is putting in is
17 \$1.8 million. So that increase alone increases
18 breeders and stallion awards as well. We have also
19 projected that the amounts that the tracks are
20 getting from the House Bill 7063 Gulfstream Park's
21 \$15 million, a lot of that is supposed to go
22 towards purses.

23 So in our projections, we have included 50
24 percent of that to go to increase purses, and we've
25 also included \$5 million from Tampa Bay to go to

1 purses as well, which is a total of \$12.5 million.

2 And at the rate that our Florida breders are
3 winning at the 40 percent, that would increase the
4 expense to breeders and stallion awards of
5 \$500,000.

6 So the total increase over the 50 percent of
7 the breeders awards that are projected to be paid
8 out by the end of 2023 is an additional \$1.6
9 million.

10 In addition to that, the stakes payments for
11 the upcoming year projected because the \$450,000
12 that was FTBOA's responsibility for the FBIF has
13 now moved over to \$1.8 million that is totally
14 going to be funded by Gulfstream Park. That will
15 reduce our expenses another \$450,000.

16 So therefore, you can see the swing from a
17 projected deficit of about \$650,000 at the end of
18 this year to a profit of \$800,000.

19 VICE CHAIR BROWN: That's excellent
20 clarification. Thank you for being thorough.

21 Commissioner D'Aquila.

22 COMMISSIONER D'AQUILA: So if I understand
23 correctly your presentation, the projected 2024
24 total expenses of awards, stakes programs, admin
25 fee, and promo fee of \$6.42 million does not

1 include expenses that are related to the money
2 coming from -- or the expenses getting covered
3 by -- or coming from through the Department of
4 Agriculture?

5 MS. YOST: That is correct. That is strictly
6 550.

7 COMMISSIONER D'AQUILA: And that is why we're
8 not seeing -- we're seeing the increase in
9 projected revenue, but the drastic increase in
10 expenses, which always raises eyebrows.

11 MS. YOST: That is correct.

12 COMMISSIONER D'AQUILA: I've read the audited
13 financial statements prepared by your auditors for
14 the 2022 year. I believe that when you work with
15 your auditors for 2024, you will include all that
16 information in the notes for the financial
17 statements?

18 MS. YOST: Yes, sir.

19 COMMISSIONER D'AQUILA: Explaining all the
20 uses of proceeds as it pertains to that a little
21 bit differently than this presentation?

22 MS. YOST: Absolutely.

23 COMMISSIONER D'AQUILA: I understand the
24 timing and so forth of when this was submitted. I
25 think you had mentioned you had just received

1 approval this week?

2 MR. POWELL: Executed agreement.

3 COMMISSIONER D'AQUILA: Executed agreement.

4 MR. POWELL: We had the approval. We needed
5 the agreements.

6 COMMISSIONER D'AQUILA: If I may ask one more
7 question. Has your management team discussed a
8 contingency plan in the event that this is not
9 renewed at the conclusion of two years?

10 This is all wonderful news. But then when an
11 organization gets accustomed to such good news, if
12 things should turn, do you have a plan in place
13 specifically referring to the year 2026 or --

14 MR. POWELL: You know, in our industry I've
15 been in all my life, you always have backups for
16 everything. Because first of all, you can't
17 control some of the things, and also sometimes what
18 people say is not actually what they do. That's
19 the plain, hard facts.

20 Oh, yeah, just like it looked like there was
21 not going to be any tri-parties successfully
22 negotiated this year, just like we had a backup
23 plan for that because we're not going to sit and
24 stop the industry just because folks aren't ready
25 to sign something.

1 And we have backup plans for if the year 2025
2 is the last year of the funding and we've achieved
3 no new legislation at that point in time, yes, we
4 do. This actually gives us some flexibility to be
5 able to handle that second year a little better.

6 But we would have to be deeply involved in
7 getting successful future legislation because as
8 you all noted last year during our first
9 application, you know, we were doing the ultimate
10 for a non-profit. The auditors always love it.

11 We were spending more money on awards than we
12 were bringing in for ourselves, so we did that.
13 You can't sustain that forever, but I was glad we
14 were able to do it for the industry.

15 This year without that legislation, I would
16 have been standing before you in all likelihood
17 saying we had to go 10 percent below statute, and
18 you had the ability to approve that.

19 And there were going to be a lot of various
20 concerns I was going to make you aware of in my
21 presentation. That's how much the successful
22 legislation that we were the ones that led and
23 facilitated, that's how much of a difference it
24 made.

25 And because of our nature -- at least my

1 nature, and those folks can all tell you,
2 especially Matt, our lobbyist, is the day we got it
3 passed, the first thing I asked is what are we
4 going to do for '26? We got to get on '26. That's
5 the way we do things.

6 And then also, you go conservative. If it's
7 not a certainty what '26 looks like, as I'm in
8 front of you a year from now, it's going to be a
9 more conservative plan. But yeah, backups on top
10 of backups.

11 In this business no matter where you are in
12 this country no matter how great things are going,
13 if you don't have a bunch of backups, you're in
14 trouble because things just change so much.

15 And by the way, it's been fun talking in front
16 of you. This is my first time, I think, other than
17 the workshop.

18 VICE CHAIR BROWN: Thank you.

19 MR. POWELL: Thank you.

20 VICE CHAIR BROWN: Thank you so much. I
21 appreciate it.

22 Commissioners, is there any discussion on the
23 program? If not, I'm ready for a motion to
24 approve.

25 COMMISSIONER D'AQUILA: Motion to approve the

1 program as presented.

2 VICE CHAIR BROWN: Is there a second?

3 COMMISSIONER DRAGO: Second.

4 VICE CHAIR BROWN: All those in favor, say
5 aye.

6 (Multiple ayes.)

7 VICE CHAIR BROWN: It passes unanimously.

8 Also I did want to note, we do have our court
9 reporter, I believe, on the phone. So just for the
10 record to make sure everyone is clear speaking so
11 that she can transcribe the record pretty clearly
12 today.

13 All right. We're going to move on to Item
14 2.1. Ms. Pouncey.

15 MS. POUNCEY: Good morning. Jamie Pouncey for
16 the Division of Pari-Mutuel Wagering. Item 2.1 is
17 Case No. 2023-048899, South Marion Real Estate
18 Holdings requesting to add additional cardroom
19 tables.

20 South Marion possesses a quarter horse permit,
21 was issued an operational license and cardroom
22 license for the 2023-2024 fiscal year. They are
23 currently licensed to operate 31 tables and is
24 requesting permission to add four more tables to
25 its cardroom.

1 They have paid the \$1,000-per-table fee. No
2 other restrictions on the number of card tables
3 that they can have, and the Division is
4 recommending approval of their request to add
5 additional tables.

6 VICE CHAIR BROWN: Thank you, Ms. Pouncey.
7 This is a straightforward item. Can I get a motion
8 to approve the request to add additional card
9 tables?

10 COMMISSIONER D'AQUILA: Motion to approve.

11 VICE CHAIR BROWN: Is there a second?

12 COMMISSIONER REPP: Second.

13 VICE CHAIR BROWN: All those in favor, say
14 aye.

15 (Multiple ayes.)

16 VICE CHAIR BROWN: Thank you, and thank you
17 for your work on this. Item No. 3.1.

18 MS. POUNCEY: Permission to combine 3.1 and
19 3.2?

20 VICE CHAIR BROWN: That would be great. Thank
21 you.

22 MS. POUNCEY: Yes, ma'am. 3.1 is Gulfstream
23 Park Racing Association, Case No. 2023-051743.

24 Item No. 3.2 is Gulfstream Park Thoroughbred
25 After Racing Program, Case No. 2023-051759. Both

1 of these are requesting to amend their operating
2 dates.

3 Gulfstream Park, Item No. 3.1, is requesting
4 to cancel 9 thoroughbred performances and add 2
5 performances. They're currently licensed for 169
6 performances. 164 of those are matinees, and 5 are
7 charities.

8 In canceling those 9 performances and adding
9 2, it will become a total of 162 performances, 157
10 matinees and 5 charities will be its new schedule.

11 In addition to submitting a completed
12 application, they satisfied all their requirements
13 requesting to amend less than 49 percent of their
14 performances for the total year.

15 We are recommending approval.

16 In Item No. 3.2, it's Gulfstream Park
17 Thoroughbred After Racing Program. They have also
18 requested to amend their dates by canceling 5
19 thoroughbred performances and adding 5
20 performances. They currently have 40 performances,
21 35 matinees, and 5 charities.

22 In canceling the 5 and adding the 5, they will
23 remain with 40 performances, 35 of those matinee
24 and 5 charity. They have satisfied their
25 requirements requesting less than 49 percent of

1 their total performances from the current license.

2 The Division is recommending approval of both
3 these requests to amend.

4 VICE CHAIR BROWN: Thank you.

5 Commissioners, are there any questions on 3.1
6 or 3.2? If not, can we get a combined motion to
7 approve the staff recommendation?

8 COMMISSIONER DRAGO: So moved.

9 VICE CHAIR BROWN: Is there a second?

10 COMMISSIONER D'AQUILA: Second.

11 VICE CHAIR BROWN: All those in favor, say
12 aye?

13 (Multiple ayes.)

14 VICE CHAIR BROWN: Thank you. The two items
15 pass unanimously. All right. Item 4.1, please.

16 MS. POUNCEY: Item No. 4.1 is Gulfstream Park
17 Racing Association, Case No. 2023-048253. This is
18 Gulfstream Park's request to renew their annual
19 slot machine license. Gulfstream Park is
20 authorized in Miami-Dade -- excuse me.

21 Slot machine gaming is authorized in
22 Miami-Dade and Broward County's pari-mutuel
23 facilities. Gulfstream Park is located in Broward
24 County and possesses a valid thoroughbred permit.

25 We received their application to renew their

1 license August 4, 2023. The required documentation
2 has been submitted, along with the application fee.

3 The Division is recommending approval of their
4 slot machine license effective October 13, 2023
5 valid through October 12, 2024.

6 VICE CHAIR BROWN: Thank you for that summary.

7 Commissioners, any questions on this item?

8 If not, can we get a motion to approve renewal for
9 the slot machine license?

10 COMMISSIONER DRAGO: So moved.

11 COMMISSIONER D'AQUILA: Second.

12 VICE CHAIR BROWN: All those in favor, say
13 aye.

14 (Multiple ayes.)

15 VICE CHAIR BROWN: Passes unanimously.

16 All right. We're going to consent orders now,
17 5.1.

18 MS. ALVARADO: Emily Alvarado, for the record.

19 Item No. 5.1 is Sarasota Kennel Club, Case No.

20 2022-059436. This case was a filed administrative

21 complaint alleging that respondent violated Rule

22 75-11.014(4)(d)(3) and 75-11.014(4)(a) by failing

23 to alternate the deck of cards in and out of play

24 with each deck being used for every other game and

25 failing to withdraw the entire deck of cards when a

1 damaged card was discovered.

2 There was no prior violations for these
3 respondents. The respondent has sent back a signed
4 settlement and consent order with a fine of \$500.
5 Therefore, the Division would ask the Commission
6 enter an order adopting the consent order in this
7 case.

8 VICE CHAIR BROWN: Do the commissioners have
9 any questions on this item? If not, can we get a
10 motion to approve the consent order?

11 COMMISSIONER D'AQUILA: Motion to approve the
12 consent order.

13 VICE CHAIR BROWN: Is there a second?

14 COMMISSIONER REPP: Second.

15 VICE CHAIR BROWN: All those in favor, say
16 aye.

17 (Multiple ayes.)

18 VICE CHAIR BROWN: Passes unanimously.

19 MS. ALVARADO: 5.2 is Alvaro Vasques in Case
20 No. 2023-034324. This case, you'll see a filed
21 administrative complaint alleging that the
22 respondent violated 75-11.004(8)(a) by failing to
23 clear his hands when cash, chips, or tokens were
24 exchanged with or provided to a player.

25 This is the respondent's first violation. You

1 will also find a settlement and consent order for a
2 \$50 fine in this case. Therefore, the Division
3 would ask that the Commission adopt the consent
4 order in this case.

5 VICE CHAIR BROWN: Thank you.

6 Any questions? Commissioner Drago.

7 COMMISSIONER DRAGO: If I could, yes. Just a
8 couple of questions, I think, for clarification.
9 In this case, my understanding is that Mr. Vasquez
10 violated this several times throughout the night,
11 including after being warned that his actions were
12 in violation and still continued to do it, in spite
13 of that.

14 MS. ALVARADO: It seems like it happened about
15 eight times in one day, and then he was informed
16 about it after that.

17 COMMISSIONER DRAGO: But then he did it again,
18 according to the report I read.

19 MS. ALVARADO: Right.

20 COMMISSIONER DRAGO: Even after being warned
21 and shown visibly how to do it visibly in front of
22 him.

23 MS. ALVARADO: Right.

24 COMMISSIONER DRAGO: And then continued doing
25 it. So my question is, are we fining him for one

1 offense and forgetting about all the other
2 violations, multiple counts of the offense,
3 especially after being warned about it?

4 It just seems like an awful low fine for
5 violating it eight times throughout the night and
6 after even being warned. How did we come to just
7 \$50 fine for that?

8 MS. ALVARADO: In the past whenever it was a
9 first offense where we have notification,
10 regardless of how many times that it happened, we
11 have done the \$50 penalty for the first time
12 around.

13 And then the second case would take into
14 consideration how many times it didn't change.
15 That's just how we've done it in the past. But, of
16 course, I can come back and send a new settlement,
17 if necessary.

18 COMMISSIONER DRAGO: And also I understand he
19 only had a license since November of the year
20 before, so what was this, seven months later or
21 something along those lines. So it isn't like he's
22 been licensed for years and years and never
23 committed an offense.

24 This is a fairly short period of time. Those
25 are the only questions I have. I have some

1 concerns about that in relation to the number of
2 violations even after being warned, but that's my
3 only questions.

4 VICE CHAIR BROWN: Thank you, Commissioner
5 Drago. I had similar questions in my briefing. In
6 my understanding, the way that our staff responded
7 was that it can happen very quickly.

8 Am I correct?

9 MS. ALVARADO: Right. Typically, it happens
10 within minutes, and you'll see that in the report.
11 They're observing over a period of ten minutes, it
12 seems like, and there was eight violations in that
13 ten-minute period. And then it did happen again
14 after they were informed about it one time.

15 VICE CHAIR BROWN: Has this individual had
16 chip handling behavior training since that time?

17 MS. ALVARADO: That, I'm not sure about.

18 VICE CHAIR BROWN: Commissioner.

19 COMMISSIONER D'AQUILA: How many years of
20 experience does this dealer have?

21 MS. ALVARADO: That's not in the report. To
22 go back to your question, it does say in the report
23 that he was coached by his supervisor after the
24 violations. And to my knowledge, we haven't
25 received a new case for this individual since then,

1 so I'm not sure if he has fixed this issue since
2 the violation on the first day it happened.

3 VICE CHAIR BROWN: Commissioner Drago.

4 COMMISSIONER DRAGO: My understanding was that
5 our investigator went to the supervisor and told
6 him what was happening. His supervisor went over,
7 coached him, taught him how to do it, and then the
8 investigator watched him continue to do it anyway.

9 MS. ALVARADO: Right.

10 COMMISSIONER DRAGO: After that, so he did
11 receive his coaching and then ignored it and
12 continued to do it.

13 VICE CHAIR BROWN: Commissioner D'Aquila.

14 COMMISSIONER D'AQUILA: Has the supervisor
15 coach ever had any issue here that we're aware of?

16 MS. ALVARADO: I don't even have the name of
17 the supervisor in this report, so I can't confirm
18 whether they've ever had this violation before.

19 COMMISSIONER D'AQUILA: So we don't know how
20 many years of experience this individual had. We
21 don't know the quality of the supervision he
22 received. You can't answer those at this point?

23 MS. ALVARADO: No, I can't.

24 VICE CHAIR BROWN: Thank you, Ms. Alvarado.

25 I think the question is really is if there's

1 appetite to go back and talk further for options at
2 the pleasure of the Commission. I'm bringing that
3 up for discussion.

4 Commissioner Drago.

5 COMMISSIONER DRAGO: I would like to see it
6 opened up again and gone back and looked at a
7 little bit closer, due to the things we're talking
8 about in terms of the amount of violations and
9 being coached and warned and still continuing to do
10 it, whether a \$50 fine is really setting the
11 example to folks that we intend on trying to send.

12 VICE CHAIR BROWN: We're going to go ahead
13 then and just defer that item without voting on it.
14 Thank you. Moving on to 6.1.

15 MS. ALVARADO: Item 6.1 is Pham Hung in Case
16 No. 2023-004357. This case was a one count
17 administrative complaint alleging that respondent
18 was excluded from the Casino at Dania Beach on
19 January 20, 2023 when it was discovered that he was
20 involved in a scheme to steal about \$1,250 from a
21 designated player.

22 He's therefore subject to exclusion from all
23 peri-mutuels and all slot machine facilities in the
24 state of Florida pursuant to Section 550.0251(6)
25 and 551.112.

1 You were also provided the USPS tracking
2 confirmed shipping. Therefore, the Division would
3 ask that the Commission enter an order finding that
4 the respondent was properly served with the
5 administrative complaint, failed to respond within
6 21 days, that the facts in the administrative
7 complaint are accepted as the facts in this case,
8 and that respondent will be added to the permanent
9 exclusion list for all peri-mutuel and slot machine
10 facilities.

11 VICE CHAIR BROWN: Thank you. My
12 understanding is that this is also a partnership
13 theft with the actual designated player.

14 MS. ALVARADO: Right. The designated player
15 has already been permanently excluded from all our
16 peri-mutuels and slot machine facilities as well.

17 VICE CHAIR BROWN: Commissioners, any
18 questions? If not, can we get a motion to approve
19 the default final?

20 COMMISSIONER DRAGO: So moved.

21 VICE CHAIR BROWN: Second?

22 COMMISSIONER REPP: Second.

23 VICE CHAIR BROWN: All those in favor, say
24 aye.

25 (Mutual ayes.)

1 VICE CHAIR BROWN: Thank you. Passes
2 unanimously. 6.2.

3 MS. ALVARADO: This is Michelle Palacios in
4 Case No. 2023-014183. This case was a two-count
5 administrative complaint alleging that respondent,
6 subject to exclusion from all peri-mutuels in the
7 state of Florida pursuant to Section 515.02516
8 based on her violation of Rule 75-11.005.

9 She was seen transferring patrons meals and
10 her meals to a private comp check that was covered
11 by the facility, and she was keeping the cash that
12 she was getting from the patrons for her own
13 personal use. She has since resigned.

14 You were also provided the USPS certified mail
15 tracking confirmation, and she failed to respond to
16 that.

17 Therefore, the Division would ask that the
18 Commission enter an order finding that the
19 respondent was properly served with the
20 administrative complaint, failed to respond within
21 21 days, that the facts in the administrative
22 complaint are the facts of this case, and
23 concluding that respondent will be added to the
24 permanent exclusion list for all peri-mutuel
25 facilities.

1 VICE CHAIR BROWN: Thank you so much. If
2 there are no questions, can we get a motion to
3 approve the final order?

4 COMMISSIONER D'AQUILA: Motion to approve the
5 final order.

6 VICE CHAIR BROWN: Second?

7 COMMISSIONER REPP: Second.

8 VICE CHAIR BROWN: All those in favor, say
9 aye.

10 (Mutual ayes.)

11 VICE CHAIR BROWN: Thank you, Ms. Alvarado.

12 Now we're moving into recommended orders 7.1
13 through 7.3. My understanding is that we have a
14 speaker for Item No. 7.2, as well as 8.1, which is
15 the same individual, so I would like to take up 7.2
16 and 8.1 together.

17 If we could take them out of order because we
18 have a speaker on those, can we can take up 7.1 and
19 7.3?

20 MS. ALVARADO: Sure. 7.1 is Anthony Wilson in
21 Case No. 2022-052207. This case comes before you
22 following a recommended order that was issued by
23 the hearing officer on October 3rd.

24 An informal hearing was conducted on July 25,
25 2023 regarding an administrative complaint seeking

1 to exclude this respondent from all peri-mutuels
2 pursuant to Section 550.0251(6).

3 The hearing officer issued a recommended order
4 recommending that respondent be added to the
5 permanent exclusion list for all peri-mutuels for a
6 period of one year.

7 Therefore, the Division would ask that the
8 hearing officer's recommended order be adopted in
9 this case.

10 VICE CHAIR BROWN: Thank you. Commissioners,
11 any questions on this? If not, can we get a motion
12 to approve the final order adopting the hearing
13 officer's recommendation.

14 COMMISSIONER REPP: I would like to make a
15 motion to approve.

16 COMMISSIONER DRAGO: Second.

17 VICE CHAIR BROWN: All those in favor, say
18 aye.

19 (Mutual ayes.)

20 VICE CHAIR BROWN: Thank you. Moving on to
21 7.3.

22 MS. ALVARADO: Jamil Watson in Case No.
23 2023-021423. This case comes before you following
24 a recommended order that was issued by the hearing
25 officer on October 3rd.

1 The informal hearing was conducted on July 25,
2 2023 regarding a notice of intent to deny
3 petitioner's license application due to one felony
4 conviction which was fleeing or attempting to
5 allude an officer in 2010.

6 The hearing officer issued a recommended order
7 recommending that the petitioner's license be
8 granted in this case. the Division had recommended
9 denial, but the hearing officer recommended
10 granting the license in this case.

11 VICE CHAIR BROWN: Thank you. I tend to agree
12 with the hearing officer as well when I saw this.

13 Commissioners, any questions? If not, can we
14 get a motion to approve the hearing officer's
15 recommended order?

16 COMMISSIONER DRAGO: So moved.

17 VICE CHAIR BROWN: Is there a second?

18 COMMISSIONER REPP: Second.

19 VICE CHAIR BROWN: All those in favor, say
20 aye.

21 (Mutual ayes.)

22 VICE CHAIR BROWN: Thank you. Ms. Alvarado,
23 can we take up 7.2 and 8.1 together, please?

24 MS. ALVARADO: Yes. Sure. 7.2 is Gilfredo
25 Gonzalez in Case No. 2022-061179. 8.1 is the same

1 petitioner in this case, respondent in that case.

2 It's Case No. 2008-012886.

3 This case comes before you following the
4 recommended order that was issued by the hearing
5 officer. An informal hearing was conducted on June
6 15th regarding the notice of intent to deny
7 petitioner's application due to his permanent
8 exclusion from 2010.

9 Since the hearing, petitioner's attorney has
10 submitted an order or a motion to vacate or amend
11 the prior order asking that the Commission find
12 that respondent's attendance at peri-mutuels in
13 Florida is not adverse to the public interest or
14 the integrity of the sport or industry to vacate
15 the provisions in the final order in this matter,
16 to the extent that the order excludes Mr. Gonzalez
17 from peri-mutuel facilities, other than Gulfstream
18 Park, and order that the final orders in the cases
19 prior which were 2011-037755 and 2013-013267 not
20 serve as a basis for the denial and the informal
21 hearing recommended order case.

22 The hearing officer has since issued a
23 recommended order on October 3rd recommending that
24 petitioner's license be denied without prejudice to
25 reapply anytime, that the Florida Gaming Control

1 Commission finds that the attendance of petitioner
2 at a peri-mutuel facility would not be adverse to
3 the public interest or integrity of the sport, and
4 that petitioner is no longer excluded from
5 peri-mutuels in the state of Florida.

6 VICE CHAIR BROWN: And before we get to the
7 speaker, are there any preliminary questions of
8 fact? It's kind of a complicated petition. My
9 understanding is that the petitioner is requesting
10 the occupational license. It does not intend to
11 work in the cardroom.

12 MS. ALVARADO: Right. He is not even
13 requesting a cardroom license. He's trying to get
14 a license to work in the back side with the horses,
15 a peri-mutuel occupational license.

16 VICE CHAIR BROWN: Thank you, Ms. Alvarado.
17 Commissioner Repp.

18 COMMISSIONER REPP: Do we have any other
19 criminal history?

20 MS. ALVARADO: No. That is the only incident.

21 VICE CHAIR BROWN: Thank you. With that,
22 Mr. Dan McGinn is the attorney representing the
23 individual petitioner. Nice to see you here.

24 MR. MC GINN: Thank you, Madam Chair. Thank
25 you, Commissioners. For the record, my name is

1 Daniel McGinn. I'm with Dean, Mead & Dunbar here
2 in Tallahassee. We are representing Mr. Gilfredo
3 Gonzalez.

4 VICE CHAIR BROWN: Just ask you for the court
5 reporter to speak closer to the mic.

6 MR. MC GINN: Sure. And also I apologize to
7 the court reporter, as I do every time they're
8 transcribing me. I talk three times as fast.

9 VICE CHAIR BROWN: He talks very fast.

10 MR. MC GINN: It happens a lot. So what I'm
11 here today to discuss before the Commission are two
12 requests. The first one is to modify the 2010
13 order which excludes Mr. Gonzalez, to allow him to
14 be eligible to enter pari-mutuel facilities other
15 than Gulfstream Park, and then for the Commission
16 to grant the license that he's applied for and the
17 recommended order that's been issued by the hearing
18 officer.

19 So to begin, please understand that what we're
20 asking for today is not a determination from the
21 Commission that either the current or the former
22 pari-mutuel wagering division was incorrect.

23 In 2010 based on the information that was
24 available at the time, the Division chose to
25 exclude Mr. Gonzalez from its pari-mutuel

1 facilities.

2 In its recommended order today, the hearing
3 officer recommends you deny Mr. Gonzalez based on
4 that 2010 order. And what we're asking the
5 commission today is to look at the facts before it
6 that you have now and to determine whether
7 Mr. Gonzalez's exclusion is still appropriate and
8 necessary under the standards of Chapter 550 of the
9 Florida Statutes.

10 Factually in early 2008, Mr. Gonzalez diverted
11 chips into his tip box while working as a dealer at
12 Gulfstream Park. He was terminated from his
13 position and excluded from Gulfstream.

14 He was not arrested nor convicted for this
15 offense. Shortly thereafter, Mr. Gonzalez began
16 working at table games at a Seminole tribe facility
17 in South Florida. Over the course of the next
18 decade and a half, he rose to the position as table
19 game supervisor.

20 He currently works in the Tampa facility, and
21 he's been there for the entire time from 2008 till
22 now while he's been with the tribal facilities in
23 Hollywood and Tampa. He's had no further
24 incidents.

25 Like I said, he remains employed today. He

1 actually sent me his evaluation, but it's not on
2 the record. He got a raise, which is hard to do
3 nowadays.

4 After the 2010 order was issued, Mr. Gonzalez,
5 as you can see, has tried to regain a license from
6 the Division multiple times, most recently in 2013.
7 In each of these instances, he was candid and
8 remorseful when discussing the Gulfstream incident
9 with the Division.

10 But again, he was denied, much like the
11 hearing officer recommends in this instance because
12 of the 2010 order stemming from the 2008 incident.
13 Now with this additional 15 years of employment
14 history within the industry, Mr. Gonzalez is asking
15 the Commission for a determination that his
16 exclusion from the facilities is no long warranted,
17 other than Gulfstream, statutorily Gulfstream not
18 at issue here.

19 So Section 550.0251(6) which is the exclusion
20 statute generally, also grants the Commission a
21 basis for modifying this order. The statute allows
22 the Commission to make a finding that
23 Mr. Gonzalez's attendance at pari-mutuel facilities
24 is neither adverse to the public interest nor the
25 integrity of the sport; thereby permitting him to

1 enter facilities other than Gulfstream Park,
2 Gulfstream because it's the particular location as
3 the offense as a private right of action -- not
4 right of action, but a private ability to continue
5 excluding him.

6 That's why we're not asking for him to be
7 admitted there. If they choose to keep him on
8 their exclusion log, that's something that the
9 Commission doesn't have the authority to order.

10 So should the Commission agree with our
11 request and the finding and subsequent modification
12 of 2010 order would then allow for the Commission
13 to grant the remaining relief requested, which is
14 the approval of his license.

15 The facts in the matter are not at issue.
16 Everything that I've referred to today and that
17 Ms. Alvarado has referred to were entered either by
18 Mr. Gonzalez or the Division at the informal
19 hearing.

20 Again, the sole basis for his denial is this
21 2010 order stemming from the 2008 case. Without
22 that barrier, Mr. Gonzalez is otherwise eligible to
23 hold the license he applied for.

24 Again, the hearing officer's recommended
25 order, while recommending denial, does contemplate

1 this exact process that we're trying to take today.

2 Now, what we've done is attempt to consolidate
3 this into a one-day issue before the Commission,
4 rather than asking you to amend the order and then
5 coming back at a later meeting with the same
6 application that we've got before the Commission
7 today.

8 So if you're willing to make the necessary
9 finding and the modification, we can kind of wrap
10 all this up. And as such, we respectfully request
11 this Commission grant the release requested in the
12 motion and thereafter approve the licensure
13 application either in full or conditionally.

14 Again, Mr. Gonzalez has no interest in being
15 permitted to work in the state cardroom facility.
16 His son currently works in the Tampa facility in
17 the back of the track, and he'd kind of like to
18 finish up his twilight years in the industry
19 working with his son.

20 If there are any questions, I'd be glad to
21 answer them. Otherwise, thank you for your time.

22 VICE CHAIR BROWN: Thank you, Mr. McGinn.
23 This is a case where we're happy that the
24 petitioner delves into this matter a little bit
25 more thoroughly so that we could look at the facts

1 of his background of where he is today.

2 Commissioners, do you have any questions for
3 Mr. McGinn or for staff? Any comment? Okay. With
4 that, thank you. Now we're going to move on to
5 discussion on the issue. I think the most
6 appropriate thing, either general counsel or
7 Ms. Alvarado, is to take up 8.1, which is the
8 discussion of vacating the final order; correct?

9 MS. ALVARADO: Yes, that's correct.

10 VICE CHAIR BROWN: Commissioners, discussion
11 on 8.1, the petitioner's request to vacate the
12 final order. I'll just begin.

13 I think the petitioner presented a very
14 compelling case. Actually, I don't really, with
15 due respect, agree with the staff's recommendation.
16 I do agree with the petitioner here, and I think
17 it's appropriate to vacate the final order as
18 requested.

19 Commissioner Repp.

20 COMMISSIONER REPP: I would agree.

21 COMMISSIONER D'AQUILA: I would agree.

22 COMMISSIONER DRAGO: I agree.

23 VICE CHAIR BROWN: Thank you very much. Can
24 we get a motion to vacate provisions of the final
25 order in this matter, to the extent that the order

1 excludes Mr. Gonzalez from pari-mutuel facilities,
2 other than Gulfstream Park?

3 COMMISSIONER REPP: I'll make a motion to
4 vacate.

5 VICE CHAIR BROWN: Is there a second?

6 COMMISSIONER D'AQUILA: I'll make a second.

7 VICE CHAIR BROWN: All those in favor, say
8 aye.

9 (Multiple ayes.)

10 VICE CHAIR BROWN: That passes. And we go to
11 7.2. If there's no discussion, can we get a motion
12 to approve the petitioner's request for licensure?

13 COMMISSIONER D'AQUILA: So moved.

14 VICE CHAIR BROWN: Is there a second?

15 COMMISSIONER REPP: Second.

16 VICE CHAIR BROWN: Again, just checking with
17 legal that's the correct? All right. All those in
18 favor, say aye.

19 (Multiple ayes.)

20 VICE CHAIR BROWN: That passes unanimously.

21 Thank you, and thank you for your work here.

22 Moving on to license denials, 9.1, Mr. Woods.

23 MR. WOODS: Good morning. Steve Woods, for
24 the record. 9.1 in this case Aleksandr Belozarov.
25 It's Case No. 2023-038900. He applied for a

1 cardroom employee occupational license. There were
2 two convictions in this case.

3 There was a grand theft auto. There was also
4 a failure to return. I'm not sure how many details
5 are desired. But a very brief synopsis of what
6 happened was this person rented a car in 2018, lent
7 it to a friend, and the friend did not return it.
8 It was well past the due date.

9 And then the man went to return it, and he was
10 arrested for doing so. This case remained pending
11 until 2023 because he had Corona virus. He went
12 back to court, and then there was a fire alarm.
13 And he believed that because a fire alarm had went
14 off, he could leave.

15 He did leave. He never contacted the court
16 again. This caused an FTA, a failure to appear.
17 He was then rearrested, and then this was resolved
18 for -- he was sentenced. Adjudication was
19 withheld. He was sentenced to 18 months probation,
20 and he had to pay restitution of \$1,869.

21 I think that briefly summarizes the two felony
22 convictions, those arising out of the same
23 circumstances, the same act. These were disclosed,
24 and he applied for a waiver. That was denied. The
25 recommendation is that the Commission authorize the

1 issuance of a notice of intent to deny.

2 VICE CHAIR BROWN: Thank you, Mr. Woods.

3 Commissioners, do you have any questions on
4 this issue?

5 COMMISSIONER DRAGO: I just have one question
6 for clarification. When was the date of the
7 original offense?

8 MR. WOODS: 2018. I believe the date was
9 March 13, 2018 that the vehicle was due, and then
10 it was kept long beyond that. And then he was
11 arrested in 2018, and then it remained pending
12 because of the Corona virus and because of the FTA
13 until 2023.

14 COMMISSIONER DRAGO: Thank you.

15 VICE CHAIR BROWN: Mr. Woods, this applicant,
16 did he make full restitution?

17 MR. WOODS: Yes. I believe the restitution
18 was a condition of probation. I think the
19 restitution again was roughly \$1,900. The exact
20 figure was something along the lines of \$1,869.

21 VICE CHAIR BROWN: Commissioner Repp.

22 COMMISSIONER REPP: And has the probation been
23 completed or has it been determined what date it is
24 completed?

25 MR. WOODS: When the probation began, the date

1 of disposition, the date on which he was sentenced
2 would have been June 8, 2023. That's when it
3 began. It was a term of 18 months.

4 He was eligible for termination after nine,
5 you know, early termination halfway through if he
6 had done everything that he was required to do.
7 I'm not sure of the exact -- I don't think there's
8 any documentation whether the probation was
9 terminated early.

10 But he did satisfy all the conditions, whether
11 that was in 18 months or 9 or some point in between
12 those two time frames.

13 VICE CHAIR BROWN: And he had a license with
14 us. This is appearing before because it expired.
15 The one thing that -- he did not notify us, even
16 though he had a current license; correct?

17 MR. WOODS: That is correct. There were these
18 arrests in 2011 and 2017, and there is no
19 indication that he notified as required by
20 7511.011.

21 VICE CHAIR BROWN: We talk about that often.
22 Commissioner Repp.

23 COMMISSIONER REPP: He's still under probation
24 until at least March 2024?

25 MR. WOODS: No. I believe the probation --

1 you must be right.

2 COMMISSIONER REPP: He's still under
3 probation?

4 MR. WOODS: I must have misspoken.

5 VICE CHAIR BROWN: Commissioners, any
6 discussion on this? If not, we're ripe for a
7 motion.

8 COMMISSIONER DRAGO: Make a motion to accept
9 the staff recommendation.

10 VICE CHAIR BROWN: Is there a second?

11 COMMISSIONER REPP: I'll second.

12 VICE CHAIR BROWN: All those in favor, say
13 aye.

14 (Multiple ayes.)

15 VICE CHAIR BROWN: Passes unanimously. Thank
16 you. On to 9.2.

17 MR. WOODS: 9.2 is Case No. 2023-040967. This
18 is Jessica Michell Thomas. She applied for a
19 pari-mutuel general individual occupational
20 license. There are several different convictions
21 in this case.

22 Three of them have to do with possession of a
23 controlled substance while armed. And on top of
24 that, there were other charges having to do with
25 possession of cannabis, carrying a concealed

1 firearm.

2 There's also a possession with intent to sell.
3 I believe all of these charges arose out of the
4 same incident. This woman, she gave a statement in
5 which she said that my husband was arrested. There
6 was this arrest of the husband who was put in jail
7 for traveling to meet a minor, a 14-year-old.

8 And when he knew he was going off to prison,
9 he left her with these drugs to say, you know, this
10 is something that you have to pay the bills. And
11 then there's this incident that's described in the
12 arrest report in which she is driving.

13 She hits another vehicle and flees on foot and
14 allegedly at one point threatens to shoot someone.
15 In this black bag she had with her as she was
16 fleeing, there was crack. There were 12 pills of
17 amphetamine. There were baggies. There were
18 scales, various types of paraphernalia for
19 narcotics.

20 VICE CHAIR BROWN: Thank you for the summary
21 and background.

22 Commissioners, any question? If not, can we
23 get a motion?

24 COMMISSIONER REPP: Motion to accept the staff
25 recommendation.

1 COMMISSIONER D'AQUILA: Second.

2 VICE CHAIR BROWN: All those in favor, say
3 aye.

4 (Multiple ayes.)

5 VICE CHAIR BROWN: Passes unanimously. 9.3,
6 please.

7 MR. WOODS: Yes, ma'am. 9.3 is Case No.
8 2023-047281. This is Askari Leon Kemp. He applied
9 for a slot machine general individual occupational
10 license. The convictions in this case were grand
11 theft of a firearm in 2011 and carrying a concealed
12 weapon in 2008.

13 They were disclosed. The disqualifying
14 convictions could not be waived. The
15 recommendation is that the Commission authorize the
16 issuance of a notice of intent to deny.

17 VICE CHAIR BROWN: Thank you. Commissioners,
18 are there any questions? If not, can I get a
19 motion?

20 COMMISSIONER DRAGO: So moved to approve the
21 staff recommendation.

22 VICE CHAIR BROWN: Thank you. Is there a
23 second?

24 COMMISSIONER REPP: I'll second.

25 VICE CHAIR BROWN: All those in favor, say

1 aye.

2 (Mutual ayes.)

3 VICE CHAIR BROWN: Passes unanimously.

4 MR. WOODS: 9.4 is Case No. 2023-048275.

5 This is Alfred Lee Gerald, Jr. He applied for a
6 slot machine general individual occupational
7 license. The relevant convictions, there were a
8 few in this case.

9 Sale or delivery of cocaine, sale or delivery
10 of cannabis, grand theft and petty theft. These
11 were disclosed. These took place over a number of
12 years.

13 One was a conviction from 2005, one was a
14 conviction from 2018, and one was from 2017. The
15 convictions cannot be waived, and the
16 recommendation is that the Commission authorize the
17 issuance of a denial, intention to deny.

18 VICE CHAIR BROWN: Thank you.

19 This one, again, is a clear-cut case. If
20 there's no questions, can we get a motion?

21 COMMISSIONER D'AQUILA: Motion to accept the
22 staff recommendation.

23 VICE CHAIR BROWN: Thank you. Getting excited
24 here. Is there a second?

25 COMMISSIONER REPP: I'll second.

1 VICE CHAIR BROWN: All those in favor, say
2 aye.

3 (Mutual ayes.)

4 VICE CHAIR BROWN: All right. On to 9.5.

5 MR. WOODS: Yes, ma'am. 9.5, the final case,
6 is Case No. 2023-050675. This is Tammie P. Corros.
7 She applied for a cardroom employee occupational
8 license. The conviction in this case was a forgery
9 from 2005.

10 Essentially, the circumstances of this, she
11 says that she was -- she explains that she was
12 living with the father of the child of whom she was
13 pregnant. She was eight months into the pregnancy.
14 The man cheated on her. She said that she was very
15 upset about this and made some poor choices, a poor
16 choice, and committed the crime of forgery.

17 She characterized it herself as a bad decision
18 for which there is no excuse. It was disclosed.
19 She did apply for a waiver. That was declined.
20 The recommendation is that the Commission authorize
21 the issuance of a notice of intent to deny.

22 VICE CHAIR BROWN: Mr. Woods, just some facts
23 here. This was back in 2005 in California?

24 MR. WOODS: Yes.

25 VICE CHAIR BROWN: Just looking at the record,

1 it looks like rehabilitation, that there's been no
2 other crimes since committed.

3 MR. WOODS: That is correct. From what I've
4 seen, she has said she hasn't been in trouble
5 since, and that is in line with the data provided
6 by the FBI.

7 VICE CHAIR BROWN: I just am a little bit more
8 sensitive to this because given the amount of time
9 that expands through this, her admission that she
10 knows it was a bad decision, an error, and
11 explanation of the facts and the fact that she
12 seems to be rehabilitated, and she's asking for a
13 cardroom occupational license. I'm open to the
14 idea of granting it.

15 Any other comments? Commissioner Drago.

16 COMMISSIONER DRAGO: I agree. It's been an
17 awful long time since this offense. I think it was
18 \$1,400.

19 MR. WOODS: \$1,400, yes, sir.

20 COMMISSIONER DRAGO: And I don't remember what
21 the forgery was exactly. I don't know.

22 MR. WOODS: It was money that, if I remember,
23 she was living with the man that was having the
24 affair. She moved out. She said she wasn't
25 working, and she wasn't in a rational state of

1 mind, and she forged a check. And then according
2 to what she says, it was the bank that proceeded
3 forward with the charges against her.

4 COMMISSIONER DRAGO: I making the assumption
5 based on the material that I reviewed that she has
6 rehabilitated herself. She hasn't had any other
7 offenses since that time. That was her only
8 conviction and the only offense that we're aware
9 of. I'm inclined to agree with Vice Chair Brown
10 and grant the license.

11 VICE CHAIR BROWN: Commissioner Repp.

12 COMMISSIONER REPP: I'm inclined also to see
13 rehabilitation considering the circumstances.

14 VICE CHAIR BROWN: Thank you. With that, can
15 we get a motion on this item?

16 COMMISSIONER DRAGO: So moved.

17 VICE CHAIR BROWN: To approve?

18 COMMISSIONER DRAGO: To approve.

19 VICE CHAIR BROWN: Thank you. Is there a
20 second?

21 COMMISSIONER REPP: I'll second.

22 VICE CHAIR BROWN: All right. And just
23 clarification, it's a motion to approve the
24 license, not the denial. Any discussion? If not,
25 all those in favor, say aye.

1 (Mutual ayes.)

2 VICE CHAIR BROWN: The motion passes. Thank
3 you. We are moving on to discussion of policies
4 and procedures. We have three of them to consider
5 today. Can we start off with 10.1.

6 MR. TROMBETTA: Yes, ma'am. Louis Trombetta,
7 for the record. Item 10.1 is the proposed active
8 assailant response policy. The origins of this is
9 essentially FDLE has major requirement on all law
10 enforcement agencies in the state to have an active
11 assailant response policy. This is the Gaming
12 Commission's policy.

13 As I have briefed all of you individually, we
14 are working on a massive all-encompassing law
15 enforcement group of policies. This one was pulled
16 out of that group because we were trying to get it
17 done sooner than all the others.

18 If there's any questions, the policy has been
19 included in the meeting material. If you have any
20 questions, I'm happy to answer them.

21 VICE CHAIR BROWN: Are there any questions on
22 this?

23 COMMISSIONER DRAGO: I don't have any
24 questions. I'll just say from my own experience,
25 this is consistent, a policy on this subject all

1 over the country. I travel all over the country
2 dealing with these policies, and this is consistent
3 across the country, so it's a standard policy for
4 active assailant response.

5 VICE CHAIR BROWN: That was helpful. Can we
6 please make a motion to approve the policy?

7 COMMISSIONER DRAGO: Yes. I make a motion to
8 approve the active assailant response policy.

9 VICE CHAIR BROWN: Thank you. Is there a
10 second?

11 COMMISSIONER REPP: I'll second that.

12 VICE CHAIR BROWN: All those in favor, say
13 aye.

14 (Mutual ayes.)

15 VICE CHAIR BROWN: All right. It passes
16 unanimously as well. On to Item No. 10.2.

17 MR. TROMBETTA: Thank you. So Item 10.2 is
18 the travel policy. This was originally on the
19 agenda for the prior meeting. We've made some
20 adjustments based on feedback, and it's back on the
21 agenda. I received two questions during individual
22 briefings I would like to kind of just touch on
23 before turning it back over.

24 So the first one has to do with some of the
25 numbers involved. We are basing everything on DMS

1 travel guidance, so the per diem and the costs that
2 can be incurred on hotels and stuff is all based on
3 DMS.

4 The preference from staff levels to include
5 those numbers so that we just have to look at the
6 one policy. However, as some of you have mentioned
7 in the individual briefing, if instead you would
8 like us to just indicate to use DMS instead so you
9 can then look at DMS's policy, we can do that. I
10 wanted to give it up to the Commission to make a
11 decision on that point.

12 And then secondarily, there was a question
13 about the documentation needed for travel for
14 commissioners to this meeting. I believe this is
15 part of your routine travel. This isn't like going
16 to a conference that requires an additional memo or
17 anything like that.

18 I did look into that. So you still need to
19 get authorization ahead of time, but that's the
20 same as all travel. You just have to fill out --
21 you know, essentially it's a program that there's
22 software that's used for all travel management.

23 Those are the two items. If you have any
24 questions, just like the other one, I'm happy to
25 help.

1 VICE CHAIR BROWN: Regarding the second point
2 that you, so a memorandum would not be needed to
3 travel to headquarters in Tallahassee by the
4 commissioners?

5 MR. TROMBETTA: Correct, that's my
6 understanding. Just to be clear, though, there's
7 still for all travel, you would have to initially
8 request authorization to incur that travel expense.
9 It's different than a memo.

10 For travel going to conferences and additional
11 things that are approved by the executive director,
12 I ask for a memo so that I have a better idea of
13 what the individual that's requesting is actually
14 trying to do.

15 For travel like this, as you are
16 commissioners, to me this is routine. It's part of
17 your job duties to attend these meetings, so there
18 is no memo required for that type of travel.

19 VICE CHAIR BROWN: If we have to travel to
20 Fort Lauderdale for a workshop or meetings, a memo
21 would be required?

22 MR. TROMBETTA: I think it would depend on the
23 meeting. It could be required.

24 VICE CHAIR BROWN: Commissioner D'Aquila.

25 COMMISSIONER D'AQUILA: I have a question on

1 the first point when appropriate?

2 VICE CHAIR BROWN: Now is fine.

3 COMMISSIONER D'AQUILA: Am I understanding
4 correctly the question is whether to print the
5 numbers within the policy versus a link to the
6 current numbers in the other agency; is that
7 correct?

8 MR. TROMBETTA: Sort of, Commissioner. The
9 decision point is do we leave the numbers in our
10 policy, the direct. And these numbers I'm
11 referring to usually have to do with some type of
12 amount of dollar that can be incurred, you know, or
13 a tip.

14 For example, you can tip the taxi or the valet
15 a certain amount. That's all based on DMS's
16 guidance. Same thing with like the hotel rate. So
17 the question is do we put that in our policy and
18 then have to update our policy when it changes?

19 Or do we just refer to DMS's policy, and then
20 we just have to -- you know, the Gaming
21 Commission's own policy will say something like the
22 hotel will be allowable, hotel rate is as provided
23 with DMS. It's essentially referring to the other
24 policy.

25 So from my end, I would prefer that we just

1 put the number in the policy so that we have it in
2 one spot and it's easy to read. But I also
3 understand the counterpoint is a valid point, is
4 then we have to update it as they change.

5 We'd have to continuously update the form as
6 those numbers change. So really, it's a call for
7 you all, and we'll do whatever you'd like in this
8 instance.

9 VICE CHAIR BROWN: Commissioner D'Aquila, I
10 suggest that maybe having the actual number in
11 there but then include language, something to the
12 effect of or DMS's current rate in effect.

13 COMMISSIONER DRAGO: I'm fine with that. I
14 agree, just refer to DMS policy would be a lot
15 easier.

16 VICE CHAIR BROWN: Are there any other
17 comments before we turn it back to Mr. Trombetta?

18 Mr. Trombetta.

19 MR. TROMBETTA: Thank you. So just to be
20 clear, we will update the policy to include
21 language that reflects a reference to DMS as
22 guidance, and then we'll get the policy for
23 approval at the next meeting.

24 VICE CHAIR BROWN: Unless there's any other
25 questions, if we give you discretion to include

1 that language, we can go ahead and approve the
2 policy right now.

3 MR. TROMBETTA: I would be happy to do that.
4 I prefer that.

5 VICE CHAIR BROWN: Okay. Are there any other
6 questions on that policy? If not, can we get a
7 motion to approve the policy with the additional
8 language as suggested?

9 COMMISSIONER DRAGO: So moved.

10 VICE CHAIR BROWN: Is there a second?

11 COMMISSIONER D'AQUILA: Second.

12 VICE CHAIR BROWN: All those in favor, say
13 aye.

14 (Mutual ayes.)

15 VICE CHAIR BROWN: Policy passes. 10.3.

16 MR. TROMBETTA: Thank you. 10.3 is the forms
17 management policy. This is the first amendment to
18 a policy. This policy had previously been
19 approved. It's one of the first ones the
20 Commission approved.

21 We noticed that there was an issue in the
22 numbering used in the Division, so we've updated
23 the numbers. Now it's back for approval. The form
24 itself has not changed. The policy itself has not
25 changed.

1 This policy just governs sort of how we
2 account for internal forms. It includes like the
3 font used, how things are listed. But it's on here
4 because we wanted to make sure that the numbering
5 was consistent.

6 VICE CHAIR BROWN: Thank you. Arial and Times
7 New Roman. Are there any questions on this item?
8 If not, can we get a motion to approve 10.3?

9 COMMISSIONER REPP: I'll make a motion to
10 approve 10.3.

11 COMMISSIONER D'AQUILA: I'll second.

12 VICE CHAIR BROWN: All those in favor, say
13 aye.

14 (Mutual ayes.)

15 VICE CHAIR BROWN: Thank you. That passes.
16 Moving on to Executive Director update, Item
17 No. 11.

18 MR. TROMBETTA: Thank you. So I have a few
19 updates. I think we'll begin with probably the one
20 I'm most excited about. There has been included in
21 the meeting materials feedback from the graphic
22 designer that was engaged to provide a logo for the
23 Gaming Commission.

24 This captures the feedback that was provided
25 by the Commission at the last meeting. At this

1 point, I think I would take any additional feedback
2 you have, and I would also if there is a consensus,
3 if you are happy with this logo, I would ask that
4 you authorize me to execute on this and work with
5 the designers.

6 So what they provided are different variations
7 of how this logo can be used and how it would look.
8 I would prefer that you authorize me to go forward,
9 finalize this agreement, and finalize the logo.

10 They've already provided some variations. If
11 there is anything additional you would like, I
12 would like to go forward and move on, and we would
13 have our own logo and have some identity.

14 VICE CHAIR BROWN: We have spent a lot of time
15 on this. I know you have as well and so have the
16 graphic designer.

17 Commissioners, any thoughts? I appreciate the
18 designers coming up with the principals, the
19 guiding principals and like an explanation of the
20 graphics and the colors, all that. It was very
21 helpful. I like it, but there's a lot of variation
22 there.

23 So any comments?

24 COMMISSIONER DRAGO: Yes. I know we put a lot
25 of time into this, everybody has. And I know

1 Director Trombetta and the staff going through this
2 over and over again.

3 I'd like to see us approve this logo and give
4 the director the discretion to finalize the details
5 of the various forms it comes in, when we might use
6 the various forms, et cetera, because I think it
7 does -- I think it's a good logo, and I think it
8 could differ a little bit, depending on how we're
9 using it and what format.

10 So I would like to see us go ahead and move
11 forward with this and give Director Trombetta the
12 discretion to finalize it however he deems
13 necessary.

14 VICE CHAIR BROWN: That sounds like a motion.

15 COMMISSIONER DRAGO: That's a motion.

16 VICE CHAIR BROWN: Is there a second?

17 COMMISSIONER D'AQUILA: I will second the
18 motion.

19 VICE CHAIR BROWN: Any additional questions?
20 All right. All those in favor, say aye.

21 (Mutual ayes.)

22 VICE CHAIR BROWN: Thank you.

23 MR. TROMBETTA: Thank you. Next on my list,
24 just updates on rule making. So today we didn't
25 have to use it because I think everybody, the

1 presenters have been very respectful of time. Our
2 public comment rule is now in effect.

3 So it's the first rule that the Commission has
4 adopted on its own. Speakers are essentially
5 limited three minutes, unless they are asked to go
6 beyond. So you all are authorized to go beyond
7 that, but it's a big step in the right direction.

8 Secondly, we had our workshop on cashless
9 wagering in South Florida on the 21st of September.
10 The record has been left open until October 10th
11 for people to submit public comment. Again, just
12 following up on the presentation that was provided
13 at the last meeting by Ms. Stinson from here, my
14 team will gather -- we'll have a transcript, and we
15 will be hopefully getting submittals based on
16 feedback at the meeting.

17 We asked the attendees to provide both like a
18 policy, where do you want to go with cashless?
19 What do you want? And then two, legally how do we
20 make this work?

21 We're going to go through it, and then we will
22 have a decision point on whether or not we have
23 another workshop or if we start working on language
24 so that we can advance that rule. It was a good
25 workshop. There's good turnout, and we got a lot

1 of feedback immediately.

2 But again, the record is open upon till the
3 10th, and we right now are just kind of waiting for
4 that to close.

5 VICE CHAIR BROWN: Commissioners, any
6 questions? How many written comments have we
7 received yet on that rule?

8 MR. TROMBETTA: To my knowledge, I just
9 haven't asked. I'm just waiting for October 10th.
10 I don't know. Let me ask Ms. Stinson if she has
11 any knowledge, other than me?

12 MS. STINSON: Good morning. I'm not aware of
13 any written comments at this time.

14 VICE CHAIR BROWN: Thank you. But there were
15 a lot of speakers and a lot of interest?

16 MS. STINSON: There were a lot of speakers and
17 a lot of interest. I also have a feeling that
18 people are going to be very thoughtful about this
19 and submit written comments that reflect that.

20 VICE CHAIR BROWN: Wonderful. Thank you.

21 MR. TROMBETTA: On October 1st, \$2.714 million
22 was distributed to local counties and
23 municipalities in according with Section
24 849.286(13)(h).

25 So this is money that gets distributed based

1 on cardroom revenue to local cities and
2 municipalities. So just kind of an update on
3 there, it doesn't necessarily have to get approved.
4 The mechanism happens.

5 You essentially take here's how much revenue
6 received, take the percentage, and send it to the
7 local counties and municipalities. So just an
8 update that that happened.

9 And then finally, I think it's probably worth
10 discussing dates for the next few meetings. I know
11 several of you have requested getting through the
12 holidays, if we can try to plan our schedules for
13 when the next meetings would be.

14 So if we could possibly meet or talk about
15 meetings through February, I think that would
16 probably be most helpful.

17 VICE CHAIR BROWN: And our next meeting is
18 November 2nd. We did not come up with -- we did
19 come up with a December 7th date.

20 MR. TROMBETTA: And if I can add, there are
21 two things to consider with both of those dates.
22 There's a committee meeting. Sorry. Start with
23 November. Sorry. I'll start over here.

24 The week beginning November 6th is a committee
25 meeting, is a committee week. So I don't know if

1 you guys desire to be here during that. I'm just
2 putting it out there. Right now, you did agree on
3 November 2nd.

4 I'm not trying to change that. But it is just
5 being aware that that is a committee week. And on
6 November 2nd, the PSC room is not available. This
7 room is not available November 2nd as well.

8 VICE CHAIR BROWN: I know Commissioner Drago
9 is not available. That's the week of --

10 COMMISSIONER DRAGO: November 6th.

11 VICE CHAIR BROWN: Are there other rooms
12 available, other than the PSC room, for November
13 2nd?

14 MR. TROMBETTA: I think right now we have
15 reserved the cabinet room for that date. I don't
16 know availability earlier in the week, but there
17 might be something if you want to try like Tuesday
18 or Wednesday of that week. We might also have more
19 flexibility. Again, I know you guys have a date.
20 I'm just throwing it out there.

21 VICE CHAIR BROWN: I think we're okay with
22 November 2nd. I want to make sure Commissioner
23 Drago is here. And then December 7th.

24 MR. TROMBETTA: So for December 7th, there's
25 an issue in that there's a slot -- one of the slot

1 machine license facilities expires -- well, is good
2 through December 6th.

3 Slot applicants have to pay a \$2 million
4 application fee. I would suggest we move this
5 meeting a day ahead so that we can capture the slot
6 license renewal, and there won't be a period of
7 time where we have to figure something else out.

8 So that would be my recommendation would be to
9 meet on December 6th or the 5th so that we can make
10 a decision on that slot license application.

11 Again, the slot machine license applications are
12 renewed based on -- they don't follow like a
13 calendar or fiscal year.

14 Their annual licenses are based on the initial
15 application date, so they're kind of scattered
16 throughout the year. My staff does the best we can
17 to work with the applicants.

18 They are very good about working with us, but
19 I don't think, frankly, it's a good position for
20 the Commission to be asking for the submission of
21 that type of money a month ahead of time.

22 VICE CHAIR BROWN: Commissioners, do you have
23 any problems moving it to the 5th or the 6th?

24 COMMISSIONER DRAGO: No.

25 VICE CHAIR BROWN: Let's do it the 6th.

1 MR. TROMBETTA: Okay.

2 VICE CHAIR BROWN: And then January.

3 MR. TROMBETTA: The first Thursday in January
4 is January 4th. I don't know if we reserved that
5 day or not. Just throwing it out there, that is
6 the first Thursday.

7 VICE CHAIR BROWN: Is there any possibility of
8 moving it to the second week when session begins in
9 January, the 11th?

10 COMMISSIONER DRAGO: That's fine.

11 VICE CHAIR BROWN: Are there any problems
12 moving it to the 11th?

13 MR. TROMBETTA: Not that I'm aware of. I
14 think that makes sense.

15 VICE CHAIR BROWN: All right. And then
16 February?

17 MR. TROMBETTA: February the 1st. The first
18 Thursday in February is February the 1st.

19 VICE CHAIR BROWN: Is that a problem with you?

20 COMMISSIONER DRAGO: No. That's fine.

21 VICE CHAIR BROWN: Thank you. Any other
22 updates under Executive Director's report?

23 MR. TROMBETTA: No, ma'am. Thank you.

24 VICE CHAIR BROWN: Thank you. We are moving
25 on to public comment. Is there anybody from the

1 public that would like to speak before us today?

2 Hearing none, it's nice to see you all,

3 though, anyway.

4 Commissioners, any other matters to address

5 before we adjourn? All right. We are officially

6 adjourned. Thank you so much.

7 (Proceedings concluded at 10:48 a.m.)

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CERTIFICATE OF REPORTER

STATE OF FLORIDA
COUNTY OF MIAMI-DADE

I, I. IRIS COOPER, do hereby certify that I was authorized to and did stenographically report the foregoing telephonic proceeding, and that the transcript is a true and complete record of my stenographic notes.

Dated this 19th day of October, 2023.



I. Iris Cooper
Stenographic Reporter
Notary Public, State of Florida
My Commission No. 1366674
Expires: July 27, 2025

Job No.: 319736

2. Discussion of applications for change of ownership

MEMORANDUM

To: The Florida Gaming Control Commission
From: The Florida Gaming Control Commission Division of Pari-Mutuel Wagering
Re: 2023-059299 King's Court Key, LLC Application for Change of Ownership
Date: October 31, 2023

Executive Summary

A pari-mutuel facility has requested approval from the commission for a change in ownership.

Background

King's Court Key, LLC ("King's Court Key") possesses a valid jai alai fronton permit, permit number 284. King's Court Key has submitted to the Commission for approval a change of more than 5 percent of its ownership interests. The ownership interests are changing as set forth in *Exhibit 1*.

Analysis

Pursuant to section 550.054(12), Florida Statutes, changes in ownership or interest of a pari-mutuel permit of 5 percent or more of the stock or other evidence of ownership or equity shall be approved by the commission.

Section 550.1815(1), Florida Statutes, provides that a corporation may not hold any jai alai permit in this state if any one of the persons or entities specified in section 550.1815(1)(a), Florida Statutes, has been determined by the commission not to be of good moral character or has been convicted of any offense specified in section 550.1815(1)(b), Florida Statutes.

King's Court Key has submitted all of the information related to the change of ownership as set forth in *Exhibit 1* and has met all of the requirements set forth in these statutes.

Kings Court Key, LLC Before Changes



Kings Court Key, LLC After Changes





LAVIN
LAW GROUP

Andrew T. Lavin
Writer's Direct E-Mail:
alavin@lavinlawyers.com

2670 NE 215th Street
Miami, Florida 33180
Telephone (954) 967-2788
Facsimile (954) 983-7021

June 30, 2023

VIA: FEDERAL EXPRESS

Ross Marshman, Esq.
General Counsel
Florida Gaming Control Commission
4070 Esplanade Way Suite 250
Tallahassee, Florida 32399

Re: Hialeah Park Casino - South Florida Racing Association, LLC and King's Court Key, LLC

Dear Ross:

Enclosed are the filled out forms and application fees addressing the changes of ownership of South Florida Racing Association, LLC and King's Court Key, LLC.

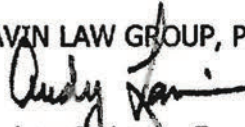
Please contact me if you have any questions regarding the enclosures, or this matter generally.

We look forward to receiving confirmation of the Commission's approval of the referenced changes of ownership.

Thank you.

Sincerely,

LAVIN LAW GROUP, P.A.


Andrew T. Lavin, Esq.

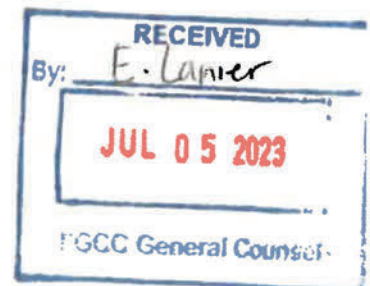
ATL/gr

Enclosure

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FLORIDA GAMING CONTROL COMMISSION
2023 JUL -5 AM 10:11

RECEIVED





**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization Kings Court Key, LLC	Permit # 284
D/B/A or Trade Name	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information.
Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Brunetti	First John	Middle Joseph	Title Mr.	Suffix Jr.
Office Held President & CEO	License # 27305	Percentage of Ownership 55% (Through the John J. Brunetti Jr. Revocable Trust)		
RESIDENCE ADDRESS				
Street Address or P.O. Box P.O. Box 158				
City Hialeah		State FL	Zip Code (+4 optional) 33011	
County (if Florida address) Miami-Dade		Country USA		

MANAGEMENT INFORMATION				
Last Name Brunetti	First Stephen	Middle Patrick	Title Mr.	Suffix
Office Held Senior Vice President	License # 431942	Percentage of Ownership 45% (Through the Stephen P. Brunetti Revocable Trust)		
RESIDENCE ADDRESS				
Street Address or P.O. Box P.O. Box 158				
City Miami-Dade		State FL	Zip Code (+4 optional) 33011	
County (if Florida address) Miami-Dade		Country USA		

██████████

██████████

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
John J. Brunetti, Jr.	Pres + CEO		6-7-2023
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of <u>Miami-Dade</u>			
Sworn to (or affirmed) and subscribed before me this <u>7</u> day of <u>June</u> , 20 <u>23</u> .			
<u>John J. Brunetti, Jr.</u> , who is personally known to me or produced the following as identification:			
Notary Public		My Commission Expires:	

Kings Court Key, LLC Before Changes

Kings Court Key, LLC	Beneficial Ownership	
Mr. John J. Brunetti Jr.	20%	
Mr. Stephen P. Brunetti	20%	
John J. Brunetti Sr. Revocable Trust	60%	

Kings Court Key, LLC After Changes

Kings Court Key, LLC	Beneficial Ownership	
	John J. Brunetti, Jr. Revocable Trust	55%
	Stephen P. Brunetti Revocable Trust	45%



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023059299 **Incident date:** 10/20/2023 **Status:** 10 - Initial Review

Lic Type: 1000 **Disposition:**

Case Type: Complaint

Responsible: estinson - STINSON, ELIZABETH

Complainant: KINGS COURT KEY, LLC
355 EAST PALM DRIVE, FLORIDA CITY, FL 33034

Respondent: FLORIDA GAMING CONTROL COMMISSION
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: King's Court Key, LLC submitted documentation for Ownership change to the Commission. King's Court Key, LLC currently does not hold an operating license for the current 2023/2024 fiscal year.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/20/2023	R		estinson	STINSON, ELIZABETH	ljelks	
	10/20/2023	S	1000	10	Initial Review	ljelks	

MEMORANDUM

To: The Florida Gaming Control Commission
From: The Florida Gaming Control Commission Division of Pari-Mutuel Wagering
Re: 2023-059307 South Florida Racing Association, LLC
Date: October 31, 2023

Executive Summary

A pari-mutuel facility has requested approval from the commission for a change in ownership.

Background

South Florida Racing Association, LLC, d/b/a Hialeah Park Racing & Casino (“Hialeah”) possesses a valid quarter horse permit, permit number 544. Hialeah has submitted to the Commission for approval a change of more than 5 percent of its ownership interests. Specifically, 100% of Hialeah’s ownership interests are held by Bay Bal, LLC. The ownership interests of Bay Bal, LLC are changing as set forth in *Exhibit 1*.

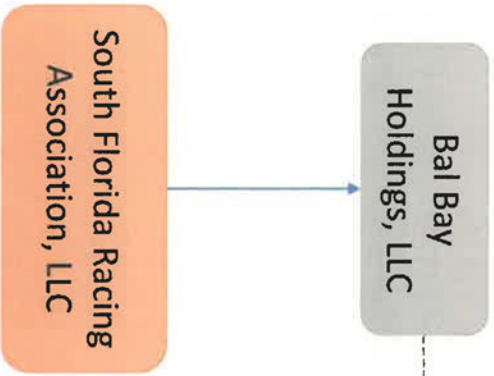
Analysis

Pursuant to section 550.054(12), Florida Statutes, changes in ownership or interest of a pari-mutuel permit of 5 percent or more of the stock or other evidence of ownership or equity shall be approved by the commission.

Section 550.1815(1), Florida Statutes, provides that a corporation may not hold any horseracing permit in this state if any one of the persons or entities specified in section 550.1815(1)(a), Florida Statutes, has been determined by the commission not to be of good moral character or has been convicted of any offense specified in section 550.1815(1)(b), Florida Statutes.

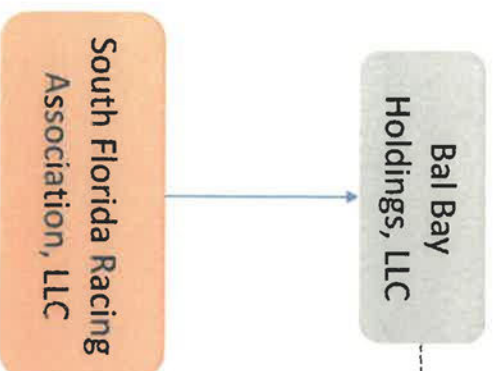
South Florida Racing Association has submitted all of the information related to the change of ownership as set forth in *Exhibit 1* and has met all of the requirements set forth in these statutes.

South Florida Racing Association, LLC Before Changes



Bal Bay Holdings, LLC – Beneficial Ownership	
Mr. John J. Brunetti Jr., President & CEO	20%
Mr. Stephen P. Brunetti, Senior Vice President	20%
Aldercrest of Florida, Inc.	30%
John J. Brunetti Sr. Revocable Trust	30%

South Florida Racing Association, LLC After Changes



Bal Bay Holdings, LLC – Beneficial Ownership	
John J. Brunetti, Jr. Revocable Trust	38.5%
Stephen P. Brunetti Revocable Trust	31.5%
Aldercrest of Florida, Inc.	30%

Trusts Before Changes

John J. Brunetti Sr.
Revocable Trust
(license 12595681)

Beneficial Ownership
John J. Brunetti Jr. – 55%
Stephen P. Brunetti – 45%
Richard J. Laiks – 0%

Trusts After Changes

John J. Brunetti, Jr.
Revocable Trust
(license 13653206)

Beneficial Ownership

John J. Brunetti Jr. – 100%
(license 27305)

Stephen P. Brunetti
Revocable Trust
(license 13653222)

Beneficial Ownership

Stephen P. Brunetti – 100%
(license 431942)

Aldercrest of Florida, Inc.

Aldercrest of Florida,
Inc.
(License 8818794)

Beneficial Ownership	
John J. Brunetti, Jr., President	46.764%
Stephen P. Brunetti, Vice President	45%
Brunetti 2021 Dynasty Trust – Beneficiary 1	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 2	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 3	2.7456%



LAVIN
LAW GROUP

Andrew T. Lavin
Writer's Direct E-Mail:
alavin@lavinlawyers.com

2670 NE 215th Street
Miami, Florida 33180
Telephone (954) 967-2788
Facsimile (954) 983-7021

June 30, 2023

VIA: FEDERAL EXPRESS

Ross Marshman, Esq.
General Counsel
Florida Gaming Control Commission
4070 Esplanade Way Suite 250
Tallahassee, Florida 32399

Re: Hialeah Park Casino - South Florida Racing Association, LLC and King's Court
Key, LLC

Dear Ross:

Enclosed are the filled out forms and application fees addressing the changes of ownership of South Florida Racing Association, LLC and King's Court Key, LLC.

Please contact me if you have any questions regarding the enclosures, or this matter generally.

We look forward to receiving confirmation of the Commission's approval of the referenced changes of ownership.

Thank you.

Sincerely,

LAVIN LAW GROUP, P.A.


Andrew T. Lavin, Esq.

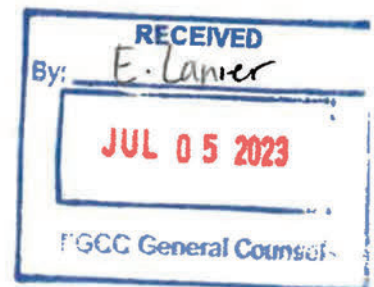
ATL/gr

Enclosure

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FLORIDA GAMING
CONTROL COMMISSION
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Bal Bay Holdings

Ownership:

- (1) Aldercrest of Florida, Inc. 30%
- (2) John J. Brunetti, Jr., as Trustee of the John J. Brunetti, Jr. Revocable Trust 38.5%
- (3) Stephen P. Brunetti, as Trustee of the Stephen P. Brunetti Revocable Trust 31.5%

**South Florida Racing
Association**

Ownership:

Bal Bay Holdings 100%

DBPR PMW-3060 – Permitholder Application for License and Operating Dates



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING**

www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3080 – Permitholder Calendar and Form DBPR PMW-3190 – Officers and Directors.

Check the box that designates the purpose of this form filing:

- Application for Annual License and Operating Dates
 Application for Amendment to Annual License and Operating Dates

PERMITHOLDER INFORMATION

Permitholder Name South Florida Racing Association, LLC	Permit # 544	FEID# or SSN * [REDACTED]
Doing Business As (D/B/A) Hialeah Park Racing & Casino		

MAILING ADDRESS

Street Address or P.O. Box P.O. Box 158		
City Hialeah	State FL	Zip Code (+4 optional) 33011
County (if Florida address) Miami-Dade	Country USA	

CONTACT INFORMATION

Contact Name John J. Brunetti, Jr.	Title President & CEO
Primary Phone Number 305-885-8000	Fax Number 305-887-8006
Primary E-Mail Address larmely@hialeahpark.com	Cell Phone Number N/A

PHYSICAL LOCATION OF PARI-MUTUEL FACILITY

Street Address 2200 East 4th Avenue		
City Hialeah	State FL	Zip Code (+4 optional) 33013

If there is a lease agreement to operate live performances at another pari-mutuel facility, the applicant shall attach a copy of the lease agreement containing the following information:

- (1) The name of the applicant and the lessor;
- (2) The address of the applicant and the lessor;
- (3) The type of permit held by both the applicant and the lessor;
- (4) The exact location where the applicant is currently permitted to conduct pari-mutuel performances;
- (5) The exact location where the lessor is currently permitted to conduct pari-mutuel performances; and
- (6) The exact location where the applicant intends to conduct pari-mutuel performances pursuant to the lease agreement.

*Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

ADDITIONAL INFORMATION

Since the submission of your last application, has a permit recall/cancellation election been held in your county?
Yes No If no, please attach a certificate from the Clerk of the Circuit Court or other authorized County Official certifying that the permit has not been recalled.

Has there been any change in ownership interest, officers, partners, or directors; or a change in ownership or location of the pari-mutuel facility? If changed, state fully. If none, state "No change." Use additional pages, if necessary.

The ultimate beneficiaries are the same, however the percentages are as follows: John J. Brunetti Jr. 38.5%, Stephen P. Brunetti 31.5%, Aldercrest of Florida Inc. 30%. Please see attached for further details.

Is the applicant incorporated? Yes No If yes, under the laws of which state? **Florida**

Please list all officers and directors of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please document persons who are the bona fide and beneficial owners of the entire stock of the applicant using Form DBPR PMW-3190 – Officers and Directors. If corporation, list name of corporation and stockholders; if partnership, list partners.

Please list the stockholders of record of the applicant using Form DBPR PMW-3190 – Officers and Directors.

Please list the stockholders of the applicant who are subject to a voting trust or have been pledged to a trustee or party other than the beneficial owner using Form DBPR PMW-3190 – Officers and Directors.

Have any persons listed on Form DBPR PMW-3190 – Officers and Directors ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges (other than minor traffic violations) in any state or county? Yes No
If yes, list the individual(s) name, license number and title:

OPERATING SEASON INFORMATION

The applicant desires to conduct a racing/jai alai meet for the 20 23 - 20 24 season during the following period(s). Please follow instructions on calendars attached to permit application to mark days, dates, and types of performances.

Opening Date(s):
July 1, 2023

Closing Date(s):
June 30, 2024

Number of Dark Days:
365

Number of Live Days:
0

Performances

Number of Evening Performances 0
Number of Matinee Performances 0
Number of Charity/Scholarship Performances 0
Total Number of Performances 0

Number of races/games during evening performances:

Number of races/games during matinee performances:

Starting time: N/A

Starting time: N/A

For greyhound tracks only:

Do you intend to hold an additional charity day for the greyhound adoption program? Yes No

If yes, please indicate the date when the "Greyhound Adopt-a-Pet Day" will be held: _____

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

John J. Brunetti, Jr. President & CEO

Name (Please Print)

Title (Please Print)

Signature [Signature]

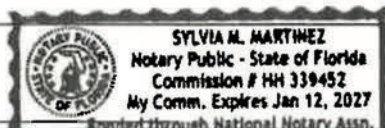
Date 6-7-2023

State of Florida,
County of Miami-Dade

Sworn to (or affirmed) and subscribed before me this 7 day of June, 20 23.

John J. Brunetti, Jr., who is personally known to me or produced the following as identification:

[Signature]
Notary Public
My Commission Expires: _____





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization South Florida Racing Association, LLC	Permit # 544
D/B/A or Trade Name Hialeah Park Racing & Casino	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information.
Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

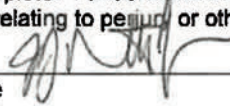
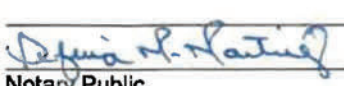
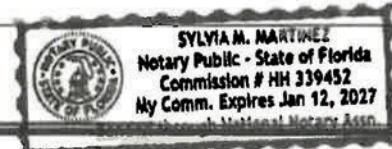
MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Bal Bay Holdings				
Office Held	License #	Percentage of Ownership 100%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 2200 E. 4th Ave.				
City Hialeah			State FL	Zip Code (+4 optional) 33010
County (if Florida address) Miami-Dade		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City	State	Zip Code (+4 optional)		
County (if Florida address)	Country			

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
John J. Brunetti, Jr.	President & CEO		6-7-2023
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of <u>Miami-Dade</u>			
Sworn to (or affirmed) and subscribed before me this <u>7</u> day of <u>June</u> , 20 <u>23</u> .			
<u>John J. Brunetti, Jr.</u> , who is <u>personally known</u> to me or produced the following as identification:			
			
Notary Public		My Commission Expires:	

DBPR PMW-3130 – Business Occupational License Application



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com**

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

All Business Applicants Must Submit:

- Completed Form DBPR PMW-3130 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel Business License – \$120.00; OR
 - Cardroom Business License – \$ 500.00.

Additional Requirements For Related Individuals:

- Pari-Mutuel Businesses – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must:
 - Hold a valid Florida Pari-Mutuel Professional Occupational License; OR
 - Apply for licensure on Form DBPR PMW-3120.
- Cardroom Businesses Only – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must fulfill ONE of the requirements below:
 - Individuals Above Requiring Access to a Florida Cardroom must hold a valid Florida Cardroom Employee Occupational License or apply for licensure on Form DBPR PMW-3120; OR
 - Individuals Above NOT Requiring Access to a Florida Cardroom must submit a completed Disclosure Form DBPR PMW-3140, a complete set of fingerprints and any applicable fingerprint fee.

Totalisator Companies Must Submit:

- Proof of a performance bond in the sum of \$250,000 issued by a surety or proof of insurance against financial loss in the amount of \$250,000, insuring the state against such a revenue loss.

Please mail your completed application, documentation and required fee(s) to:
**Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395**



BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Has the business ever been convicted of a felony? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

Yes No Has the business or any owner, officer, director or manager of the business ever had a racing or gaming license suspended, revoked, or denied in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

Yes No Has the business or any owner, officer, director or manager of the business ever voluntarily relinquished a racing or gaming license in lieu of prosecution? If yes, you must list the details in the section provided below.

Yes No Is there any pending enforcement or disciplinary action against the business or any owner, officer, director or manager of the business in this or any other racing or gaming jurisdiction? If yes, you must list the details in the section provided below.

If yes was answered to any of the above questions, provide details here:

TO BE COMPLETED BY CORPORATIONS

List State Where Incorporated:

Yes No Is the corporation registered with the Florida Department of State?

Yes No Is the corporation a subsidiary of another corporation conducting business in Florida? If yes, provide the name of the parent corporation:

*You must attach copies of the parent company's organizational and ownership charts to this application

TO BE COMPLETED BY STABLE, KENNEL AND ANYONE WHO OWNS RACING ANIMALS

Yes No Does the business own or lease animals intended for racing in Florida?

If you answered yes to the question above, what type of racing animal does the business own?

Greyhounds Thoroughbreds Standardbreds Quarter Horses

Stable Name, Kennel Name, or Business Name

Trainer Name

TO BE COMPLETED BY VENDORS/DISTRIBUTORS

What type of product(s) does your company manufacture, distribute, and/or sell?

TO BE COMPLETED BY TOTALISATOR COMPANIES

Yes No Pursuant to Section 550.495(2)(c), Florida Statutes, has the company obtained a performance bond in the sum of \$250,000 issued by a surety approved by the division or insurance, acceptable to the division, against financial loss in the amount of \$250,000, insuring the state against such a revenue loss?

Please provide the address of your hub servicing Florida:

In compliance with Section 550.495(2)(b), Florida Statutes, by signing below, each totalisator company agrees to pay the Division of Pari-Mutuel Wagering an amount equal to the loss of any state revenues from missed or cancelled races, games or performances due to acts of the totalisator owner or operator or its agents or employees or failures of the totalisator system, except for circumstances beyond control of the totalisator company or agent or employee, as determined by the Division:

Signature of Applicant, Owner, or Chief Executive

Title





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

All Business Applicants Must Submit:

- Completed Form DBPR PMW-3130 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel Business License – \$120.00; OR
 - Cardroom Business License – \$ 500.00.

Additional Requirements For Related Individuals:

- Pari-Mutuel Businesses – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must:
 - Hold a valid Florida Pari-Mutuel Professional Occupational License; OR
 - Apply for licensure on Form DBPR PMW-3120.
- Cardroom Businesses Only – Officers, Directors, Shareholders of 10 percent or more, and Managers of the business applying for licensure must fulfill ONE of the requirements below:
 - Individuals Above Requiring Access to a Florida Cardroom must hold a valid Florida Cardroom Employee Occupational License or apply for licensure on Form DBPR PMW-3120; OR
 - Individuals Above NOT Requiring Access to a Florida Cardroom must submit a completed Disclosure Form DBPR PMW-3140, a complete set of fingerprints and any applicable fingerprint fee.

Totalisator Companies Must Submit:

- Proof of a performance bond in the sum of \$250,000 issued by a surety or proof of insurance against financial loss in the amount of \$250,000, insuring the state against such a revenue loss.

Please mail your completed application, documentation and required fee(s) to:
**Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395**

**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3130 – Business Occupational License Application**

Instructions: Please read all sections thoroughly and complete every section that pertains to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Name of Business John J. Brunetti, Jr. Revocable Trust		Federal Employer ID Number	
Doing Business As (D/B/A) Name (if applicable)		Social Security Number (for sole proprietors) [REDACTED]	
Business Entity Description: <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> LLC <input type="checkbox"/> Other <input type="checkbox"/> Partnership <input type="checkbox"/> Estate <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> Trust		Has this business ever held a Pari-Mutuel Business License in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Type of Business Occupational License Applying For: <input checked="" type="checkbox"/> Pari-Mutuel Business <input type="checkbox"/> Cardroom Business		Pari-Mutuel Facilities With Whom You Do Business: South Florida Racing Association	
The Business Entity is a (check all that apply):			
Pari-Mutuel: <input type="checkbox"/> Business Animal Owner <input type="checkbox"/> Pari-Mutuel Vendor <input type="checkbox"/> Kennel <input type="checkbox"/> Tote Company <input type="checkbox"/> Stable <input type="checkbox"/> Contractual Concessionaire		Cardroom Business: <input type="checkbox"/> Cardroom Vendor/Distributor <input type="checkbox"/> Cardroom Management Company	
Current Mailing Address P.O. Box 158		Email Address (optional) smartinez@hialeahpark.com	
City Hialeah	State FL	Zip Code (+4 optional) 33011	Country, if other than USA
Primary Phone Number 305-885-8000		Secondary/Cell Phone Number (optional)	
Current Street Address 2200 East 4th Avenue			
City Hialeah	State FL	Zip Code (+4 optional) 33013	Country, if other than USA
Contact Person Name and Title John J. Brunetti, Jr. - Trustee			
Contact Person Primary Phone Number 305-885-8000	Contact Person Fax Number 305-887-8006	Contact Person Primary Email Address smartinez@hialeahpark.com	
LIST THE FOLLOWING: 1) ALL OFFICERS, DIRECTORS AND MANAGERS 2) ALL EQUITABLE OWNERS AND SHAREHOLDERS (MUST TOTAL 100%) *Attach organizational and ownership charts for any business entity listed in response to 1 or 2 above and attach additional pages as necessary.			
NAME	TITLE	% OF OWNERSHIP IF ANY	
John J. Brunetti, Jr.	Trustee	100%	
FOR DIVISION USE ONLY			
License Code _____	License # _____	File # _____	App # _____ License Year _____
Association Code _____	Date Received _____	Entered By _____	License Fee _____
<input type="checkbox"/> ARCI	<input type="checkbox"/> Waiver Requested	<input type="checkbox"/> O/D/S ARCI	<input type="checkbox"/> Enforcement

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Has the business ever been convicted of a felony? If yes, the court disposition records for all convictions listed must be submitted with this application and you must list the details in the section provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

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Stable Name, Kennel Name, or Business Name

Trainer Name

TO BE COMPLETED BY VENDORS/DISTRIBUTORS

What type of product(s) does your company manufacture, distribute, and/or sell?

TO BE COMPLETED BY TOTALISATOR COMPANIES

Yes No Pursuant to Section 550.495(2)(c), Florida Statutes, has the company obtained a performance bond in the sum of \$250,000 issued by a surety approved by the division or insurance, acceptable to the division, against financial loss in the amount of \$250,000, insuring the state against such a revenue loss?

Please provide the address of your hub servicing Florida:

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Signature of Applicant, Owner, or Chief Executive

Title





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

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Pari-Mutuel Wagering; Licensing Section
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Phone: 850.487.1395**



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Signature of Applicant, Owner, or Chief Executive

Title

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Signature of Applicant, Owner, or Chief Executive

Date (MM/DD/YYYY)

Stephen P. Brunetti

Trustee

Print Applicant, Owner, or Chief Executive Name

Print Title

Stephen P. Brunetti Revocable Trust

Federal Employer ID Number; or
Social Security Number (Sole Proprietors Only)

Print Name of Company

[REDACTED]

[REDACTED]

License		
Fed Tax # [REDACTED]	Lic Type 1020 - Pari-Mutuel Business Occupational	Expires On 06/30/2026
File # 13293	Name BAL BAY HOLDINGS LLC	Extended To
License # 13653253	Rank PBUS - Pari-Mutuel Business Occupational	Renewed On
Entity # 13653253	Lic Status Current	

Address		
Street #	Street POST OFFICE BOX 158	
Line 2		
Line 3		
City HIALEAH	State FL	Zip 33011
	Routing	

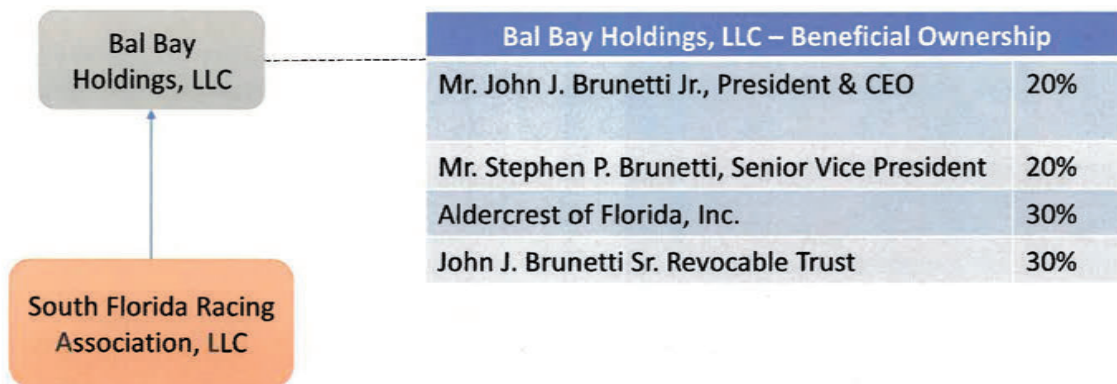
Other		
1st License Date 07/06/2023	Rank Date 07/06/2023	Certificate #
Method I-S-1020	Status Date 07/06/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 142	Name ALDERCREST OF FLORIDA INC.	Extended To
License # 8818794	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/13/2013
Entity # 8818794	Lic Status Current	

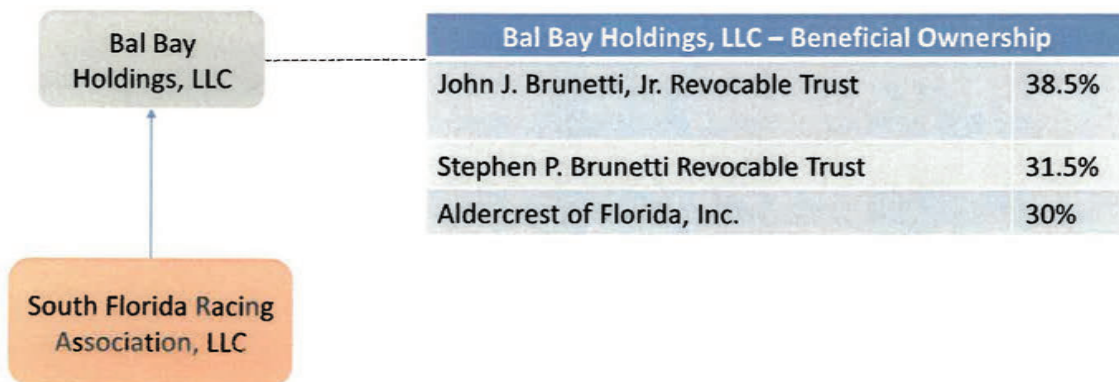
Address		
Street #	Street PO BOX 158	
Line 2		
Line 3		
City HIALEAH	State FL	Zip 33011
	Routing	

Other		
1st License Date 11/17/2022	Rank Date 11/17/2022	Certificate #
Method I-S-1020	Status Date 11/17/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

South Florida Racing Association, LLC Before Changes



South Florida Racing Association, LLC After Changes



Trusts Before Changes

John J. Brunetti Sr.
Revocable Trust
(License 12595681)

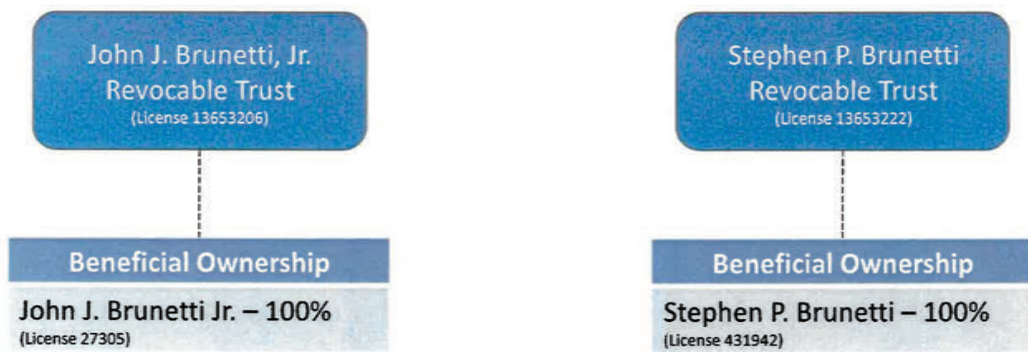
Beneficial Ownership

John J. Brunetti Jr. – 55%

Stephen P. Brunetti – 45%

Richard J. Laiks – 0%

Trusts After Changes



Aldercrest of Florida, Inc.

Aldercrest of Florida,
Inc.
(License 8818794)

Beneficial Ownership	
John J. Brunetti, Jr., President	46.764%
Stephen P. Brunetti, Vice President	45%
Brunetti 2021 Dynasty Trust – Beneficiary 1	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 2	2.7456%
Brunetti 2021 Dynasty Trust – Beneficiary 3	2.7456%



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023059307 **Incident date:** 10/20/2023 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: estinson - STINSON, ELIZABETH

Complainant: SOUTH FLORIDA RACING ASSOCIATION, LLC
100 EAST 32ND STREET, HIALEAH, FL 33013

Respondent: FGCC, PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: South Florida Racing Association, LLC Ownership Change.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/20/2023	R		estinson	STINSON, ELIZABETH	ljelks	
	10/20/2023	S	1001	10	Initial Review	ljelks	

MEMORANDUM

To: The Florida Gaming Control Commission
From: The Florida Gaming Control Commission Division of Pari-Mutuel Wagering
Re: 2023-059487 Hamilton Downs Horsetrack, LLC
Date: October 31, 2023

Executive Summary

A pari-mutuel facility has requested approval from the commission for a change in ownership.

Background

Hamilton Downs Horsetrack, LLC (“Hamilton Downs”) possesses a valid quarter horse permit, permit number 547. Hamilton Downs has submitted to the Commission for approval a change of more than 5 percent of its ownership interests. The ownership interests are changing as set forth in *Exhibit 1*.

Analysis

Pursuant to section 550.054(12), Florida Statutes, changes in ownership or interest of a pari-mutuel permit of 5 percent or more of the stock or other evidence of ownership or equity shall be approved by the commission.

Section 550.1815(1), Florida Statutes, provides that a corporation may not hold any horseracing permit in this state if any one of the persons or entities specified in section 550.1815(1)(a), Florida Statutes, has been determined by the commission not to be of good moral character or has been convicted of any offense specified in section 550.1815(1)(b), Florida Statutes.

Hamilton Downs has submitted all of the information related to the change of ownership as set forth in *Exhibit 1* and has met all of the requirements set forth in these statutes.

Castillo	2.00%
Sodl	5.00%
Bogdanoff	2.50%
Champion	2.50%
Suter	2.50%
McCoy	2.50%
McCoy, R.	2.50%
Richards, B.	16.00%
Richards, R.	32.50%
Richards, J.	32.00%

Total 100.00%

Ownership of Hamilton Downs, LLC before the requested change of ownership.

Ownership of Hamilton Downs, LLC after the requested change of ownership

Member Name and Address	Membership Interest
Robert Glenn Richards 1181 Osprey Nest Point Orange Park, Florida 32073 E-mail: glenn7444@icloud.com	15%
Jodie Richards 1181 Osprey Nest Point Orange Park, Florida 32073	15%
Brandon Glen Richards 1181 Osprey Nest Point Orange Park, Florida 32073 Email: brandonglenrichards@gmail.com	11%
Andrew M. Sodl 1617 San Marco Blvd. Jacksonville, Florida 32207 Email: andrew.sodl@si-law.com	14%
Zaher Kassab	2%
George Safar	1%
Sam Rami Kassab	2%
George B. Safar	1%
Antoun Fadel Barakat	1%
<u>Azzat Kassab</u>	0.5%
Sohel Dahi	3%
Milad Dabbas	1%
Janice Dabbas	1%
Nidal Alqabalan	2%
Hedar Khlaf	2%
Milad Machhour Nakhel	1%
Majd Wadie Alnaser	2%
Maykel Safar	2%
Hani Safar	2%
Loai M. Safar	2%
Ryan McQuade Strickland	4%
Sam Sattah	0.5%
Salim Kassab	3%

Julian Christopher Kassab	3%
George Salim Kassab	3%
Candice Kassab	3%
Rola Kassab	3%
TOTAL:	100%

La'Kesha Jelks

From: Brandon Richards <brandonglennrichards@gmail.com>
Sent: Friday, June 9, 2023 9:41 PM
To: La'Kesha Jelks
Subject: HD Change of Ownership
Attachments: Hamilton Downs Horsetrack, LLC - Member Ownership List.docx

Good evening, La' Kesha,

Hamilton Downs has recently closed on a stock sale to individuals. We would like to notify the department of the change of ownership attached below.

Please let me know if there are any additional forms that I need to fill out.

Thank you,

Brandon Richards

Member Name and Address	Membership Interest
Robert Glenn Richards 1181 Osprey Nest Point Orange Park, Florida 32073 E-mail: glenn7444@icloud.com	15%
Jodie Richards 1181 Osprey Nest Point Orange Park, Florida 32073	15%
Brandon Glen Richards 1181 Osprey Nest Point Orange Park, Florida 32073 Email: brandonglenrichards@gmail.com	11%
Andrew M. Sodl 1617 San Marco Blvd. Jacksonville, Florida 32207 Email: andrew.sodl@si-law.com	14%
Zaher Kassab	2%
George Safar	1%
Sam Rami Kassab	2%
George B. Safar	1%
Antoun Fadel Barakat	1%
<u>Azzat Kassab</u>	0.5%
Sohel Dahi	3%
Milad Dabbas	1%
Janice Dabbas	1%
Nidal Alqabalan	2%
Hedar Khlaf	2%
Milad Machhour Nakhel	1%
Majd Wadie Alnasr	2%
Maykel Safar	2%
Hani Safar	2%
Loai M. Safar	2%
Ryan McQuade Strickland	4%
Sam Sattah	0.5%
Salim Kassab	3%

Julian Christopher Kassab	3%
George Salim Kassab	3%
Candice Kassab	3%
Rola Kassab	3%
TOTAL:	100%



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
 2023 JAN -4 PM 2:57
 FLORIDA GAMING
 CONTROL COMMISSION

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization	HAMILTON DOWNS HORSE TRACK, LLC
D/B/A or Trade Name	HAMILTON DOWNS
Permit #	547

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information.
Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
CASTILLO	CARLOS			
Office Held	License #	Percentage of Ownership		
MEMBER	N/A	2.0%		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
2926 GRANDE OAKS WAY				
City		State	Zip Code (+4 optional)	
FLEMING ISLAND		FL	32003	
County (if Florida address)		Country		
CLAY		USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

MANAGEMENT INFORMATION									
Last Name	SODL	First	ANDREW	Middle	M	Title	OWNER	Suffix	
Office Held	MEMBER	License #	13376022	Percentage of Ownership	50%				
RESIDENCE ADDRESS									
Street Address or P.O. Box									
1849 MALLORY ST									
City	JACKSONVILLE	State	FL	Zip Code (+4 optional)	32205				
County (if Florida address)	DUVAL	Country	USA						

MANAGEMENT INFORMATION									
Last Name	BOGDANOFF	First	ELIYN	Middle		Title		Suffix	
Office Held	MEMBER	License #	N/A	Percentage of Ownership	2.5				
RESIDENCE ADDRESS									
Street Address or P.O. Box									
908 SOUTH ANDREWS AVE									
City	PORT LAUDERDALE	State	FL	Zip Code (+4 optional)	33316				
County (if Florida address)	BROWARD	Country	USA						

MANAGEMENT INFORMATION									
Last Name	CHAMPION	First	MELTON	Middle		Title		Suffix	
Office Held	MEMBER	License #	N/A	Percentage of Ownership	2.5%				
RESIDENCE ADDRESS									
Street Address or P.O. Box									
7870 COUNTY CHASE AVE									
City	LAKELAND	State	FL	Zip Code (+4 optional)	33810				
County (if Florida address)	POLK	Country	USA						

RECEIVED
 2023 JAN -4 PM 2:57
 FLORIDA GAMING
 CONTROL COMMISSION

License

Fed Tax # [REDACTED]	Lic Type	1021 - PMW Professional Individual Occupational	Expires On	06/30/2025
File # 72297	Name	SODL, ANDREW MATTHEW	Extended To	
License # 13376022	Rank	PIND - Professional Individual Occupational	Renewed On	
Entity # 13376022	Lic Status	Current		

Address

Street # 1849	Street	MALLORY STREET		
Line 2				
Line 3				
City JACKSONVILLE	State	FL	Zip	32205
	Routing			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Suter	Max			
Office Held	License #	Percentage of Ownership		
member	na	2.5%		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
842 S edgewood ave ste 220				
City	State	Zip Code (+4 optional)		
Jacksonville	FL	32205		
County (if Florida address)	Country			
Clay duval	usa			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
McCoy	Sammy			
Office Held	License #	Percentage of Ownership		
n	9799164	2.5%		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
P.O. Box 684				
City	State	Zip Code (+4 optional)		
Jasper	FL	32052		
County (if Florida address)	Country			
Hamilton	usa			

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
McCoy	Richard			
Office Held	License #	Percentage of Ownership		
	9799158	2.5%		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
P.O. Box 684				
City	State	Zip Code (+4 optional)		
Jasper	FL	32052		
County (if Florida address)	Country			
Hamilton	usa			

RECEIVED
 2023 JAN -4 PM 2:57
 FLORIDA GAMING
 CONTROL COMMISSION

MANAGEMENT INFORMATION									
Last Name	Richard	First	Blair	Middle	Glen	Title	owner	Suffix	
Office Held	member	License #		Percentage of Ownership			16%		
RESIDENCE ADDRESS									
Street Address or P.O. Box									
1181 Osprey Nest Pt.									
City	Orange Park	State	FL	Zip Code (+4 optional)			32073		
County (if Florida address)	Clay	Country	USA						

MANAGEMENT INFORMATION									
Last Name	RICHARDS	First	ROBERT	Middle	Glen	Title	MM	Suffix	
Office Held	MANAGEMENT Member	License #	6716594	Percentage of Ownership			32.5%		
RESIDENCE ADDRESS									
Street Address or P.O. Box									
1181 OSPREY NEST PT									
City	ORANGE PARK	State	FL	Zip Code (+4 optional)			32073		
County (if Florida address)	CLAY	Country	USA						

MANAGEMENT INFORMATION									
Last Name	RICHARDS	First	JODIE	Middle	W	Title	owner	Suffix	
Office Held	Member	License #	6721314	Percentage of Ownership			32%		
RESIDENCE ADDRESS									
Street Address or P.O. Box									
1181 OSPREY NEST PT									
City	ORANGE PARK	State	FL	Zip Code (+4 optional)			32073		
County (if Florida address)	CLAY	Country	USA						

OATH

I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.

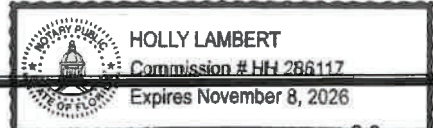
ROBERT G. RICHARDS MM [Signature] 12/27/2022
 Name (Please Print) Title (Please Print) Signature Date

State of Florida,
 County of Clay
 Sworn to (or affirmed) and subscribed before me this 27th day of December, 2022.

Robert + Richards, who is personally known to me or produced the following as identification:

FLDL
 [Signature]

Notary Public
 My Commission Expires: NOV 8, 2026



License

Fed Tax # [REDACTED]	Lic Type	1012 - Cardroom Employee Occupational	Expires On	06/30/2024
File # 8714	Name	RICHARDS, BRANDON GLENN	Extended To	
License # 8530487	Rank	CEMP - Cardroom Employee Occupational	Renewed On	
Entity # 8530487	Lic Status	Current		

Address

Street # 1181	Street	OSPREY NEST POINT		
Line 2				
Line 3				
City ORANGE PARK	State	FL	Zip	32073
	Routing			

License

Fed Tax # [REDACTED]	Lic Type	1012 - Cardroom Employee Occupational	Expires On	06/30/2024
File # 13311	Name	RICHARDS, ROBERT GLENN	Extended To	
License # 6716594	Rank	CEMP - Cardroom Employee Occupational	Renewed On	
Entity # 6716594	Lic Status	Current		

Address

Street # 1181	Street	OSPREY NEST PT		
Line 2				
Line 3				
City ORANGE PARK	State	FL	Zip	32073
	Routing			

License

Fed Tax # [REDACTED]	Lic Type	1012 - Cardroom Employee Occupational	Expires On	06/30/2024
File # 13313	Name	RICHARDS, JODIE WILCOX	Extended To	
License # 6721314	Rank	CEMP - Cardroom Employee Occupational	Renewed On	
Entity # 6721314	Lic Status	Current		

Address

Street # 1181	Street	OSPREY NEST PT		
Line 2				
Line 3				
City ORANGE PARK	State	FL	Zip	32073
	Routing			

Castillo	2.00%
Sodl	5.00%
Bogdanoff	2.50%
Champion	2.50%
Suter	2.50%
McCoy	2.50%
McCoy, R.	2.50%
Richards, B.	16.00%
Richards, R.	32.50%
Richards, J.	32.00%

Total	100.00%
-------	---------



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023059487 **Incident date:** 10/23/2023 **Status:** 10 - Initial Review

Lic Type: 1001 **Disposition:**

Case Type: Complaint

Responsible: estinson - STINSON, ELIZABETH

Complainant: HAMILTON DOWNS HORSETRACK, LLC
1181 OSPREY POINT, ORANGE PARK, FL 32073

Respondent: FGCC, PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: Hamilton Downs Horsetrack, LLC d/b/a Hamilton Downs has submitted changes to their ownership structure.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/23/2023	R		estinson	STINSON, ELIZABETH	ljelks	
	10/23/2023	S	1001	10	Initial Review	ljelks	

3. Discussion of amended application for cardroom machine license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's
Cardroom (GHND140)
Requests to Add Additional Card Tables
Case#: 2023-056141
Date: October 5, 2023

Executive Summary

A cardroom operator is seeking permission to add additional card tables to its cardroom. The Commission should approve this request.

Background

TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Cardroom ("TGT Poker & Racebook") possesses a greyhound permit. TGT Poker & Racebook was issued a pari-mutuel operating license and a cardroom license for the 2023-2024 Fiscal Year. TGT Poker & Racebook is currently licensed to operate 17 card tables and is requesting permission to add 2 more to its cardroom. TGT Poker & Racebook has paid the \$2,000 license fee associated with the additional tables.¹

Analysis

Aside from the \$1,000-per-card-table license fee, there is no other applicable restriction² to the number of card tables that TGT Poker & Racebook can add to its cardroom. Since TGT Poker & Racebook has paid the additional \$2,000 license fee, TGT Poker & Racebook should be authorized to add additional card tables to its cardroom.

Recommendation: The Florida Gaming Control Commission should approve TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Cardroom (GHND140)'s request to add additional card tables to its cardroom.

¹ The annual cardroom license fee costs \$1,000 for each table in the cardroom. § 849.086(5)(e), Fla. Stat.

² There is no indication that permitholder is seeking to change the number of card tables for the play of poker games in a designated player method. See §§ 849.086(7)(h)2. & 4., Fla. Stat. (restricting the number of tables for the play of poker games in a designated player manner).

PERMITHOLDER LICENSE CHANGE REQUEST CHECKLIST

PERMITHOLDER: TBD Entertainment, LLC (GHND140)

FISCAL YEAR: 2023/2024

DATE RECEIVED: September 22, 2023

CASE NUMBER: 2023-056141

DATE PROCESSED: October 5, 2023

REVIEWER'S NAME: La'Kesha Jelks

CHANGE REQUESTED: Adding two tables for a total of nineteen.

Requirement	Met? Y/N	Deficiency Sent	Resolved
Original application form DBPR PMW 3160.	Y		
Payment for additional tables	Y		

CONTACT FROM OTHER FACILITIES WITHIN FIFTY (50) MILES:	CONTACTED?			OBJECTED?		
	NO	YES	DATE	NO	YES	DATE
NONE						

PMW / CARDROOM LICENSE FORWARDED TO THE FOLLOWING FOR FINAL REVIEW:

REVENUE SECTION	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JK</u>	DATE: <u>10.10.23</u>
PERMIT ADMIN	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JP</u>	DATE: <u>10-9-23</u>
OGC	<input checked="" type="checkbox"/>	REVIEWED	INITIALS: <u>JD</u>	DATE: <u>10-9-23</u>

Notes/Comments:

Revenue See green tab; memo needs updating.

Permit Admin

OGC

RECEIVED

DBPR PMW-3160 – Permitholder Application for Annual License to Operate a Cardroom 2023 OCT -5 PM 2: 32



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING
CONTROL COMMISSION

www.myfloridalicense.com

INSTRUCTIONS

This form is to be submitted in conjunction with Form DBPR PMW-3220. Form DBPR PMW-3220 must be submitted with all pertinent cardroom operation information.

ORGANIZATION INFORMATION

Federal Employer ID Number: [REDACTED]
Permitholder's Legal Name: TBD Entertainment LLC
Doing Business As (D/B/A) Name: TGT Poker & Racebook

MAILING ADDRESS

Street Address or P.O. Box: PO BOX 2007
City: Oldsmar State: FL Zip Code (+4 optional): 34677
County (if Florida address): Hillsborough Country: USA

CONTACT INFORMATION

Contact Name: Greg Gelyon
Primary Phone Number: 813-855-4401 Primary E-Mail Address: GAGELYON@tampabaydowns.com

PHYSICAL ADDRESS

Street Address: 755 E Waters Ave
City: Tampa State: FL Zip Code (+4 optional): 33604
County: Hillsborough


ADDITIONAL CONTACT INFORMATION (OPTIONAL)

Alternate Phone Number: Fax Number:
Alternate E-Mail Address:

CARDROOM INFORMATION	
Physical Location of Cardroom:	2nd Floor Clubhouse
What is the maximum number of card tables you intend to operate during the license period?	19
A check or money order made payable to DBPR for the table fees (\$1,000 per table) must be submitted with this application.	
Name of cardroom manager or cardroom management company:	Jacob Mast
Cardroom manager or cardroom management company license number:	7050031 exp 6/30/26
Type of participation fee charged to players:	Rake - Ante <input type="checkbox"/> Seat Charge <input type="checkbox"/> Both <input checked="" type="checkbox"/>

Additional documentation requirements:

- 1) Attach a list of card games to be offered to your patrons.
- 2) As specified in s. 849.086(9), Florida Statutes, provide evidence that there is in force a surety bond in the amount of \$50,000, payable to the state, or evidence that the pari-mutuel bond has been expanded to include cardroom operations.
- 3) Attach Form DBPR PMW-3220, List of Cardroom Business Occupational Licensees Providing Products and Services to a Cardroom, with whom you intend to do business.
- 4) Attach a copy of your internal controls.
- 5) If this is your initial cardroom operator license application, attach proof that the county commission in the county where you desire to conduct cardroom gaming has voted to approve such activity within the county.

ATTEST STATEMENT	
I hereby certify that every statement contained herein is true and correct and that I understand any misstatement or omission in this application may result in denial or revocation of my pari-mutuel license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida.	
Signature of Applicant or Applicant's Representative	
Date	9-22-23

Batch # 23069100

Receipt #: 23069100

SUNTRUST BANK
ACH RT 061000104
63-215/631

15869

TBD ENTERTAINMENT, LLC

P.O. BOX 8096
TAMPA, FL 33674

CHECK DATE
10/02/2023

CHECK NUMBER
15869

Sum of Two Thousand and 00/100 Dollars

PAY THIS AMOUNT
\$2,000.00

Florida Gaming Control Commission
2601 N Blair Stone Road
Tallahassee, FL 32399

PAY
TO THE
ORDER
OF

Peter N. B. [Signature]

Vendor Company Name		Vendor Number	Vendor Phone #	Check Date	Check No.	
Florida Gaming Control Commission		405		10/02/2023	15869	
Invoice Number	Inv. Date	Reference	Invoice Amount	Total Paid	Discount/Adj	Payment Amount
232309TABLES	09/30/2023	Table Fees (for 2)	2,000.00	2,000.00	0.00	2,000.00
TOTAL			2,000.00	2,000.00	0.00	2,000.00

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: tje/ks

VR Home > Application Search > Transaction Check List > Transaction Header

Fed Tax # [REDACTED]	Name TBD ENTERTAINMENT, LLC	Lic Type 1002 - Cardroom License	Trans Class I - Initial
File # 27	Rank Cardroom Operating License	App # 2205	Sec Class S - Standard
License # 140	Lic Status Current	Status Open	
Entity # 140	Expires On 06/30/2024	Trans Code 1030 - Add Table to Cardroom	

<p>App Date <input type="text" value="10/05/2023"/> <small>mm/dd/yyyy</small></p> <p>Normal Expiration Date 10/05/2024</p> <p>Override Expiration Date <input type="text"/> <small>mm/dd/yyyy</small></p> <p>Application Disposition <input type="text"/></p> <p>1st Reminder</p> <p>2nd Reminder</p> <table border="0"> <tr><td>Total Fee \$</td><td>2,000.00</td></tr> <tr><td>Paid \$</td><td>2,000.00</td></tr> <tr><td>Released \$</td><td>2,000.00</td></tr> <tr><td>Due \$</td><td>0.00</td></tr> </table> <p>Notes <input type="checkbox"/></p> <p>Set License Expiry <input type="checkbox"/></p>	Total Fee \$	2,000.00	Paid \$	2,000.00	Released \$	2,000.00	Due \$	0.00	<p>Application For</p> <p>Rank Cardroom Operating License</p> <p>Lic Status</p> <p>Modifiers</p> <p>Type <input type="text"/> Code <input type="text"/> Additional Info <input type="text"/> <input type="button" value="Add"/></p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Additional Info</th> <th>Actions</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>	Type	Modifier	Additional Info	Actions	No items found.				<input type="button" value="Dpsit History"/> <input type="button" value="History"/> <input type="button" value="Deficiencies"/> <input type="button" value="Regenerate"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Cancel"/> <input type="button" value="OK"/>
Total Fee \$	2,000.00																	
Paid \$	2,000.00																	
Released \$	2,000.00																	
Due \$	0.00																	
Type	Modifier	Additional Info	Actions															
No items found.																		

Get Adobe Reader



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 100 - Division of Pari-Mutuel Wagering	Origin: TLH Centra	Fiscal Year: 2023
Batch #: 23006966	Total \$ Entered: \$ 2,000.00	Deposit #: 110267
# Receipt: 1	Receipts Entered: 1	Total: \$ 2,000.00
		Status: Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230048044		\$ 2,000.00	DEP	CHK		\$ 2,000.00					
							1002	TBD ENTERTAINMENT, LLC	27	140	\$ 0.00
Total:			\$ 2,000.00			\$ 2,000.00					\$ 0.00

DEPOSIT TICKET
 SUPERIOR PRESS (800) 999-6466

WELLS FARGO BANK
 Wells Fargo Bank, N.A.

DATE: 10/9/23

CURRENCY	COIN	DOLLARS	CENTS
1	15849	2000	00
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			

110267

No 267

TOTAL ITEMS 1

PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED.

PLEASE ENTER TOTAL: 2000 00

TOTAL DEPOSIT 2000.00

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL.

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT SUBJECT TO THE PROVISIONS OF THE UNIFORM COMMERCIAL CODE OR ANY APPLICABLE COLLECTION AGREEMENT.

STATE OF FLORIDA DEPARTMENT OF
 FINANCIAL SERVICES #4150110
 FGCC MAIN

4554875
 DSP 10-T-BK

\$ 2000.00



La'Kesha Jelks

From: poker@luckyscards.com
Sent: Friday, September 22, 2023 3:08 PM
To: La'Kesha Jelks
Subject: TGT Poker
Attachments: TGT Application.pdf

I have attached the application to add 2 additional tables. 19 total tables
Do you need the other paperwork?

Thanks
Jacob



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023056141 **Incident date:** 10/05/2023 **Status:** 10 - Initial Review

Lic Type: 1002 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: TBD ENTERTAINMENT, LLC
755 EAST WATERS AVENUE, TAMPA, FL 33604

Respondent: FGCC, PARI-MUTUEL WAGERING
4070 ESPLANADE WAY, TALLAHASSEE, FL 32399

Summary: TBD Entertainment, LLC d/b/a TGT Poker & Racebook and/or Lucky's Cardroom (GHND140)
Requests to add two additional card tables to its cardroom license.

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	10/05/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
	10/05/2023	S	1002	10	Initial Review	ljelks	

4. Discussion of renewal application for slot machine license

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Re: Request to renew annual slot machine license
Dania Entertainment Center, LLC /b/a Dania Jai-Alai and/or The Casino @ Dania Beach (JLAI274)
Case# 2023-048572
Date: October 23, 2023

Executive Summary

A slot machine licensee has submitted an application to renew its annual slot machine license. The Commission should approve this request, upon receipt of payment.

Background

Slot machine gaming is authorized in Miami-Dade and Broward Counties at certain pari-mutuel facilities. ¹ One such facility is Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach (“Dania”). Dania is located in Broward County and possesses a valid jai alai permit. Dania was issued a pari-mutuel operating license for the 2023-2024 fiscal year.

Commission staff received Dania’s application to renew its slot machine license on August 8, 2023. The application will be deemed complete upon receipt of payment.

Analysis

In addition to submitting a complete application, a slot machine licensee must satisfy numerous requirements to be entitled to a renewed slot machine license. ² Dania has satisfied all of these requirements, with the exception of the required fees due.

Recommendation: The Florida Gaming Control Commission should approve Dania Entertainment Center, LLC d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach’s application for renewal of its slot machine license effective November 9, 2023 through November 8, 2024.

¹ See generally Art. X, § 23, Fla. Const.; § 551.101, Fla. Stat.


² See, e.g., §§ 551.103(1)(f) (mandating \$2,000,000.00 surety bond for slot machine licensees); 551.106(1)(a) (requiring payment of annual nonrefundable \$2,000,000.00 license fee); 551.118 (requiring payment of annual nonrefundable regulatory fee of \$250,000.00 to fund compulsive or addictive gambling prevention program); see also Fla. Admin. Code R. 75-14 (“Pari-Mutuel Wagering Facility Slot Machine Operations”).


STATE OF FLORIDA
 FLORIDA GAMING CONTROL COMMISSION
 DIVISION OF PARI-MUTUEL WAGERING

PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION				
Permitholder Name: Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach – 274 – File 4				
Date Received: August 8, 2023			Case# 2023-048572	
Reviewer Name: La'Keshia Jelks				
SLOT MACHINE LICENSE REQUIREMENTS				
	Requirement Description	Requirement Met? Y/N	Date Deficiency Letter Sent	Date Deficiency Resolved
1	Original application form DBPR PMW 3405.	Y		
2	Original Authorization for Release of Information form DBPR PMW 3400 3405	N / Y	8/28/2023	8.29.23
3	List of all ownership interests of five percent or greater as described in Rule 75-14.002(b)(c)(d) and (e), FAC, Form DBPR 0040.	N / Y	8/28/2023	8.29.23
4	A copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes (thoroughbred only).	N/A		
5	Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), FS.	Y		
6	Payment of the non-refundable \$2,000,000 application fee for a Slot Machine License as required by Section 551.106(1), FS submitted via EFT. Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS, made payable to the Division, submitted via EFT.	N / Y	8/28/2023	10/27/2023
7	A list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom the permitholder intends to do business.	Y		
8	Disclosure of the applicant's internal control procedures required by Rule 75-14.058, Florida Administrative Code.	Y		

Application approved by:



 Permitholder Administrator


 Second Reviewer

 Second Reviewer

 Date 10/24/23

 Date 10/23/2023

 Date

 Date
 as discussed



FLORIDA LICENSE FOR SLOT MACHINE OPERATIONS

WHEREAS **Dania Entertainment Center, LLC**, d/b/a Dania Jai-Alai and/or The Casino @ Dania Beach, is the holder of a valid, active pari-mutuel permit to conduct jai-alai games at its facility located in Broward County, granted pursuant to Chapter 550, Florida Statutes.

WHEREAS The Casino @ Dania Beach is authorized to conduct Pari-Mutuel Wagering activities at its facility pursuant to the Laws of Florida, on approved dates.

WHEREAS the majority of voters of Broward County, Florida authorized slot machines at pari-mutuel facilities within Broward County in a county-wide referendum conducted on March 8, 2005.

WHEREAS The Casino @ Dania Beach has made application for licensure to conduct Slot Machine Gaming from November 9, 2023, through November 8, 2024, pursuant to Florida Statutes and Administrative Rules adopted pursuant thereto. It has been found that The Casino @ Dania Beach has fulfilled all the statutorily required conditions for licensure and is therefore qualified to hold a license to conduct Slot Machine Gaming as authorized under the Laws of Florida.

WHEREAS The Casino @ Dania Beach has furnished and shall maintain in effect during the term of this license, the appropriate bond as required under Chapter 551, Florida Statutes.

NOW THEREFORE BE IT KNOWN that The Casino @ Dania Beach is granted a non-transferable license, number **SM274**, under which it may, exclusively at the slot machine facility located at **Dania Entertainment Center, LLC**, at 301 East Dania Beach Boulevard, Dania, Florida, 33004, receive, possess, operate and ship approved slot machine equipment, and conduct Slot Machine Gaming.

BY RECEIVING THIS LICENSE The Casino @ Dania Beach agrees to, at all times during the term of this license, conduct its Slot Machine Gaming operation and maintain its Slot Machine Gaming facility in accordance with applicable Florida Statutes and Administrative Rules adopted pursuant thereto.

LICENSURE GRANTED this _____ day of November 2023, in Tallahassee, Florida.

FOR THE STATE OF FLORIDA

Louis Trombetta, Executive Director
Florida Gaming Control Commission

Slot Bond Renewal

Elisa Festa <elisa.festa@daniacasino.com>

Fri 10/20/2023 9:57 AM

To: Jamie Pouncey <Jamie.Pouncey@flgaming.gov>; La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

📎 2 attachments (4 MB)

2023-Slot Bond.pdf; 2023- Letter to FGCC.pdf;

Good Morning,

Please see attached. The originals will be put in certified mail with the USPS on Monday. Thank you and have a great Friday!

Elisa Festa Tabasso, Ph.D.
DIRECTOR OF COMPLIANCE



THE CASINO @
DANIA BEACH

Direct | 954-920-1511 Ext.1278
CASINODANIABEACH.COM | 844-7-WIN-BIG
301 E. Dania Beach Blvd. Dania Beach, FL 33004





THE CASINO @
DANIA BEACH

Jamie Pouncey

Florida Gaming Control Commission

Pari-Mutuel Wagering

4070 Esplanade Way

Tallahassee, FL 32399

October 20, 2023

Greetings Ms. Pouncey,

Please see the enclosed bond renewal certificate as required for our slot license renewal. Thank you.

Regards,



Elisa Festa Tabasso



MATSON-CHARLTON SURETY GROUP

BOND RENEWAL NOTICE

DATE: 10/2/2023 BOND NO. [REDACTED]

TO: DANIA ENTERTAINMENT CENTER, LLC

PRINCIPAL: DANIA ENTERTAINMENT CENTER, LLC

TYPE OF BOND: SLOT MACHINE LICENSE BOND RENEWAL DATE: 11/2/2023

Enclosed please find a renewal invoice for the above captioned bond. _____

Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

CONTINUATION CERTIFICATE

The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Two Million Dollars and 00/100 (\$2,000,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC d/b/a THE CASINO @ DANIA BEACH in favor of GOVERNOR OF THE STATE OF FLORIDA for SURETY BOND FOR FLORIDA SLOT MACHINE LICENSEE subject to all the conditions and terms thereof through 1st Day of November, 2024 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 28th Day of September, 2023.

NGM Insurance Company
Surety

BY: _____

John W. Charlton, Attorney-in-Fact



NGM INSURANCE COMPANY
A member of The Main Street America Group.

POWER OF ATTORNEY

06-03082197

KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them. "

does hereby make, constitute and appoint D W Matson III, John W Charlton

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof; such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Loe K. Pentz



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 28th day of September 2020.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.



Dania Slot License Renewal Fee

John Lockwood <john@lockwoodlawfirm.com>

Thu 10/19/2023 1:03 PM

To:La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>;Jamie Pouncey <Jamie.Pouncey@flgaming.gov>

Cc:Arnaldo Suarez <arnaldo.suarez@daniacasino.com>;Louis Trombetta <Louis.Trombetta@flgaming.gov>;Joe Dillmore <Joe.Dillmore@flgaming.gov>

Ms. Jelks and Ms. Pouncey:

I understand you are looking for Dania's slot machine license renewal fee. As you know, the license does not expire for several weeks and it certainly is not "due" today by 2:00 pm. I have communicated to Lou and Joe (both copied) that the license fee will be wired to the Commission on or about October 27th - in advance of the actual due date.

Thanks,
John

La'Kesha Jelks

From: Elisa Festa <elisa.festa@daniacasino.com>
Sent: Tuesday, August 29, 2023 10:08 AM
To: La'Kesha Jelks; Jamie Pouncey
Subject: RE: Dania Slot License Renewal
Attachments: Xerox Scan_08292023100133.pdf; Xerox Scan_08292023095007.pdf

Good Morning La'Kesha,

Please see the attached as requested. The payment will be made at a later date.

Elisa Festa Tabasso, Ph.D. | Director of Compliance
The Casino @ Dania Beach

P: (954) 342-1278

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, August 28, 2023 3:11 PM
To: Elisa Festa <elisa.festa@daniacasino.com>; Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: RE: Dania Slot License Renewal

Greetings,

The Slot Renewal Application submitted for Dania Entertainment Center, LLC (SM274) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- ✓ DBPR PWM-3460 Authorization for Release of Information form [Microsoft Word - DBPRPMW-3195-Effective-9-12-12--1.0--2012-07-30.doc \(flgaming.gov\)](#)
- ✓ Provide the license number for Arnaldo Suarez (page 2 of form 3190 lists his license as 1159)

The items listed require submission and/or correction to complete the renewal application. These items are due on or before October 13, 2023, to ensure placement on the November 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)



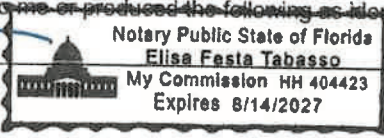
STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

PERSONAL INFORMATION				
Social Security Number/Federal Employer ID Number [REDACTED]				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Last Name	First	Middle	Title	Suffix
Birth Date (MM/DD/YYYY)				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Representative's Name	Last	First	Middle	Title
	Suarez	Arnaldo		
Permitholder Name				
Dania Entertainment Center, LLC D/b/a The Casino @ Dania Beach				
Official Capacity				
CEO				
ATTEST STATEMENT				
<p>I, <u>Arnaldo Suarez</u>, do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.</p> <p>I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.</p> <p>Arnaldo Suarez (if individual applicant - legal name and any nickname or alias in parentheses)</p> <p>Applicant/Representative Signature: <u>[Signature]</u> Date: <u>8/29/23</u></p>				
NOTARIZATION				
The foregoing application was sworn to and subscribed before me this <u>29</u> Day of <u>08</u> , 20 <u>23</u> , by <u>Arnaldo Suarez</u> Type or print name of applicant				
Signature of applicant <u>[Signature]</u>				
who is personally known to me or who has produced the following as identification <u>n/a</u> Type of identification				
<u>[Signature]</u> Signature of person taking acknowledgement Notary Seal (Rubber Stamp and Expiration)				

MANAGEMENT INFORMATION				
Last Name SUAREZ	First ARNALDO	Middle	Title	Suffix
Office Held OFFICER	License # 1159 3943	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 12480 N PARKLAND BAY TRAIL				
City PARKLAND			State FL	Zip Code (+4 optional) 33076
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name BONESI	First GERMAN	Middle	Title	Suffix
Office Held OFFICER	License # 11765334	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 4322 FOXTAIL LANE				
City WESTON			State FL	Zip Code (+4 optional) 33331
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City			State	Zip Code (+4 optional)
County (if Florida address)		Country		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
German Bonesi	CFO	<i>[Signature]</i>	8/8/23
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida: Broward			
County of Broward			
Sworn to (or affirmed) and subscribed before me this 8 day of AUG, 2023			
German Bonesi, who is personally known to me or produced the following as identification:			
<i>[Signature]</i>			
Notary Public			
My Commission Expires:			

La'Kesha Jelks

From: Elisa Festa <elisa.festa@daniacasino.com>
Sent: Tuesday, August 8, 2023 5:07 PM
To: Jamie Pouncey; La'Kesha Jelks
Subject: Dania Slot License Renewal
Attachments: 2023- Tallahassee package.pdf; 20221005_CONTINUATION CERTIFICATE.pdf

Categories: Slots

Greetings FGCC,

Please see the attached as a part of our slot license renewal. Thank you and have a great evening.

Elisa Festa Tabasso, Ph.D.
DIRECTOR OF COMPLIANCE



THE CASINO @
DANIA BEACH

Direct | 954-920-1511 Ext.1278
CASINODANIABEACH.COM | 844-7-WIN-BIG
301 E. Dania Beach Blvd. Dania Beach, FL 33004



DBPR PMW-3405 – Permitholder Renewal Application for Annual Slot Machine License



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460, Authorization for Release of Information, and Form DBPR PMW-3190, Officers and Directors. All three forms must be submitted to the division one month prior to the expiration of the current slot machine license.		
ORGANIZATION INFORMATION		
Federal Employer ID Number	[REDACTED]	
Permitholder's Legal Name:	Dania Entertainment Center, LLC# 274	
Doing Business As (D/B/A) Name:	The Casino @ Dania Beach	
MAILING ADDRESS		
Street Address or P.O. Box:	301 East Dania Beach Blvd.	
City:	State:	Zip Code:
Dania Beach	FL	33004
County:	Country:	
Broward	USA	
CONTACT INFORMATION		
Contact Name:	Title:	
Arnaldo Suarez	CEO	
Primary Phone Number:	Primary E-Mail Address:	
954-920-1511x1264	arnaldo.suarez@daniacasino.com	
PHYSICAL ADDRESS		
Street Address:	301 East Dania Beach Blvd.	
City:	State:	Zip Code (+4 optional)
Dania Beach Blvd	FL	33004
County:		
Broward		
ADDITIONAL CONTACT INFORMATION		
Alternate Phone Number	Fax Number	
954-964-2422	954-272-7622	
Alternate E-Mail Address		
juanpablo.villamayor@daniacasino.com		
SLOT MACHINE AREA INFORMATION		
Short Description of Physical Location of Slot Machine Area(s):	Approximately 35,000 square feet	
of gaming area within existing jai-alai facility		
What is the number of slot machines you intend to operate during the license period?	850	
Name and license number of Slot Machine Operations Manager or Slot Machine Management Company:	Jay Plotkin #10306814	
Name and address of the Custodian of Records in Florida:	Juan Pablo Villamayor 301 E Dania Beach Blvd, Dania Beach FL 33004	

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2026
File # 3984	Name PLOTKIN, JAY LESLIE	Extended To
License # 10306814	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 05/04/2023
Entity # 10306814	Lic Status Current	

Address

Street # 309	Street SE 3RD PLACE	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
	Routing	

Other

1st License Date 12/27/2017	Rank Date 05/04/2023	Certificate #
Method I-S-1020	Status Date 12/27/2017	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

List the dates and hours of slot machine operations:
Monday- Wednesday 9am-3am: 24 hours-Thursday open 9am close Monday 3am

Does the applicant have any ownership interest in another Florida permit? If yes, disclose the name of the permit: Pari-Mutuel # 281	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Does the applicant have any ownership interest in a slot machine testing laboratory company? If yes, disclose the name of the testing laboratory:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Does the applicant have any ownership interest in a slot machine manufacturer and/or distributor? If yes, disclose the name of the slot machine manufacturer/distributor:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Has any other jurisdiction taken action regarding the applicant's gaming license? If yes, provide the name of the jurisdiction, the reason for the action, and the action taken:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Operations, 1940 North Monroe Street, Tallahassee, Florida 32399-1037:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principals, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(1)(d), (e) and (f), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190.
- 2) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 3) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in Section 551.103(1)(f), Florida Statutes.
- 4) Submission of a list of slot machine business occupational licensees (i.e., vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 5) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.

Additional requirements to be submitted to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, Office of Slot Operations, North Broward Regional Service Center, 1400 West Commercial Blvd., Suite 165, Ft. Lauderdale, Florida 33309:

- 1) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 2) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 3) A copy of the licensee's current internal controls.
- 4) A copy of the licensee's current Surveillance Plan and Security Plan.
- 5) A current version of the licensee's Floor Plan as described in Rule 61D-14.050, F.A.C.

ATTEST STATEMENT

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida, pursuant to Section 551.107, Florida Statutes.


 Signature of Applicant or Applicant's Representative

8/8/23
 Date



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
 www.myfloridalicense.com

PERSONAL INFORMATION				
Social Security Number/Federal Employer ID Number [REDACTED]				
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Last Name	First	Middle	Title	Suffix
Birth Date (MM/DD/YYYY)				
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION				
Representative's Name Last	First	Middle	Title	Suffix
Suarez	Arnaldo			
Permitholder Name Dania Entertainment Center, LLC D/b/a The Casino @ Dania Beach				
Official Capacity CEO				
ATTEST STATEMENT				
<p>I, <u>Arnaldo Suarez</u>, do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.</p> <p>I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.</p> <p><u>Arnaldo Suarez</u> (if individual applicant - legal name and any nickname or alias in parentheses)</p> <p>Applicant/Representative Signature: <u>[Signature]</u> Date: <u>8/29/23</u></p>				
NOTARIZATION				
The foregoing application was sworn to and subscribed before me this <u>29</u> Day of <u>08</u> , 20 <u>23</u> by <u>Arnaldo Suarez</u> Type or print name of applicant _____ Signature of applicant _____ who is personally known to me or who has produced the following as identification _____ n/a _____ Type of identification _____ _____ Signature of person taking acknowledgement Notary Seal (Rubber Stamp and Expiration)				





STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

Please provide information on the partners, managers, officers, or directors for your business entity below.

ORGANIZATION NAME	
Name of Organization Dania Entertainment Center, LLC	Permit # 274
D/B/A or Trade Name Dania Jai-Alai; The Casino @ Dania Beach	

LIMITED LIABILITY CORPORATION QUESTIONS
If your corporation is a limited liability corporation (LLC), is the corporation member managed or manager managed? You can check your Articles of Incorporation for this information. Member Managed <input checked="" type="checkbox"/> Manager Managed <input type="checkbox"/>

Please list below all Officers, Directors, Managers, and/or Shareholders with 5 percent or more interest in the business:

Attach additional sheets as necessary.

MANAGEMENT INFORMATION				
Last Name Ondiss Corp A Florida Company	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership 96%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 1110 Brickel Avenue Suite 800				
City Miami	State FL	Zip Code (+4 optional) 33131		
County (if Florida address) Miami Dade	Country USA			

MANAGEMENT INFORMATION				
Last Name De Archeval	First Federico	Middle Miguel	Title	Suffix
Office Held Board Member	License # 10091020	Percentage of Ownership 40.12%		
RESIDENCE ADDRESS				
Street Address or P.O. Box Avendia Del Libertador 4101, C1426BWC				
City Buenos Aires	State	Zip Code (+4 optional)		
County (if Florida address)	Country Argentina			

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 3392	Name DE ACHAVAL, FEDERICO MIGUEL	Extended To
License # 10091020	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 06/22/2021
Entity # 10091020	Lic Status Current	

Address

Street # 301	Street E DANIA BEACH BLVD	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
	Routing	

Other

1st License Date 02/15/2013	Rank Date 06/22/2021	Certificate #
Method I-S-1017	Status Date 08/10/2015	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

MANAGEMENT INFORMATION				
Last Name CASTELLANOS-BONILLO	First JUAN	Middle	Title	Suffix
Office Held SHAREHOLDER	License # 10091001	Percentage of Ownership 15%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 181 WASHINGTON AVE				
City MIAMI BRACH			State FL	Zip Code (+4 optional) 33139
County (if Florida address) MIAMI DADE		Country USA		

MANAGEMENT INFORMATION				
Last Name CRUZ	First HECTOR	Middle JOSE	Title	Suffix
Office Held BOARD MEMBER	License # 10091023	Percentage of Ownership 5%		
RESIDENCE ADDRESS				
Street Address or P.O. Box JUNCAL 4693 1425RA				
City BUENOS AIRES			State	Zip Code (+4 optional)
County (if Florida address)		Country ARGENTINA		

MANAGEMENT INFORMATION				
Last Name BENEDICTO	First RICARDO	Middle OSCAR	Title	Suffix
Office Held BOARD MEMBER	License # 10091077	Percentage of Ownership 30%		
RESIDENCE ADDRESS				
Street Address or P.O. Box 3415 N OCEAN DRIVE UNIT 702				
City HOLLYWOOD			State FL	Zip Code (+4 optional) 33019
County (if Florida address) BROWARD		Country USA		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of _____			
Sworn to (or affirmed) and subscribed before me this _____ day of _____, 20____.			
_____, who is personally known to me or produced the following as identification:			
_____ _____ _____			
Notary Public _____			
My Commission Expires: _____			

6/5

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **3391** Name **Castellanos Bonillo, Juan** Extended To
License # **10091001** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **06/23/2021**
Entity # **10091001** Lic Status **Current**

Address

Street # **181** Street **WASHINGTON AVE**
Line 2
Line 3
City **MIAMI BEACH** State **FL** Zip **33139**
Routing

Other

1st License Date **02/15/2013** Rank Date **06/23/2021** Certificate #
Method **I-S-1017** Status Date **10/02/2018** Certificate Date
Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **3393** Name **CRUZ, HECTOR JOSE** Extended To
License # **10091023** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **06/16/2021**
Entity # **10091023** Lic Status **Current**

Address

Street # **301** Street **E DANIA BEACH BLVD**
Line 2
Line 3
City **DANIA BEACH** State **FL** Zip **33004**
Routing

Other

1st License Date **02/15/2013** Rank Date **06/16/2021** Certificate #
Method **I-S-1017** Status Date **08/07/2015** Certificate Date
Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

License

Fed Tax # [REDACTED] Lic Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2024**
File # **3394** Name **Benedicto, Ricardo Oscar** Extended To
License # **10091077** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On **06/21/2021**
Entity # **10091077** Lic Status **Current**

Address

Street # **3415** Street **N OCEAN DRIVE UNIT 702**
Line 2
Line 3
City **HOLLYWOOD** State **FL** Zip **33019**
Routing

Other

1st License Date **02/15/2013** Rank Date **06/21/2021** Certificate #
Method **I-S-1017** Status Date **08/18/2015** Certificate Date
Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

La'Kesha Jelks

From: Elisa Festa <elisa.festa@daniacasino.com>
Sent: Tuesday, August 29, 2023 10:08 AM
To: La'Kesha Jelks; Jamie Pouncey
Subject: RE: Dania Slot License Renewal
Attachments: Xerox Scan_08292023100133.pdf; Xerox Scan_08292023095007.pdf

Good Morning La'Kesha,

Please see the attached as requested. The payment will be made at a later date.

Elisa Festa Tabasso, Ph.D. | Director of Compliance
The Casino @ Dania Beach

P: (954) 342-1278

From: La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>
Sent: Monday, August 28, 2023 3:11 PM
To: Elisa Festa <elisa.festa@daniacasino.com>; Jamie Pouncey <Jamie.Pouncey@flgaming.gov>
Subject: RE: Dania Slot License Renewal

Greetings,

The Slot Renewal Application submitted for Dania Entertainment Center, LLC (SM274) was received, however, the application remains incomplete for:

- Payment of the non-refundable \$2 million application fee and payment of the non-refundable \$250k regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, FS.
- ✓ DBPR PWM-3460 Authorization for Release of Information form [Microsoft Word - DBPRPMW-3195-Effective-9-12-12--1.0--2012-07-30.doc \(flgaming.gov\)](#)
- ✓ Provide the license number for Arnaldo Suarez (page 2 of form 3190 lists his license as 1159)

The items listed require submission and/or correction to complete the renewal application. These items are due on or before October 13, 2023, to ensure placement on the November 2023 Florida Gaming Control Commission Meeting Agenda. If you have any questions regarding the deficiencies, please contact me at your earliest convenience.

Best,

La'Kesha Jelks



La'Kesha Jelks
Operations Review Specialist
Florida Gaming Control Commission
Pari-Mutuel Wagering
Phone: (850) 794-8114

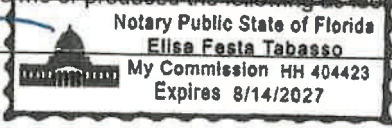
The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

MANAGEMENT INFORMATION				
Last Name SUAREZ	First ARNALDO	Middle	Title	Suffix
Office Held OFFICER	License # 1159	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 12480 N PARKLAND BAY TRAIL				
City PARKLAND		State FL	Zip Code (+4 optional) 33076	
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name BONESI	First GERMAN	Middle	Title	Suffix
Office Held OFFICER	License # 11765334	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 4322 FOXTAIL LANE				
City WESTON		State FL	Zip Code (+4 optional) 33331	
County (if Florida address) BROWARD		Country USA		

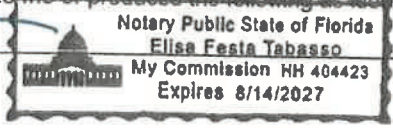
MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
German Bonesi	CFO	<i>[Signature]</i>	8/8/23
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida	County of Broward	Sworn to (or affirmed) and subscribed before me this 8 day of AUG, 2023	
German Bonesi, who is personally known to me or produced the following as identification:			
<i>[Signature]</i>			
Notary Public		My Commission Expires:	

MANAGEMENT INFORMATION				
Last Name SUAREZ	First ARNALDO	Middle	Title	Suffix
Office Held OFFICER	License # 1159 3993	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 12480 N PARKLAND BAY TRAIL				
City PARKLAND		State FL	Zip Code (+4 optional) 33076	
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name BONESI	First GERMAN	Middle	Title	Suffix
Office Held OFFICER	License # 11765334	Percentage of Ownership 0		
RESIDENCE ADDRESS				
Street Address or P.O. Box 4322 FOXTAIL LANE				
City WESTON		State FL	Zip Code (+4 optional) 33331	
County (if Florida address) BROWARD		Country USA		

MANAGEMENT INFORMATION				
Last Name	First	Middle	Title	Suffix
Office Held	License #	Percentage of Ownership		
RESIDENCE ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

OATH			
I swear or affirm that the information provided in this application is true and complete. I understand that knowingly providing false information on this application could subject the applicant to criminal penalties relating to perjury or other offenses.			
German Bonesi	CFO	<i>[Signature]</i>	8/8/23
Name (Please Print)	Title (Please Print)	Signature	Date
State of Florida, County of Broward			
Sworn to (or affirmed) and subscribed before me this 8 day of AUG, 2023			
German Bonesi, who is personally known to me or produced the following as identification:			
<i>[Signature]</i>			
Notary Public			
My Commission Expires:			

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2026
File # 6411	Name SUAREZ, ARNALDO HIPOLITO	Extended To
License # 11593993	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/26/2023
Entity # 11593993	Lic Status Current	

Address

Street # 12480	Street N PARKLAND BAY TRL	
Line 2		
Line 3		
City PARKLAND	State FL	Zip 33076
Routing		

Other

1st License Date 12/27/2017	Rank Date 04/26/2023	Certificate #
Method I-S-1017	Status Date 01/17/2018	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Expires On 06/30/2024
File # 6753	Name BONESI, GERMAN ERNESTO	Extended To
License # 11765334	Rank SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo	Renewed On 04/30/2021
Entity # 11765334	Lic Status Current	

Address

Street # 4322	Street FOXTAIL LN	
Line 2		
Line 3		
City WESTON	State FL	Zip 33331
Routing		

Other

1st License Date 07/03/2018	Rank Date 04/30/2021	Certificate #
Method I-S-1017	Status Date 07/10/2018	Certificate Date
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent

DBPR 0060-1 – General Explanatory Description

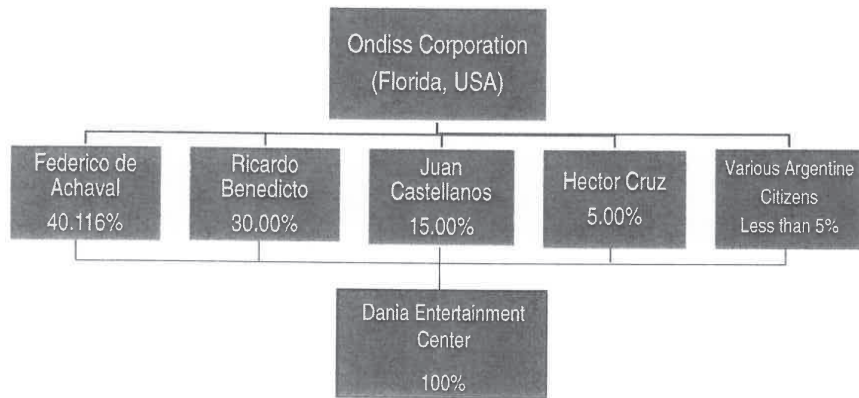
**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION
NOTE – This form must be submitted as part of an
application packet**

APPLICANT INFORMATION				
Last Name	First	Middle	Title	Suffix
DANIA ENTERTAINMENT CENTER, LLC				

EXPLANATION
<p>RE: PERMITHOLDER LICENSE RENEWAL</p> <p>#281 AND #274</p> <p>DBPR PMW FORM 3190</p> <p>90.12% OF THE OWNERSHIP IS INCLUDED IN THE 3190.</p> <p>THE REMAINING 9.88% OF THE OWNERSHIP IS MADE UP OF MULTIPLE PERSONS WITH LESS THAN 5% OWNERSHIP</p> <p>100% OF DANIA ENTERTAINMENT CENTER, LLC IS OWNED BY THESE INDIVIDUALS.</p> <p>THESE INDIVIDUALS ARE ALSO OWNERS OF ONDISS CORP (96%) AND RAGMAR (4%)</p>

Dania Entertainment Center, LLC
OWNERSHIP SCHEDULE
July 2018

<u>Owner's Name</u>	<u>Percentage of Shares Owned</u>
Ondiss Corp.	96.00% *
Ragmar Association Corp.	4.00% *
	<u>100.00%</u>



CONTINUATION CERTIFICATE

The NGM Insurance Company (hereinafter called the Surety) hereby continues in force its Bond No. [REDACTED] in the sum of Two Million Dollars and 00/100 (\$2,000,000.00) Dollars, on behalf of DANIA ENTERTAINMENT CENTER, LLC d/b/a THE CASINO @ DANIA BEACH in favor of GOVERNOR OF THE STATE OF FLORIDA for SURETY BOND FOR FLORIDA SLOT MACHINE LICENSEE subject to all the conditions and terms thereof through 1st Day of November, 2023 at location of risk.

This Continuation is executed upon the express condition that the Surety's liability shall not be cumulative and shall be limited at all times by the amount of the penalty stated in the bond.

IN WITNESS WHEREOF, the Surety has caused this instrument to be signed by its duly authorized Attorney-in-Fact and its corporate seal to be hereto affixed this 21st Day of September, 2022.

NGM Insurance Company
Surety

BY: _____


John W. Charlton, Attorney-in-Fact



KNOW ALL MEN BY THESE PRESENTS: That NGM Insurance Company, a Florida corporation having its principal office in the City of Jacksonville, State of Florida, pursuant to Article IV, Section 2 of the By-Laws of said Company, to wit:

"Article IV, Section 2. The board of directors, the president, any vice president, secretary, or the treasurer shall have the power and authority to appoint attorneys-in-fact and to authorize them to execute on behalf of the company and affix the seal of the company thereto, bonds, recognizances, contracts of indemnity or writings obligatory in the nature of a bond, recognizance or conditional undertaking and to remove any such attorneys-in-fact at any time and revoke the power and authority given to them. "

does hereby make, constitute and appoint **D W Matson III, John W Charlton** -----

its true and lawful Attorneys-in-fact, to make, execute, seal and deliver for and on its behalf, and as its act and deed, bonds, undertakings, recognizances, contracts of indemnity, or other writings obligatory in nature of a bond subject to the following limitation:

- 1. No one bond to exceed Ten Million Dollars (\$10,000,000.00)

and to bind NGM Insurance Company thereby as fully and to the same extent as if such instruments were signed by the duly authorized officers of NGM Insurance Company; the acts of said Attorney are hereby ratified and confirmed.

This power of attorney is signed and sealed by facsimile under and by the authority of the following resolution adopted by the Directors of NGM Insurance Company at a meeting duly called and held on the 2nd day of December 1977.

Voted: That the signature of any officer authorized by the By-Laws and the company seal may be affixed by facsimile to any power of attorney or special power of attorney or certification of either given for the execution of any bond, undertaking, recognizance or other written obligation in the nature thereof: such signature and seal, when so used being hereby adopted by the company as the original signature of such office and the original seal of the company, to be valid and binding upon the company with the same force and effect as though manually affixed.

IN WITNESS WHEREOF, NGM Insurance Company has caused these presents to be signed by its Vice President, General Counsel and Secretary and its corporate seal to be hereto affixed this 7th day of January, 2020.

NGM INSURANCE COMPANY By:

Kimberly K. Law

Kimberly K. Law
Vice President,
General Counsel and Secretary



State of Florida,
County of Duval.

On this 7th day of January, 2020, before the subscriber a Notary Public of State of Florida in and for the County of Duval duly commissioned and qualified, came Kimberly K. Law of NGM Insurance Company, to me personally known to be the officer described herein, and who executed the preceding instrument, and she acknowledged the execution of same, and being by me fully sworn, deposed and said that she is an officer of said Company, aforesaid: that the seal affixed to the preceding instrument is the corporate seal of said Company, and the said corporate seal and her signature as officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Company; that Article IV, Section 2 of the By-Laws of said Company is now in force.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at Jacksonville, Florida this 7th day of January, 2020.

Lisa K. Penite



I, Nancy Giordano-Ramos, Vice President of NGM Insurance Company, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney executed by said Company which is still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Company at Jacksonville, Florida this 21st day of September, 2022.

Nancy Giordano-Ramos

WARNING: Any unauthorized reproduction or alteration of this document is prohibited.
TO CONFIRM VALIDITY of the attached bond please call 1-800-225-5646.
TO SUBMIT A CLAIM: Send all correspondence to 55 West Street, Keene, NH 03431 Attn: Bond Claims.





MATSON-CHARLTON
SURETY GROUP

BOND RENEWAL NOTICE

DATE: <u>9/13/2022</u>	BOND NO.: <u>[REDACTED]</u>
TO: <u>DANIA ENTERTAINMENT CENTER, LLC</u>	
PRINCIPAL: <u>DANIA ENTERTAINMENT CENTER, LLC</u>	
TYPE OF BOND: <u>SLOT MACHINE LICENSE</u>	BOND RENEWAL DATE: <u>11/2/2022</u>

Enclosed please find a renewal invoice for the above captioned bond. Continuation Certificate is enclosed.

Keep copy for your records before you forward original to the obligee.

If bond is no longer needed, return original Certificate to our office for bond cancellation.

Your Surety has requested the following information for this renewal:

- New Application (form enclosed)
- Year-End Corporate Financial Statement as of: _____
- Current Personal Financial Statement (our form enclosed if needed)
- Other: _____

Your prompt response and payment of the renewal premium is greatly appreciated. If payment is not received promptly bond will be cancelled. Please note that bonds cancelled for non-payment may not be reinstated.

- Bond has been approved. **Please remit payment before bond renewal date listed above.**

If this bond is no longer needed, please indicate the reason for cancellation in the space provided below and return this form to us as soon as possible to the undersigned.

Reason for Cancellation: _____

Sincerely,
Dely Munecas
dely@mcsurety.com

MATSON-CHARLTON SURETY GROUP

700 South Dixie Highway, Suite 100 • Coral Gables, FL 33146

Phone: 305.662.3852 • Fax: 305.661.9948

NASBP Member

The Casino @ Dania Beach

VENDOR LIST

COMPANY NAME	ADDRESS	LICENSE #	LICENSE EXPIRE	
AGS, LLC ✓	5475 South Decatur Blvd., Suite 100 Las Vegas, NV 89118	8281949	06.30/2026	Slot Business
AINSWORTH GAME TECHNOLOGY, INC ✓	6600 N W 12th Avenue, Ste 201, Fort Lauderdale, FL 33309	7929049	06/30/2024	Slot Business
ARISTOCRAT TECHNOLOGIES INC ✓	7230 Amigo Street Las Vegas, NV 89119	7830421	06/30/2024	Slot Business
ARUZE GAMING AMERICA, INC ✓	955 Grier Drive, Suite A Las Vegas, NV 89119	8587586	06/30/2025	Slot Business
BALLY GAMING, INC (SCIENTIFIC GAMES/WMS) ✓	6601 S. Bermuda Rd. Las Vegas, NV 89119-3605	<u>7805891</u>	06/30/2024	Slot Business
CUMMINS-ALLISON CORP ✓	852 Feehanville Drive, Mt. Prospect, IL 60056	7934408	06/30/2025	Slot Business
GETT ✓	4760 South Polaris Ave Suite B Las Vegas, NV 890103	12864712	06/230/2024	Slot Business
HAYES INTERNATIONAL, INC ✓	7550 SW 42nd Ct, Davie FL 33314	11018137	06/30/2024	Slot Business
IGT (INC) ✓	6355 S Buffalo Drive, Las Vegas, NV 89113-2133	7800936	06/30/2024	Slot Business
INCREDIBLE TECHNOLOGIES CASINO GAMES INC ✓	200 Corporate Woods Pkwy Vernon Hills, IL 60061	8801896	06/30/2024	Slot Business
INTERBLOCK USA, LC ✓	1106 Palms Airport Drive Las Vegas, NV 89119- 3730	8759471	06/30/2025	Slot Business
INTERNATIONAL GAMING SOLUTIONS, INC. ✓	2763 West 78th St. Hialeah, FL 33016	10965598	06/30/2024	Slot Business
KONAMI GAMING, INC ✓	585 Trade Center Drive Las Vegas, NV 89119-3720	7883140	06/30/2024	Slot Business
LNW, GAMING aka Scientific Gaming ^{591L} ✓	6601 South Bermuda RD Las Vegas NV 89119	<u>7805891</u>	06/30/2024	Slot Business
MULTIMEDIA GAMES, INC/ EVERI GAMES ✓	206 Wild Basin Road, Building B, 4th Floor Austin, Tx. 78746	9943621	06/30/2024	Slot Business
PATRIOT GAMING & ELECTRONICS ✓	217 N. Lindberg, Griffith, IN 46319	8605145	06/30/2025	Slot Business
SPIN INC ✓	35 SW 12th Ave, SUITE 107 Dania Beach FL 33004	8010467	06/30/2024	Slot Business
TOTAL KEY CONTROL, INC ✓	3270 Suntree Blvd. Melbourne, FL 32940	10764411	06/30/2025	Slot Business
VSR ✓	6190 Mt. Vista Street Henderson, NV 89014	1499535	06/30/2024	Slot Business
nao ventures inc d/b/a MAVERICK INTERNATIONAL ✓	0122 NW 72ND AVE, Miami FL 33166 305-887-4484 www.1445@nao.com	11938935	06/30/2024	Slot Business
ZITRO USA, INC ✓	1671 West Horizon Ridge Pwy, Henderson NV 19912	10019307	06/30/2024	Slot Business

Subiz
doc. attached

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2026
File # 103	Name AGS, LLC	Extended To
License # 8281949	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 8281949	Lic Status Current	

Address

Street # 6775	Street SOUTH EDMOND STREET SUITE 300	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other

1st License Date 06/19/2023	Rank Date 06/19/2023	Certificate #
Method I-S-1020	Status Date 06/19/2023	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 57	Name AINSWORTH GAME TECHNOLOGY LTD	Extended To
License # 7929049	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2021
Entity # 7929049	Lic Status Current	

Address

Street # 10	Street HOLKER STREET	
Line 2		
Line 3		
City NENINGTON NSW	State 99	Zip 2127
Routing		

Other

1st License Date 06/30/2018	Rank Date 07/22/2021	Certificate #
Method I-S-1017	Status Date 07/22/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 13	Name ARISTOCRAT TECHNOLOGIES INC	Extended To
License # 7830421	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/07/2021
Entity # 7830421	Lic Status Current	

Address

Street # 10220	Street ARISTOCRAT WAY	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89135
Routing		

Other

1st License Date 07/01/2015	Rank Date 05/07/2021	Certificate #
Method I-S-1017	Status Date 07/13/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 123	Name ARUZE GAMING AMERICA INC	Extended To
License # 8587586	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2022
Entity # 8587586	Lic Status Current	

Address		
Street # 6900	Street S. DECATUR BLVD. SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89118
Routing		

Other		
1st License Date 06/29/2018	Rank Date 07/15/2022	Certificate #
Method I-S-1017	Status Date 07/15/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 9	Name LNW GAMING INC	Extended To
License # 7805891	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/22/2012
Entity # 7805891	Lic Status Current	

Address		
Street # 6601	Street SOUTH BERMUDA ROAD	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other		
1st License Date 06/26/2021	Rank Date 06/28/2021	Certificate #
Method I-S-1020	Status Date 06/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 58	Name CUMMINS-ALLISON CORP	Extended To
License # 7934408	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/22/2022
Entity # 7934408	Lic Status Current	

Address		
Street # 852	Street FEEHANVILLE DR	
Line 2		
Line 3		
City MT PROSPECT	State IL	Zip 60090
Routing		

Other		
1st License Date 07/07/2010	Rank Date 07/22/2022	Certificate #
Method I-S-1020	Status Date 07/22/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



[Department of State](#) / [Division of Corporations](#) / [Search Records](#) / [Search by FEI/EIN Number](#) /

Detail by FEI/EIN Number

Foreign Profit Corporation
LNW GAMING, INC.

Filing Information

Document Number	F94000000354
FEI/EIN Number	[REDACTED]
Date Filed	01/25/1994
State	NV
Status	ACTIVE
Last Event	NAME CHANGE AMENDMENT
Event Date Filed	01/19/2023
Event Effective Date	NONE

Principal Address

6601 BERMUDA ROAD
LAS VEGAS, NV 89119

Changed: 05/07/2018

Mailing Address

6601 BERMUDA RD
LAS VEGAS, NV 89119

Changed: 05/07/2018

Registered Agent Name & Address

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301

Name Changed: 07/03/2012

Address Changed: 07/03/2012

Officer/Director Detail

Name & Address

Title President, Director

WILSON, MATTHEW
6601 BERMUDA RD
Las Vegas, NV 89119

Title Treasurer, Secretary, Director

James, Constance P
6601 BERMUDA RD
LAS VEGAS, NV 89119

Annual Reports

Report Year	Filed Date
2021	04/28/2021
2022	05/01/2022
2023	01/05/2023

Document Images

01/19/2023 -- Name Change	View image in PDF format
01/05/2023 -- ANNUAL REPORT	View image in PDF format
05/01/2022 -- ANNUAL REPORT	View image in PDF format
04/28/2021 -- ANNUAL REPORT	View image in PDF format
05/01/2020 -- ANNUAL REPORT	View image in PDF format
01/06/2020 -- Name Change	View image in PDF format
05/24/2019 -- ANNUAL REPORT	View image in PDF format
05/07/2018 -- ANNUAL REPORT	View image in PDF format
05/15/2017 -- ANNUAL REPORT	View image in PDF format
01/25/2016 -- ANNUAL REPORT	View image in PDF format
03/05/2015 -- ANNUAL REPORT	View image in PDF format
04/28/2014 -- ANNUAL REPORT	View image in PDF format
04/16/2013 -- ANNUAL REPORT	View image in PDF format
07/03/2012 -- Reg. Agent Change	View image in PDF format
04/02/2012 -- ANNUAL REPORT	View image in PDF format
04/14/2011 -- ANNUAL REPORT	View image in PDF format
03/22/2010 -- ANNUAL REPORT	View image in PDF format
03/03/2009 -- ANNUAL REPORT	View image in PDF format
04/17/2008 -- ANNUAL REPORT	View image in PDF format
04/02/2008 -- Reg. Agent Change	View image in PDF format
02/12/2007 -- ANNUAL REPORT	View image in PDF format
08/02/2006 -- Reg. Agent Change	View image in PDF format
01/24/2006 -- ANNUAL REPORT	View image in PDF format
04/04/2005 -- ANNUAL REPORT	View image in PDF format
04/02/2004 -- ANNUAL REPORT	View image in PDF format
03/03/2003 -- ANNUAL REPORT	View image in PDF format
03/06/2002 -- ANNUAL REPORT	View image in PDF format
08/15/2001 -- ANNUAL REPORT	View image in PDF format
08/15/2000 -- ANNUAL REPORT	View image in PDF format

03/17/1999 -- ANNUAL REPORT	View image in PDF format
01/30/1998 -- ANNUAL REPORT	View image in PDF format
05/06/1997 -- ANNUAL REPORT	View image in PDF format
01/25/1996 -- ANNUAL REPORT	View image in PDF format

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 284	Name GAMING & ENTERTAINMENT TOUCH TECHNOLOGY LLC	Extended To
License # 12864712	Rank SBUS - Slot Machine Bus Occupational License	Renewed On
Entity # 12864712	Lic Status Current	

Address

Street # 4760	Street SOUTH POLARIS AVE SUITE B	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89103
	Routing	

Other

1st License Date 12/20/2021	Rank Date 12/20/2021	Certificate #
Method I-S-1020	Status Date 12/20/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

DBA NameDBA **GETT****License**

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 225	Name HAYES INTERNATIONAL INC	Extended To
License # 11018137	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/12/2021
Entity # 11018137	Lic Status Current	

Address

Street # 7550	Street SW 42ND COURT	
Line 2		
Line 3		
City DAVIE	State FL	Zip 33314
	Routing	

Other

1st License Date 02/26/2016	Rank Date 07/12/2021	Certificate #
Method I-S-1017	Status Date 07/12/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 7	Name IGT INC	Extended To
License # 7800936	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/02/2021
Entity # 7800936	Lic Status Current	

Address

Street # 6355	Street S BUFFALO DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
	Routing	

Other

1st License Date 06/29/2018	Rank Date 06/02/2021	Certificate #
Method I-S-1017	Status Date 08/01/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 140	Name INCREDIBLE TECHNOLOGIES CASINO GAMES INC	Extended To
License # 8801896	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/30/2021
Entity # 8801896	Lic Status Current	

Address

Street # 200	Street CORPORATE WOODS PARKWAY	
Line 2		
Line 3		
City VERNON HILLS	State IL	Zip 60061
	Routing	

Other

1st License Date 10/25/2010	Rank Date 06/30/2021	Certificate #
Method I-S-1020	Status Date 07/01/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 134	Name INTERBLOCK USA L. C.	Extended To
License # 8759471	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/29/2022
Entity # 8759471	Lic Status Current	

Address

Street # 1106	Street PALMS AIRPORT DRIVE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119-3730
	Routing	

Other

1st License Date 08/06/2010	Rank Date 06/29/2022	Certificate #
Method I-S-1020	Status Date 07/21/2016	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 224	Name INTERNATIONAL GAMING SOLUTIONS INC	Extended To
License # 10965598	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/28/2021
Entity # 10965598	Lic Status Current	

Address

Street # 7991	Street WEST 25TH COURT	
Line 2		
Line 3		
City HIALEAH	State FL	Zip 33016
	Routing	

Other

1st License Date 02/03/2016	Rank Date 07/28/2021	Certificate #
Method I-S-1020	Status Date 07/28/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 30	Name KONAMI GAMING INC	Extended To
License # 7883140	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/30/2018
Entity # 7883140	Lic Status Current	

Address

Street # 585	Street KONAMI CIRCLE	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
	Routing	

Other

1st License Date 06/30/2021	Rank Date 07/30/2021	Certificate #
Method I-S-1017	Status Date 07/30/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 174	Name EVERI GAMES, INC.	Extended To
License # 9943621	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/25/2021
Entity # 9943621	Lic Status Current	

Address

Street # 7250	Street S TENAYA WAY, SUITE 100	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89113
	Routing	

Other

1st License Date 06/27/2018	Rank Date 06/25/2021	Certificate #
Method I-S-1017	Status Date 07/17/2018	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 124	Name PATRIOT GAMING & ELECTRONICS, INC.	Extended To
License # 8605145	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/18/2022
Entity # 8605145	Lic Status Current	

Address		
Street # 217	Street N. LINDBERG	
Line 2		
Line 3		
City GRIFFITH	State IN	Zip 46319
Routing		

Other		
1st License Date 06/25/2010	Rank Date 07/18/2022	Certificate #
Method I-S-1020	Status Date 07/18/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 76	Name SPIN INC	Extended To
License # 8010467	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 05/25/2021
Entity # 8010467	Lic Status Current	

Address		
Street # 35	Street SW 12TH AVE SUITE 107	
Line 2		
Line 3		
City DANIA BEACH	State FL	Zip 33004
Routing		

Other		
1st License Date 07/20/2009	Rank Date 05/25/2021	Certificate #
Method I-S-1020	Status Date 07/18/2012	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License		
Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2025
File # 218	Name TOTAL KEY CONTROL, INC.	Extended To
License # 10764411	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 09/01/2022
Entity # 10764411	Lic Status Current	

Address		
Street # 7777	Street NORTH WICKHAM ROAD	
Line 2 SUITE 12-603		
Line 3		
City MELBOURNE	State FL	Zip 32940
Routing		

Other		
1st License Date 08/29/2019	Rank Date 09/01/2022	Certificate #
Method I-S-1020	Status Date 09/01/2022	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 29	Name VSR INDUSTRIES INC	Extended To
License # 1499535	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/15/2021
Entity # 1499535	Lic Status Current	

Address

Street # 6190	Street MT VISTA STREET	
Line 2		
Line 3		
City HENDERSON	State NV	Zip 89014
Routing		

Other

1st License Date 08/18/2009	Rank Date 06/15/2021	Certificate #
Method I-S-1020	Status Date 07/07/2015	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 244	Name NAO VENTURES INC	Extended To
License # 11938935	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 06/18/2021
Entity # 11938935	Lic Status Current	

Address

Street # 6155	Street NW 72 AVE	
Line 2		
Line 3		
City MIAMI	State FL	Zip 33166
Routing		

Other

1st License Date 01/07/2019	Rank Date 06/18/2021	Certificate #
Method I-S-1017	Status Date 07/10/2019	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent

License

Fed Tax # [REDACTED]	Lic Type 1050 - Slot Machine Business	Expires On 06/30/2024
File # 182	Name ZITRO USA INC.	Extended To
License # 10019307	Rank SBUS - Slot Machine Bus Occupational License	Renewed On 07/15/2021
Entity # 10019307	Lic Status Current	

Address

Street #	Street VIA AUSTI PARKWAY, SUITE 170	
Line 2		
Line 3		
City LAS VEGAS	State NV	Zip 89119
Routing		

Other

1st License Date 06/29/2015	Rank Date 07/15/2021	Certificate #
Method I-S-1017	Status Date 07/15/2021	Certificate Date
Fee Exempt No	Birth Date	Renewal Sent



MEMORANDUM

TO: Jamie Pouncey, Program Administrator

FROM: Bill Crafts, Chief of Slot Operations

SUBJECT: Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach Annual Internal Review

DATE: August 18, 2023

The office of Slot Operations has completed our annual review of the Internal Controls of Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach. At this time, our assessment has shown full compliance with 551, Fla. Stat. (2005) and Fla. Admin. 75-14. We strive to continuously review all our licensed facilities systems of control, and work closely with the property's management and compliance officers to ensure rigorous adherence to Florida Statute and Administrative Code. Our annual review involves a more comprehensive and robust examination of all Internal Controls as adopted by the facility.

This review was conducted by the Tax & Audit Group of the Office of Slot Operations, with their report SOIC-2024-274 being issued on August 18, 2023.

As always, should you have any questions or concerns pertaining to this matter, please do not hesitate to Contact me.

Bill Crafts
Chief of Slot Operations



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023048572 **Incident date:** 08/28/2023 **Status:** 10 - Initial Review

Lic Type: 1003 **Disposition:**

Case Type: Complaint

Responsible: ljelks - JELKS, LA'KESHA

Complainant: DANIA ENTERTAINMENT CENTER, LLC
301 EAST DANIA BEACH BLVD., DANIA BEACH, FL 33004

Respondent: FLORIDA GAMING CONTROL COMMISSION
4070 ESPLANADE WAT, SUITE 250, TALLAHASSEE, FL 32399

Summary: PERMITHOLDER APPLICATION FOR ANNUAL SLOT MACHINE LICENSE CHECKLIST

APPLICATION INFORMATION

Permitholder Name: Dania Entertainment Center, LLC d/b/a The Casino @ Dania Beach – 274 –
File 4

Date Received: August 8, 2023

Reviewer Name: La'Kesha Jelks

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	08/28/2023	R		ljelks	JELKS, LA'KESHA	ljelks	
	08/28/2023	S	1003	10	Initial Review	ljelks	

(No subject)

Karen Stelter <Karen.Stelter@flgaming.gov>

Fri 10/27/2023 12:21 PM

To:La'Kesha Jelks <LaKesha.Jelks@flgaming.gov>

Cc:Jamie Pouncey <Jamie.Pouncey@flgaming.gov>;Tracy Swain <Tracy.Swain@flgaming.gov>

📎 1 attachments (8 KB)

TAXPAYMENTB-20231027.log;

Per the attached #274 Dania, paid their slot fee. Please let me know if you have any questions or need any additional information.



Best Regards,

Karen L Stelter, Professional Accountant Specialist, FCCM
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Revenue and Financial
Analysis
4070 Esplanade Way, Tallahassee, FL 32399
850-794-8129 Office ~ 850-539-8715 FAX

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PMT_TYPE	PMT_AMT	NDC_VER_CD	ERROR_DESC
10/27/2023	AD0082 00000000000274	5318	10/27/2023 10/26/2023
2	\$2,000,000.00	PO1	Slot Fees must be manually deposited and assigned to applications.
10/27/2023	AD0082 00000000000274	5318	10/27/2023 10/26/2023
2	\$250,000.00	PO1	Slot Fees must be manually deposited and assigned to applications.

```

[10/27/2023 12:00:08] The following batch numbers available: 23008943
[10/27/2023 12:00:08]
[10/27/2023 12:00:08] Running gzip to compress file for archiving.
[10/27/2023 12:00:08] gzip finished. Process exit code is: 0
[10/27/2023 12:00:08] Moving File to archive:
//bprtlfpvs03/Seebeyond/archive/eft/EFT231027080351.DAT.gz
[10/27/2023 12:00:08] Archive finished.
[10/27/2023 12:00:08] Deleting file slic_input/EFT/EFT231027080351.DAT from remote
host dbprftp.state.fl.us
[10/27/2023 12:00:09] File delete successful.
[10/27/2023 12:00:09] SMTP Host: mail.dbpr.state.fl.us
[10/27/2023 12:00:09] From: call.center@dbpr.state.fl.us
[10/27/2023 12:00:09] To: chris.presley@dbpr.state.fl.us
[10/27/2023 12:00:09] To: Eric.Thiele@dbpr.state.fl.us
[10/27/2023 12:00:09] To: Karen.Stelter@flgaming.gov
[10/27/2023 12:00:09] To: Kelley.VanValkenburgh@myfloridalicense.com
[10/27/2023 12:00:09] To: Kira.Sadler@myfloridalicense.com
[10/27/2023 12:00:09] To: Latheria.Gibbs@myfloridalicense.com
[10/27/2023 12:00:09] To: Maria.Nickels@myfloridalicense.com
[10/27/2023 12:00:09] To: Mike.Coleman@myfloridalicense.com
[10/27/2023 12:00:09] To: Neysa.Brogdon@dbpr.state.fl.us
[10/27/2023 12:00:09] To: Sally.Huggins@myfloridalicense.com
[10/27/2023 12:00:09] To: Stephanie.Gurley@myfloridalicense.com
[10/27/2023 12:00:09] To: TecOperations@dbpr.state.fl.us
[10/27/2023 12:00:09] To: Tracy.Swain@flgaming.gov
[10/27/2023 12:00:09] To: wesley.dupont@dbpr.state.fl.us
[10/27/2023 12:00:09] Subject: Seebeyond Interface TAXPAYMENTB was partially
successful!
[10/27/2023 12:00:09] Content: Seebeyond Interface TAXPAYMENTB was partially
successful. Errors occurred during processing. Log file is attached.
[10/27/2023 12:00:09] Attachment:
/usr/local/application/job/SingleLicense/accenture/fldbpr/batchInterface/exclude/log
/TAXPAYMENTB-20231027.log

```



State of Florida
Department of Business and Professional Regulation
Cash Listing Report

Client: 79 - Department of Business & Professional Regulation
Batch #: 23008943 **Total \$ Entered:** \$ 2,250,000.00
Receipt: 2 **Receipts Entered:** 2

Origin: EFT **Fiscal Year:** 2023
Deposit #: AD0082 **Deposit Date:** 2023-10-27
Total: \$ 2,250,000.00 **Status:** Deposited

Receipt #	DLN	Received	Disp	Pmt	Note	Unassigned	Prof	Remitted By / Beneficiary	File #	License #	Assigned
230059174		\$ 250,000.00	DEP	EFT		\$ 250,000.00	1003	DANIA ENTERTAINMENT CENTER, LLC	4	274	\$ 0.00
230059175		\$ 2,000,000.00	DEP	EFT		\$ 2,000,000.00	1003	DANIA ENTERTAINMENT CENTER, LLC	4	274	\$ 0.00
Total:		\$ 2,250,000.00				\$ 2,250,000.00					\$ 0.00

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Application Home | Change Application

Domain: 10 - Division of Pari-Mutuel Wagering

Logged in as: tjelks

VR Home > Application Search > Transaction Check List > Transaction Header

Fed Tax # [REDACTED]	Name DANIA ENTERTAINMENT CENTER, LLC	Lic Type 1003 - Permitholder App for Annual Slot Licens	Trans Class 1 - Initial
File # 4	Rank Permit holder Appl Annual Slot Mach Lic	App # 135	Sec Class S - Standard
License # 274	Lic Status Current	Status Open	
Entity # 274	Expires On 11/08/2023	Trans Code 1020 - Slot Machine License	

App Date

mm/dd/yyyy

Normal Expiration Date **10/27/2024**

Override Expiration Date

mm/dd/yyyy

Application Disposition

1st Reminder

2nd Reminder

Total Fee \$	2,250,000.00
Paid \$	2,250,000.00
Released \$	2,250,000.00
Due \$	0.00

Notes

Set License Expiry

Application For

Rank **Permit holder Appl Annual Slot Mach Lic**
Lic Status **Current**

Modifiers

Type Code Additional Info

Type	Modifier	Additional Info	Actions
No items found.			

-
-
-
-
-
-
-
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5. Discussion of Consent Orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Valeriy Tushin Case No. 2023-047841: Consent Order
Date: October 13, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the adoption of the proposed settlement and consent order signed by Valeriy Tushin (“Respondent”) to resolve Case No. 2023-047841. Pursuant to the terms of the proposed order, Respondent would pay an administrative fine of \$300 for violating rules 75-11.004(8)(a) and 75-11.004(2)(a), Florida Administrative Code.

Background

On August 21, 2023, Respondent was a cardroom dealer at Washington County Kennel Club.¹ Respondent was seen, contrary to rule 75-11.004(8)(a), Florida Administrative Code, failing to clear his hands when cash, chips, or tokens was exchanged with or provided to a player. Furthermore, Respondent was seen, contrary to rule 75-11.004(2)(a), failing to spread currency on top of the card table in front of the impreset tray on one or more occasion when receiving currency from a player in exchange for chips or tokens. Respondent has two prior violations of rule 75-11.004(8)(a).

The Division served Respondent with an administrative complaint and included a proposed settlement and consent order seeking a \$300 administrative fine. Respondent has agreed to the terms of the proposed order and has already mailed in a check for \$300.

Analysis

The Commission may resolve matters informally through a negotiated settlement.² The Commission has the authority to impose an administrative fine of \$1,000.00 for

¹Washington County Kennel Club is operated by a pari-mutuel wagering permitholder that also possesses a pari-mutuel and cardroom license.

² See § 120.57(4), Fla. Stat. (“Unless precluded by law, informal disposition may be made of any proceeding by stipulation, agreed settlement, or consent order.”)

each violation of section 849.086, Florida Statutes, or any rules adopted pursuant to that section.³

Rule 75-11.004(8)(a), Florida Administrative Code, provides, that the dealers shall clear their hands when cash, chips, or tokens are exchanged with or provided to a player.

Rule 75-11.004(2)(a), Florida Administrative Code, provides in pertinent part, that a dealer who receives currency from a player at a card table in exchange for chips or tokens must spread the currency on the top of the card table in front of the imprest tray;

Because Respondent failed to clear his hands at least thirty times after gathering and pushing pots to players and failed to spread currency on top of the card table in front of the imprest tray on one or more occasion when receiving currency from a player in exchange for chips or tokens. Respondent is subject to an administrative fine not to exceed \$1000.00 per count.

Staff Recommendation: The Florida Gaming Control Commission should enter a Final Order adopting and incorporating the proposed settlement and consent order in Case No. 2023-047841.

³ § 849.086(14)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL
COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-047841

VALERIY TUSHIN,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), and Valeriy Tushin (“Respondent”), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order (“Consent Order”):

1. At all times material hereto, Respondent held a Pari-Mutuel Wagering Cardroom Employee Occupational License, number 10478144-1012, issued by the Petitioner.

2. This Consent Order is to be entered in resolution of FGCC Case Number 2023-047841, alleging that:

- a. On or about August 21, 2023, Respondent was in violation of rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player.
- b. On or about August 21, 2023, Respondent was in violation of rule 75-11.004(2)(a), Florida Administrative Code, by failing to spread currency on top of the card table in front of the imprest tray on one or more occasions when receiving currency from a player in exchange for chips or tokens.

3. Aggravation: This Consent Order was entered into in consideration of Respondent's previous disciplinary history, including two prior violations of rule 75-11.004(8)(a), Florida Administrative Code, in FGCC Case Numbers 2018-015620 and 2018-048126.

STIPULATION

WHEREAS the Division and Respondent ("Parties"), desire to resolve this matter, the following terms are stipulated:

4. The Division has jurisdiction over this matter and the Parties.
5. The Division is authorized by section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.
6. Each of the Parties has entered into the terms of this Consent Order voluntarily. Respondent is aware that it is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that the Division has not made any promise, nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.
7. This Consent Order is enforceable under section 120.69, and chapter 550, Florida Statutes, as a final agency action.
8. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Division in this action.
9. The Parties acknowledge and agree that this Consent Order constitute the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

10. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Division against Respondent for acts or omissions not specifically set forth herein.

11. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future proceedings involving Respondent.

12. **FINE:** Respondent agrees to, and must pay to the Division, the sum of **THREE HUNDRED DOLLARS (\$300.00)** at the time Respondent submits an executed copy of this Consent Order. The fines are assessed as follows:

a. **Count I: \$250.00**

b. **Count II: \$50.00**

13. **The payment must be in the form of a certified check or money order, made payable to the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering.** Division's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

14. Respondent must mail this Consent Order and the payment to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 4070 Esplanade Way, Suite 250, Tallahassee, Florida 32399, Attention: Donna Fleming.** Please note FGCC Case Number 2023-047841 on the face of the check.

15. The Parties acknowledge and agree that this Consent Order is subject to approval by the Florida Gaming Control Commission ("Commission"). The Consent Order will have no force and effect unless the Commission files a Final Order adopting this Consent Order. Should

this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

16. Upon the Commission's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from the Division in connection with these proceedings.

17. This Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Commission to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Consent Order. Should the Commission not accept this Consent Order, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Commission shall not unfairly or illegally prejudice the Commission from further participation, consideration, or resolution of these proceedings.

18. This Consent Order may be signed in counterparts, and copies shall be treated as original.

19. The Parties agree that this serves as notice that the signed Consent Order will be placed on the next available Commission meeting.

20. Respondent is not required to attend the Commission meeting. If Respondent would like to attend, he/she is responsible for checking the Florida Gaming Control Commission website at www.flgaming.gov, for the meeting materials, agenda, and contact information.

21. Respondent authorizes the Division to correct any typographical errors or make any non-material changes to this Consent Order after it is signed.

Respondent, VALERIY TUSHIN, agrees and consents to the terms and conditions of this Stipulation and Consent Order in FGCC Case Number 2023-047841, this 3 day of October, 2023.




VALERIY TUSHIN, Respondent

STATE OF FL


COUNTY OF Bay

The foregoing instrument was acknowledged before me, by means of physical presence or online notarization, this 3 day of Oct, 2023, by Valeriy Olegovich Tushin, who is personally known to me or who produced the following as identification: FL DL



Notary Public

My commission expires:



Gregory Blake Dechau
Notary Public
State of Florida
My Commission Expires 12/1/2026
Commission No. HH 337348

APPROVED this 13 day of October, 2023.

Emily Alvarado

EMILY ALVARADO
DEPUTY CHIEF ATTORNEY
Division of Pari-Mutuel Wagering
Florida Gaming Control Commission

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-047841

VALERIY TUSHIN,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Valeriy Tushin (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Cardroom Employee Occupational License, number 10478144-1012, issued by Petitioner.
3. At all times material hereto, Respondent worked as a cardroom dealer at Washington County Kennel Club.
4. At all times material hereto, Washington County Kennel Club was a facility operated by a permitholder authorized to conduct pari-mutuel wagering and cardroom operations in the state of Florida under chapters 550 and 849, Florida Statutes.

COUNT I

5. Petitioner realleges and adopts paragraphs numbered one, two, three, and four as if set forth fully herein.

6. On or about August 21, 2023, Respondent failed to clear his hands on one or more occasions when cash, chips, or tokens were exchanged with or provided to a player.

7. Rule 75-11.004(8)(a), Florida Administrative Code, states, in pertinent part, that dealers shall “[c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player.”

8. Based on the foregoing, Respondent violated rule 75-11.004(8)(a), Florida Administrative Code, by failing to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on or about August 21, 2023.

COUNT II

9. Petitioner realleges and adopts paragraphs numbered one, two, three, and four as if set forth fully herein.

10. On or about August 21, 2023, Respondent failed to spread currency on top of the card table in front of the imprest tray on one or more occasions when receiving currency from a player in exchange for chips or tokens.

11. Rule 75-11.004(2)(a), Florida Administrative Code, states, in pertinent part, that “[a] dealer who receives currency from a player at a card table in exchange for chips or tokens must . . . [s]pread the currency on top of the card table in front of the imprest tray.”

12. Based on the foregoing, Respondent violated rule 75-11.004(2)(a) by failing to spread currency on top of the card table in front of the imprest tray on one or more occasions when receiving currency from a player in exchange for chips or tokens, on or about August 21, 2021.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in sections 550.105 and 849.086(14)(c), Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-047841 is signed this 20th day of September 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32311
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline					
Mass Status Update	Public Case Info								

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1012 - Cardroom Employee Occupational	Status	90 Closed	Status Date	09/15/2023
Complaint #	2023047841	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#		Respondent	TUSHIN, VALERIY	Responsible	bjones - JONES, BRADFORD
					Private Case

Complaint	Respondent	Complainant	Add'l Info
Source	INTN - Internal	Security Level	1
Form	INTR - Internal	Priority	
Class'n	V-C - Cardroom Violations	Complexity	R - Regular
Security	STND - Standard	Incident	08/18/2023
Region	NR - Northern Region	Received	08/23/2023
Reference	75-11.004		
Entered	08/23/2023	Entered By	nmelvai
Summary	<p>154----WASHINGTON COUNTY KENNEL Club, Inc. (EBRO)---[{75-11.004 Dealer Responsibilities}]---- On August 21, 2023, during a quarterly cardroom inspection at Washington County Kennel Club (Ebro), I observed VALERIY TUSHIN, a cardroom dealer fail to spread currency on the top of the card table in front of the imprest tray when receiving currency from a player in exchange for chips or tokens. I also observed him fail to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on multiple occasions.</p>		
Updated	09/18/2023 08:27:49	By	cstubbs1

<input type="checkbox"/>	Parties	<input checked="" type="checkbox"/>	Activities
<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
<input type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
<input type="checkbox"/>	Inspection		
<input type="checkbox"/>	Costs		
<input type="checkbox"/>	Time Tracking		Auto Assign
<input type="checkbox"/>	Attachments		History
<input type="checkbox"/>	Work Notes		Print Report

Change	Save	OK	Cancel	Back
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: CENTRAL	Date of Complaint: AUGUST 26, 2023	Case Number: 2023 04 7841
Respondent: TUSHIN, VALERIY 208 WATERFORD DRIVE LYNN HAVEN, FLORIDA (850) 238-9037		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD. SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # and Type: 10478144 / 1012		Profession: POKER DEALER	Report Date: AUGUST 29, 2023
Period of Investigation: AUGUST 21, 2023 – AUGUST 29, 2023		Type of Report: FINAL	
Alleged Violation: 75-11.004 Dealer Responsibilities.			
(2) A dealer who receives currency from a player at a card table in exchange for chips or tokens must perform the following: (a) Spread the currency on the top of the card table in front of the imprest tray. (8) Dealers shall: (a) Clear their hands when cash, chips, or tokens are exchanged with or provided to a player.			
Synopsis: On August 21, 2023, during a quarterly cardroom inspection at Washington County Kennel Club (Ebro), I observed Cardroom Dealer VALERIY TUSHIN fail to spread currency on the top of the card table in front of the imprest tray when receiving currency from a player in exchange for chips or tokens. I also observed him fail to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on multiple occasions.			
Related Case(s): 2018 01 5620 & 2018 04 8126			
Investigator / Date <i>/s/ Randa Samson</i> Randa Samson / August 29, 2023,		Investigator Supervisor / Date <i>C. Derek Washington</i> C. Derek Washington / September 14, 2023	
Chief of Investigations / Date <i>/s/ Bradford D. Jones</i> Bradford D. Jones / September 15, 2023			

CONTINUATION

On August 21, 2023, I conducted a quarterly cardroom inspection at Washington County Kennel Club (Ebro). During my inspection, recorded surveillance video was reviewed of random Cardroom Dealers. While reviewing the surveillance footage of August 18, 2023, I observed Poker Dealer VALERIY **TUSHIN** (PMW LIC# (10478144), assigned to Table #25 at approximately 2:28 PM through 2:58 PM, failing to clear his hands thirty (30) times when cash, chips, or tokens, were exchanged with or provided to a player. I also observed him fail to spread the currency on the top of the card table in front of the imprest tray when receiving money from a player in exchange for chips or tokens.

Careful review of the video evidence of August 18, 2023, obtained from Washington County Kennel Club (**EXHIBIT #2**) revealed the following violations:

- 2:28 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:29 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:30 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:31 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:32 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:33 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:35 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:35 PM; Respondent failed to clear his hands when cash were exchanged with a player and failed to spread the currency on the top of the card table in front of the imprest tray.
- 2:36 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:37 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:38 PM; Respondent failed to clear his hands when chips were exchanged with two players.
- 2:40 PM; Respondent failed to clear his hands when chips were exchanged with two players.
- 2:41 PM; Respondent failed to clear his hands when chips were exchanged with two players.
- 2:42 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:43 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:44 PM; Respondent failed to clear his hands when chips were exchanged with a player.

CONTINUATION

- 2:45 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:46 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:50 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:50 PM; Respondent failed to clear his hands when cash were exchanged with a player and failed to spread the currency on the top of the card table in front of the imprest tray.
- 2:51 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:52 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:53 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:54 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:55 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:56 PM; Respondent failed to clear his hands when chips were exchanged with a player.
- 2:57 PM; Respondent failed to clear his hands when chips were exchanged with a player.

VALERIY **TUSHIN** violated F.A.C. Rule: **75-11.004 (2)(a)** and **(8)(a)** because he failed to clear his hands when cash, chips, or tokens were exchanged with or provided to a player on thirty (30) occasions. Additionally, **TUSHIN** failed to spread the currency on the top of the card table in front of the imprest tray when receiving currency from a player in exchange for chips or tokens.

A review of the Versa Regulation Enforcement database showed two previous violations against **TUSHIN** for violating F.A.C. Rule: **75-11.004(8)(a)** failing to clear his hands while working as a dealer. However, he has no prior violations of Rule **75-11.004(2)(a)**. The violations and related penalties were:

- Case #2018 01 5620, Consent Order- Fifty dollar (\$50.00) fine imposed **(EXHIBIT #3)**.
- Case #2018 04 8126, Consent Order - Fifty dollar (\$50.00) fine imposed. **(EXHIBIT #4)**.

Status: Closed by Investigations and forwarded to Legal for review.

CONTINUATION

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	4. Consent Order Case# 2018 04 8126.....	1-9



VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvat

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#) > [License Home](#)

<p>License</p> <p>Fed Tax # [REDACTED] Lic Type 1012 - Cardroom Employee Occupational Expires On 06/30/2026</p> <p>File # 8239 Name Tushin, Valeri Extended To</p> <p>License # 10478144 Rank CEMP - Cardroom Employee Occupational Renewed On 06/28/2023</p> <p>Entity # 10478144 Lic Status Current</p>		<input type="checkbox"/> <table border="1"> <tr><td>Licensee</td></tr> <tr><td>History</td></tr> <tr><td>Notes</td></tr> <tr><td>Notes History</td></tr> <tr><td>Back</td></tr> </table>	Licensee	History	Notes	Notes History	Back														
Licensee																					
History																					
Notes																					
Notes History																					
Back																					
<p>Address</p> <p>Street # 208 Street WATERFORD DRIVE</p> <p>Line 2</p> <p>Line 3</p> <p>City LYNN HAVEN State FL Zip 32444</p> <p>Routing</p>																					
<p>Other</p> <p>1st License Date 07/02/2020 Rank Date 06/28/2023 Certificate #</p> <p>Method I-S-1024 Status Date 07/02/2020 Certificate Date</p> <p>Fee Exempt No Birth Date [REDACTED] Renewal Sent</p>																					
<p>Select</p> <p>Action <input type="text"/>  </p>																					
<p>Modifiers</p> <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>DEAL - Dealer</td> <td>06/25/2017</td> <td></td> </tr> <tr> <td>I</td> <td>GHND - Greyhound</td> <td>06/04/2014</td> <td></td> </tr> <tr> <td>L</td> <td>154 - Washington County Kennel Club, Inc.</td> <td>06/04/2014</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>06/04/2014</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	C	DEAL - Dealer	06/25/2017		I	GHND - Greyhound	06/04/2014		L	154 - Washington County Kennel Club, Inc.	06/04/2014		Y	3YR - 3 Year License	06/04/2014	
Type	Modifier	Effective Date	Additional Info																		
C	DEAL - Dealer	06/25/2017																			
I	GHND - Greyhound	06/04/2014																			
L	154 - Washington County Kennel Club, Inc.	06/04/2014																			
Y	3YR - 3 Year License	06/04/2014																			
<p>Alt Keys</p> <p>BEST LIC NBR 10478144</p>																					

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EXHIBIT #1
PAGE 1/1

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

FILED	
Department of Business and Professional Regulation Deputy Agency Clerk	
CLERK	Brandon Nichols
Date	6/21/2018
File #	2018-04864

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR CASE NO. 2018-015620

v.

VALERIE TUSHIN,

Respondent.

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, ("Petitioner") and Valerie Tushin ("Respondent"), hereby stipulate and agree to the following terms and issuance of this Consent Order.

1. Petitioner has jurisdiction over this matter and the parties and is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by Consent Order.
2. At all times material hereto, Respondent held a cardroom employee occupational license, number 10478144-1012, issued by Petitioner.
3. This Stipulation and Consent Order is to be entered in resolution of the Administrative Complaint filed in the above styled manner, alleging that Respondent violated Rule 61D-11.004(9)(a), Florida Administrative Code, by failing to clear her hands after pushing pots to winners at Washington County Kennel Club Inc., on March 10, 2018.
4. As mitigation the Division acknowledges that Respondent has no prior violations of Rule 61D-11.004(9)(a), Florida Administrative Code.

STIPULATION

WHEREAS the parties desire to resolve this matter, the following terms are stipulated and agreed upon:

1. This Stipulation and Consent Order is enforceable under Section 120.69 and Chapter 550, Florida Statutes, as final agency action.
2. Respondent neither admits nor denies a violation of Rule 61D-11.004(9)(a), Florida Administrative Code, in this case, which requires dealers to “clear their hands when cash, chips, or tokens are exchanged with or provided to a player”.
3. Respondent and Petitioner agree that Respondent shall pay an administrative fine in the amount of **Fifty Dollars (\$50.00)**.
4. Respondent agrees, at the time she submits an executed copy of this Consent Order to Petitioner, she shall provide a check or money order payable to the Department of Business and Professional Regulation in the amount of **Fifty Dollars (\$50.00)** for payment of this administrative fine. Petitioner’s adoption of this Consent Order constitutes acknowledgment of receipt of payment.
5. **Respondent shall submit the executed copy of this Consent order along with the cashier’s or certified check to: Department of Business and Professional Regulation, Office of General Counsel, 2601 Blair Stone Road, Mail Stop N21, Tallahassee, FL 32399-2202, DEBORAH MATTHEWS.** The Petitioner’s adoption of this Consent Order constitutes acknowledgement of receipt of payment of the above amount.
6. Respondent and Petitioner fully acknowledge and agree that this Consent Order will in no way preclude additional proceedings by Petitioner against Respondent for acts or omissions

not specifically set forth in this present matter, and this Consent Order may be considered in aggravation of any future disciplinary proceedings involving Respondent.

7. Each party has entered into the terms of this Consent Order voluntarily. Respondent is aware that she is entitled to the advice of counsel. Respondent has either sought the advice of counsel or by execution of this Consent Order is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that Petitioner has not made any promise nor has it in any other way encouraged Respondent to enter into this Consent Order without the advice of counsel.

8. Each party shall bear its own costs and legal fees related to this matter, and no financial claim shall be made against Petitioner in this action.

9. It is expressly understood that this Consent Order is subject to the approval of the Director of the Division of Pari-Mutuel Wagering ("Director"). In this regard, the Stipulation shall have no force and effect unless this Consent Order is signed by both parties. Should this Consent Order be rejected, no statement made in furtherance of this stipulation by Respondent may be used as direct evidence against the Respondent in any proceeding.

10. Respondent and Petitioner acknowledge and agree that this Consent Order constitutes the Final Order of the Division of Pari-Mutuel Wagering respecting the matters set forth above and that further administrative and judicial review is hereby waived by both parties.

11. Upon Petitioner's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order and the matters addressed hereby. Upon Petitioner's adoption of this Consent Order, Respondent waives the right to seek any attorney's fees or costs from the Department in connection with this proceeding.

12. This Consent Order is executed by both parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent

authorizes the Director to review and examine all materials concerning Respondent prior to or in conjunction with consideration of the Stipulation. Should this Stipulation not be accepted by the Director, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

WHEREFORE, IT IS STIPULATED AND AGREED that Respondent shall pay to the Department of Business and Professional Regulation an administrative fine of **Fifty Dollars (\$50.00)**.

Respondent, VALERIE TUSHIN hereby agrees and consents to the terms and conditions of this Consent Order this 17 day of MAY, 2018.



VALERIE TUSHIN
Respondent

STATE OF FLORIDA
COUNTY OF Bay

Sworn to (or affirmed) and subscribed before me this 17th day of May, 2018, by VALERIE TUSHIN, who is personally known to me or who produced the following as identification: Florida DL

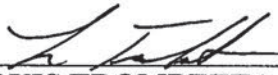


Notary Public
My Commission Expires:



EXHIBIT #3
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APPROVED for legal sufficiency this 19 day of June, 2018



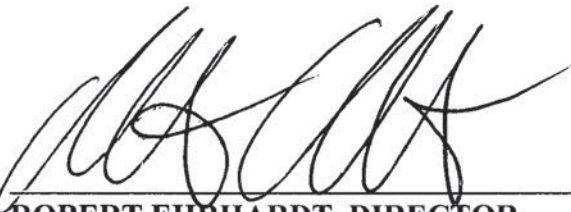
LOUIS TROMBETTA, CHIEF ATTORNEY
Florida Bar No. 108119
Office of the General Counsel
Division of Pari-Mutuel Wagering
Department of Business and
Professional Regulation
2601 Blair Stone Road, Mail Stop N21
Tallahassee, Florida 32399-2202
Louis.Trombetta@MyFloridaLicense.com

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case No. 2018-015620 once it is filed with the Agency Clerk.

DONE AND ORDERED this 19 day of June, 2018, in
Tallahassee, Florida.



ROBERT EHRHARDT, DIRECTOR
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road, Mail Stop N21
Tallahassee, FL 32399-1035

EXHIBIT #3
PAGE 5/9

CERTIFICATE OF SERVICE

I hereby certify this 21st day of June, 2018, that true copies of

the foregoing "Order" have been served by U.S. Mail upon:

VALERIE TUSHIN
112 Dana Way
Panama City Beach, Florida 32407



AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

EXHIBIT #3
PAGE 6/9

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR CASE No. 2018-015620

v.

VALERIE TUSHIN,

Respondent,
_____ /

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering ("Division"), files this Administrative Complaint against Valerie Tushin ("Respondent"), and alleges as follows:

1. The Division is the state agency charged with regulating pari-mutuel wagering and cardroom operations pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto Respondent held a cardroom employee occupational license, number 10478144-1012, issued by the Division.
3. At all times material hereto Respondent was employed as a cardroom dealer Washington County Kennel Club Inc., a licensed pari-mutuel facility in the state of Florida with a licensed cardroom.
4. On March 10, 2018, between 8:14 pm (ET) and 8:26 pm (ET), Respondent, after pushing pots to winning players, failed to clear her hands on several occasions, by displaying the front and back of her hands with her fingers spread over the table.

5. Rule 61D-11.004(9)(a), Florida Administrative Code, requires dealers to “clear their hands when cash, chips, or tokens are exchanged with or provided to a player.”

6. By failing to clear her hands after pushing pots to winning players, Respondent violated Rule 61D-11.004(9)(a), Florida Administrative Code.

7. Section 849.086(14)(c), Florida Statutes, provides that the Division may “impose an administrative fine not to exceed \$1,000 for each violation against any person who has violated or failed to comply with the provisions of this section or any rules adopted pursuant thereto.”

8. In addition, Section 849.086(6)(f), Florida Statutes, provides that “[t]he provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) [Florida Statutes] relating to licensure shall be applicable to cardroom occupational licenses.” Section 550.105(5)(b), Florida Statutes, provides that “[t]he division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the division”

9. Based on the foregoing, by violating Rule 61D-11.004(9)(a), Florida Administrative Code, Respondent is subject to discipline pursuant to Sections 849.086(14)(c), 849.086(6)(f), and 550.105(5)(b), Florida Statutes.

(SIGNATURE PAGE TO FOLLOW)

WHEREFORE, Petitioner respectfully requests the Division enter an Order imposing an administrative fine and/or such other administrative sanctions as authorized by Sections 849.086(14)(c), 849.086(6)(f), and 550.105(5)(b), Florida Statutes, and/or any other relief the Division is authorized to impose pursuant to Chapter 550, Florida Statutes, and the rules promulgated thereunder.

Signed this 24th day of April, 2018.

/s/ Louis Trombetta

LOUIS TROMBETTA

Chief Attorney

Florida Bar No. 108119

Office of the General Counsel

Division of Pari-Mutuel Wagering

Department of Business and

Professional Regulation

2601 Blair Stone Road, Mail Stop N21

Tallahassee, Florida 32399-2202

(850)-717-1508 Telephone

Louis.Trombetta@MyFloridaLicense.com

NOTICE OF RIGHT TO REQUEST A HEARING

Please be advised that Respondent has the right to request a hearing to be conducted in accordance with Sections 120.569 and 120.57, Florida Statutes, to be represented by counsel or other qualified representative, to present evidence and argument, to call and cross-examine witnesses and to have subpoenas and *subpoenas duces tecum* issued on his or her behalf if a hearing is requested. Any request for an administrative proceeding to challenge or contest the charges contained in the administrative complaint must conform to Rule 28-106.2015, Florida Administrative Code. Rule 28-106.111, Florida Administrative Code, provides in part that if Respondent fails to request a hearing within 21 days of receipt of an agency pleading, Respondent waives the right to request a hearing on the facts alleged.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR Case No.: 2018-048126

v.

VALERIE TUSHIN,

Respondent.

_____ /

STIPULATION AND CONSENT ORDER

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (Petitioner), and Valerie Tushin (Respondent), stipulate and agree to the following terms and issuance of this Stipulation and Consent Order:

1. At all times material hereto, Respondent held a PMW Cardroom Employee Occupational License, number 10478144-1012, issued by the Petitioner.
2. This Stipulation and Consent Order, is to be entered in resolution of DBPR case number 2018-048126, alleging that on or about September 8, 2018, Respondent was in violation of Rule 61D-11.004(8)(a), Florida Administrative Code, by failing to clear her hands after gathering and pushing pots to winning players, as required.

STIPULATION

WHEREAS Petitioner and Respondent (Parties), desire to resolve this matter, the following terms are stipulated:

3. Petitioner has jurisdiction over this matter and the Parties.

EXHIBIT #4
PAGE 1/9

4. Petitioner is authorized by Section 120.57, Florida Statutes, to resolve administrative proceedings by consent orders.

5. Each of the Parties has entered into the terms of this Stipulation and Consent Order voluntarily. Respondent is aware that she/he is entitled to the advice of counsel, and has either sought the advice of counsel or by execution of this Stipulation and Consent Order, is knowingly waiving the opportunity to seek advice of counsel. Respondent acknowledges that Petitioner has not made any promise, nor has it in any other way encouraged Respondent to enter into this Stipulation and Consent Order without the advice of counsel.

6. This Stipulation and Consent Order is enforceable under Section 120.69, and Chapter 550, Florida Statutes, as a final agency action.

7. Each of the Parties must bear its own costs and legal fees related to this matter, and no financial claims can be made against the Petitioner in this action.

8. The Parties acknowledge and agree that this Stipulation and Consent Order constitute the final order in this case, and they also acknowledge and agree to waive any further administrative and judicial review.

9. The Parties understand that this Consent Order will in no way preclude additional proceedings by the Petitioner against Respondent for acts or omissions not specifically set forth herein.

10. Respondent neither admits, nor denies committing the violation set forth in this Consent Order, but this Consent Order may be considered in aggravation of any future disciplinary proceedings involving Respondent.

11. **FINE:** Respondent agrees to, and must pay to the Petitioner, the sum of **FIFTY DOLLARS (\$50.00)**, at the time Respondent submits an executed copy of this Consent Order.

The payment must be in the form of a cashier's check, or certified check, made payable to the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering. Petitioner's adoption of this Consent Order constitutes acknowledgment of receipt of payment of the above amount.

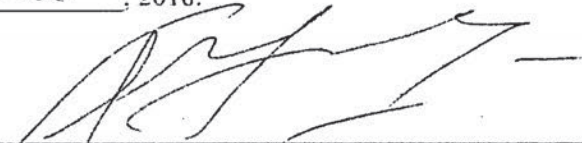
12. Respondent must **mail this Consent Order and the payment to: Department of Business and Professional Regulation, Office of the General Counsel, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202, Attention: PATRICIA BROADWAY.**

13. The Parties acknowledge and agree that this Stipulation is subject to the approval of the Director of the Division of Pari-Mutuel Wagering (Director). The Stipulation will have no force and effect unless signed by the Parties. Should this Consent Order be rejected, no statement made in furtherance of it by Respondent may be used as direct evidence against Respondent in any proceedings.

14. Upon the Division's adoption of this Consent Order, Respondent expressly waives all further procedural steps, including all rights to seek judicial review of this Consent Order, and waives the right to seek attorneys' fees or costs from Petitioner in connection with these proceedings.

15. This Stipulation and Consent Order is executed by the Parties for the purpose of avoiding further administrative action with respect to the matters addressed hereby. In this regard, Respondent authorizes the Director to review and examine all materials concerning Respondent, prior to or in conjunction with consideration of this Stipulation. Should the Director not accept this Stipulation, it is agreed that presentation to and consideration of this Consent Order and other documents and matters by the Director shall not unfairly or illegally prejudice the Director from further participation, consideration, or resolution of these proceedings.

Respondent, VALERIE TUSHIN, hereby agrees and consents to the terms and conditions of this Order, on this 8 day of November, 2018.




VALERIE TUSHIN
Respondent

STATE OF Florida

COUNTY OF Bay

Sworn to (or affirmed), and subscribed before me this 8th day of November, 2018, by VALERIE TUSHIN, who is personally known to me or who produced the following as identification: Florida Driver License Class E



Notary Public

My commission expires: November 07 2020



APPROVED for legal sufficiency this 4 day of December, 2018.



LOUIS TROMBETTA
Chief Attorney
Division of Pari-Mutuel Wagering
Department of Business & Professional
Regulation

ORDER

IT IS HEREBY ORDERED THAT:

The foregoing Consent Order shall constitute the agreed resolution of this matter and the Final Order of the Division in DBPR Case No. 2018-048126 once it is filed with the Agency Clerk.

DONE AND ORDERED this 4 day of DECEMBER, 2018, in Tallahassee, Florida.



JOE DILLMORE, Deputy Director
Division of Pari-Mutuel Wagering
Department of Business & Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-1035

CERTIFICATE OF SERVICE

I hereby certify this 5th day of December, 2018, that a true copy of the foregoing has been furnished by regular U.S. mail to:

VALERIE TUSHIN
112 DANA WAY
PANAMA CITY BEACH, FLORIDA 32407

Brandon M. Nichols

AGENCY CLERK'S OFFICE
Department of Business and Professional Regulation

FILED
Department of Business and Professional Regulation
Deputy Agency Clerk
CLERK **Evette Lawson-Proctor**
Date **9/27/2018**
File #

STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

DBPR Case No.: 2018-048126

v.

VALERIE TUSHIN,

Respondent.

ADMINISTRATIVE COMPLAINT

The Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering (Petitioner), files this Administrative Complaint against Valerie Tushin (Respondent), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapters 550 and 849, Florida Statutes.
2. At all times material hereto, Respondent held a PMW Cardroom Employee Occupational License, number 10478144-1012, issued by the Petitioner.
3. At all times material hereto, Respondent worked as cardroom dealer at Washington County Kennel Club (Ebro).
4. Ebro is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapters 550 and 849, Florida Statutes.
5. On September 11, 2018, Petitioner's investigators performed a routine facility inspection at Ebro.

6. During the inspection, a review of Ebro's live surveillance coverage revealed that on September 8, 2018 Respondent failed to clear his hands on several occasions, as required by Rule 61D-11.004, Florida Administrative Code.

7. Rule 61D-11.004(8), Florida Administrative Code, states, in pertinent part, that dealers shall "(a) [c]lear their hands when cash, chips, or tokens are exchanged with or provided to a player."

8. Based on the foregoing, Respondent is in violation of Rule 61D-11.004(8)(a), Florida Administrative Code, by failing to clear his hands after gathering and pushing pots to winning players, as required.

WHEREFORE, Petitioner respectfully requests the Division Director enter an Order imposing against Respondent one or more of the penalties specified in Sections 550.105, 849.086(14)(c), and 849.086(6)(f), Florida Statutes, and/or the rules promulgated thereunder, and/or any other relief the Division is authorized to impose.

Signed this 27th day of September, 2018.

/s/ Charles Dewrell

CHARLES DEWRELL

Deputy Chief Attorney

Florida Bar No. 0102579

Charles.Dewrell@MyFloridaLicense.com

/s/ Louis Trombetta

LOUIS TROMBETTA

Chief Attorney

Florida Bar No. 0108119

Louis.Trombetta@MyFloridaLicense.com

Office of the General Counsel
Division of Pari-Mutuel Wagering
Department of Business and Professional Regulation
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850)-717-1585
Facsimile: (850) 921-1311

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Section 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Also, please be advised that mediation is not available in this matter.

6. Discussion of Default Final Orders

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Jorge Luis Delgado, Case Number 2023-013251; Default
Final Order
Date: October 13, 2023

Executive Summary

The Division of Pari-Mutuel seeks the issuance of a \$1,000 fine to Jorge Luis Delgado, (“Respondent”) for violation of section 550.2415(1)(a), Florida Statutes, and rule 75-6.008(2)(h), Florida Administrative Code. Section 550.2451(7)(c), Florida Statutes, requires the Commission to incorporate the classification system for drugs and substances and the corresponding penalty schedule from the Uniform Classification Guidelines for Foreign Substances, Version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. (“Guidelines”). The penalty for this violation is a \$1000 fine and return of purse under the Guidelines. Therefore, the Florida Gaming Control Commission should enter a final order issuing a \$1,000 fine and must return all money distributed from the purse.

Background

Respondent was the trainer of record for the racing horse Olivia Darling, which was owned by Amo Racing USA, LLC. On February 11, 2023, Olivia Darling finished 2nd place in the 7th race of the performances held by Tampa Bay Downs. A blood sample was taken from Olivia Darling to test for any prohibited substances. The sample was sent to the University of Florida Lab (“UF Lab”) and subsequently tested. The UF Lab detected dexamethasone¹ in the serum. Dexamethasone was detected at a serum concentration of 42.9 +/- 4.7 pg/mL.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that has been determined to have a prohibited substance present in its

¹ Dexamethasone is a Class 4 drug. See Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. Dexamethasone is used to treat eye inflammation or injury.

system. This is Respondent's first violation of section 550.2415(1)(a), Florida Statutes in the last 365 days.

The Division filed an administrative complaint alleging a violation of section 550.2415(1)(a), Florida Statutes, which makes it a violation of Florida law to race an animal that been determined to have a prohibited substance present in its system.

Rule 61D-6.008(2)(h), Florida Administrative Code, provides that it is a violation if dexamethasone exists at a serum concentration greater than 5 pg/mL.

The penalty for this violation is a Class C penalty under the Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. ("Guidelines").

The Division served Respondent with an administrative complaint, settlement agreement, and election of rights form. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days² to file a written response to the administrative complaint. The Respondent was served via hand service on March 17, 2022, which means the Respondent had until April 7, 2022 to respond. He responded on April 10, 2023 past the 21-day deadline. Respondent has since sent in an incomplete settlement and consent order, failing to provide the Owner's signature page.

Analysis

The Commission is required to incorporate the classification system for drugs and substances and the corresponding penalty schedule from the Guidelines.³ Because Respondent has no prior discipline in the last 365 days and Olivia Darling's serum sample from February 11, 2023 contained dexamethasone over the serum concentration established in rule 75-6.008(2)(h), Florida Administrative Code, he is subject to issuance of a \$1,000 fine.

Staff Recommendation: The Florida Gaming Control Commission should enter an order issuing a \$1,000 fine and requiring the return of all money distributed from the purse.

² See Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

³ See Section 550.2451(7)(c), Fla. Stat.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-013251

JORGE LUIS DELGADO,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Jorge Luis Delgado (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 8656977-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Olivia Darling,” with microchip number 981020033128350.
4. At all times material hereto, “Olivia Darling” was owned by Amo Racing USA, LLC, who holds a Pari-Mutuel Wagering Business Occupational License, 12833609-1020, issued by Petitioner.
5. On February 11, 2023, “Olivia Darling” participated in the 7th race of the performances held by Tampa Bay Downs, Inc. (“TBD”).

6. On February 11, 2023, “Olivia Darling” finished in 2nd place in the 7th race of the performances held by TBD.

7. TBD is a facility operated by a permit holder authorized to conduct pari-mutuel wagering in this state under chapter 550, Florida Statutes.

8. On February 11, 2023, blood sample number 314393 was collected from “Olivia Darling.”

9. Blood sample number 314393 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 314393 and found that it contained **dexamethasone**.

11. In blood sample number 314393, **dexamethasone** was detected at a serum concentration of 42.9 +/- 4.7 pg/mL.

12. Pursuant to section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to rule 61D-6.008(2)(h), Florida Administrative Code, it is a violation of section 550.2415, Florida Statutes, if **dexamethasone** exists at a serum concentration greater than 5 pg/mL.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated section 550.2415(1)(a), Florida Statutes, and rule 61D-6.008(2)(h), Florida Administrative Code, by racing "Olivia Darling" with an impermissible concentration of a permitted substance in its body on February 11, 2023.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in rule 61D-6.011, Florida Administrative Code, section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-013251 is signed this 13th day of March, 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

02-28-23;10:42AM;University of Florida

;352

3/ 3



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

February 28, 2023

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 314393 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:


Dexamethasone found in serum

Dexamethasone (a corticosteroid and Class 4 drug) - the concentration of Dexamethasone is 42.9 +/- 4.7 pg/mL.

<u>E007142-FHB</u>	<u>Horse Serum</u>	<u>4.7 g</u>	<u>NTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
Tampa Bay Downs		02/11/2023	02/14/2023
Collected From		Date Collected	Date Received

Pursuant to Chapter 560.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 03/09/2023, or upon commencement of administrative action, whichever occurs first.


Dirk A. Hunt Associate Director


Brooks Nelson Chemist III

RL 115

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642

23-50



The Foundation for The Gator Nation
A Non-Profit Organization



DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

314393 DATE 2-11-2023

TRACK 320

RACE 7 FINISH 2nd

NAME OF ANIMAL Olivia Darling
NOMBRE DEL ANIMAL

COLOR B SEX F AGE 4

ID NUMBER 981020033128350

MICROCHIP TATTOO FREEZE-BRAND

BLOOD Richard Rock SVM
SAMPLED BY

URINE Sandra Peñalosa
SAMPLED BY

TRAINER Jorge Pelgado
ENTRENADOR / ENTRENADORA

WITNESS Ronald Alvarez
SIGNATURE FIRMA DE TESTIGO

WITNESS Ronald Alvarez

LICENSE # 8798049
NÚMERO DE LICENCIA

RL-252-02

UF UNIVERSITY of FLORIDA

College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

February 28, 2023

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 314393 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

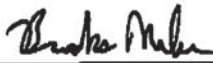
Dexamethasone found in serum

Dexamethasone (a corticosteroid and Class 4 drug) - the concentration of Dexamethasone is 42.9 +/- 4.7 pg/mL.

<u>E007142-FHB</u>	<u>Horse Serum</u>	<u>4.7 g</u>	<u>NTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
<u>Tampa Bay Downs</u>		<u>02/11/2023</u>	<u>02/14/2023</u>
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 03/09/2023, or upon commencement of administrative action, whichever occurs first.


Dirk A Hunt Associate Director


Brooks Nelson Chemist III

RL 115

Page 1 of 1

23-50

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642



The Foundation for The Gator Nation



Department of Business & Professional Regulation
Florida
DOR

DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

314393 DATE 2-11-2023

TRACK 320

RACE 7 FINISH 2nd

NAME OF ANIMAL Olivia Darling
NOMBRE DEL ANIMAL

COLOR B SEX F AGE 4

ID NUMBER 981020033128350

MICROCHIP TATTOO FREEZE-BRAND

BLOOD Richard Rock SVM
SAMPLED BY

URINE Sandra Peia
SAMPLED BY

TRAINER Jorge Pelgado
ENTRENADOR / ENTRENADORA

WITNESS SIGNATURE Ronald Alvarez
FIRMA DE TESTIGO

WITNESS Ronald Alvarez
8798049

LICENSE # _____ NÚMERO DE LICENCIA

RL-252-02

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > Complaint Search > Maintain Complaint

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	03/08/2023
Complaint #	2023013251	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	DELGADO, JORGE LUIS	Responsible	elanier - LANIER, EBONIE	Private Case

Complaint	Respondent	Complainant	Add'l Info
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Source	LAB - UF Laboratory	Security Level	1	<input checked="" type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	FAX - Fax	Priority	1	<input checked="" type="checkbox"/>	Allegations	<input type="checkbox"/>	Discipline
Class'n	IV-X - Class IV Drug Positive	Complexity	R - Regular	<input checked="" type="checkbox"/>	Violations	<input type="checkbox"/>	Compliance
Security	STND - Standard	Incident	02/11/2023	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	CR - Central Region	Received	02/28/2023	<input type="checkbox"/>	Inspection		
Reference	314393			<input type="checkbox"/>	Costs		
Entered	03/08/2023	Entered By	gricks	<input type="checkbox"/>	Time Tracking	Auto Assign	
Summary	-- 320 - Tampa Bay Downs, Horse OLIVIA DARLING, Drug Dexamethasone , Sample #314393, Lab #E007142-FHB			<input type="checkbox"/>	Attachments	History	
Updated	03/08/2023 14:55:05	By	gricks	<input type="checkbox"/>	Work Notes	Print Report	

Change	Save	OK	Cancel	Back
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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

VR Home > License Search > License Home

License Fed Tax # [REDACTED] Lic Type 1021 - PMW Professional Individual Occupational Expires On 06/30/2025 File # 53811 Name DELGADO, JORGE LUIS Extended To License # 8656977 Rank PIND - Professional Individual Occupational Renewed On Entity # 8656977 Lic Status Current		<input type="button" value="Licensee"/> <input type="button" value="History"/> <input checked="" type="checkbox"/> <input type="button" value="Notes"/> <input type="button" value="Notes History"/> <input type="button" value="Back"/>																			
Address Street # 1721 Street SE 17 ST APT 310 Line 2 Line 3 City FORT LAUDERDALE State FL Zip 33316 Routing																					
Other 1st License Date 07/06/2022 Rank Date 07/06/2022 Certificate # Method I-S-1024 Status Date 07/06/2022 Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent																					
Select Action <input type="text"/>  																					
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td>C</td> <td>TRNR - Trainer</td> <td>07/14/2017</td> <td></td> </tr> <tr> <td>I</td> <td>TBRD - Thoroughbred</td> <td>02/06/2010</td> <td></td> </tr> <tr> <td>L</td> <td>321 - Gulfstream Park</td> <td>06/24/2022</td> <td></td> </tr> <tr> <td>Y</td> <td>3YR - 3 Year License</td> <td>08/02/2013</td> <td></td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	C	TRNR - Trainer	07/14/2017		I	TBRD - Thoroughbred	02/06/2010		L	321 - Gulfstream Park	06/24/2022		Y	3YR - 3 Year License	08/02/2013	
Type	Modifier	Effective Date	Additional Info																		
C	TRNR - Trainer	07/14/2017																			
I	TBRD - Thoroughbred	02/06/2010																			
L	321 - Gulfstream Park	06/24/2022																			
Y	3YR - 3 Year License	08/02/2013																			
Alt Keys BEST LIC NBR 8656977																					

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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 [Entity Search](#) |
 [Modify License Standing](#) |
 [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: gricks

[VR Home](#) > [License Search](#) > [License Home](#)

License

Fed Tax # [REDACTED] Lic Type **1020 - Pari-Mutuel Business Occupational** Expires On **06/30/2024**

File # **12576** Name **AMO RACING USA LLC** Extended To

License # **12833609** Rank **PBUS - Pari-Mutuel Business Occupational** Renewed On

Entity # **12833609** Lic Status **Current**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **150** Street **E 58TH ST 25TH FLOOR STE 2503**

Line 2

Line 3

City **NEW YORK** State **NY** Zip **10155**

Routing



Other

1st License Date **06/22/2021** Rank Date **06/22/2021** Certificate #

Method **I-S-1020** Status Date **06/22/2021** Certificate Date

Fee Exempt **No** Birth Date Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
C	STBL - Stable Name	06/22/2021	
I	TBRD - Thoroughbred	06/22/2021	
L	910 - TLH Licensing	06/22/2021	
Y	3YR - 3 Year License	06/22/2021	

Alt Keys

BEST LIC NBR **12833609**

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Approximate Post Time 3:27 PM

Saturday, February 11, 2023 TAM Race 7

Table with columns: Wins At Distance, Last 3 Finishes, Lifetime Wins, In The Money, J/T Meet Wins, Class Rating, Avg Last 3 Speed, Best Overall. Includes race results for various horses like Olivia Darling, Drifaros, Zainalarab, My Destiny, Pink Shoelaces, and Night Cap.

7

The 42nd Running of The Minaret Stakes \$50,000 Guaranteed

Tampa Bay Downs

Purse \$50,000. FOR FILLIES AND MARES FOUR YEARS OLD AND UPWARD. Free nominations. \$300 to pass the entry box and \$300 additional to start with \$50,000 Guaranteed, with 60% to the winner, 20% to second, 10% to third, 5% to fourth, 3% to fifth and 2% to sixth. Weight: 124 lbs. Non-winners of a Graded Stake since September 1, 2 lbs; a Graded Stake or \$60,000 in 2022-23, 4 lbs., two races other than maiden, claiming or starter in 2022-23, 6 lbs. Starters and riders to be named through the entry box by the usual time of closing. CLOSED SATURDAY, JANUARY 28, 2023 WITH 24 NOMINATIONS. SIX FURLONGS



Track Record: It's Me Mom(4), 117 lbs; 1:08.67 (4-7-12)

Table for horse 1: Drifaros. Includes pedigree, owner (Teresa M. Pompay), jockey (Daniel Centeno), and race history with dates, distances, and times.

Table for horse 2: My Destiny. Includes pedigree, owner (Sam Wilensky), jockey (Tyler Gaffalione), and race history.

Table for horse 3: Music City Star. Includes pedigree, owner (Mark Cherry), jockey (Jose L. Ortiz), and race history.

Table for horse 4: Night Cap. Includes pedigree, owner (Gerald S. Bennett), jockey (Jose C. Ferrer), and race history.

Continued on next page Saturday, February 11, 2023 TAM Race 7

Handwritten number 1.8.2

Caramel Swirl

JUNIOR ALVARADO (0-0-0-0) 0%

Royal Blue

2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
11	4	4	2	\$489,700	10	4	4	1	\$485,650	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	
2	1	1	0	\$152,800	1	0	0	0	\$4,050	0	0	0	0	\$0	0	0	0	0	0	0	0	0	
Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance
0	0	0	0	\$0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0	0

B m. 5 (Apr 4, 2018)	Life:	11	4	4	2	\$489,700	Dir:	10	4	4	1	\$485,650
Union Rags (\$50,000) (Dixie Union) - Caramel Snap (Smart Strike)	2023:	0	0	0	0	\$0	Wet Dir:	0	0	0	0	\$0
Br: Godolphin (KY)	2022:	2	1	1	0	\$152,800	Turf:	1	0	0	1	\$4,050
Own: Godolphin, LLC	Tam Dir:	0	0	0	0	\$0	Wet Turf:	0	0	0	0	\$0
Tr: William I. Mott (1-0-0-1) 100%	Distance:	0	0	0	0	\$0	Synthetic:	0	0	0	0	\$0

L 118

Alvarado J	119	15.30	Goodnight Olive182	Caramel Swirl119	Obligatory123	Ins-4w tm,Sw1/4,uphd	7
Lezcano J	119	4.40	Caramel Swirl119	Dealng Justice121	Piece of My Heart119	Ins-2p, nudged way out	5
Alvarado J	118	3.30	Caramel Swirl118	Joy's Rocket118	Cilla120	3w,Sw1/4,bid,duel,up	11
Alvarado J	118	*.70	R Ades Jersey120	Pazino's Pearl123	Alworthy118	forwardly, flat out, PLrd	10
Alvarado J	121	L 1.15	Caramel Swirl121	Amendment Nthteen121	Prng Carol121	2p, hand ride last1/16	8

6 Zainalarab

ANTONIO A. GALLARDO (122-21-20-26 17%) 55%

Royal Blue, White Epaulets

2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
11	2	2	3	\$184,563	7	2	1	1	\$134,308	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
0	0	0	0	\$0	0	0	0	0	\$5,775	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	
7	0	1	3	\$68,830	2	0	1	1	\$30,080	0	0	0	0	\$0	0	0	0	0	0	0	0	0	
Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance
0	0	0	0	\$0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0	

B m. 5 (Feb 7, 2018) (KEE SEP YRLG 2019 \$1,000,000)	Life:	11	2	2	3	\$184,563	Dir:	7	2	1	1	\$134,308
War Front (\$250,000) (Danzig) - Delightful Joy (Tapit)	2023:	0	0	0	0	\$0	Wet Dir:	1	0	0	0	\$5,775
Br: International Equities Holding, Inc. (KY)	2022:	7	0	1	3	\$68,830	Turf:	2	0	1	1	\$30,080
Own: Shadwell Stable	Tam Dir:	0	0	0	0	\$0	Wet Turf:	1	0	0	1	\$14,400
Tr: Brendan P. Walsh (2-0-0-0) 0%	Distance:	2	1	0	0	\$40,425	Synthetic:	0	0	0	0	\$0

L 118

Gaffalone T	121	b 8.20	Maryquitecontrary118	Colorful Mischief118	Alworthy121	2p, gapway ins1/4	7
Gaffalone T	120	b 4.86	Fire On Time120	Hazy Command118	Zainalarab120	4p up, late gain ins12	
Ortiz, Jr. I	121	L *3.29	Coppell121	Headland121	Magic Quest121	track 2-3p, 5p up, chsd	8
Franco M	122	L 2.15	Scottish Star122	Nevisan Sunrise124	Zainalarab122	traded ins, one paced	6
Franco M	119	L 2.80	Evvie Jets119	Lashara119	Zainalarab119	3w upper, led, ran on	8

7 She's Peachy Keen

SAMY CAMACHO (220-51-35-30 23%) 53%

White and Blue Diagonal Quarters, White Diamond on Blue Sleeves

2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
16	4	1	2	\$121,080	12	4	1	1	\$116,060	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
1	0	0	1	\$2,550	0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	
9	3	1	0	\$85,580	2	0	0	0	\$2,820	0	0	0	0	\$0	0	0	0	0	0	0	0	0	
Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance
6	1	1	1	\$24,660	0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	

B f. 4 (Apr 30, 2019) (OBS SPR 2YO 2021 \$47,000)	Life:	16	4	1	2	\$121,080	Dir:	12	4	1	1	\$116,060
Keen Ice (\$20,000) (Curfin) - W W Old School (Royal Academy)	2023:	1	0	0	1	\$2,550	Wet Dir:	0	0	0	0	\$0
Br: Delaverne Hill Farm (KY)	2022:	9	3	1	0	\$85,580	Turf:	2	0	0	1	\$2,820
Own: Team Equistaff, LLC and Winning Stables, Inc.	Tam Dir:	6	1	1	1	\$24,660	Wet Turf:	0	0	0	0	\$0
Tr: Gerald S. Bennett (81-23-10-13 28%) 57%	Distance:	8	2	1	1	\$75,810	Synthetic:	2	0	0	0	\$2,200

L 120

Casacho S	123	b 6.20	Posidon's Passion119	April Mist119	She's Peachy Keen123	btm, 2p, improved	7
Casacho S	118	b 1.90	She's Peachy Keen118	Platinum Gem118	Phk Shoelaces120	outside, 3p bid1/4, cr	7
Allen, Jr. R D	119	b 15.10	Boo Boo Kitty119	Forgotten Peace122	Puppymonkeybaby122	4w, evened out	9
Casacho S	118	b 74.10	Artie's Princess119	Cafe Society119	Alworthy121	faded turn	11
Casacho S	120	b 4.70	She's Peachy Keen120	Joya Del Sur122	True Alta124	chase, ht3/8, 2p, clear	6

8 Olivia Darling

EMISAEI JARAMILLO (3-1-1-1 33%) 100%

Purple, White Circled Soccer Emblem

2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
9	3	2	1	\$121,213	8	3	2	1	\$118,573	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
1	1	0	0	\$15,900	0	0	0	0	\$2,640	0	0	0	0	\$0	0	0	0	0	0	0	0	0	0
2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	
5	1	1	0	\$70,113	0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	
Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance		Tam	Dir	Dist	Distance
1	1	0	0	\$15,900	0	0	0	0	\$0	0	0	0	0	\$0	0	0	0	0	0	0	0	0	

B f. 4 (Apr 27, 2019) (FTI SPR 2YO 2021 \$380,000)	Life:	9	3	2	1	\$121,213	Dir:	8	3	2	1	\$118,573
Palace (\$6,000) (City Zip) - Honky Tomk Angel (Bluegrass Cat)	2023:	1	1	0	0	\$15,900	Wet Dir:	1	0	0	0	\$2,640
Br: Shalom Farm & Ramon Martinez (KY)	2022:	5	1	1	0	\$70,113	Turf:	0	0	0	0	\$0
Own: AMO Racing USA	Tam Dir:	1	1	0	0	\$15,900	Wet Turf:	0	0	0	0	\$0
Tr: Jorge Delgado (57-23-12-7 40%) 74%	Distance:	3	3	0	0	\$81,900	Synthetic:	0	0	0	0	\$0

L 120

Jaramillo E	123	b 1.20	Olivia Darling123	Phk Shoelaces119	Platinum Gem119	hd svd, dr, off, drng	8
Morelos JE	118	b 5.40	Olivia Darling118	Scarlet Stripe118	American Tap120	2p, opnd up, drfd, held	7
Humphrey W	116	S 3.90	1029 Who Knows What123	Slipper Sue121	R B's Star119	inside, blocked 3/16	8
Suarez A	118	L 8.60	Iscolvin118	R Avento Lynn125	Centre Court Champ122	chase, 2p, faded	6
Graham J	118	L 18.60	Falconet122	Heartyconstitution119	Mercy Warren118	early speed, folded up	12

9 Pink Shoelaces

SAMUEL MARIN (102-12-12-19 12%) 42%

Blue, Orange Cross Sash, Orange Circle on Sleeves

Lanier-FGCC, Ebonie

From: Alvarado, Emily
Sent: Friday, March 17, 2023 3:22 PM
To: Lanier-FGCC, Ebonie
Subject: FW: Affidavit of service on Jorge L. Delgado (2023013251)
Attachments: Message from KM_368e; image001.png

From: Dye, Jeff
Sent: Friday, March 17, 2023 3:06 PM
To: Alvarado, Emily
Subject: Affidavit of service on Jorge L. Delgado (2023013251)

Hi Emily,

I just served Jorge L. Delgado with FGCC Case NO# 2023013251, here at Gulfstream Park on 03/17/2023.

[cid:image001.png@01D958E2.0BF55160]

Jeff C. Dye
State Steward Manager
Florida Gaming Control Commission<FGCC.FL.GOV> Division of Pari-Mutuel Wagering,
Office of Operations
901 S, Federal Highway Hallandale Beach, FL 33009
Phone:954-457-6130 Cell: 954-369-6645

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FLORIDA GAMING CONTROL COMMISSION,

Petitioner,

v.

FGCC Case No.: 2023-013251

JORGE LUIS DELGADO,

Respondent.

_____ /

AFFIDAVIT OF SERVICE OR DILIGENT SEARCH

COMES NOW, the Affiant, an employee of the Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, State of Florida, who first being duly sworn, deposes and states:

On (date) 3/17/2023, Affiant made a diligent effort to locate Respondent, to serve: Administrative Complaint and related papers; Subpoena(s); Final order; ESO and related papers; (other) _____.

(PLEASE CHECK APPLICABLE ANSWER)

Affiant made personal service on Respondent, on (date), 3/17/2023, at (location) GULFSTREAM PARK.

Affiant was unable to make service after searching for Respondent at: (a) all addresses for Respondent shown in the F.G.C.C. investigation of the case; (b) all official addresses for Respondent shown in his/her licensing records of the Division of Pari-Mutuel Wagering; (c) any others: _____

[Signature]
Signature of Affiant

STATE OF _____
COUNTY OF _____

Before me, appeared _____; _____ who is personally known to me or _____ whose identity I proved on the basis of _____; and who acknowledges that his/her signature appears above.

Sworn to or affirmed by Affiant before me this _____ day of _____ 20____.

Notary Public

Type or Print Name

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Larry Victor Mejias Case No. 2023-046348; Default Final Order
Date: October 13, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks the suspension of Larry Victor Mejias's ("Respondent") Pari-Mutuel Wagering Professional Individual Occupational License. By failing to respond to the properly served administrative complaint, Respondent waived his right to request a hearing contesting the Division's decision. Therefore, the Florida Gaming Control Commission should enter a final order suspending Respondent's Pari-Mutuel Wagering Professional Individual Occupational License.

Background

On July 14, 2023, a default final judgment of \$3,211.35 was entered against Respondent for unpaid financial obligations regarding veterinary services provided on the ground of a pari-mutuel facility in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida in case number COCE23018203. These unpaid financial obligations related to racing conducted at a pari-mutuel facility in the state of Florida.

The Division served Respondent with an administrative complaint seeking suspension of his Pari-Mutuel Wagering Professional Individual Occupational License until the judgment has been satisfied pursuant to section 550.105(7), Florida Statutes. The election of rights accompanying the administrative complaint made it clear that the Respondent had 21 days¹ to file a written response to the administrative complaint. The Respondent was served on September 11, 2023 which means the Respondent had until October 2, 2023 to respond. He has never responded.

¹ See Fla. Admin. Code R. 28-106.111(4) ("Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days waives the right to request a hearing on such matters.")

Analysis

Section 550.105(7), Florida Statutes, provides, that “[t]he Commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.” Respondent defaulted in Seventeenth Circuit on a case relating to horse racing in the state of Florida. Accordingly, Respondent license may be suspended or revoked.

Because Respondent failed to file a timely response to the properly served administrative complaint, he waived his right to request a hearing.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order suspending Larry Victor Mejias’s Pari-Mutuel Wagering Professional Individual Occupational License until the Commission receives confirmation that payment has been satisfied.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-046348

LARRY VICTOR MEJIAS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Larry Victor Mejias (“Respondent”), and alleges:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 10171487-1021, issued by Petitioner.
3. On or about July 14, 2023, a default judgment of \$3,211.35 was entered against Respondent for unpaid financial obligations regarding veterinary services in the County Court of the Seventeenth Judicial Circuit in and for Broward County, Florida, in case number COCE23018203.
4. These unpaid financial obligations relate to racing conducted at a pari-mutuel facility in the state of Florida.

5. Pursuant to section 550.105(7), Florida Statutes:

The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.

6. Based on the foregoing, Respondent violated section 550.105(7), Florida Statutes, by accumulating unpaid obligations or defaults in obligations that directly related to racing conducted at a pari-mutuel facility in the state of Florida.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties the Commission is authorized to impose pursuant to chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2023-046348 is signed this 24th day of August 2023.

/s/Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
4070 Esplanade Way
Tallahassee, Florida 32399-2202
Telephone: (850) 794-8066
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@flgaming.gov
Secondary: Ebonie.Lanier@flgaming.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to rule 28-106.2015, Florida Administrative Code. Pursuant to rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

Legal: 08/22/23
6 Days

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
Complaint Search Update	Change Mass Status Update	Recording License Type Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline				

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: nmelvai

[VR Home](#) > [Complaint Search](#) > [Maintain Complaint](#)

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	90 Closed	Status Date	08/17/2023
Complaint #	2023046348	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	MEJIAS, LARRY VICTOR	Responsible	bjones - JONES, BRADFORD	Private Case

Complaint	Respondent	Complainant	Add'l Info
---------------------------	----------------------------	-----------------------------	----------------------------

Source	CNSM - Consumer	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	PCOM - PMW Complaint	Priority			
Class'n	IV-A - Financial Responsibility	Complexity	R - Regular	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Security	STND - Standard	Incident	07/14/2023	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Region	SR - Southern Region	Received	08/16/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Reference	550.105(7)	Entered	08/16/2023	Entered By	cstubbs1
Summary	<p>321 - Gulfstream Park Racing Assoc., Inc. - Financial Responsibility: On August 16, 2023, a copy of a Default Final Judgment, Case #COCE-23-018203 was received via e-mail from Attorney Mark Gunderson. Per said Default Final Judgment filed on July 14, 2023, in the County Court For the 17th Judicial Circuit in and For Broward County, FL, in Division 100 Teigland, Franklin, & Brokken, D.V.M.'s Inc., shall recover from Larry Victor MEJIAS the sum of \$1,741.50 in principle, filing fees of \$191.48, process server fees of \$78.37, and attorney fees in the amount of \$1,200.00, totaling \$3,211.35, which shall bear interest at the rate of 7.69% per year.</p>				<input type="checkbox"/> Inspection <input type="checkbox"/> Costs <input type="checkbox"/> Time Tracking <input type="checkbox"/> Attachments <input type="checkbox"/> Work Notes
Updated	08/22/2023 09:26:25	By	nmelvai	<input type="checkbox"/> Auto Assign	<input type="checkbox"/> History
				<input type="checkbox"/> Print Report	

6 Days	<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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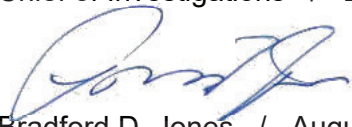
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Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS

INVESTIGATIVE REPORT

Office: PMW	Region: SOUTHERN	Date of Complaint: January 3, 2023	Case Number: 2023 04 6348
Respondent: MEJIAS, LARRY VICTOR 10431 WEST HWY. 318 REDDICK, FL. 32686 (929) 328-7776		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD., SUITE 165 FT. LAUDERDALE, FL. 33309 (954) 202-3900	
License # and Type: 10171487 - 1021	Profession: Individual Animal Owner	Report Date: August 16, 2023	
Period of Investigation: August 16, 2023, through August 16, 2023		Type of Report: Final	
Alleged Violation: F.S.S. 550.105 Occupational licenses of racetrack employees; fees; denial, suspension, and revocation of license; penalties and fines. –			
(7) “The commission may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations, or issues drafts or checks that are dishonored or for which payment is refused without reasonable cause, if such unpaid obligations, defaults, or dishonored or refused drafts or checks directly relate to the sport of jai alai or racing being conducted at a pari-mutuel facility within this state.”			
Synopsis: On August 16, 2023, a copy of a Default Final Judgment against Larry Victor MEJIAS , dated July 14, 2023, in favor of Teigland, Franklin & Brokken, D.V.M.’S, Inc., (Case No. COCE-23-018203), was received via e-mail from Attorney Mark Gunderson.			
Per said judgment, Teigland, Franklin & Brokken, D.V.M.’S, Inc., shall recover from MEJIAS the sum of \$1,741.50 in principle, filing fees of \$191.48, process server fees of \$78.37 and attorney fees in the amount of \$1,200.00, totaling \$3,211.35, which shall bear interest at the rate of 7.69% per year (EXHIBIT #1).			
Related Case:			
Investigator / Date		Investigator Supervisor / Date	
		Julio Minaya / August 16, 2023 	
Chief of Investigations / Date			
 Bradford D. Jones / August 17, 2023			

CONTINUATION

A check of VERSA licensing records reflects that Larry Victor **MEJIAS** obtained a 3-year Florida PMW Occupational license as an Individual Animal Owner on March 7, 2022. This license expires on June 30, 2024. A copy of his licensing information is attached as **EXHIBIT #2**.

In the letter from Gunderson dated August 16, 2023, he states that the original amount of \$1,741.50, is for veterinary services provided by his client at a Florida Pari-Mutuel facility where **MEJIAS** stables his horses. Additional fees bring the total balance owed to \$3,211.35. Gunderson also provided copies of the Original Complaint and invoices related to his case. These documents are attached as **EXHIBITS #1, & 3** and reflect services provided in the state of Florida.

On August 16, 2023, an Enforcement Alert was placed on **MEJIAS'** license record in VERSA to reflect this unsatisfied Financial Obligation. A copy of the License Action Request Form and VERSA alert is attached as **EXHIBIT #4**.

Conclusion: **MEJIAS** is in apparent violation of F.S.S. 550.105(7) for failing to satisfy the Final Judgment.

Status: Case is closed by Investigations and forwarded to Legal for review.

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 - 4. License Action ALERT..... 1-2

Julio Minaya

From: Mark Gunderson <mgunderson@mindspring.com>
Sent: Wednesday, August 16, 2023 11:36 AM
To: Minaya, Julio; Fleming, Donna
Subject: Mejias
Attachments: 2023_08_16 Letter to FL Division Mejias.pdf; 2023_03_16 Complaint Mejias1.pdf

[NOTICE] This message comes from a system outside of DBPR. Please exercise caution when clicking on links and/or providing sensitive information. If you have concerns, please contact your Knowledge Champion or the DBPR Helpdesk.

Julio/Donna,

Attached is my client's complaint to the Division of Pari-mutuel wagering against Larry Mejias and related relevant documents. Call with any questions.

Mark Gunderson
Attorney • CPA
2510 SW 18 Street
Ft. Lauderdale, FL 33312
(954) 288-1001 Mobile
(954)791-6154 Fax

*"Greed is a powerful motivator...
many people behave badly and dishonestly, creating serious hardships for others in order to enrich themselves"*
-Richard Bitner-

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Law Office of
Mark Gunderson, PL
Attorney ♦ CPA

2510 SW 18 Street ♦ Fort Lauderdale, Florida 33312
phone: (954) 288-1001 ♦ fax: (954) 791-6154 ♦ e-mail: mgunderson@mindspring.com

DIVISION OF PARI-MUTUEL WAGERING COMPLAINT – LARRY MEJIAS

August 16, 2023

Via Certified Mail – 7022 1670 0002 2970 9556
Via email - Donna.Fleming@myfloridalicense.com

Via email - Julio.Minaya@myfloridalicense.com

Division of Pari-Mutuel Wagering
DBPR 0070 – Uniform Complaint Form
2601 Blairstone Rd.
Tallahassee, Florida 32399

Julio Minaya, Investigation Supervisor
Division of Pari-Mutuel Wagering
1400 West Commercial Boulevard, Suite 165
Fort Lauderdale, FL 33065

Re: Teigland, Franklin & Brokken, DVMs Inc. v. Larry Mejias
17th Judicial Circuit - State of Florida – COCE 23018203 Division 100 - \$3,211.35

Gentlemen:

On July 14, 2023, my client, Teigland, Franklin & Brokken, DVMs Inc. obtained judgment¹ against Larry Mejias, a licensee, concerning veterinary services which my client provided at a Florida pari-mutuel facility where Mr. Mejias stabled his horses. Since obtaining that judgment, Mr. Mejias has failed to satisfy his obligation.

Florida statutes states: “the division [pari-mutuel wagering] may deny, revoke, or suspend any occupational license if the applicant therefor or holder thereof accumulates unpaid obligations or defaults in obligations...if such unpaid obligations...directly relate to the sport of ... racing being conducted at a pari-mutuel facility within this state.” 550.105(7) Fla. Stat.

Mr. Mejias holds a Pari-Mutuel Wagering Individual Occupational license issued by the State of Florida, as does my client. Mr. Mejias has accumulated unpaid obligations and has defaulted on an obligation that directly related to the sport of racing conducted at a pari-mutuel facility.

Accordingly, my client requests that Mr. Mejias’s occupational/horse racing license be suspended or revoked, in accordance with the procedures found at Fla. Admin. Code R. 61D-3.001, which states that, “alleged violations of Chapter 550, F.S., or Chapter 61D, F.A.C., in horseracing shall be heard by a board of stewards.”

Thank you for your attention to this matter.

/s/ Mark Gunderson, Esq.

Cc. Client
Larry Mejias, 10431 West Hwy 318 Reddick, FL 32686

¹ Judgment attached.

**IN THE COUNTY COURT OF THE 17TH JUDICIAL CIRCUIT
IN AND FOR BROWARD COUNTY, FLORIDA**

CASE NO. COCE23018203 DIVISION: 100 JUDGE: Powell, Jackie (100)

Teigland, Franklin & Brokken DVMs Inc.

Plaintiff(s) / Petitioner(s)

v.

Larry Mejias

Defendant(s) / Respondent(s)

_____ /

FINAL DEFAULT JUDGMENT


This action was heard upon Plaintiff's Motion for Default and Final Judgment by Default and

IT IS ADJUDGED that Defendant, LARRY MEJIAS is in default for failing to attend the pre-trial hearing set by this Court for June 27, 2023. Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, Inc., 12277 SW 55th Street #909, Ft. Lauderdale, FL 33330, shall recover from Defendant, LARRY MEJIAS, 10431 West Hwy 318, Reddick, FL 32686, the sum of \$1,741.50 in principal, filing fees of \$191.48, process server fees of \$78.37 and attorney fees in the amount of \$1,200.00, **making a total of \$3,211.35**, which shall bear interest at the rate of 7.69% per year, for which let execution issue forthwith.

IT IS FURTHER ORDERED AND ADJUDGED that the Defendant shall complete Florida Small Claims Rules Form 7.343 (Fact Information Sheet) and return it to the Plaintiff's attorney within 30 days from the date of this final judgment, unless the final judgment is satisfied or a motion for new trial or notice of appeal is filed. **The Defendant should NOT file the completed form 7.343 with the court.**

Jurisdiction of this case is retained to enter further orders that are proper to compel the Defendant to complete form 7.343 and return it to the Plaintiff's attorney.

DONE AND ORDERED in Chambers at Broward County, Florida on 14th day of July, 2023.


COCE23018203-07-14-2023 11:08 AM

COCE23018203 07-14-2023 11:08 AM

Hon. Jackie Powell

COUNTY COURT JUDGE

Electronically Signed by Jackie Powell

Copies Furnished To:

Larry Mejias , Address : 10431 West Hwy 318, Reddick, FL 32686

Mark Gunderson , E-mail : mgunderson@mindspring.com

PRIVATE ATTORNEY FOR COMPLAINANT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
GUNDERSON, MARK, ESQ				
ADDRESS				
Street Address or P.O. Box				
2510 SW 18 STREET				
City		State	Zip Code (+4 optional)	
FORT LAUDERDALE		FL	33312	
County (if Florida address)		Country		
BROWARD				
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		
954-288-1001				

SUBJECT OF COMPLAINT				
Last Name	First	Middle	Title	Suffix
LARRY MEJIAS				
License Number (if known)				
10171487				
Company/Occupation				
HORSE OWNER				
MAILING ADDRESS				
Street Address or P.O. Box				
10431 WEST HWY 318				
City		State	Zip Code (+4 optional)	
REDDICK		FL	32686	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Primary E-Mail Address		
352-789-0366		UNKNOWN		
RESIDENCE ADDRESS (IF DIFFERENT THAN MAILING ADDRESS)				
Street Address				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		

PRIVATE ATTORNEY FOR SUBJECT OF COMPLAINT (IF APPLICABLE)				
Last Name	First	Middle	Title	Suffix
NONE				
ADDRESS				
Street Address or P.O. Box				
City		State	Zip Code (+4 optional)	
County (if Florida address)		Country		
CONTACT INFORMATION				
Primary Phone Number		Alternate Phone Number		

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **jmlnaya**

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2024**

File # **59414** Name **MEJIAS, LARRY VICTOR** Extended To

License # **10171487** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **10171487** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **10431** Street **WEST HWY 318**

Line 2

Line 3

City **REDDICK** State **FL** Zip **32686**

Routing

Other

1st License Date **03/07/2022** Rank Date **03/07/2022** Certificate #

Method **I-S-1024** Status Date **03/07/2022** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action 

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	03/24/2021	
I	TBRD - Thoroughbred	06/07/2013	
L	320 - Tampa Bay Downs	07/01/2016	
Y	3YR - 3 Year License	06/07/2013	

Alt Keys

BEST LIC NBR **10171487**

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EXHIBIT # 2
PAGE # 1

FAQ | Help | Sign Out

- VR Home
- Inbox
- Entity
- Application
- License
- Cash
- Exam
- Inspection
- Enforcement
- Report

- License Search
- Entity Search
- Modify License Standing
- Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged In as: jminaya

VR Home > License Search > License Home > Basic Entity Data

- Basic Entity Data
- List of Addresses
- List of Names

Lic Type	1021 - PMW Professional Individual Occupational		Entity #	10171487			
Fed Tax #	[REDACTED]	Fed Tax Type	SSN #	Name MEJIAS, LARRY VICTOR			
Last Name	MEJIAS	First	LARRY	Middle	VICTOR	<input type="checkbox"/>	Notes
Title		Suffix		Qualifier			Notes History
Gender	M	Race	4 - Hispanic				Fix
Driver License #		Birth Date	[REDACTED]				Change
Mailing Address	<input checked="" type="checkbox"/>	Private Address	<input type="checkbox"/>	Preferred Name	<input checked="" type="checkbox"/>		Save
Street #	10431	Street	WEST HWY 318				OK
Line 2							Cancel
Line 3							Back
City	REDDICK	County	Marion				
Zip	32686	State	Florida	Country	United States		
Routing							
Phone #	929-328-7776	Ext		E-Mail			
Insp Region				Receive Email	<input type="checkbox"/>		
Updated	02/27/2022 11:36:31	By	ybotero				

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EXHIBIT #2
PAGE #2

IN THE COUNTY COURT FOR THE SEVENTEENTH JUDICIAL CIRCUIT IN AND FOR BROWARD COUNTY, FLORIDA

TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, INC.

Plaintiff,

vs

SMALL CLAIMS DIVISION

Larry Mejias Defendant.

COMPLAINT

Plaintiff, TEIGLAND, FRANKLIN & BROKKEN, D.V.M.'S, INC. ("Plaintiff"), sues defendant, Larry Mejias, ("Defendant") and alleges,

- 1. This is an action on an open account.
2. This Court has subject matter jurisdiction over this dispute because this complaint seeks damages of \$8,000.00 or less exclusive of interest, attorneys' fees, and costs. §34.01(2) Fla. Stat.
3. Venue is proper in the 17th Judicial Circuit, in that Plaintiff's cause of action arose in Broward County Florida. §47.011 Fla. Stat.
4. Plaintiff is a resident of Broward County and otherwise sui juris.
5. The Court has jurisdiction over the Defendant in that Plaintiff's cause of action against Defendant arises from Defendant operating, conducting, engaging in, or carrying on a business or business venture in this state, breaching a contract in this state by failing to perform acts required by the contract to be performed in this state, and/or Defendants' substantial and not isolated activity within this state. §48.193 Fla. Stat.
6. Service is alternatively made (if applicable) via substituted service on the Secretary for the State of Florida in accordance with §48.161 Fla. Stat. or §48.181 Fla. Stat. in that Defendant(s) were residents of this state and subsequently became nonresident(s) of this state or has concealed his whereabouts.
7. Defendant owe Plaintiff \$1,741.50 that is due with interest since February 28, 2023, based on an agreement for goods and services that were sold and delivered at an agreed upon price, directly related to horse racing being conducted at a pari-mutuel facility. See Exhibit A.
8. Plaintiff is entitled to prevailing party attorney fees pursuant to agreement between the parties.

WHEREFORE, Plaintiff demands judgment for damages, attorney fees and costs against Defendant.

MARK GUNDERSON, P.L.
Attorney for Plaintiff
2510 SW 18 Street
Fort Lauderdale Florida 33312
(954) 288-1001; (954) 791-6154 Fax
mgunderson@mindspring.com
/s/ Mark Gunderson FBN: 0842141

Statement



Teigland, Franklin & Brokken, DVMs, Inc.
 PO BOX 267160
 Weston, Florida, 33326-7160

Tel: (954) 680-5886
 Fax: (954) 680-5976
 Office@tfbequine.com

Larry Mejias
 10431 West Hwy 318
 Reddick, FL 32686-2238

Date: Feb-28-23
 Client #: 266710
 Page: 1

Trainer: D'ANGELO, FRANCISCO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
Balance Forward						4,329.79
*** PAYMENTS AND ADJUSTMENTS TO YOUR ACCOUNT ****						
8/26/21	Write-Off					-6.79
	Note: W/O INTEREST					
8/26/21	Payment - AMEX					-4,323.00
10/31/21	Interest					5.97
11/30/21	Interest					5.97
12/31/21	Interest					5.99
1/31/22	Interest					6.01
2/28/22	Interest					6.01
3/31/22	Interest					6.04
4/30/22	Interest					6.09
6/30/22	Interest					6.14
7/31/22	Interest					6.14
8/31/22	Interest					6.16
9/30/22	Interest					6.18
10/31/22	Interest					6.51
2/28/23	Interest					6.94
I AM THE BOSS 100.00%						
8/5/21	Butecort Sweat Paste	1	02	FL		89.25
8/9/21	Physical Exam	1	02	FL		0.00
8/9/21	Inject Carpus Joint	4	02	FL		310.00
	<i>Left Front [InterCarpal, RadioCarpal], Right Front [InterCarpal, RadioCarpal]</i>					
8/9/21	Inject Fetlock Joint	3	02	FL		315.00
	<i>Left Front, Right Front, Left Hind</i>					

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
 We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

EXHIBIT #3
PAGE #2



Teigland, Franklin & Brokken, DVMs, Inc.
 PO BOX 267160
 Weston, Florida, 33326-7160

Tel: (954) 680-5886
 Fax: (954) 680-5976
 Office@tfbequine.com

Exhibit A 2/2 Statement

Larry Mejias
 10431 West Hwy 318
 Reddick, FL 32686-2238

Date: Feb-28-23
 Client #: 266710
 Page: 2

Trainer: D'ANGELO, FRANCISCO

Date	Description	Quantity	Dr.	State	Sub-Total	Charge
8/9/21	Tranquilize - Dormosedan	1	02	FL		39.00
8/19/21	Chemistry - Muscle Enzymes	1	02	FL		47.25
8/22/21	Physical Exam	1	02	FL		0.00
8/22/21	Inject Fetlock Joint	1	02	FL		105.00
	<i>Left Hind</i>					
8/22/21	Tranquilize - Xylazine & Dormosedan	1	02	FL		42.00
8/25/21	Tranquilize - Dormosedan	1	02	FL		39.00
8/26/21	Tranquilize - Dormosedan	1	02	FL		39.00
8/26/21	Dexamethasone Injection	1	39	FL		31.50
8/27/21	Lactated Ringers & DMSO IV	1	02	FL		52.50
8/27/21	Ketoprofen	1	02	FL		40.00
8/28/21	Phenylbutazone Injection	1	17	FL		15.00
8/28/21	Enterolyte X 2 Via Stomach Tube	1	17	FL		52.50
8/29/21	Physical Exam	1	02	FL		0.00
8/29/21	Banamine (Flunixin Meglumine) Injection	1	02	FL		28.00
8/30/21	DR/CR Xray Fetlock - LH	1	02	FL		236.25
8/30/21	Digital Image Archive/Storage	1	02	FL		10.50
	I AM THE BOSS Sub-Total				1,491.75	
	LEGEND KOA 100.00%					
8/27/22	Video-Endoscopy Exam	1	17	FL		75.00
9/6/22	Tranquilize - Dormosedan & Butorphanol	1	04	FL		47.00
9/12/22	Banamine Paste	0.08	02	FL		4.40
10/4/22	Tranquilize - Dormosedan	1	02	FL		41.00
10/5/22	Phenylbutazone Powder - 2.2 lb. jar	0.04	04	FL		2.20
10/12/22	Progress Evaluation	1	02	FL		0.00
	LEGEND KOA Sub-Total				169.60	

Over 90 Days	Over 60 Days	Over 30 Days	Current	Total Amount
1,734.56			6.94	

PLEASE PAY THIS AMOUNT >>>>>>>

1,741.50

Client #: 266710

ALL TREATMENTS HAVE BEEN APPROVED BY YOUR TRAINER. TOTAL AMOUNT IS DUE UPON RECEIPT OF STATEMENT.
 We accept MASTERCARD, VISA, DISCOVER and AMERICAN EXPRESS.

You can now make a secure payment at www.tfbequine.com select resources for the payment tab.

Thank You

Amount past due 60 days or more will bear interest at the maximum rate allowable by law. In the event we are required to take action to collect the amount owed to us, you will be responsible for a \$100.00 administration fee plus all attorney fees and costs regardless of whether a lawsuit is filed.

EXHIBIT #3
PAGE #3

Louis Trombetta, Executive Director

Ron DeSantis, Governor

**DIVISION OF PARI-MUTUEL WAGERING
LICENSE ACTION REQUEST FORM**

To: Licensing Administrator

From: Investigator Minaya

Date: August 16, 2023

X	PLEASE ADD ALERT : Contact Investigations Prior to Licensing
	PLEASE REMOVE ALERT FROM LICENSE RECORD

License number and type: 10171487 - 1021

Last Name: **MEJIAS**

First Name: LARRY V.

DOB: [REDACTED]

SS#: [REDACTED]

Reason for Addition/Removal of Alert: **DO NOT LICENSE!** Unsatisfied Default Final Judgment pending against Larry V. **MEJIAS**, in favor of Tiegland, Franklin & Brokken, D.V.M.'S Inc., Case # COCE-23-018203, Division 100, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$3,211.35 plus 7.69% interest per year.

Responsible Party: Inv. Sup Minaya

Case Number: 2023 04 6348

Approved by: _____ Date _____

Added/Removed by:  _____ Date August 16, 2023

Revised 12/08

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home > **Maintain License Modifiers - BASE_CLONE**

Search Criteria	Results	Detail
-----------------	---------	--------

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcemt Alert**
 Effective **08/16/2023**

Expires -

Additional Info :

Prompt

Value

DO NOT LICENSE! Unsatisfied Default Final Judgment pending against Larry V. MEJIAS, in favor of Tiegland, Franklin & Brokken, D.V.M.'S Inc., Case # COCE-23-018203, Division 100, in the Circuit Court of the 17th Judicial Court in Broward County, Florida, in the amount of \$3,211.35 plus 7.69% interest per year.

Notes

Updated **08/16/2023 16:05:03**

By **jminaya**

Notes History

Change

Delete

Save

Cancel

<

>

Add

Back

Get Adobe Reader.

EXHIBIT #4
PAGE #2

SENDER: COMPLETE THIS SECTION

- Ensure items 1, 2, and 3 are completed.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

AC - 2023046348 - PMW
Larry Victor Mejias
10431 W Highway 318
Reddick FL 32686-2238



9490 9118 9956 2056 8776 32

2. Article Number (Transfer from service label)

9414 7118 9956 2056 8776 83

COMPLETE THIS SECTION ON DELIVERY

A. Signature: (Addressee or Agent)

X *Larry Victor Mejias*

B. Received By: (Printed Name)

L Mejias

C. Date of Delivery

9-11

D. Is delivery address different from item 1? Yes
If YES, enter delivery address below: No

3. Service Type

Certified Mail®

7. Discussion of Order to Show Cause

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Emily Alvarado, Deputy Chief Attorney
Re: FGCC v. Paula S. Capestro Case No. 2023-013135; Order to Show Cause
Date: October 13, 2023

Executive Summary

The Division of Pari-Mutuel Wagering filed an order to show cause against Paula S. Capestro (“Respondent”) in case number 2023-013135 ordering Respondent to show in writing why the Division shall not require Respondent to return the purse amount of \$15,600.

Background

An Administrative Complaint was filed against Renaldo Mario Richards in FGCC case no. 2022-046353 alleging that Mr. Richards raced a racehorse with an impermissible amount of clenbuterol¹ in its body in violation of section 550.2415(1)(a), Florida Statutes. This racehorse was owned by Respondent. Mr. Richards failed to respond to the Commission’s Administrative Complaint within 21 days. Therefore, the Commission entered a Final Order in FGCC case no. 2022-046353 finding that the facts and conclusions of law in the Administrative Complaint were adopted in their entirety on December 2, 2022. On August 23, 2023, an Order to Show Cause was filed against Respondent asking Respondent to respond in ten days as to why she shall not be required to return the purse amount of \$15,600.

On September 27, 2023, Respondent was served with the Order to Show Cause. Respondent has failed to respond within ten days.

Analysis

Section 550.2415(3)(a), Florida Statutes, provides, in pertinent part, that “[u]pon the finding of a violation of this section, the commission may... require the full or partial return of the purse, sweepstakes, and trophy of the race at issue.”

¹ Clenbuterol is a Class 3 drug. See Uniform Classification Guidelines for Foreign Substances, version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. Clenbuterol has an effect on the overall health of a horse, specifically in areas related to the exercise performance, including the respiratory system, cardiovascular system, and skeletal muscle.

Furthermore, Rule 75-8.005(9)(a) provides that “[i]n the event that a purse or award payment is made and subsequently the horse is disqualified by the Steward or Division Director, the recipient of the sums shall return the money to the permitholder for redistribution.”

Respondent has failed to return the purse following the December 2, 2022 final order finding that his racehorse was raced with an impermissible amount of the Class B substance clenbuterol and is therefore disqualified.

Staff Recommendation: The Florida Gaming Control Commission should enter a final order requiring Paula S. Capestro to return the purse amount of \$15,600 to Tampa Bay Downs.

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2023-013135

PAULA S. CAPESTRO,

Respondent.

_____ /

CORRECTED ORDER TO SHOW CAUSE

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Commission”), hereby enters this Order to Show Cause.

INTRODUCTION

1. On September 4, 2022, Respondent was the owner of record for the racing horse “Wora,” which placed 1st in the 8th race of the performance held at Gulfstream Park Racing Association, Inc.
2. On September 4, 2022, Respondent was distributed a purse amount of \$15,600. *See* Exhibit A.
3. On September 29, 2022, the Commission filed an Administrative Complaint against the trainer of record for Wora, Renaldo Mario Richards (“Mr. Richards”), FGCC Case Number 2022-046353 (the “Administrative Complaint”). *See* Exhibit B.

4. The Administrative Complaint alleges that on September 4, 2022, Mr. Richards raced Wora with an impermissible amount of clenbuterol in its body in violation of section 550.2415(1)(a), Florida Statutes, and rule 61D-6.008(2)(e), Florida Administrative Code.¹

5. The Administrative Complaint was presented to the Commission on December 1, 2022, following Mr. Richards's failure to respond to the Administrative Complaint within twenty-one days pursuant to rule 28-106.111, Florida Administrative Code.

6. On December 2, 2022, the Commission entered a Final Order against Mr. Richards. The Order found that the allegations of fact and the conclusions of law in the Administrative Complaint were adopted in their entirety. *See Exhibit C.*

7. Section 550.2415(7)(c), Florida Statutes, requires the Commission rules "include a classification system for drugs and substances and a corresponding penalty schedule for violations which incorporates the Uniform Classification Guidelines for Foreign Substances, Version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc."

8. The Uniform Classification Guidelines for Foreign Substances, Version 8.0, revised December 2014, by the Association of Racing Commissioners International, Inc. classifies clenbuterol as a Class B substance and requires loss of purse for a first offense of this substance.

9. Section 550.2415(3)(a), Florida Statutes, provides, in pertinent part, that "[u]pon the finding of a violation of this section, the commission may... require the full or partial return of the purse, sweepstakes, and trophy of the race at issue."

¹ After the administrative complaint was issued, Rule 61D-6.008 was transferred to Chapter 75 of the Florida Administrative Code and consequently renumbered to Rule 75-6.008. This transfer had no effect on the text of the rule.

10. Furthermore, Rule 61D-8.005(9)(a) provides that “[i]n the event that a purse or award payment is made and subsequently the horse is disqualified by the Steward or Division Director, the recipient of the sums shall return the money to the permitholder for redistribution.”

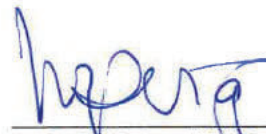
11. Respondent has failed to return the purse following the December 2, 2022, finding that “Wora” was raced with an impermissible amount of the Class B substance clenbuterol and is therefore disqualified.

ORDER

12. Respondent is ORDERED to show cause in writing within ten (10) days from the date of this Order explaining why the Division shall not require Respondent to return the purse amount of \$15,600.

13. This Corrected Order to Show Cause shall become effective upon filing with the Clerk of the Commission for the Florida Gaming Control Commission.

DONE AND ORDERED this 23RD day of August, 2023, in Tallahassee, Florida.

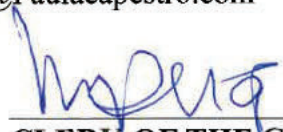


CLERK OF THE COMMISSION
Florida Gaming Control Commission

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 23rd day of August, 2023, a true and correct copy of this Final Order has been sent via U.S. Certified Mail and Email to:

Paula S. Capestro
P.O Box 791
Rancho Sante Fe, California 92067
Office@Paulacapestro.com



CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

Daily Transaction Report

Feb 24, 2023 10:28 AM

From 09/04/2022 To 09/04/2022

Page 1 of 2

GP

Type From Account Name	To Account Name	Amount	Memo	Clear
09-04-2022 Race Transactions				
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 1
				Finish: 5
Purse Account	38862 Daniel Pita	260.00	Famous Gent	09-04-22
Daniel Pita	45820 Lasix Fee	25.00	Famous Gent	09-04-22
Purse Account	1056 FHBPA Fee	5.20	Famous Gent	09-04-22
Daniel Pita	47523 Leonel Reyes	100.00	Famous Gent	09-04-22
Leonel Reyes	1050 Jockeys' Guild Inc	5.00	Famous Gent	09-04-22
Leonel Reyes	40094 Jockey Horse Retirement Fund	1.00	Famous Gent	09-04-22
Leonel Reyes	49145 Jockey IRA Contributions	25.00	Famous Gent	09-04-22
Leonel Reyes	45294 Grayson Jockey Club	1.00	Famous Gent	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 2
				Finish: 7
Purse Account	54921 Eva Oldenbrook	260.00	Pharoah Cat	09-04-22
Eva Oldenbrook	45820 Lasix Fee	25.00	Pharoah Cat	09-04-22
Purse Account	1056 FHBPA Fee	5.20	Pharoah Cat	09-04-22
Eva Oldenbrook	24916 Luca Panici	100.00	Pharoah Cat	09-04-22
Luca Panici	1050 Jockeys' Guild Inc	5.00	Pharoah Cat	09-04-22
Luca Panici	40094 Jockey Horse Retirement Fund	1.00	Pharoah Cat	09-04-22
Luca Panici	49145 Jockey IRA Contributions	25.00	Pharoah Cat	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 3
				Finish: 2
Purse Account	51738 Yanez Stable LLC	5460.00	Toretto	09-04-22
Yanez Stable LLC	45820 Lasix Fee	25.00	Toretto	09-04-22
FHBPA F O A AWARDS	51738 Yanez Stable LLC	1000.00	Toretto	09-04-22
Purse Account	1056 FHBPA Fee	129.20	Toretto	09-04-22
Yanez Stable LLC	43345 Edgard Javier Zayas	323.00	Toretto	09-04-22
Edgard Javier Zayas	1050 Jockeys' Guild Inc	5.00	Toretto	09-04-22
Edgard Javier Zayas	40094 Jockey Horse Retirement Fund	1.00	Toretto	09-04-22
Edgard Javier Zayas	49145 Jockey IRA Contributions	25.00	Toretto	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 4
				Finish: 3
Stars Racing, LLC	45820 Lasix Fee	25.00	Big Daddy Dave	09-04-22
Purse Account	46829 Stars Racing, LLC	2860.00	Big Daddy Dave	09-04-22
FHBPA F O A AWARDS	46829 Stars Racing, LLC	500.00	Big Daddy Dave	09-04-22
Purse Account	1056 FHBPA Fee	67.20	Big Daddy Dave	09-04-22
Stars Racing, LLC	49892 Romero R Ramsay Maragh	168.00	Big Daddy Dave	09-04-22
Romero R Ramsay Maragh	1050 Jockeys' Guild Inc	5.00	Big Daddy Dave	09-04-22
Romero R Ramsay Maragh	40094 Jockey Horse Retirement Fund	1.00	Big Daddy Dave	09-04-22
Romero R Ramsay Maragh	49145 Jockey IRA Contributions	25.00	Big Daddy Dave	09-04-22
Romero R Ramsay Maragh	45294 Grayson Jockey Club	1.00	Big Daddy Dave	09-04-22
Romero R Ramsay Maragh	45024 Permanently Disabled Jockeys	1.00	Big Daddy Dave	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 5
				Finish: 6
Purse Account	50349 4RH Racing Stable, LLC	260.00	Officer Country	09-04-22
4RH Racing Stable, LLC	45820 Lasix Fee	25.00	Officer Country	09-04-22
Purse Account	1056 FHBPA Fee	5.20	Officer Country	09-04-22
4RH Racing Stable, LLC	39934 Edgar Perez	100.00	Officer Country	09-04-22
Edgar Perez	1050 Jockeys' Guild Inc	5.00	Officer Country	09-04-22
Edgar Perez	40094 Jockey Horse Retirement Fund	1.00	Officer Country	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 6
				Finish: 1
Purse Account	53638 Paula S Capestro	15600.00	Wora	09-04-22
Paula S Capestro	45820 Lasix Fee	25.00	Wora	09-04-22
Purse Account	1056 FHBPA Fee	312.00	Wora	09-04-22
Paula S Capestro	45821 Miguel Angel Vasquez	1560.00	Wora	09-04-22
Miguel Angel Vasquez	1050 Jockeys' Guild Inc	5.00	Wora	09-04-22
Miguel Angel Vasquez	40094 Jockey Horse Retirement Fund	1.00	Wora	09-04-22
Facility: Gulfstream Park				
	Date: 09-04-22		Race#: 8	Pgm#: 7
				Finish: 4
Purse Account	49388 Ernesto Ochoa	1300.00	Handsome Prince	09-04-22
Ernesto Ochoa	45820 Lasix Fee	25.00	Handsome Prince	09-04-22
Purse Account	1056 FHBPA Fee	26.00	Handsome Prince	09-04-22
Ernesto Ochoa	54927 Sonny Leon	100.00	Handsome Prince	09-04-22
Sonny Leon	1050 Jockeys' Guild Inc	5.00	Handsome Prince	09-04-22
Sonny Leon	40094 Jockey Horse Retirement Fund	1.00	Handsome Prince	09-04-22



STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-046353

RENALDO MARIO RICHARDS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Renaldo Mario Richards (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 8857030-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Wora,” with microchip number 981020023663716.
4. At all times material hereto, “Wora” was owned by Paula S. Capestro, who holds a Pari-Mutuel Wagering Professional Individual Occupational License, 1396878-1021, issued by Petitioner.
5. On September 4, 2022, “Wora” participated in the 8th race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).



6. On September 4, 2022, “Wora” finished in 1st place in the 8th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permit holder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On September 4, 2022, blood sample number 312822 was collected from “Wora.”

9. Blood sample number 312822 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 312822 and found that it contained **clenbuterol**.

11. In blood sample number 312822, **clenbuterol** was detected at a serum concentration of 10 pg/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(2)(e), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **clenbuterol** exists at a serum concentration at the lowest level of detection.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(e), Florida Administrative Code, by racing "Wora" with an impermissible concentration of a permitted substance in its body on September 4, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-046353 is signed this 28th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290, Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

09-20-22 03:21PM University of Florida

352

2/ 2



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-846-1052 Fax

September 20, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2601 Blair Stone Road
Tallahassee, FL
32399-1037

RE: Report of Positive Result

Sample 312822 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

Clenbuterol found in serum
Clenbuterol (a bronchodilator and Class 3 drug) - the concentration of Clenbuterol is 10 pg/mL.

<u>E001673-FHB</u>	<u>Horse Serum</u>	<u>6.6 g</u>	<u>INTACT</u>
Laboratory Number	Specimen	Final Weight	Seal
<u>Gulfstream Park</u>		<u>09/04/2022</u>	<u>09/08/2022</u>
Collected From		Date Collected	Date Received

Pursuant to Chapter 550.2415 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/29/2022, or upon commencement of administrative action, whichever occurs first.

Dirk A Hunt

Associate Director

Brooks Nelson

Chemist III

23-16

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642



The Foundation for The Gator Nation
An Equal Opportunity Institution



DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

312822 DATE 09/04/2022
 TRACK 321
 RACE 8 FINISH 1
 NAME OF ANIMAL WORA
NOMBRE DEL ANIMAL
 COLOR DKB/BL SEX G AGE 4
 ID NUMBER 981020023668716
 MICROCHIP TATTOO FREEZE-BRAND
 BLOOD William M Watson JDM
SAMPLED BY
 URINE JUAN FOYO
SAMPLED BY
 TRAINER RENALDO RICHARDS
ENTRENADOR / ENTRENADORA
 WITNESS ALANCON
SIGNATURE FIRMA DE TESTIGO
 WITNESS 6724904 P. Alarcon
LICENSE # NÚMERO DE LICENCIA

JC RL-252-02

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-046353

RENALDO MARIO RICHARDS,

Respondent.

FINAL ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting December 1, 2022, for final agency action pursuant to sections 120.569 and 120.57(2), Florida Statutes. After a complete review of the records in this matter, the Commission makes the following findings of fact and conclusions of law:

1. An Administrative Complaint was filed in this case on September 29, 2022, alleging a violation of section 550.02415(1)(a), Florida Statutes and rule 61D-6.008(2)(e), Florida Administrative Code. A copy of the Administrative Complaint is attached hereto as Exhibit "1" and incorporated by reference.

2. The Administrative Complaint, Election of Rights form, an Explanation of Rights, and a cover letter were served on Respondent via hand service on October 8, 2022. Proof of service is attached hereto as Exhibit "2" and incorporated by reference.

3. The Election of Rights form, Explanation of Rights, and cover letter informed Respondent that a written response to the Complaint, including the right to request a hearing, was due within 21 days of actual service of the Complaints. See § 120.569(1), Fla. Stat., and Fla. Admin. Code R. 28-106.111.



4. Petitioner informed Respondent that the failure to file a timely response to the Complaint would be deemed a waiver of the right to a hearing.

5. Respondent has not filed a timely response to the Complaint. Respondent has not submitted any evidence or made any allegations that would support the application of the doctrine of equitable tolling.

Having considered the Complaint, the service of the Complaint with Election of Rights form, Explanation of Rights, and cover letter on Respondent along with Respondent's failure to respond, and being otherwise fully advised in the premises, it is hereby

ORDERED and ADJUDGED:

6. The allegations of fact and the conclusions of law in the Administrative Complaint are adopted in their entirety herein.

7. Respondent shall pay an administrative fine in the amount of **FIVE HUNDRED DOLLARS (\$500.00)**.

8. Payment of the fine is to be made by a certified check, cashier's check, or money order (NO PERSONAL CHECKS), made payable to **Gulfstream Park Horsemen's Bookkeeper Corporation within 30 calendar days of the effective date of this Final Order**. Please note DBPR Case Number 2022-046353 on the face of the payment and mail to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming**.

9. Respondent's Pari-Mutuel Wagering Professional Individual Occupational License, number 8857030-1021, is hereby **SUSPENDED for a period of 15 calendar days**. The occupational license suspension dates shall begin 31 days subsequent to the effective date of this Final Order and filing with the Agency Clerk's Office. Respondent will be denied access, use, and

privileges of all grounds under the jurisdiction of the Commission requiring an occupational license for admittance during the terms of suspension.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 3rd day of DECEMBER, 2022.

FLORIDA GAMING CONTROL COMMISSION



CLERK OF THE COMMISSION

On Behalf of

John MacIver, Chairman

Michael Yaworsky, Vice-Chairman

Julie Brown, Commissioner

John D'Aquila, Commissioner

Charles Drago, Commissioner

DONE AND ORDERED this 3rd day of DECEMBER, 2022.

FLORIDA GAMING CONTROL COMMISSION



CLERK OF THE COMMISSION

On Behalf of

John MacIver, Chairman

Michael Yaworsky, Vice-Chairman

Julie Brown, Commissioner

John D'Aquila, Commissioner

Charles Drago, Commissioner

NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: clerk@fgcc.fl.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 2nd day of DECEMBER, 2022, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Renaldo Mario Richards
843 Garnet Circle
Weston, Florida 33326



CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/29/2022
File Number: _____
BY: MELBA L. APPELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-046353

RENALDO MARIO RICHARDS,

Respondent.

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering ("Petitioner"), files this Administrative Complaint against Renaldo Mario Richards ("Respondent"), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 8857030-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse "Wora," with microchip number 981020023663716.
4. At all times material hereto, "Wora" was owned by Paula S. Capestro, who holds a Pari-Mutuel Wagering Professional Individual Occupational License, 1396878-1021, issued by Petitioner.
5. On September 4, 2022, "Wora" participated in the 8th race of the performances held by Gulfstream Park Racing Association, Inc. ("Gulfstream Park").



6. On September 4, 2022, "Wora" finished in 1st place in the 8th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On September 4, 2022, blood sample number 312822 was collected from "Wora."

9. Blood sample number 312822 was processed and forwarded to the University of Florida Racing Laboratory ("UF Lab"), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 312822 and found that it contained **clenbuterol**.

11. In blood sample number 312822, **clenbuterol** was detected at a serum concentration of 10 pg/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(2)(e), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **clenbuterol** exists at a serum concentration at the lowest level of detection.

14. Section 550.2415(1)(c), Florida Statutes, states, "[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race."

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(e), Florida Administrative Code, by racing "Wora" with an impermissible concentration of a permitted substance in its body on September 4, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-046353 is signed this 28th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290. Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

08-20-22 09:21 PM University of Florida

352

2 / 2



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-393-2238
352-846-1082 Fax

September 20, 2022

Mr. Joe DiMarco, Director
Florida Gaming Control Commission
2901 Blair Stone Road
Tallahassee, FL
32399-1087

RE: Report of Positive Result

Sample 312822 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

Clenbuterol found in serum
Clenbuterol (a sympathomimetic and Class B drug) - the concentration of Clenbuterol is 10 pg/mL.

E001973-PHE	Horse Serum	6.8 g	INTACT
Laboratory Number	Specimen	Final Weight	Seal
Guthrie Park		08/04/2022	08/08/2022
Collected from		Date Collected	Date Received

Pursuant to Chapter 650.3416 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 08/29/2022, or upon commencement of administrative action, whichever occurs first.



 Dirk A. Hunt Associate Director Brooks Nelson Chemist III

Page 1 of 1



The Foundation for The Gator Nation
A Non-Profit Charitable Institution



7020 2450 0000 5096 3247

U.S. Postal Service™
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OFFICIAL USE

Certified Mail # 9-30-22

Return Receipt (hardcopy) \$ _____
 Return Receipt (electronic) \$ _____
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 Adult Signature Restricted Delivery \$ _____

Postage \$ _____

Total Postage \$ _____

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Street and ZIP: _____

City, State, ZIP _____

Rinaldo Mario Richards
843 Garnet Circle
Weston, Florida 33326
AC -- 2022046353 -- PMW

PS Form 3800, April 2013 (PSN 7530-02-000-9001) See reverse for instructions

Tracking Number:

Remove X

7020245000050963247

[Copy](#)

[Add to Informed Delivery](#)

(<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to an individual at the address at 1:54 pm on October 8, 2022 in FORT LAUDERDALE, FL 33326.

Feedback

Delivered

Delivered, Left with Individual

FORT LAUDERDALE, FL 33326

October 8, 2022, 1:54 pm

[See All Tracking History](#)

Text & Email Updates



USPS Tracking Plus®



Product Information



[See Less ^](#)

Track Another Package

Enter tracking or barcode numbers

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-046353

RENALDO MARIO RICHARDS,

Respondent.

FINAL ORDER

This matter appeared before the Florida Gaming Control Commission at a duly-noticed public meeting December 1, 2022, for final agency action pursuant to sections 120.569 and 120.57(2), Florida Statutes. After a complete review of the records in this matter, the Commission makes the following findings of fact and conclusions of law:

1. An Administrative Complaint was filed in this case on September 29, 2022, alleging a violation of section 550.02415(1)(a), Florida Statutes and rule 61D-6.008(2)(e), Florida Administrative Code. A copy of the Administrative Complaint is attached hereto as Exhibit "1" and incorporated by reference.

2. The Administrative Complaint, Election of Rights form, an Explanation of Rights, and a cover letter were served on Respondent via hand service on October 8, 2022. Proof of service is attached hereto as Exhibit "2" and incorporated by reference.

3. The Election of Rights form, Explanation of Rights, and cover letter informed Respondent that a written response to the Complaint, including the right to request a hearing, was due within 21 days of actual service of the Complaints. *See* § 120.569(1), Fla. Stat., and Fla. Admin. Code R. 28-106.111.

4. Petitioner informed Respondent that the failure to file a timely response to the Complaint would be deemed a waiver of the right to a hearing.

5. Respondent has not filed a timely response to the Complaint. Respondent has not submitted any evidence or made any allegations that would support the application of the doctrine of equitable tolling.

Having considered the Complaint, the service of the Complaint with Election of Rights form, Explanation of Rights, and cover letter on Respondent along with Respondent's failure to respond, and being otherwise fully advised in the premises, it is hereby

ORDERED and ADJUDGED:

6. The allegations of fact and the conclusions of law in the Administrative Complaint are adopted in their entirety herein.

7. Respondent shall pay an administrative fine in the amount of **FIVE HUNDRED DOLLARS (\$500.00)**.

8. Payment of the fine is to be made by a certified check, cashier's check, or money order (NO PERSONAL CHECKS), made **payable to Gulfstream Park Horsemen's Bookkeeper Corporation within 30 calendar days of the effective date of this Final Order**. Please note DBPR Case Number 2022-046353 on the face of the payment and mail to: **Florida Gaming Control Commission, Division of Pari-Mutuel Wagering, 2601 Blair Stone Road, Tallahassee, Florida 32399-1035, Attention: Donna Fleming**.

9. Respondent's Pari-Mutuel Wagering Professional Individual Occupational License, number 8857030-1021, is hereby **SUSPENDED for a period of 15 calendar days**. The occupational license suspension dates shall begin 31 days subsequent to the effective date of this Final Order and filing with the Agency Clerk's Office. Respondent will be denied access, use, and

privileges of all grounds under the jurisdiction of the Commission requiring an occupational license for admittance during the terms of suspension.

This Final Order shall take effect upon being filed with the Clerk of the Commission.

DONE AND ORDERED this 2nd day of DECEMBER, 2022.

FLORIDA GAMING CONTROL COMMISSION



CLERK OF THE COMMISSION

On Behalf of

John MacIver, Chariman

Michael Yaworsky, Vice-Chairman

Julie Brown, Commissioner

John D'Aquila, Commissioner

Charles Drago, Commissioner

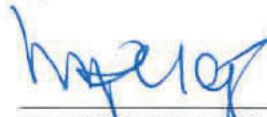
NOTICE OF RIGHT TO APPEAL

Any party to this proceeding has the right to seek its judicial review under section 120.68, Florida Statutes, by the filing of an original notice of appeal pursuant to rules 9.110 and 9.190, Florida Rules of Appellate Procedure, with the Clerk of the Commission, 2601 Blair Stone Road, Tallahassee, Florida 32399-2202 (Email: clerk@fgcc.fl.gov), and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate Florida district court of appeal. The notice of appeal must be filed (received) by the Clerk of the Commission within thirty (30) days after the date this Order is filed with the Clerk of the Commission.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 2nd day of DECEMBER, 2022, a true and correct copy of this Final Order has been sent via U.S. Mail to:

Renaldo Mario Richards
843 Garnet Circle
Weston, Florida 33326



CLERK OF THE COMMISSION
Florida Gaming Control Commission

CC: Ebonie Lanier

FILED
FLORIDA GAMING CONTROL COMMISSION
Date: 9/29/2022
File Number: _____
BY: MELBA L. APELLANIZ
CLERK OF THE COMMISSION

STATE OF FLORIDA
FLORIDA GAMING CONTROL COMMISSION
DIVISION OF PARI-MUTUEL WAGERING

FLORIDA GAMING CONTROL COMMISSION,
DIVISION OF PARI-MUTUEL WAGERING,

Petitioner,

v.

FGCC Case No.: 2022-046353

RENALDO MARIO RICHARDS,

Respondent.

_____ /

ADMINISTRATIVE COMPLAINT

The Florida Gaming Control Commission, Division of Pari-Mutuel Wagering (“Petitioner”), files this Administrative Complaint against Renaldo Mario Richards (“Respondent”), and alleges as follows:

1. Petitioner is the state agency charged with regulating pari-mutuel wagering pursuant to Chapter 550, Florida Statutes.
2. At all times material hereto, Respondent held a Pari-Mutuel Wagering Professional Individual Occupational License, 8857030-1021, issued by Petitioner.
3. At all times material hereto, Respondent was the trainer of record for the racing horse “Wora,” with microchip number 981020023663716.
4. At all times material hereto, “Wora” was owned by Paula S. Capestro, who holds a Pari-Mutuel Wagering Professional Individual Occupational License, 1396878-1021, issued by Petitioner.
5. On September 4, 2022, “Wora” participated in the 8th race of the performances held by Gulfstream Park Racing Association, Inc. (“Gulfstream Park”).



6. On September 4, 2022, “Wora” finished in 1st place in the 8th race of the performances held by Gulfstream Park.

7. Gulfstream Park is a facility operated by a permitholder authorized to conduct pari-mutuel wagering in this state under Chapter 550, Florida Statutes.

8. On September 4, 2022, blood sample number 312822 was collected from “Wora.”

9. Blood sample number 312822 was processed and forwarded to the University of Florida Racing Laboratory (“UF Lab”), for analysis.

10. The UF Lab tested the serum extracted from blood sample number 312822 and found that it contained **clenbuterol**.

11. In blood sample number 312822, **clenbuterol** was detected at a serum concentration of 10 pg/mL.

12. Pursuant to Section 550.2415(1)(a), Florida Statutes:

The racing of an animal that has been impermissibly medicated or determined to have a prohibited substance present is prohibited. It is a violation of this section for a person to impermissibly medicate an animal or for an animal to have a prohibited substance present resulting in a positive test for such medications or substances based on samples taken from the animal before or immediately after the racing of that animal.

13. According to Rule 61D-6.008(2)(e), Florida Administrative Code, it is a violation of Section 550.2415, Florida Statutes, if **clenbuterol** exists at a serum concentration at the lowest level of detection.

14. Section 550.2415(1)(c), Florida Statutes, states, “[t]he finding of a prohibited substance in a race-day specimen constitutes prima facie evidence that the substance was administered and was carried in the body of the animal while participating in the race.”

15. Rule 61D-6.002(1), Florida Administrative Code, provides that, "[t]he trainer of record shall be responsible for and be the absolute insurer of the condition of the horses...he/she enters to race."

16. Based on the foregoing, Respondent violated Section 550.2415(1)(a), Florida Statutes, and Rule 61D-6.008(2)(e), Florida Administrative Code, by racing "Wora" with an impermissible concentration of a permitted substance in its body on September 4, 2022.

WHEREFORE, Petitioner respectfully requests the Florida Gaming Control Commission enter an Order imposing against Respondent one or more of the penalties specified in Rule 61D-6.011, Florida Administrative Code, Section 550.2415(3)(a), Florida Statutes, and/or any other relief that the Commission is authorized to impose pursuant to Chapter 550, Florida Statutes, and/or the rules promulgated thereunder.

This Administrative Complaint for FGCC Case Number 2022-046353 is signed this 28th day of September, 2022.

/s/ Emily A. Alvarado

Emily A. Alvarado
Deputy Chief Attorney
Florida Bar Number: 1025200
Florida Gaming Control Commission
Office of the General Counsel
Division of Pari-Mutuel Wagering
2601 Blair Stone Road
Tallahassee, Florida 32399-2202
Telephone: (850) 717-1783
Facsimile: (850) 921-1311
Primary: Emily.Alvarado@fgcc.fl.gov
Secondary: Ebonie.Lanier@fgcc.fl.gov

NOTICE OF RIGHTS TO REQUEST A HEARING

Pursuant to Sections 120.569 and 120.57, Florida Statutes, you have the right to request a hearing to challenge the charges contained in this Administrative Complaint. If you choose to request a hearing, you will have the right to be represented by counsel, or other qualified representative, to present evidence and argument, to call and cross-examine witnesses, and to have subpoenas and subpoenas duces tecum issued on your behalf.

Any request for an administrative proceeding to challenge or contest the charges contained in this Administrative Complaint must conform to Rule 28-106.2015, Florida Administrative Code. Pursuant to Rule 28-106.111, Florida Administrative Code, you must request a hearing within 21 days from receipt of this Notice, or you will waive your right to request a hearing.

Mediation under Section 120.573, Florida Statutes, is not available to resolve this Administrative Complaint.

NOTICE OF RIGHT TO REQUEST A SPLIT SAMPLE

Pursuant to Rule 61D-6.006, Florida Administrative Code, you have the right to request a split sample with respect to each "Report of Positive Result" from the UF Lab. (Copy attached as Exhibit A). To request a split sample, use Form DBPR PMW-3290. Notification to Stewards/Judge of Split Sample Request (Form 3290).

You can obtain Form 3290, as well as a list of approved split samples laboratories, at the State Office located in any Florida pari-mutuel facility, or on the Division's website: <http://www.myfloridalicense.com/DBPR/pari-mutuel-wagering/forms-and-publications/>. You must submit Form 3290 to the state steward, Division hearing officer, or office of the General Counsel, within 10 days from receipt of this Notice, or you will waive your right to request a split sample.

EXHIBIT A

09-20-22 03:21 PM University of Florida

352

2 / 2



College of Medicine
Department of Pathology, Immunology and Laboratory Medicine
Racing Laboratory

PO Box 100275
Gainesville, FL 32610-0275
352-392-2238
352-646-1052 Fax

September 20, 2022

Mr. Joe Dillmore, Director
Florida Gaming Control Commission
2901 Blair Stone Road
Tallahassee, FL
32309-1037

RE: Report of Positive Result

Sample 312622 has been analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS) and found to contain the following:

Clenbuterol found in serum
Clenbuterol (a bronchodilator and Class 3 drug) - the concentration of Clenbuterol is 10 pg/mL.

E001673-PHB	Horse Serum	6.6 g	INTACT
Laboratory Number	Specimen	Final Weight	Seal
Guthrie Park		09/04/2022	09/08/2022
Collected From		Date Collected	Date Received

Pursuant to Chapter 680.2416 (1), F.S., the public disclosure of the information contained in this Report of Positive Result is authorized on or after 09/29/2022, or upon commencement of administrative action, whichever occurs first.

Dirk A. Hunt
Associate Director

Brooks Nelson
Chemist III

23-16

Page 1 of 1

University of Florida Racing Lab
ISO/IEC 17025-2017
Certificate # AT-1642



The Foundation for The Gator Nation
An Equal Opportunity Institution



DOCUMENTATION REQUIRED FOR ALL
DAMAGED OR VOIDED FORMS SECURE
STORAGE REQUIRED - ISSUE / USE
SEQUENTIALLY

312822 DATE 09/04/2022
TRACK 321
RACE 8 FINISH 1
NAME OF ANIMAL WORA
NOMBRE DEL ANIMAL
COLOR DK BROWN SEX G AGE 4
ID NUMBER 981020023663716
 MICROCHIP TATTOO FREEZE-BRAND
BLOOD William M Watson ^{MD} JDM ^{MD}
SAMPLED BY
URINE Juan Foyó ^{MD}
SAMPLED BY
TRAINER Renaldo Richards
ENTRENADOR / ENTRENADORA
WITNESS ALFON
SIGNATURE FIRMA DE TESTIGO
WITNESS 6724904 Pappalardo
LICENSE # NÚMERO DE LICENCIA

J.C. RI-252-02



7020 2450 0000 0542 0202
2428 9605 0000 0542 0202

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Domestic Mail Only

For delivery information, visit our website at www.usps.com®.

OFFICIAL USE

Certified Mail Fee \$ _____

Extra Services & Fees (check box, add fee as appropriate)

<input type="checkbox"/> Return Receipt (hardcopy)	\$ _____
<input type="checkbox"/> Return Receipt (electronic)	\$ _____
<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____

Postage \$ _____

Total Postage \$ _____

Sort to _____

Street and Apt. _____

City, State, ZIP+4® _____

Postmark Here

9-30-22

Renaldo Mario Richards
843 Garnet Circle
Weston, Florida 33326
AC - 2022046353 - PMW

PS Form 3800, April 2015 (PSN 7530-02-000-904) See Reverse for Instructions



Tracking Number:

Remove X

70202450000050963247

[Copy](#) [Add to Informed Delivery](#)
(<https://informedelivery.usps.com/>)

Latest Update

Your item was delivered to an individual at the address at 1:54 pm on October 8, 2022 in FORT LAUDERDALE, FL 33326.

Delivered

Delivered, Left with Individual

FORT LAUDERDALE, FL 33326

October 8, 2022, 1:54 pm

[See All Tracking History](#)

Feedback

Text & Email Updates



USPS Tracking Plus[®]



Product Information



See Less ^

Track Another Package

U.S. Postal Service
Certified Mail Receipt

OUTBOUND TRACKING NUMBER
9414 7118 9956 2047 6190 01

RETURN RECEIPT TRACKING NUMBER
9490 9118 9956 2047 6190 98

FEEES

Postage per piece	\$2.550
Certified Fee	\$4.350
Return Receipt Fee	\$3.550
Total Postage & Fees:	\$10.450

9/15/23

ARTICLE ADDRESS TO:

OSC-2023013135-PMW
Paula Capestro
PO Box 791
Rcho Santa Fe CA 92067-0791

Postmark
Here

Tracking Number:

Remove X

9414711899562047619001

Copy

Add to Informed Delivery (<https://informedelivery.usps.com/>)

Latest Update

Your item was picked up at the post office at 1:11 pm on September 27, 2023 in RANCHO SANTA FE, CA 92091.

Get More Out of USPS Tracking:

USPS Tracking Plus[®]

Delivered

Delivered, Individual Picked Up at Post Office

RANCHO SANTA FE, CA 92091

September 27, 2023, 1:11 pm

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[What Do USPS Tracking Statuses Mean?](https://faq.usps.com/s/article/Where-is-my-package) (<https://faq.usps.com/s/article/Where-is-my-package>)

Text & Email Updates



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Product Information



See Less ^

Feedback

Track Another Package

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Need More Help?

Contact USPS Tracking support for further assistance.

FAQs

8. Discussion of License Denials

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods.
Re: Ronald Pierre; Case No. 2023-038525
Date: October 19, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Ronald Pierre's, ("Applicant") application for a Cardroom Employee Occupational License. Applicant submitted a completed application for a Cardroom Employee Occupational License on June 23, 2023, and he applied for a waiver of his felony convictions. The Executive Director reviewed the file along with the waiver interview notes and declined to waive the felony convictions. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On June 23, 2023, Applicant submitted his completed application to the Division of Pari-Mutuel Wagering for a Cardroom Employee Occupational License. Upon review of the completed application, it appears the Applicant was convicted of felonies in the state of Florida:

- Domestic Battery by Strangulation in 2021;
- Battery in 2021.

Relevant Law

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions were not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

**ROUTING SLIP
REQUEST FOR WAIVER**

RE: PIERRE, RONALD – LIC # 10334752
(APPLICANT'S NAME – LICENSE #)

Case No: 2023 03 8525

1012 / Poker Dealer
Occupation Code and Job Title

Palm Beach Kennel Club
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

OCTOBER 9, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones *BDJ* 9/6/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: DD 09/08/2023 _____
(Initial & Date) (Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

[] Yes or [X] No If yes, in what jurisdiction? _____

Executive Director: LT 9/24
(Initial & Date)

[] Prepare Waiver or [X] Prepare File for Commission Review

Comments: _____

Investigative Findings:

June 24, 2016	Miami-Dade Police Department, Florida – Battery – Felony – Pled Not Guilty – Nolle Prosequi on February 13, 2018 following completion of Pre-Trial Diversion Program – Assessed \$6.00 in Court fees.
August 11, 2019	Miami-Dade Police Department, Florida – Battery Domestic by Strangulation – Felony – Pled Guilty – Adjudication Withheld on July 21, 2021 – Sentenced to 1 year and 6 months' probation – Assessed in \$1,477.00 in Court costs. – A \$25.00/month payment plan was initiated on August 7, 2023 with a scheduled completion date of June 7, 2028.

*Please attach Routing Slip to front of case file



Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office: PMW	Region: Southern	Date of Complaint: July 11, 2023	Case Number: 2023 03 8525
Respondent: PIERRE, RONALD 1430 NE 150TH STREET NORTH MIAMI, FLORIDA 33161 MOBILE PHONE: (786) 290-9611		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # / Type: 10334752 / 1012		Profession: Poker Dealer	Report Date: July 21, 2023
Period of Investigation: July 11, 2023, through July 21, 2023		Type of Report: Final	
<p>Basis of Investigation: This investigation is predicated upon receipt of Ronald PIERRE's application for a State of Florida PMW Cardroom Employee Occupational License dated June 5, 2023, and Waiver Request form dated June 30, 2023 (Exhibit #1).</p> <p>On June 5, 2023, PIERRE submitted a PMW Cardroom Employee Occupational License application to be licensed as a Poker Dealer at the Palm Beach Kennel Club in West Palm Beach (PBKC). On his application, he answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" PIERRE disclosed a 2018 Felony Domestic Violence conviction from Miami Dade, Florida (Exhibit #1).</p>			
Related Case: 2015 03 9196			
Investigations Specialist II / Date <i>Andre' Tribble</i> Andre' Tribble / 07/21/2023 Chief of Investigations / Date <i>Bradford D. Jones</i> Bradford D. Jones / September 6, 2023		Approved by Investigator Supervisor / Date <i>Julio Minaya</i> Julio Minaya / August 21, 2023	

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 06/24/2016		Arresting Agency: Miami Dade Police Department			
CHARGES		OFFENSE			
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Battery (Great Bodily Harm) Capias	Felony	Not Guilty	Nolle Prosequi	02/13/2018
2					
3					
4					

SENTENCE
Court fine \$6.00, paid in full.

Additional Information: The above offense first occurred on 07/17/2015, however, it was not filed with the Broward County Clerk of Court until 09/18/2015, at which time a warrant was issued. On 06/24/2016 the Miami Dade Sheriff's Office arrested **PIERRE** for a (not in custody warrant) from Hallandale Beach Police Department. On 02/02/2018 **PIERRE** successfully completed the Misdemeanor Diversion Program (**Exhibit # 2, Pages 1 thru 17**).

Arrest 2					
Date of Arrest: 08/11/2019		Arresting Agency: Miami Dade Police Department			
CHARGES		OFFENSE			
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1	Battery Domestic by Strangulation	Felony	Guilty	Adj/Withheld	07/21/2021
2					
3					
4					

SENTENCE
1 year and 6 months' probation. \$1,477.00 in Court Cost of which \$1,452.00 is still due.

Additional Information: According to the FDLE and Miami Dade Clerks of Courts reports, the arrest occurred in August of 2019. On 07/06/2023 **PIERRE** was granted a payment plan for the \$1,477.00 dollar Court cost. The payment plan started on 08/07/2023 consisting of 59 payments for \$25.00 dollars with the last payment due on 06/07/2028 (**Exhibit #2, Pages 27 thru 33**).

CONTINUATION

CRIMINAL HISTORY

Date of Arrest:		Arresting Agency:			
CHARGES		OFFENSE			
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

Date of Arrest:		Arresting Agency:			
CHARGES		OFFENSE			
		CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
1					
2					
3					
4					

SENTENCE

Additional Information:

CONTINUATION

ADDITIONAL LICENSES

Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?	YES X	NO
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type: 1055

Date Licensed: 04/01/2021	Expiration Date: 06/30/2023	License #: 10334752	Agency or Jurisdiction: Florida Gaming Control Commission
Has License ever been suspended or revoked?			YES NO
Was any derogatory information received?			X
Additional Comments: Although applicant disclosed 3 prior arrests on his 03/23/2021 PMW license application, he failed to disclose a fourth arrest for Battery which occurred on 06/24/2016. (Exhibit # 4, Pages 19 thru 22)			

2. License Type: 1056

Date Licensed: 05/17/2016	Expiration Date: 06/30/2019	License #: 10334752	Agency or Jurisdiction: Florida Gaming Control Commission
Has License ever been suspended or revoked?			YES NO
Was any derogatory information received?			X
Additional Comments: During the course of this investigation, it was learned that the applicant visited the Mardi-Gras Casino when he got into a physical altercation with another patron. Subsequently an enforcement alert was placed on his PMW 1056 license. (Exhibit #4, Pages 12 thru 16)			

3. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:
Has License ever been suspended or revoked?			YES NO
Was any derogatory information received?			
Additional Comments:			

4. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:
Has License ever been suspended or revoked?			YES NO
Was any derogatory information received?			
Additional Comments:			

CONTINUATION

WAIVER INTERVIEW

Was a Waiver Interview Conducted?		YES	NO
		X	
Date of Interview: July 21, 2023	Location of Interview: Telephonic Interview		
Was the applicant cooperative?		YES	NO
Additional Comments:		X	

SUMMARY OF INTERVIEW:

During the interview, **PIERRE** disclosed information about his 2019 Felony arrest and conviction for Battery, Domestic Violence by Strangulation charge in Miami Dade County, Florida. **PIERRE** stated that while dating a woman whom he referred to as his girlfriend, he received a phone call from a male claiming to be her husband. **PIERRE** said he had no idea his girlfriend was married. After receiving the phone call **PIERRE** said that he proceeded to remove the girlfriends' belongings from his residence. When his girlfriend attempted to physically stop him, a physical altercation ensued, and his girlfriend called the police to respond. Once on scene the police observed some bruising on the girlfriend's neck and placed him under arrest.

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **PIERRE (Exhibit #4)**.

PIERRE provided his photo via email (**Exhibit #1**).

During this Waiver investigation, the undersigned Investigator reviewed Versa and discovered that there was an Enforcement Alert on **PIERRE'S** 1056 PMW license for assaulting another patron while visiting the Mardi-Gras Casino in Hallandale Beach, Florida. As a result of this incident **PIERRE** was permanently excluded by the facility. PMW Investigations investigated this matter under case #2015-03-9196 (**Exhibit #4**).

A Versa check revealed that **PIERRE** was issued a 1056 Occupational license on May 17, 2016, which expired on June 30, 2019. At the time of the Battery, **PIERRE** was an employee of Hialeah Park. On March 23, 2021, **PIERRE** once again applied for licensing and on April 1, 2021, was issued a 1055 Occupational license despite having an Enforcement Alert on his previous license type. A review of his March 2021 license application from OnBase revealed that **PIERRE** disclosed three arrests all for Battery but failed to disclose a fourth arrest which occurred on June 24, 2016, which stems from the Mari-Gras Casino incident (**Exhibit #4**).

Status: Case closed by Investigations and forwarded to Licensing.

CONTINUATION

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I. INVESTIGATIVE REPORT COVERSHEET 1

II. INVESTIGATIVE REPORT 2

III. EXHIBITS

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 3. FDLE Report..... 1-8

 4. Supporting Docs: ARCI / Letter from Licensing / Other..... 1-34



EXHIBIT # /
PAGE # /



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 JUN 30 12:21
FLORIDA GAMING
REGULATORY COMMISSION

TO: Ronald Pierre
Full Legal Name of Applicant

[Redacted]
Date of Birth

10334752
Individual/Org Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

- A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance, or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.
- Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:
- New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

- A misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.50, Florida Statutes, timeline requirement regarding the processing of this application.

[Signature]

Signature of Applicant

06/30/23

Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3185 -- REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.

RECEIVED JUN 23 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

RECEIVED JUN 23 2023

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION				
Social Security Number [REDACTED]	Birth Date (MM/DD/YYYY) [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female		
Last Name <u>Pierre</u>	First <u>Ronald</u>	Middle <u>NMN</u>	Suffix	
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
If yes, list the name or names used: _____				
Race/Ethnicity (optional) <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other				
Current Mailing Address <u>1430 NE 150th Street</u>		Email Address (optional) [REDACTED]		
City <u>North Miami</u>	State <u>FL</u>	Zip Code (+4 optional) <u>33161</u>	Country, if other than USA	
Primary Phone Number [REDACTED]		Secondary/Cell Phone Number (optional)		
Current Street Address <u>Same as above</u>				
City	State	Zip Code (+4 optional)	Country, if other than USA	
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input checked="" type="checkbox"/> Cardroom Employee			Facility where employed and/or doing business: PALM BEACH KENNEL CLUB 1111 N CONGRESS AVE WEST PALM BEACH, FL 33409	
Occupation: <u>Poker Dealer</u>				
Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following:				
Stable Name, Kennel Name, or Business Name: _____				
Trainer Name (horse or greyhound racing only): _____				
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY				
Type of professional license (attach a copy of Florida professional license):			Florida License Number	
FOR DIVISION USE ONLY				
License Code <u>1012</u>	License # <u>10334752</u>	File # <u>14761</u>	App # <u>72973</u>	
Association Code <u>149</u>	Date Received <u>6/23/23</u>	Entered By <u>[Signature]</u>	License Year <u>2026</u>	
License Fee <u>100</u>	FP Date <u>6/23/23</u>	FP Fee <u>37.25</u>	Total Fee <u>137.25</u>	
<input type="checkbox"/> Off Temp	<input type="checkbox"/> Waiver Requested	<input checked="" type="checkbox"/> ARCI	<input checked="" type="checkbox"/> Enforcement	<input type="checkbox"/> Minor

RECEIVED
2023 JUN 25 AM
FLORIDA GAMING CONTROL COMMISSION

Applicant has current 1055-
exp. 6/30/23

Open 1020

EXHIBIT #1
PAGE # 3

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2/05/21	Miami-Dade	Florida	DV	Misdemeanor	Adj
08/03/18	Miami-Dade	Florida	DV	Felony	Adj

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

RECEIVED
 2023 JUN 26 AM 10:59
 FLORIDA GAMING CONTROL COMMISSION

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.



 Signature of Applicant

06/05/23

 Date

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: cstubbs1

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1012 - Cardroom Employee Occupational** Expires On

File # **14761** Name **PIERRE, RONALD** Extended To

License # Rank Renewed On

Entity # **10334752** Lic Status **Applicant**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **1430** Street **NE 150TH STREET**

Line 2

Line 3

City **NORTH MIAMI** State **FL** Zip **33161**

Routing



Other

1st License Date Rank Date Certificate #

Method -- Status Date Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action  

Modifiers

Type	Modifier	Effective Date	Additional Info
No items found.			

Alt Keys

BEST LIC NBR



State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023038525 Incident date: 06/30/2023 Status: 106 - Sent to Directors office
Lic Type: 1012 Disposition:
Case Type: Complaint
Responsible: ltrombetta - TROMBETTA, LOUIS

Complainant: DIVISION OF PARI-MUTUEL WAGERING
1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL

Respondent: PIERRE, RONALD
1430 NE 150TH STREET, NORTH MIAMI, FL 33161

Summary: 149-Palm Beach Kennel Club

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	07/11/2023	A	79	440	Incoming Correspondence	cstubbs1	PIERRE, RONALD
	07/11/2023	A	10	15	Assigned to Investigator	atribble	PIERRE, RONALD
	07/18/2023	A	79	100	Initial Review Conducted		PIERRE, RONALD
	07/11/2023	R		atribble	TRIBBLE, ANDRE	cstubbs1	
	09/07/2023	R		ddonaldson	DONALDSON, DAVID	cstubbs1	
	09/06/2023	R		bjones	JONES, BRADFORD	bjones	
	09/08/2023	R		ltrombetta	TROMBETTA, LOUIS	ddonaldson	
	09/08/2023	S	1012	106	Sent to Directors office	ddonaldson	

State of Florida
Department of Business and Professional Regulation
Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	09/07/2023	S	1012	104	Sent to Licensing Section	cstubbs1	
	09/06/2023	S	1012	165	Supervisor Review	bjones	
	07/11/2023	S	1012	20	Under Investigation	cstubbs1	
	07/11/2023	S	1012	10	Initial Review	cstubbs1	
	09/06/2023	S	1012	90	Closed	cstubbs1	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

DD

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report	FAQ	Help	Sign Out
Complaint Search Update	Change Recording License Type	Mass Status Update	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline						

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [Complaint Search](#) > **Maintain Complaint**

Lic Type	1012 - Cardroom Employee Occupational	Status	104 Sent to Licensing Section	Status Date	09/07/2023
Complaint #	2023038525	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent PIERRE, RONALD	Responsible	ddonaldson - DONALDSON, DAVID	Private Case	

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	LIC - Licensee	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	WALK - Walk-in	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IIIB - Waivers	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	06/30/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	07/11/2023	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	07/11/2023	Entered By	cstubbs1	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	149-Palm Beach Kennel Club			<input type="checkbox"/> Attachments	<input type="button" value="History"/>
Updated	09/07/2023 09:10:03	By	cstubbs1	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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[Get Adobe Reader.](#)

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: **ddonaldson**
09/08/2023

VR Home > Complaint Search > Maintain Complaint > License Home

License Fed Tax # [REDACTED] Lic Type 1012 - Cardroom Employee Occupational Expires On File # 14761 Name PIERRE, RONALD Extended To License # Rank Renewed On Entity # 10334752 Lic Status Applicant		<input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back								
Address Street # 1430 Street NE 150TH STREET Line 2 Line 3 City NORTH MIAMI State FL Zip 33161 Routing		*Previous licenses, 1056 expired 6/30/2019, 1055 expired 6/30/2023, 1012 no temp issued. *No ARCI findings. *CCIS findings. *Previous Case 2015039196.								
Other 1st License Date Rank Date Certificate # Method -- Status Date Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent										
Select Action <input type="text"/>										
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	No items found.				
Type	Modifier	Effective Date	Additional Info							
No items found.										
Alt Keys BEST LIC NBR										

Get Adobe Reader.

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search Update	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **ddonaldson**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	04/27/2016
Complaint #	2015039196	Case Type	CMP - Complaint	Disposition	317 No Further Action Required
Disposition Date	04/27/2016				
Docket#	Respondent	PIERRE, RONALD	Responsible	bjones - JONES, BRADFORD	Public Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority	2	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IV-E - Other	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	07/17/2015	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	09/04/2015	<input type="checkbox"/> Inspection	
Reference	550.0251(6)			<input type="checkbox"/> Costs	
Entered	09/04/2015	Entered By	Imuniz	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
Summary	144-MardHartman & Tyner, Inc.----- Ronald Pierre who is employed as a Dealer at Hialeah Park got into an altercation at Mardi Gras Casino and punched a patron. He rec'd a lifetime ejection from Mardi Gras. Police responded and charges are pending with the State Attorneys Office.				<input type="button" value="History"/>
Updated	04/28/2016 10:44:54	By	Imuniz	<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering


Licensing Administrator Review – PMW Occupational License

RE: PIERRE, RONALD - 10334752 **Case No:** 2023 03 8525
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	<u>6/23/2023</u>
COMPLETE APPLICATION RECEIVED:	<u>6/23/2023</u>
90-DAY DEADLINE:	<u>9/21/2023</u>

<u>Randall Kitchens</u>	<u>149 – Palm Beach</u>	<u>1012 - Dealer</u>
Application Processor	Facility (d/b/a name)	Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review				
Conviction				
Did the application accurately reflect the Criminal History Record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
<input checked="" type="checkbox"/> Felony : 1 Count(s)				
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking				
<input type="checkbox"/> Animal Cruelty				
<input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
08/11/2019	Miami Dade, Florida	Battery Dom Strangle F19015640	F3	Adj Withheld
Disposition Unknown				
<input type="checkbox"/> Felony Arrest(s) : 1 Counts				
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking				
Enforcement/Jurisdiction Offenses				
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.				
Related Licenses				
Check VR License Relations to Determine if Applicant is Related to a Business.				
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.				
Business License Number:		Business Name:		
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>				
Date Deficiency Letter Issued:		Initials:		
Comments:				
Licensing Administrator Review				
Disposition Confirmation				
Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval				
Forward to <input checked="" type="checkbox"/> Investigations <input type="checkbox"/> Legal <input type="checkbox"/> Director Initials:  7/11/23				
Comments:				

NOTE: During the 20/23 licensing cycle for a 1055 Slot license, an "Open Case" letter was issued to the applicant instructing him to forward the disposition documents for 3 pending Miami-Dade County, Florida court cases, including the above noted disqualifying offense. The applicant failed to notify the Division of the outcomes.

Julie I. Brown Secretary

Ron DeSantis Governor

March 29, 2021

Mr. Ronald Pierre
1430 Northeast 150th Street
North Miami, Florida 33161

Dear Mr. Pierre:

Enclosed is your PMW Professional Individual Occupational License issued by the Division of Pari-Mutuel Wagering. Based upon the documentation provided in your application packet, we acknowledge your 08/11/19 arrest in Miami-Dade County, Florida, that is currently pending judicial review and final disposition (Case # 132019CF0156400001XX); your 08/23/20 arrest in Miami-Dade County, Florida, that is currently pending judicial review and final disposition (Case # 132020MM0146810001XX); and your 03/08/21 arrest in Miami-Dade County, Florida, that is currently pending judicial review and final disposition (Case # 132021MM0046830001XX).

Once these matters have been resolved in a court of law, you are required to forward the disposition documents to the address above, including your name, license number and contact information within 10 days of the date of disposition.

If you have any questions or concerns regarding this matter, please feel free to contact me at 850.488.3211.

Sincerely,

Glenda Ricks

Glenda Ricks, Chief of Operations
Division of Pari-Mutuel Wagering

Licensing Administrator Review – Slot Machine Occupational License

RE: PIERRE, RONALD- 10334752
(APPLICANT'S NAME- LICENSE #)

Case No: _____

INITIAL APPLICATION RECEIVED:	3/23/2021
COMPLETE APPLICATION RECEIVED:	4/1/2021
90-DAY DEADLINE:	6/30/2021

Carlos Castillo
Application Processor

544- Hialeah Park
Facility (d/b/a name)

1055- Card Room Dealer
Occupation/Job Title

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review				
Did the application accurately reflect the Criminal History Record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Conviction				
<input checked="" type="checkbox"/> Felony : 1 Count(s)				
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor convictions that involve theft, fraud, or burglary				
<input type="checkbox"/> Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)				
Comments: State Case Number: 2019CF0156400001XX- Trial Hearing Set for 05/17/2021.				
Arrest Date	Location	Charge	Level	Disposition
08/11/2019	Miami-Dade County, Florida	Battery/Domestic/Strangle	F-3	Open Case
08/11/2019	Miami-Dade County, Florida	Battery	M-1	Open Case

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.
Comments:

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:
Comments:	

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed? Yes <input type="checkbox"/> No <input type="checkbox"/> <input checked="" type="checkbox"/> Approval	
Forward to <input type="checkbox"/> Investigations <input type="checkbox"/> Legal <input type="checkbox"/> Director	Initials: <i>shels 4/1/21</i>
Comments:	

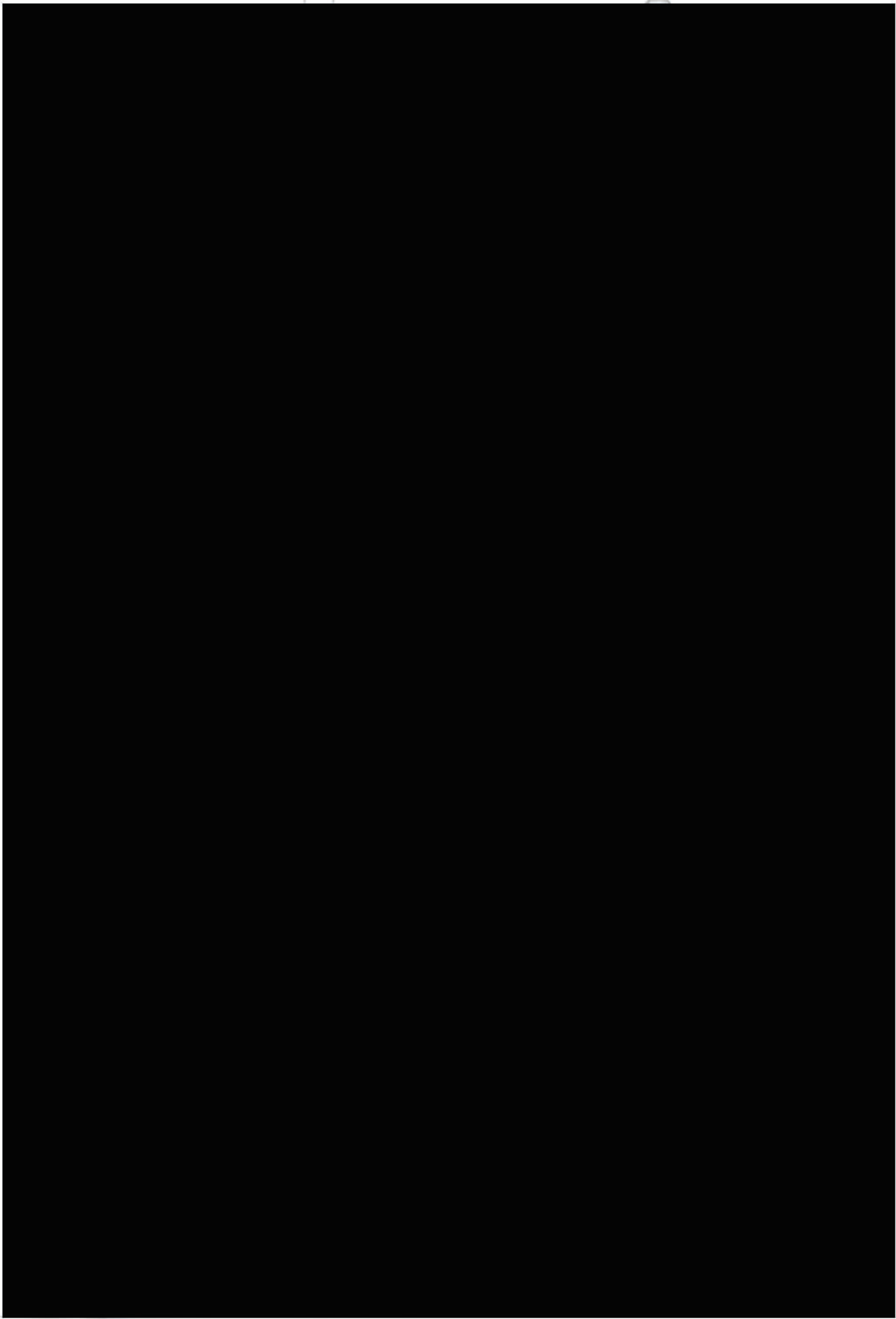
Issue pending charge letter w/ license.



Page 1 of 1. 0 total matches.

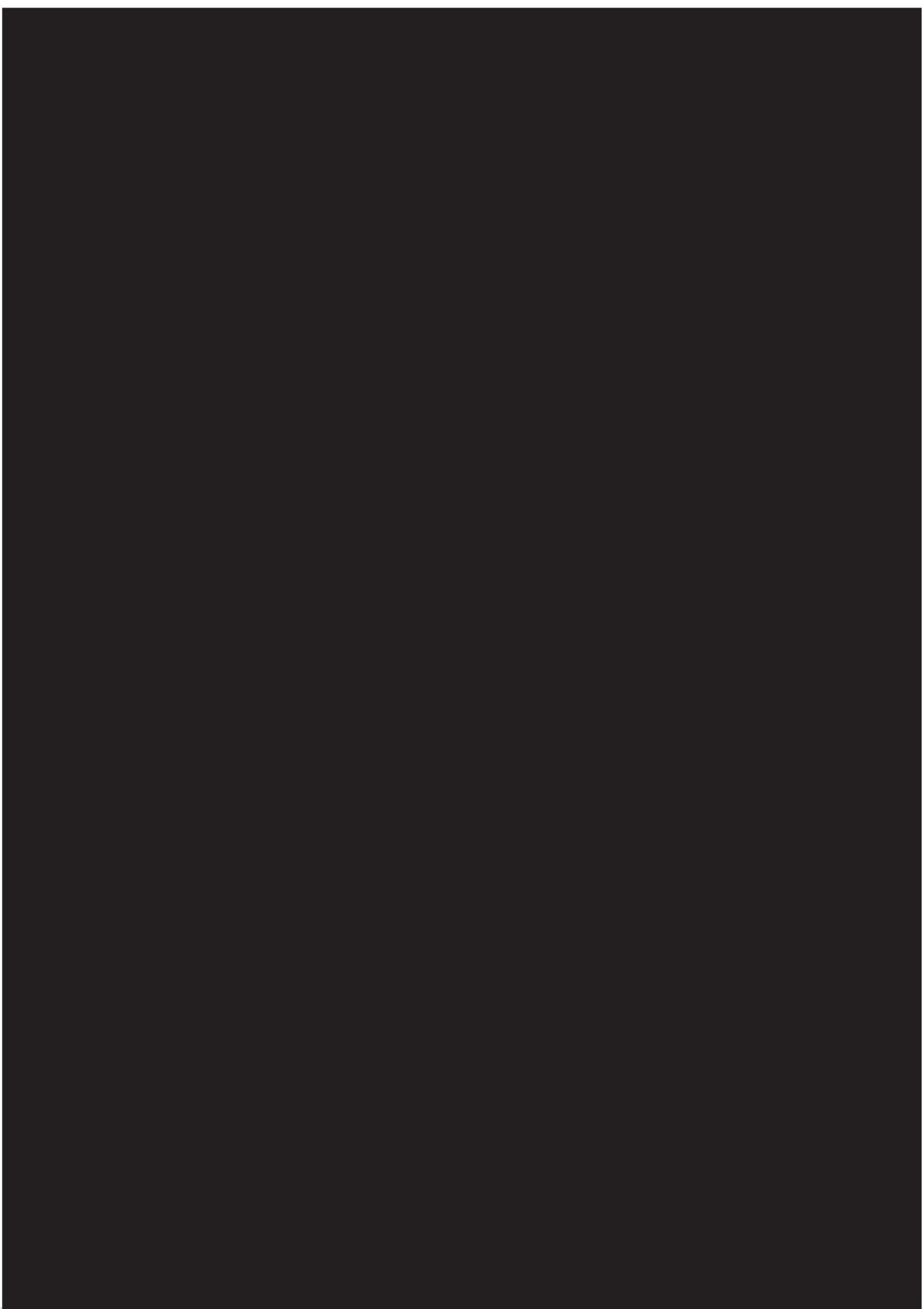
Search Parameters
Govt. Number [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: Pierre
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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BRENDA D. FORMAN
CLERK OF THE COURTS
 browardclerk.org ■ BROWARD COUNTY, FLORIDA



Menu ☰

Is your court hearing being held via Zoom? Learn more about Remote Court Hearings by Zoom (/GeneralInformation/Miscellaneous#RemoteHearingsbyZoom)

Case Detail - Public

Print

State of Florida Vs. Pierre, Ronald

Broward County Case Number: [REDACTED]
State Reporting Number: [REDACTED]
Court Type: Felony
Case Type: 3rd Degree Felony
Filing Date: 09/18/2015
Case Status: Disposed
Court Location: Central Courthouse
Judge ID / Name: Rothschild - FG, Michael I.
Magistrate Id / Name: N/A
BCCN: N/A

- Party(ies)

Total: 4

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	Pierre, Ronald	1430 NE 150Th Street North Miami, FL 33161	★ Hoffman, Evan Bar ID: 153151 15536 Biscayne BLVD Aventura, FL 33160 Status: Removed 02/13/2018
	Gender: Male Race: Black Height: 6' Eye: Unknown Hair: Unknown or Bald DOB: [REDACTED]		
State	State of Florida		
Bond Company	Ballers Bail Bonds		

EXHIBIT # 2
PAGE # /

Party Type

Party Name

Address

Attorneys / Address

* Denotes Lead Attorney

Bond Insurance Company

Bankers Insurance Company

- Charge(s)

Total: 1

Offense Date	Charge	Degree	Detail
07/17/2015	1	(F3)	Felony Batt(Great Bodily Harm) Date Filed: 07/17/2015 Current Statute: (F3)784.041(1)(b) Filing Type: SAO Information- Not In Custody Filing Agency: Hallandale PD Original Statute: (F3)784.041(1)(b)-Felony Batt(Great Bodily Harm)

- Warrant(s)

Total: 1

Issue Date	Charge(s)	Detail	Status
09/18/2015	1	Warrant# 15012091CF10A1 (InActive) Type: Capias - Not In Custody Bond Type: No Bond Hold Date Served: 08/02/2016 Hold Without Bail Bond: Yes	08/02/2016 Capias Served 09/18/2015 Not in Custody - Capias Issued

- Bond(s)

Total: 1

Posted Date	Charge(s)	Detail	Status
06/27/2016	1	Bond# 561888797 (Active) Bond Type: Surety Bond Bond Company: Ballers Bail Bonds Insurance Company: Bankers Insurance Company Bond Amount: \$1000.00	06/27/2016 POSTED

- Arrest(s)

Total: 1

EXHIBIT # 2
PAGE # 2

Arrest Date	Charge	Arrest Number	Detail
06/24/2016	1	OC16148026	Arrest Name At Arrest: Pierre, Ronald Offense: Felony Batt(Great Bodily Harm) Degree: (F3) Bond Amount: \$ Statute: 784.041(1)(b) OBTS Number: 0000000000

- Disposition(s)

Total: 1

Offense Date	Charge	Disposition(s)
07/17/2015	1	Plea(s) 08/10/2016 Plea Written Plea Not Guilty-Jury Disposition(s) 02/13/2018 Disposition Nolle Prosequi

- Collection(s)

Total: 1

Collection Date	Charge	Citation Number	Total Imposed Amount	Total Amount Due	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Next Payment Date	Next Payment Amount
07/30/2018	1		\$0.00	\$6.00	\$6.00	\$0.00	07/30/2018	\$6.00		\$0.00

- Event(s) & Document(s)

Total: 50

Date	Description	Additional Text	View	Pages
02/13/2018	Hearing Held / Status Hearing Re:	Cr: S. Sugden (d)-Pti: Nolle Prose		
02/13/2018	Court Disposition			1
02/02/2018	File Letter	DEFT HAS SUCCESSFULLY COMPLETED THE MISDEMEANOR DIVERSION PROGRAM		1
01/30/2018	Hearing Held / Status Conference	Cr: N. Petrak (d)		
01/30/2018	File Court's Notice Of Hearing			

EXHIBIT # 2
PAGE # 3

Date	Description	Additional Text	View	Pages
08/21/2017	File Letter	Misd. Diversion Program - Accepted Memorandum		1
08/03/2017	Calendar Call/Held	Ct. Rept. N. Petrak/D		
08/03/2017	File Court's Notice Of Hearing			1
08/03/2017	File Document	MISDEMEANOR DIVERSION PROGRAM		1
07/11/2017	Hearing Held / Status Conference	Ct. Rept. N. Petrak/D		
07/11/2017	File Court's Notice Of Hearing			1
04/25/2017	File Document	Felony Pre-Trial Intervention (PTI)		39
03/24/2017	Hearing Held / Status Conference	Ct. Rept. N. Petrak/I		
03/24/2017	File Court's Notice Of Hearing			1
03/21/2017	Hearing Reset	Ct. Rept. N. Petrak/D		
03/21/2017	File Court's Notice Of Hearing			1
03/16/2017	Defense Notice of Taking Depositions			1
03/14/2017	Defense Notice of Taking Depositions			1
03/10/2017	Hrg Held On Defense Motion For Continuance	Ct. Rept. N. Petrak/D/Agreed Motion to Continue Trial due to Court Conflict		1
03/10/2017	File Court's Notice Of Hearing			1
03/09/2017	File Notice Of Hearing			1
03/07/2017	File Order Denying Def Motion For Continuance			1
03/01/2017	File Motion	to continue trial		3
02/20/2017	File States Supplemental Discovery			2
02/02/2017	File Court's Notice Of Hearing			1
02/02/2017	Calendar Call/Held	Ct. Rept. N. Petrak/D		
12/01/2016	Calendar Call/Held	Ct. Rept. N. Petrak/I		
12/01/2016	File Order Granting Def Motion For Continuance	Verbal		
12/01/2016	File Defense Waiver Of Speedy Trial	Verbal		

EXHIBIT #2
PAGE # 4

Date	Description	Additional Text	View	Pages
12/01/2016	File Court's Notice Of Hearing			1
10/11/2016	Court Date Notice			2
09/23/2016	File States Discovery Submission			5
09/23/2016	File State Demand For Notice Of Allbl			2
08/17/2016	Arraignment Held	Ct. Rept. N. Petrak/I		
08/17/2016	File Court's Notice Of Hearing			1
08/10/2016	File Defense Written Plea Of Not Guilty			1
08/10/2016	File Notice Of Appearance			1
08/10/2016	File Def Not Of Intent To Participate In Discovery			2
08/03/2016	Court Date Notice			2
08/02/2016	Capias Served			2
07/25/2016	Arrest Paperwork			6
07/25/2016	Bond Posted			3
09/18/2015	Electronic Case			1
09/18/2015	File State Not In Custody - Information			2
09/18/2015	File Notice Of Confidential Info Within Court Filing			1
09/18/2015	File State Not In Custody - Information			2
09/18/2015	File State's Instruction Sheet			1
09/18/2015	Probable Cause Affidavit			2
09/18/2015	File State Not In Custody - Information			1
09/18/2015	Capias Image			1

- Hearing(s)

Total: 10

EXHIBIT #2
PAGE # 5

Date	Description	Additional Text
02/13/2018	Hearing/Status Hearing RE:	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 (d)-PTI
01/30/2018	Hearing/Status Conference	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
08/03/2017	Calendar Call	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
07/11/2017	Hearing/Status Conference	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
03/24/2017	Hearing/Status Conference	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
03/21/2017	Hearing/Status Conference	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900
03/10/2017	Hearing Defense Motion For Continuance	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6900 <i>Agreed Motion to Continue Trial Due to Court Conflict</i>
02/02/2017	Calendar Call	Hearing Time: 1:30 PM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6810
12/01/2016	Calendar Call	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6810
		<i>10/06/2016 Reset by Court to 12/01/2016 - Judge Rescheduled</i>
08/17/2016	Arraignment	Hearing Time: 8:30 AM Judicial Officer(s):Rothschild - FG, Michael I. Location: Room 6810

- Related Case(s)

Total: 0

There is no related case information available for this case.

Brenda D. Forman

EXHIBIT # 2
PAGE # 6

Clerk of Court

Broward County
17th Judicial Circuit

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GLOSSARY OF TERMS ([HTTPS://WWW.BROWARDCLERK.ORG//WEB2/CASESEARCHECA/GLOSSARY/](https://www.browardclerk.org/web2/casesearcheca/glossary/)) >

Main Courthouse Location

201 SE 6th Street

Fort Lauderdale
Florida, US 33301

Phone: (954) 831-6565

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119.12(2), F.S.

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Instead, contact this office by phone or in writing.

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EXHIBIT #2
PAGE #7

Ronald Pierre
1430 NE 150Th Street
North Miami FL 33161

Male [Redacted] Black

6 Ft. 0 In. Unknown

BCCN:

In The Circuit/Court
In And For Broward County,
Florida

Capias

Judge Dennis Bailey - EG
Deft Number [Redacted]

To all and singular the sheriffs of Florida, Greetings:

You are commanded to take Ronald Pierre

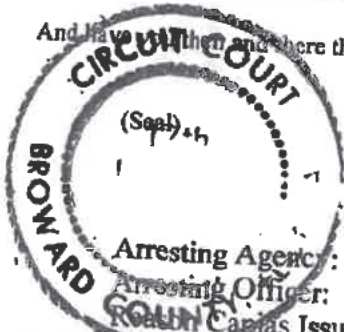
If that person be found in your county, arrest and safely keep so that you may have that person's body before the Judge of our said court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida to:

Charge	Charge Description	Bond Amount
1	Felony Batt(Great Bodily Harm)	No Bond

Total: No Bond
No Bond Hold

Issue Date: 09/18/2015

And have them and here this writ.



9/29/2015
Witness Howard C. Forman, Clerk of our
Said court and the seal of our said court,
At the Courthouse at Fort Lauderdale Florida,
Howard C. Forman, Clerk

By: Camara Merchant Deputy Clerk

***** Capias Issued: Capias - Not In Custody/ Bond to be set at Magistrate Hrg *****

Received this capias on _____ and executed same on _____
By arresting the within named defendant and having him now before the court.
_____, Sheriff By: _____
Deputy Sheriff

EXHIBIT #2
PAGE #8



BSO - Warrants/Extradition Division

Extradition Detail Report

Print Date: July 14, 2016 11:30:13PM

Casenumbr: [REDACTED] Received Date: 2016/06/24 Booking Num:

Status: Extradite SAO Contact: INSTATE Phone:

LName: PIERRE FName: RONALD MName:

Race: B Gender: M DOB: [REDACTED]

ORI Num: FL Name: MIAMI-DADE POLICE DEPARTMENT

Contact: PRE TRIAL DETENTION CTR/ATTN RECORDS

Address: 1321 N.W. 13 STREET
MIAMI, FL 33125

Phone: [REDACTED] Fax: Arrest Date:

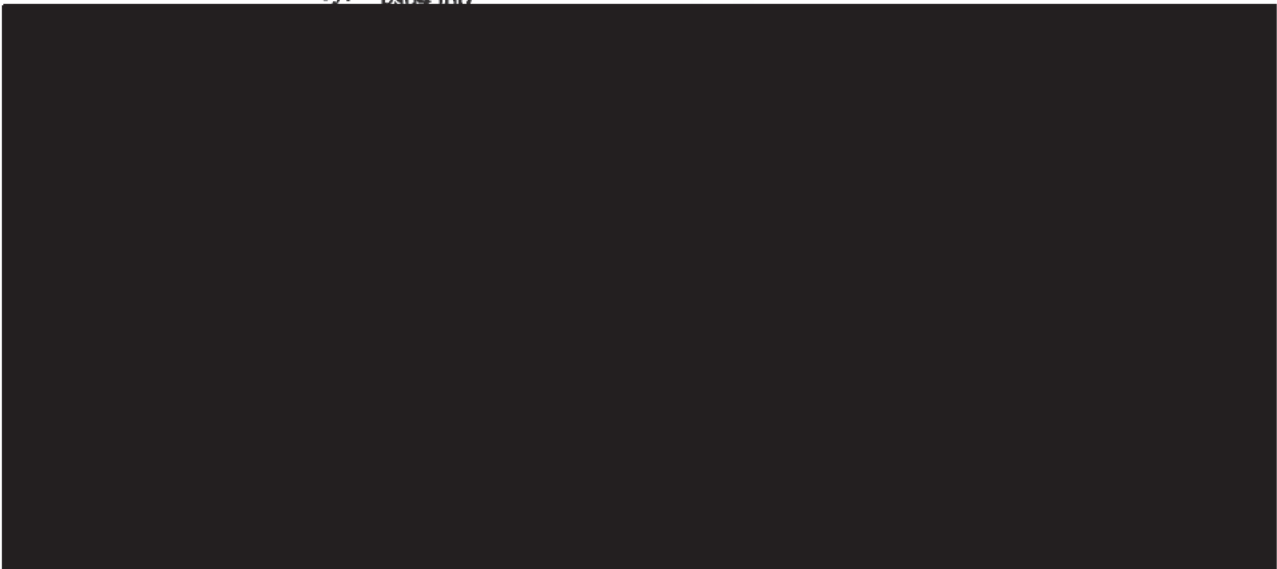
In Custody Name: Booking Num: [REDACTED] Returnee:

Extradition Type: InState P/U Pickup Deadline: /2/16/07/1 Local Charges: Y Detainer Sent: //

On Loan: N Transportation: N Travel Order: N Sentence Type: Booking Num: POSTED BOND

Date Entered: 06/24/2016 by: bs04560

Notes:



=====

Received: 2016-06-27 16:32:34
ATTN: SYSTEM GENERATED HEADER

MSG-NBR: 00215 MNE: [REDACTED]

--FLORIDA ADMINISTRATIVE MESSAGE--

FROM: C13-54-0205
TO: S06-00-0031
TO: S06-00-0029
TO: S06-00-0030
TO: S06-00-0027
TO: S06-00-0024
SUBJECT: ROUTINE MESSAGE

NOTICE OF BOND OUT

REFERENCE: RELEASE
COUNTY: BROWARD
SUBJECT: PIERRE RONALD W/M [REDACTED]
SUBJECT JAIL NUMBER: [REDACTED]
SUBJECT'S ADDRESS: 1430 NE 150TH STREET MIAMI FL 33161
SUBJECT ARRESTED ON YOUR CHARGES OF: FELONY NIC FOR FELONY BATTERY GREAT BODI
LY HARM / WARR# 15012091CF10A
BOND AMOUNT: \$1000 / PW NUMBER: 5618887970
BONDING COMPANY BALLERS BAIL BOND
BONDING COMPANY ADDRESS: 1175 NE 125TH STREET MIAMI FL 33161
BONDING COMPANY PHONE NUMBER: [REDACTED]
BONDING AGENT: CHIUZ / [REDACTED]
AUTHORITY: OFC S FOWLER / [REDACTED]
TTY OPERATOR: OFC HINSON / [REDACTED]
SUBJECT HAS POSTED BOND ON YOUR CHARGES AND BEING RELEASED FROM OUR CUSTODY IN
30 MINS. PLEASE ACKNOWLEDGE RECEIPT OF TELETYPE .

--END--

=====

Received: 2016-06-27 16:32:44
ATTN: SYSTEM GENERATED HEADER

MSG-NBR: 00216 MNE: [REDACTED]

--FLORIDA ADMINISTRATIVE MESSAGE--

FROM: C13-54-0205
TO: S06-00-0031
TO: S06-00-0029

EXHIBIT #2
PAGE #11

RONALD
05 30 90

Ronald Pierre
1430 NE 150Th Street
North Miami FL 33161



6 Ft. 0 In. Unknown

BCCN:

In The Circuit/Court
In And For Broward County,
Florida

RECEIVED

2015 SEP 31 PM 2:20

BROWARD COUNTY, FLORIDA

Capias

Judge Dennis Bailey - EG
Deft Number



To all and singular the sheriffs of Florida, Greetings:

You are commanded to take Ronald Pierre

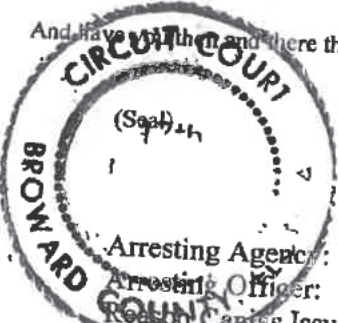
If that person be found in your county, arrest and safely keep so that you may have that person's body before the Judge of our said court instanter to answer to the charge, filed by the State Attorney of Broward County, Florida to:

Charge	Charge Description	Bond Amount
1	Felony Batt(Great Bodily Harm)	No Bond

Total: No Bond
No Bond Hold

Issue Date: 09/18/2015

And have all these things done as there this writ.



Arresting Agent:
Arresting Officer:
Reason

9/29/2015
Witness Howard C. Forman, Clerk of our
Said court and the seal of our said court,
At the Courthouse at Fort Lauderdale Florida,
Howard C. Forman, Clerk
By: Carra Merchant Deputy Clerk

Reason Capias Issued: **Capias - Not In Custody/ Bond to be set at Magistrate Hrg**

Received this capias on _____ and executed same on _____
By arresting the within named defendant and having him now before the court.
_____, Sheriff By: _____
Deputy Sheriff



Record Detail

Printer Friendly



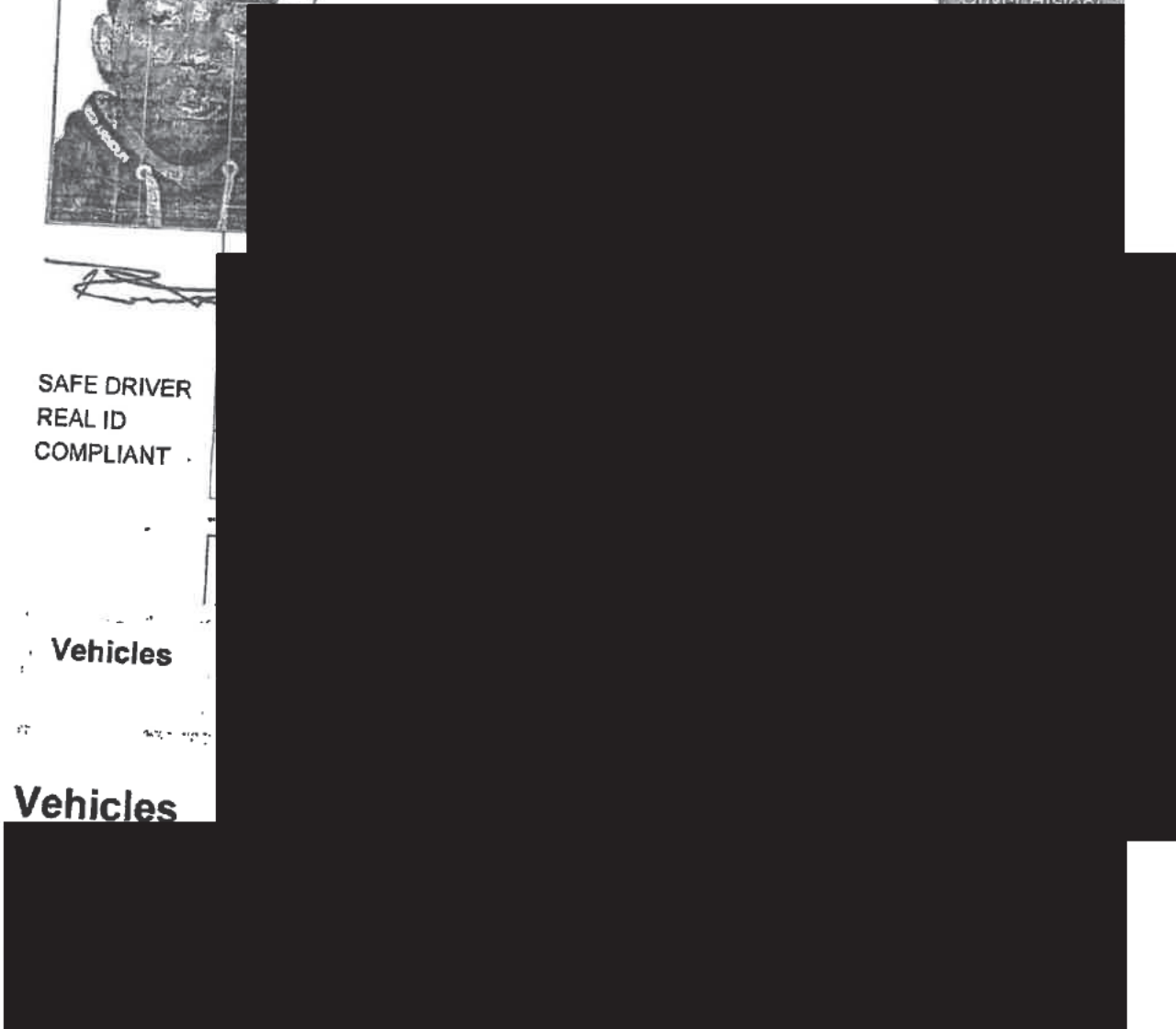
Documents Photo & Signature Report Driver for Re-exam Driver History



SAFE DRIVER
REAL ID
COMPLIANT

Vehicles

Vehicles





**Broward County Sheriff's Office
Department of Community Programs
Probation Division
540 S.E. 3rd Ave., 1st Floor
Ft. Lauderdale, FL 33301
(954) 357-5900 – OFFICE
(954) 765-4567 - FAX**

Date: January 29, 2018

MISDEMEANOR DIVERSION PROGRAM

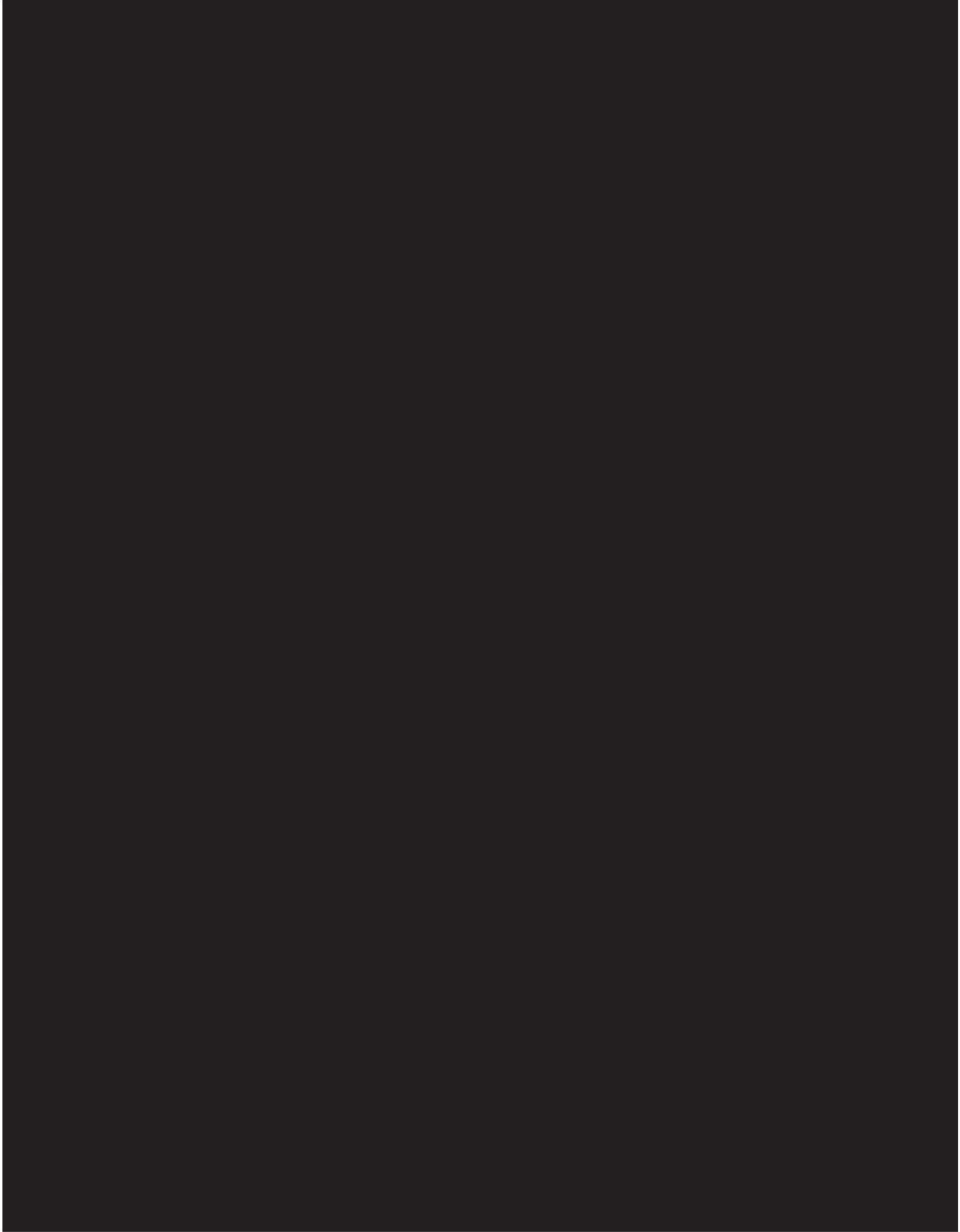


South Regional Courthouse
3550 Hollywood Blvd, Rm 240
Hollywood, FL 33021
(954) 831-0482
FAX (954) 964-0286

West Regional Courthouse
100 N Pine Island Rd, Rm 120
Plantation, FL 33324
(954) 831-2300
FAX (954) 370-3715

North Regional Courthouse
1600 W Hillsboro Blvd, Rm 220
Deerfield Beach, FL 33442
(954) 831-1280
FAX (954) 831-1280

**EXHIBIT # 2
PAGE # 16**



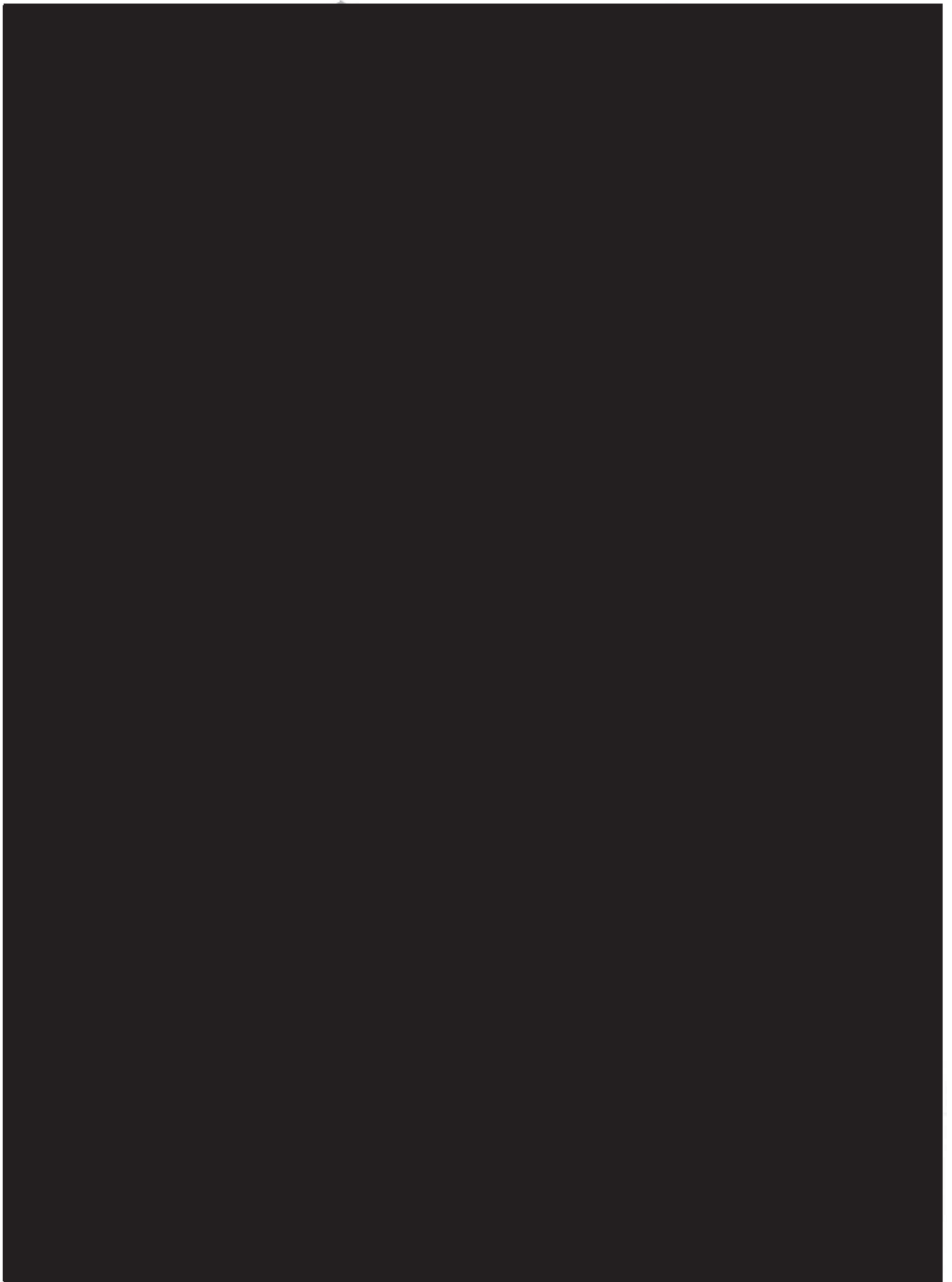












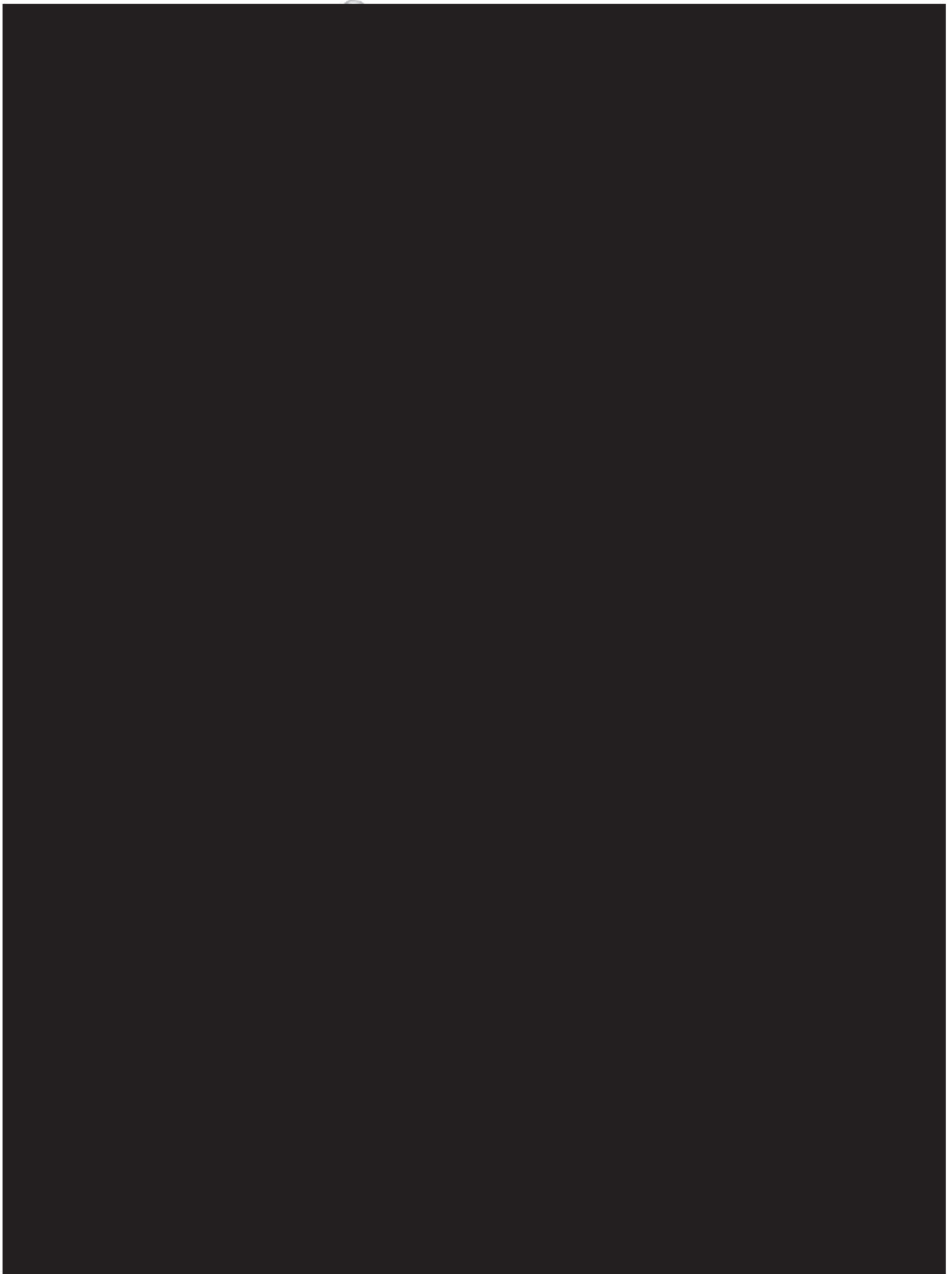
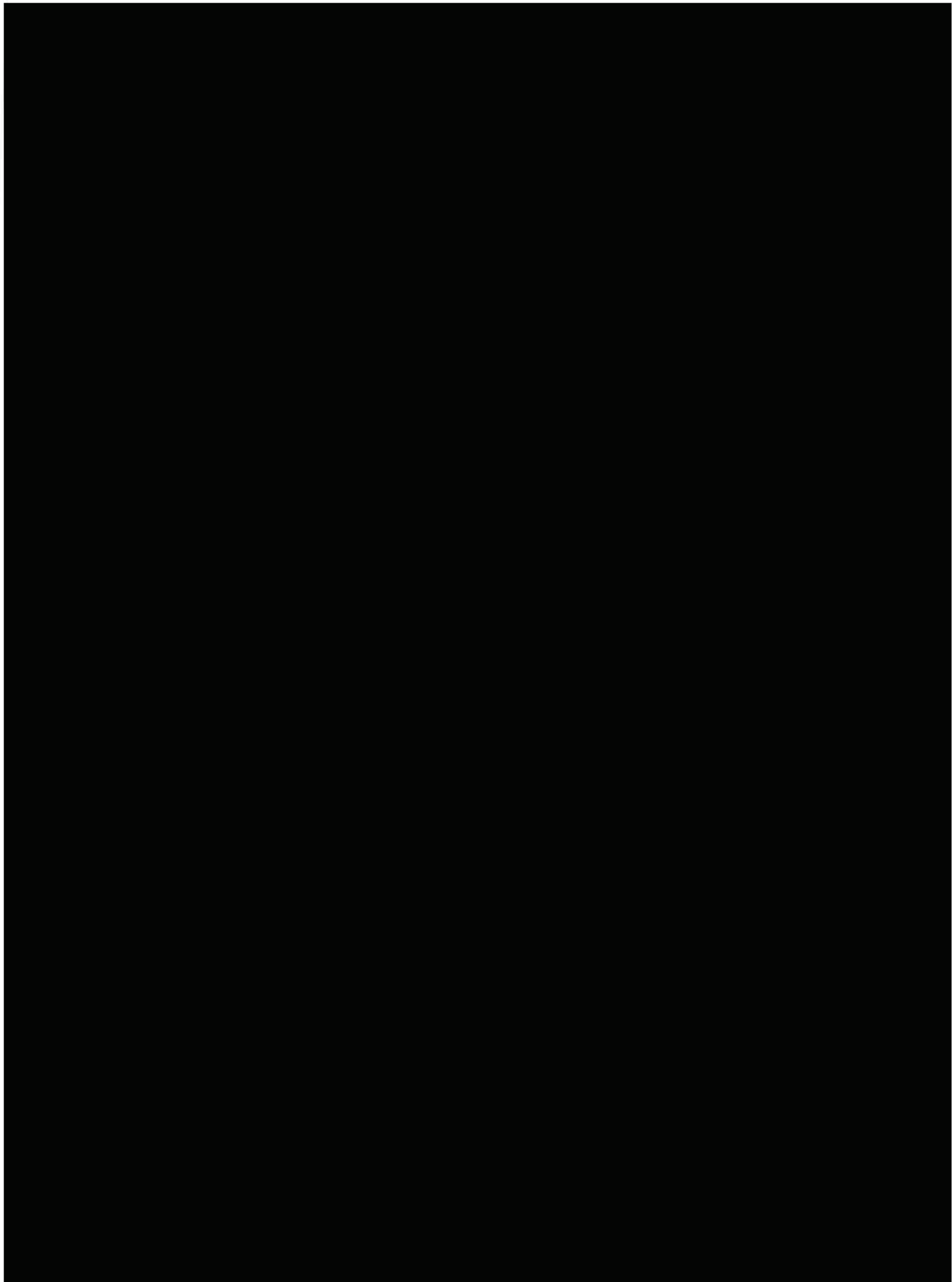


EXHIBIT #2
PAGE #26





The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that every entry, no matter how small, should be recorded to ensure the integrity of the financial data. This includes not only sales and purchases but also expenses and income. The document provides a detailed list of items that should be tracked, such as inventory levels, accounts payable, and accounts receivable. It also outlines the procedures for recording these transactions, including the use of double-entry bookkeeping to ensure that the books are balanced.

The second part of the document focuses on the analysis of the financial data. It explains how to calculate key financial ratios and metrics, such as the gross profit margin, operating profit margin, and return on investment. These metrics are used to evaluate the company's performance and identify areas for improvement. The document also discusses the importance of comparing the company's performance to industry benchmarks and providing a clear explanation of any variances.

The final part of the document covers the preparation of financial statements. It provides a step-by-step guide to creating the income statement, balance sheet, and cash flow statement. It also discusses the importance of auditing the financial statements to ensure their accuracy and reliability. The document concludes with a summary of the key findings and recommendations for the company's future financial management.

The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that every sale, purchase, and expense must be properly documented to ensure the integrity of the financial statements. This includes keeping receipts, invoices, and bank statements in a secure and organized manner.

Next, the document outlines the process of reconciling the books. This involves comparing the company's internal records with the bank statements to identify any discrepancies. If there are differences, the accountant must investigate the cause, such as a missed deposit or an incorrect recording of a transaction.

The document also covers the preparation of the financial statements. This includes the balance sheet, income statement, and statement of cash flows. Each statement provides a different perspective on the company's financial performance and position. The balance sheet shows the company's assets, liabilities, and equity at a specific point in time. The income statement shows the company's revenues and expenses over a period, resulting in net income or loss. The statement of cash flows shows the changes in the company's cash and cash equivalents over the same period.

Finally, the document discusses the importance of reviewing the financial statements with management. This allows the company's leadership to understand the financial results and make informed decisions about the company's future. It also provides an opportunity to discuss any areas of concern or areas where the company is performing well.



EXHIBIT #2
PAGE # 31

EXHIBIT #2
PAGE #32

RECEIVED

2023 JUN 30 PM 12: 21

PLUMBIA COUNTY
CONF. REG. COMMISSION

EXHIBIT #2
PAGE #33

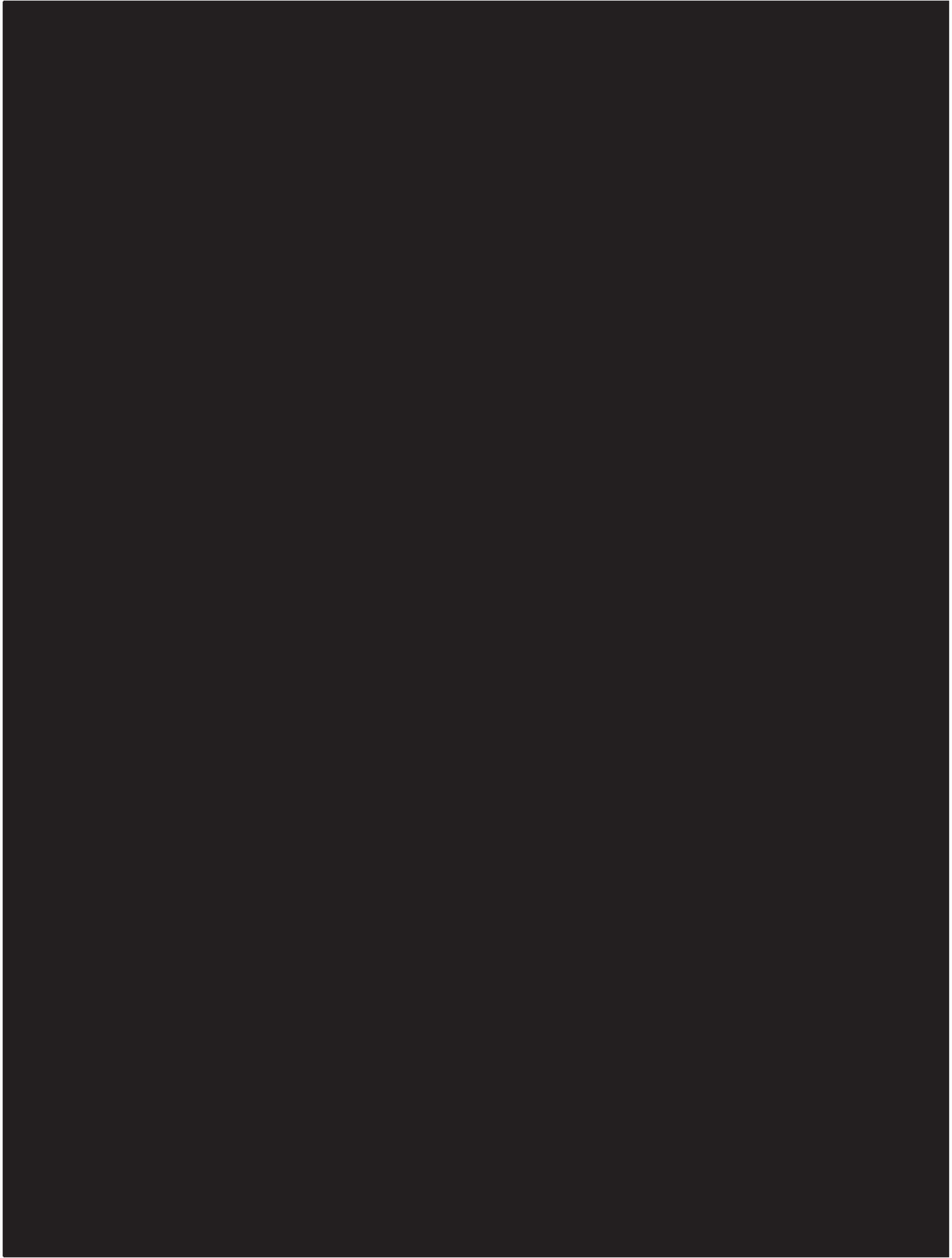








EXHIBIT # 2
PAGE # ↑ 40



licenses

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: ronald
Middle Name:
Last Name: pierre
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

[Licenses](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

EXHIBIT # 4
PAGE # 1

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: PIERRE, RONALD - 10334752 Case No: _____
(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	6/23/2023
COMPLETE APPLICATION RECEIVED:	6/23/2023
90-DAY DEADLINE:	9/21/2023

Randall Kitchens 149 – Palm Beach 1012 - Dealer
Application Processor Facility (d/b/a name) Occupation/Job Title


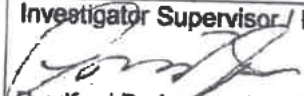

Pursuant to Section 650.106(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority, under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review				
Conviction				
Did the application accurately reflect the Criminal History Record? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
<input checked="" type="checkbox"/> Felony : 1 Count(s)				
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking				
<input type="checkbox"/> Animal Cruelty				
<input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
08/11/2019	Miami Dade, Florida	Battery Dom Strangle F19015640	F3	Adj Withheld
<input type="checkbox"/> Felony Arrest(s) : 1 Counts				
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking				
Enforcement/Jurisdiction Offenses				
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.				
Related Licenses				
Check VR License Relations to Determine If Applicant is Related to a Business.				
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.				
Business License Number:		Business Name:		
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>				
Date Deficiency Letter Issued:		Initials:		
Comments:				
Licensing Administrator Review				
Disposition Confirmation				
Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>				
Forward to <input checked="" type="checkbox"/> Investigations <input type="checkbox"/> Legal <input type="checkbox"/> Director <input type="checkbox"/> Approval				
Initials: <u>[Signature]</u>				7/11/23
Comments:				

Ken Lawson, Secretary

Rick Scott, Governor

OFFICE OF INVESTIGATIONS
INVESTIGATIVE REPORT

Region: Southern	Date of Complaint: July 17, 2015	Case Number: 2015 03 9196
Respondent: PIERRE, RONALD 1430 NE 150 STREET NORTH MIAMI, FLORIDA, 33161		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 W. COMMERCIAL BLVD, SUITE 165 FT LAUDERDALE, FL 33309 954-202-3900
License # and Type 10334752 - 1055	Profession: Slot/Combo	Report Date: March 22, 2016
Period of Investigation: July 17, 2015 through March 22, 2016	Type of Report: Final	
<p>Alleged Violation: The powers and duties of the Division of Pari-mutuel Wagering of the Department of Business and Professional Regulation.—The division shall administer this chapter and regulate the pari-mutuel industry under this chapter and the rules adopted pursuant thereto, and:</p> <p>(6) In addition to the power to exclude certain persons from any pari-mutuel facility in this state, the division may exclude any person from any and all pari-mutuel facilities in this state for conduct that would constitute, if the person were a licensee, a violation of this chapter or the rules of the division. The division may exclude from any pari-mutuel facility within this state any person who has been ejected from a pari-mutuel facility in this state or who has been excluded from any pari-mutuel facility in another state by the governmental department, agency, commission, or authority exercising regulatory jurisdiction over pari-mutuel facilities in such other state. The division may authorize any person who has been ejected or excluded from pari-mutuel facilities in this state or another state to attend the pari-mutuel facilities in this state upon a finding that the attendance of such person at pari-mutuel facilities would not be adverse to the public interest or to the integrity of the sport or industry; however, this subsection shall not be construed to abrogate the common-law right of a pari-mutuel permit holder to exclude absolutely a patron in this state.</p>		
<p>Synopsis: Hialeah Poker Dealer RONALD PIERRE (License #10334752) was involved in a physical altercation with another patron while playing cards at Mardi Gras Casino on July 17, 2015. He was later issued a Trespass and Lifetime Ejection from Mardi Gras Casino (EXHIBIT#1).</p> <p style="text-align: center;">Continued</p>		
Related Case:		
Investigator / Date  R. Starkman / March 22, 2016 Chief of Investigations / Date	Investigator Supervisor / Date  Bradford D. Jones / April 26, 2016	
 Steven E. Kogan / April 27, 2016		

DBPR INVESTIGATIVE REPORT

CASE NUMBER: 2015 03 9196

On July 17, 2015 at approximately 4:17PM, a physical altercation between two patrons took place at Poker Table #21 at the Mardi Gras Casino (MGC). One patron, Mr. Ronald PIERRE, was also later identified as a Poker Dealer employed at Hialeah Park Casino (License #10334752 – Exp. June 30, 2016). The second patron was ultimately identified as Mr. Anthony ROBERTO. According to witness statements, and MGC management/security staff, ROBERTO (a white male) was making racial comments towards PIERRE (a black male). PIERRE asked ROBERTO to stop but he refused and continued make these remarks which were directed at PIERRE. At one point, PIERRE indicated he had heard enough of these comments, and ultimately punched ROBERTO in the face.

MGC Dealer Shannon FERGUSON (License # 9859031 – Exp. June 30, 2017) was interviewed by Investigator Noel Haynes and this writer. She confirmed she was the dealer on duty at the table where and when the incident occurred. FERGUSON informed us that PIERRE was known to her from being a regular patron of the MGC card room. She stated that ROBERTO was unquestionably the instigator. The action¹ came to "Ron" (PIERRE) and he went all in for \$125.00. The action then folded² to ROBERTO and he called. FERGUSON ran out the board³ and PIERRE told ROBERTO "you win." ROBERTO said "I have King High", PIERRE turned his cards over and FERGUSON announced "he (PIERRE) has a full house". ROBERTO said to PIERRE, "you said I win with King high, why would you say I win?" FERGUSON then pushed the pot to PIERRE.

As FERGUSON started dealing the next hand, ROBERTO said to PIERRE "why would you slow roll⁴ me?" FERGUSON instructed PIERRE that he cannot miss-call another player's hand. ROBERTO then said to PIERRE, "I have a black girl friend and only your kind of people do that!" According to FERGUSON, PIERRE said "what?" ROBERTO replied "Only black people do those?" FERGUSON told ROBERTO "you can't speak like that." At this point, PIERRE told ROBERTO "Sorry I didn't mean to slow roll you." FERGUSON asked both of them to drop it and resume play. Instead words continued to be exchanged by both men until ROBERTO said something to the effect: "What are you going to do about it?" PIERRE stood up suddenly but was held back by an unidentified friend. Security was called to the table and PIERRE started to move away from the table, but abruptly changed direction towards ROBERTO and punched him. MGC Security then intervened and separated the men. FERGUSON's handwritten statement is attached herein as EXHIBIT #2. A subsequent review of the related security footage in essence supports the sequence of events provided by FERGUSON.

Hallandale Beach Police (HBPB) were dispatched to MGC along with Fire Rescue. A Hallandale Police report is attached to this case file along with a MGC Security report as EXHIBIT #3. PIERRE was not placed under arrest. According to the HBPB report, ROBERTO admitted to making a comment that PIERRE felt was racist, and PIERRE admitted to striking ROBERTO. The report also notes that Trespass Affidavits were prepared for each combatant (EXHIBIT #1). The HBPB report also shows that ROBERTO signed a Wavier of Prosecution indicating he did not want to pursue the matter criminally. However on July 29, 2015 ROBERTO met with a HPBD investigator stating he had changed his mind and wanted to press charges against PIERRE (EXHIBIT #3 Pg. 6).

¹ Player's turn of play.

² Players who withdrew from the current round of play due to the perceived weakness of the cards they possessed.

³ Completed dealing the round of play.

⁴ Term refers to a delayed reveal of a player's cards.

DBPR INVESTIGATIVE REPORT

CASE NUMBER: 2015 03 9196

On April 4, 2016, this writer spoke with **PIERRE**, who stated at no time was he arrested. He further stated that he was informed by the Hallandale Police that no charges were being filed in connection with this incident. I subsequently confirmed his assertion by conducting a record search using the Comprehensive Case Information System.

As of the date of this report, no charges have been filed against **PIERRE**. Both **PIERRE** and **ROBERTO** received life time ejections from the Mardi Gras Casino.

No further action is required.

Status: Case Closed

EXHIBIT # ² *JK*
PAGE # *5*

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I. INVESTIGATIVE REPORT COVERSHEET
II. INVESTIGATIVE REPORT
III. EXHIBITS
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 2. Dealer Statement1-2
 3. Hallandale PD Report.....1-7
 4. Ronald Pierre License Information.....1-1
 5. Photos.....1-2
 6. Ferguson License Information.....1

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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain: 10 - Division of Professional Wagering

Logged in as: atribute

VR Home > License Search > Display Alerts > **Maintain License Modifiers - BASE_CLONE**

[Search Criteria](#) | [Results](#) | [Detail](#)

Modifier Type A - Alert
Modifier ENFO - Enforcement
Effective 04/01/2021
Expires -
Additional Info :
Prompt
Value OPEN CASE
Applicant has an open case for their 08/11/2019, 08/23/2020 and 03/08/2021 arrest in Miami-Dade County, Florida. Once the matter has been resolved in a court of law, or upon final disposition of the case, the applicant is required to forward the disposition documents to the Division.
Notes
Updated 04/01/2021 14:50:52
By ccastillo

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[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#) | [FAQ](#) | [Help](#) | [Sign Out](#)
[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: attribbtr

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo Expires On 06/30/2023
 File # 3974 Name PIERRE, RONALD Extended To SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo Renewed On [REDACTED]
 License # 10334752 Rank [REDACTED] Entity # 10334752 Lic Status Lic Expired

-
-
-
-
-

Address

Street # 1430 Street NE 150TH STREET

Line 2
Line 3

City NORTH MIAMI State FL Zip 33161

Routing

Other

1st License Date 04/01/2021 Rank Date 04/01/2021 Certificate # [REDACTED]
 Method I-S-1020 Status Date 07/01/2023 Certificate Date [REDACTED]
 Fee Exempt No Birth Date [REDACTED] Renewal Sent [REDACTED]

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	04/01/2021	OPEN CASE
C	DEAL - Dealer	04/01/2021	
F	LIVE - Live Scan Fee Exemption	12/11/2013	
I	JLAI - Jai-Alai	04/01/2021	
I	QHRS - Quarter-Horse	04/10/2015	
L	325 - Calder Race Crs	04/01/2021	
Y	3YR - 3 Year License	12/11/2013	

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EXHIBIT # *[Signature]*
PAGE # *[Signature]*

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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

[FAQ](#) | [Help](#) | [Sign Out](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmhaya

VR Home > License Search

[Search Criteria](#) | [Results](#)

Lic Type	Name	Rank	Status	Fed Tax #	File #	Lic #	Expires	City	Street	Actions
1055	PIERRE, RONALD	SCPL	45	[REDACTED]	3974	10334752	08/30/2023	NORTH MIAMI	1430 NE 150TH STREET	
1056	PIERRE, RONALD	SCCL	45	[REDACTED]	4884	10334752	06/30/2019	NORTH MIAMI	1430 NE 150TH STREET	

Total: 2

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EXHIBIT #4
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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report	FAQ	Help	Sign Out
License Search		Entity Search		Modify License Standing		Maintain License CE Control						

Domain: 10 - Division of Pari-Mutuel Wagering Logged in as: jmlnaya

VR Home > License Search > Basic Entity Data

Basic Entity Data	List of Addresses	List of Names
-----------------------------------	-----------------------------------	-------------------------------

Lic Type	1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo		Entity #	10334752
Fed Tax #	[REDACTED]	Tax Type	SSN #	Name PIERRE, RONALD
Last Name	PIERRE	First	RONALD	Middle
Title		Suffix		Qualifier
Gender	M	Race 1	Black	
Driver License #	[REDACTED]	Birth Date	[REDACTED]	
Mailing Address	<input checked="" type="checkbox"/> Private Address	<input type="checkbox"/>	Preferred Name	<input checked="" type="checkbox"/>
Street #	1430	Street	NE 150TH STREET	
Line 2				
Line 3				
City	NORTH MIAMI	County	Dade	
Zip	33161	State	Florida	Country
				United States
Routing	[REDACTED]	Ext	E-Mail	[REDACTED]
Phone #	[REDACTED]			
Insp Region		Receive Email	<input type="checkbox"/>	
Updated	04/01/2021 15:19:36	By	tmcdowell1	

- Notes
- Notes History
- Fix
- Change
- Save
- OK
- Cancel
- Back

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EXHIBIT #4
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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report	FAQ Help Sign Out
License Search		Entity Search		Modify License Standing		Maintain License CE Control				

Domain 10 - Division of Pari-Mutuel Wagering Logged in as: jminaya

VR Home > License Search > Display Alerts

Fed Tax # [REDACTED]	Lic Type 1056 - Slot Combo General	Expires On 06/30/2019
File # 4884	Name PIERRE, RONALD	Extended To
License # 10334752	Rank SCCL - Slots-Cardroom Combo License	Renewed On
Entity # 10334752	Lic Status Lic Expired	

Description	Effective	Notes	
Enforcement Alert	06/28/2016	<input checked="" type="checkbox"/>	<input type="button" value="OK"/>

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

FAQ | Help | Sign Out

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmrurya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Type **1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo** Expires On **06/30/2023**

File # **3974** Name **PIERRE, RONALD** Extended To

License # **10334752** Rank **SCPL - Slot/Cardroom/Pari-Mutuel Indiv Combo** Renewed On

Entity # **10334752** Lic Status **Lic Expired**

-
-
-
-
-

Address

Street # **1430** Street **NE 150TH STREET**

Line 2

Line 3

City **NORTH MIAMI** State **FL** Zip **33161**

Routing

Other

1st License Date **04/01/2021** Rank Date **04/01/2021** Certificate #

Method **I-S-1020** Status Date **07/01/2023** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	04/01/2021	OPEN CASE
C	DEAL - Dealer	04/01/2021	
F	LIVE - Live Scan Fee Exemption	12/11/2013	
I	JLAI - Jai-Alai	04/01/2021	
I	QHRS - Quarter-Horse	04/10/2015	
L	325 - Calder Race Crs	04/01/2021	
Y	3YR - 3 Year License	12/11/2013	

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[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmrtraya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1056 - Slot Combo General** Expires On **06/30/2019**
 File # **4884** Name **PIERRE, RONALD** Extended To
 License # **10334752** Rank **SCCL - Slots-Cardroom Combo License** Renewed On
 Entity # **10334752** Lic Status **Lic Expired**

- [Licensee](#)
- [History](#)
- [Notes](#)
- [Notes History](#)
- [Back](#)

Address

Street # **1430** Street **NE 150TH STREET**
 Line 2
 Line 3
 City **NORTH MIAMI** State **FL** Zip **33161**
 Routing

Other

1st License Date **05/17/2016** Rank Date **05/17/2016** Certificate #
 Method **I-S-1020** Status Date **07/02/2020** Certificate Date
 Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
A	ENFO - Enforcement Alert	06/28/2016	Applicant Hit
C	DEAL - Dealer	05/17/2016	
I	QHRS - Quarter-Horse	05/17/2016	
L	544 - Hialeah Park	05/17/2016	
Y	3YR - 3 Year License	05/17/2016	

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FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > Display Alerts > Maintain License Modifiers - BASE_CLONE

Search Criteria | Results | Detail

Modifier Type **A - Alert**
 Modifier **ENFO - Enforcement**
 Effective **06/28/2016**
 Expires -
 Additional Info :
 Prompt
 Value **Applicant Hit**
 Notes **Applicant must complete form 3120 and provide court disposition papers for 6/24/2016 & 7/15/2018 arrest in Miami-Dade PD, FL prior to licensure.**
 Updated **07/17/2018 12:29:07**
 By **tmcdowell1**

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report	FAQ	Help	Sign Out
Complaint Search Update	Change Mass Status Update	Recording License Type Update	Public Case Info	Delete Complaint	Mass Activity Update	Mass Discipline						

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > Complaint Search > Maintain Complaint

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	04/27/2016
Complaint #	2015039196	Case Type	CMP - Complaint	Disposition	317 No Further Action Required
Disposition Date	04/27/2016				
Docket#	Respondent	PIERRE, RONALD	Responsible	bjones - JONES, BRADFORD	Public Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority	2	<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IV-E - Other	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	07/17/2015	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	09/04/2015	<input type="checkbox"/> Inspection	
Reference	550.0251(6)	Entered By	Imuniz	<input type="checkbox"/> Costs	
Entered	09/04/2015	144-MardHartman & Tyner, Inc. _____ Ronald Pierre who is employed as a Dealer at Hialeah Park got into an altercation at Mardi Gras Casino and punched a patron. He rec'd a lifetime ejection from Mardi Gras. Police responded and charges are pending with the State Attorneys Office.			
Updated	04/28/2016 10:44:54	By	Imuniz	<input type="checkbox"/> Time Tracking	<input type="button" value="Auto Assign"/>
				<input type="checkbox"/> Attachments	<input type="button" value="History"/>
				<input type="checkbox"/> Work Notes	<input type="button" value="Print Report"/>

<input type="button" value="Change"/>	<input type="button" value="Save"/>	<input type="button" value="OK"/>	<input type="button" value="Cancel"/>	<input type="button" value="Back"/>
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EXHIBIT #4
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FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

[Complaint Search Update](#) |
 [Change Recording License Type](#) |
 [Delete Complaint](#) |
 [Mass Activity Update](#) |
 [Mass Discipline](#) |
 [Public Case Info](#)

Domain 10 - Division of Pari-Mutuel Wagering Logged in as: jminaya

VR Home > Complaint Search > Maintain Complaint > Case History

Lic Type 1055 - Slot/Cardroom/Pari-Mutuel Indiv Combo	Status 90 Closed	On 04/27/2016
Complaint 2015039196 Docket #	Disposition 317 No Further Action Required	On 04/27/2016
Respondent PIERRE, RONALD	Responsible JONES, BRADFORD	Public Case

Change Type	Old Value	New Value	Effective	Update	By	
Disposition		317	04/27/2016	04/28/2016 10:44 AM	Imuniz	X
Status	165	90	04/27/2016	04/28/2016 10:44 AM	Imuniz	X
Status	40	165	04/06/2016	04/06/2016 09:07 AM	bjones	X
Responsible	rstarkman	bjones	04/06/2016	04/06/2016 09:07 AM	bjones	X
Status	20	40	12/11/2015	12/11/2015 03:01 PM	bjones	X
Status	10	20	09/04/2015	09/04/2015 12:28 PM	Imuniz	X
Status		10	09/04/2015	09/04/2015 12:21 PM	Imuniz	X
Responsible		rstarkman	09/04/2015	09/04/2015 12:21 PM	Imuniz	X

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OCCUPATIONAL LICENSE FEE VOUCHER

Date 3-23-21

Applicant Name: Ronald Preme
(Please print)

Position/Title: Card Room Dealer
(Please print)

This voucher must be submitted with the applicant's completed Slot Machine Individual Occupational License Application form to the DBPR Licensing and Compliance Office.

Upon receipt of an authorized voucher, Calder Casino & Race Course agrees to remit to the Division:

\$50 (1-year license)

\$100 (3-year license)

Upgrade Fee \$ _____

[Handwritten Signature]

Human Resources Authorized Signature

For Accounting use only.

White-DBPR • Yellow-HR

**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3410 – Slot Machine Individual Occupational License Application**

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Social Security Number [REDACTED]	Birth Date (MM/DD/YYYY) [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name Pierre	First Ronald	Middle N/A	Suffix N/A
Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			RECEIVED
If yes, list the name or names used: N/A			
Race/Ethnicity (check only one): <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Native American or Alaska Native <input type="checkbox"/> Other			
Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, provide the name of the country of which you are a citizen: N/A			
Current Mailing Address 1430 NE 150 Street		Email Address (optional) [REDACTED]	
City North Miami	State FL	Zip Code (+4 optional) 33161	Country United States
Primary Phone Number [REDACTED]		Secondary/Cell Phone Number (optional) N/A	
Current Street Address 1430 NE 150 Street			
City North Miami	State FL	Zip Code (+4 optional) 33161	Country United States
Type of Slot Machine Occupational License applying for: <input type="checkbox"/> General Individual <input type="checkbox"/> Professional Individual <input checked="" type="checkbox"/> Slot Machine/Cardroom/PMW Combination		Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Job title(s): Card Room Dealer		Facility where employed and/or doing business: Calder Casino	
*Applicants for a Combo license should disclose all job titles		Employer name: Calder Casino	
FOR DIVISION USE ONLY			
License Code 1055	License # 10334752	File # 3974	App # 30882
Association Code 285	Date Received 3/23/2021	Entered By J. Stanic	License Year 2020-2023
License Fee \$100	FP Date 3/23/2021	FP Fee 0	Total Fee \$100
Waiver Requested (Combo Only)	ARCI	Enforcement	

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you previously worked for a gaming-related employer?
 If yes, you must list below all gaming-related employment history in the past ten years.

Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving
Hard Rock Casino	1 Seminole Way, Davie, FL 33314	8/2014 - 4/2015 8/2018 - 2/2021	Poker Dealer, Juan	Better opportunity
Hialeah Park Casino	100 E 32nd St, Hialeah, FL 33013	4/2015 - 2/2017	Poker Dealer, Daniel	Better opportunity
KL Calder Casino	20101 NW 27 AVE Miami Gardens, FL 33056	10/2013 - 8/2014	security officer, Rich	better opportunity at Hard Rock

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No
 Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.

State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
Florida	06/30/2019	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Florida	06/30/2016	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

Yes
 No
 Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

If you answered yes to the question above, provide details here:
 N/A

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes No Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud, or filing false reports to government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the section provided below.

Date of Disposition	County	State	Offense	Misdemeanor or Felony?	Sentence
04/11/2019	Miami-Dade	FL	Battery	Misdemeanor	Verdict not guilty
08/12/2019	Miami-Dade	FL	Battery/Dom/Strangle	Felony	Trial hearing scheduled for 5/17/21
08/12/2019	Miami-Dade	FL	Battery	Misdemeanor	Trial hearing scheduled for 5/17/21
03/10/2021	Miami-Dade	FL	Battery	Misdemeanor	Pending

- Yes No Do you currently work for, own or have a financial interest in a slot machine management company, slot machine manufacturer or distributor, or a business that sells slot machine related products, services, or goods to a slot machine licensee?
- Yes No Do you currently own or have a financial interest in a Florida licensed slot machine facility or in any business owned by a Florida licensed slot machine facility?

ADDITIONAL INFORMATION

N/A

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 18.30-18.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 18.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Ronald Pierre

Print Legal Name (First Middle Last)

Social Security Number

Signature of Applicant

Birth Date (MM/DD/YYYY)

Calder Casino

Name of Employer

Date

3/23/21

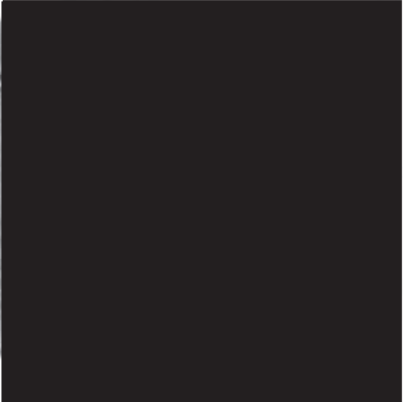
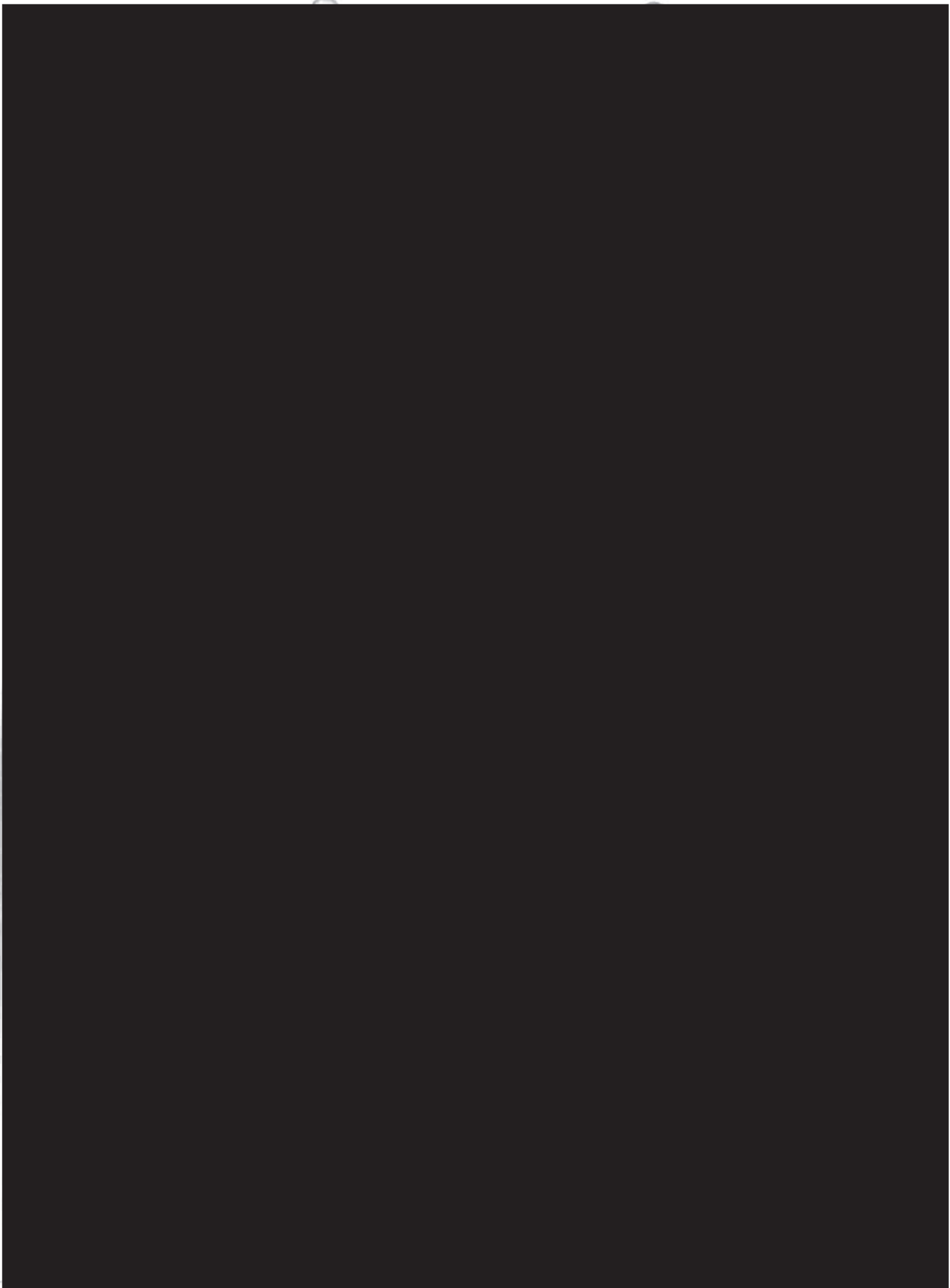


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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: Pierre
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

EXHIBIT #4
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VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
Mass Status Update | Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jstanc

VR Home > Complaint Search > Maintain Complaint

Lic Type	1055 - Slot Machine/Cardroom/Pari-Mutuel Individual Combination	Status	90 Closed	Status Date	04/27/2016
Complaint #	2015039196	Case Type	CMP - Complaint	Disposition	317 No Further Action Required
Disposition Date	04/27/2016	Respondent	PIERRE, RONALD	Responsible	bjones - JONES, BRADFORD
Docket#					Public Case

Complaint | Respondent | Complainant | Add'l Info

Source **INTN - Internal** Security Level 1
 Form **INTR - Internal** Priority 2
 Class'n **IV-E - Other** Complexity **R - Regular**
 Security **STND - Standard** Incident **07/17/2015**
 Region **SR - Southern Region** Received **09/04/2015**
 Reference **550.0251(6)**
 Entered **09/04/2015** Entered By **Imuniz**

Summary **144-MardHartman & Tyner, Inc. - Ronald Pierre who is employed as a Dealer at Hialeah Park got into an altercation at Mardi Gras Casino and punched a patron. He rec'd a lifetime ejection from Mardi Gras. Police responded and charges are pending with the State Attorneys Office.**

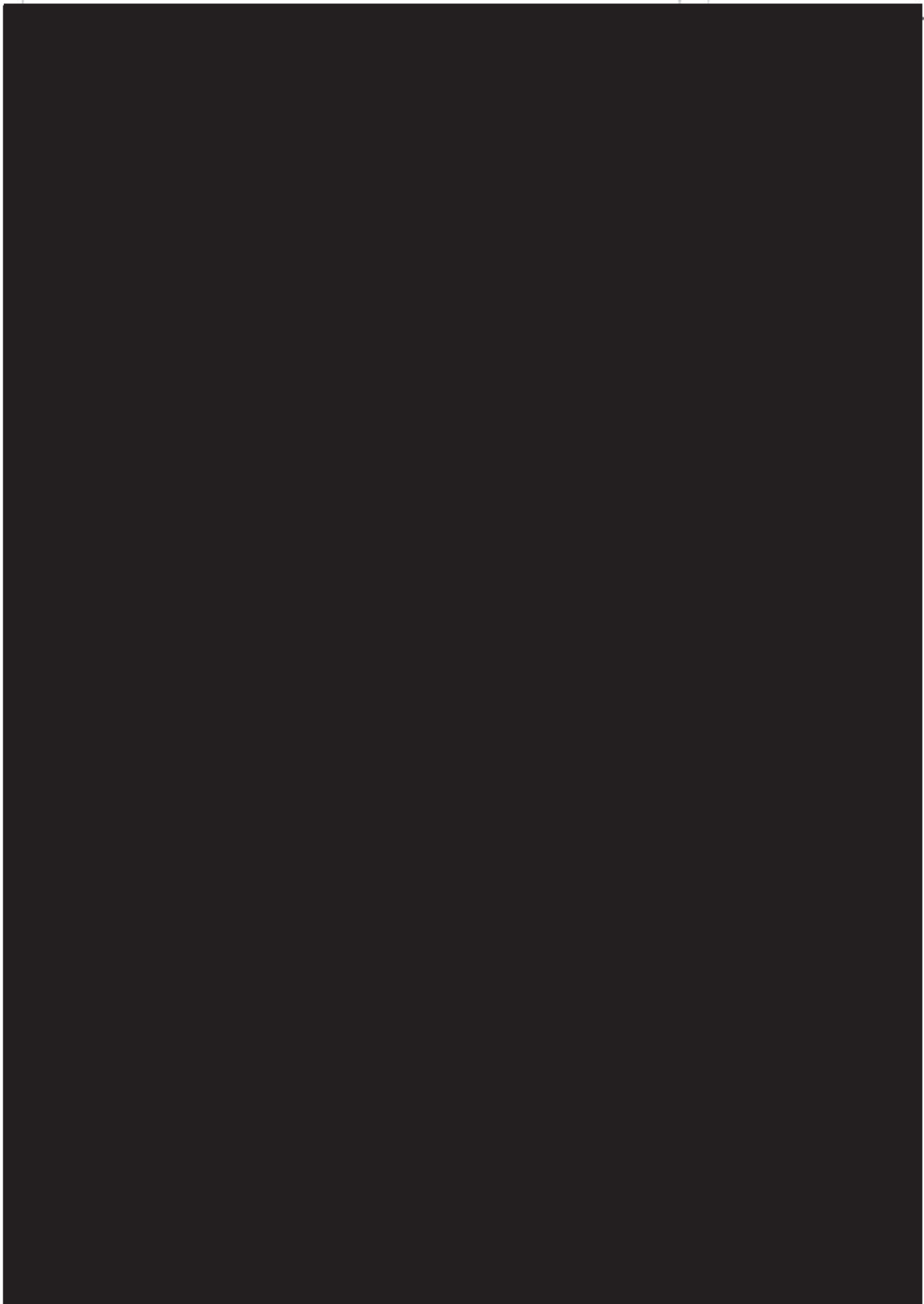
Updated **04/28/2016 10:44:54**

By **Imuniz**

- Parties
- Allegations
- Violations
- Related
- Inspection
- Costs
- Time Tracking
- Attachments
- Work Notes
- Activities
- Discipline
- Compliance
- Disposition
- Auto Assign
- History
- Print Report

Change | Save | OK | Cancel | Back

Get Adobe Reader.





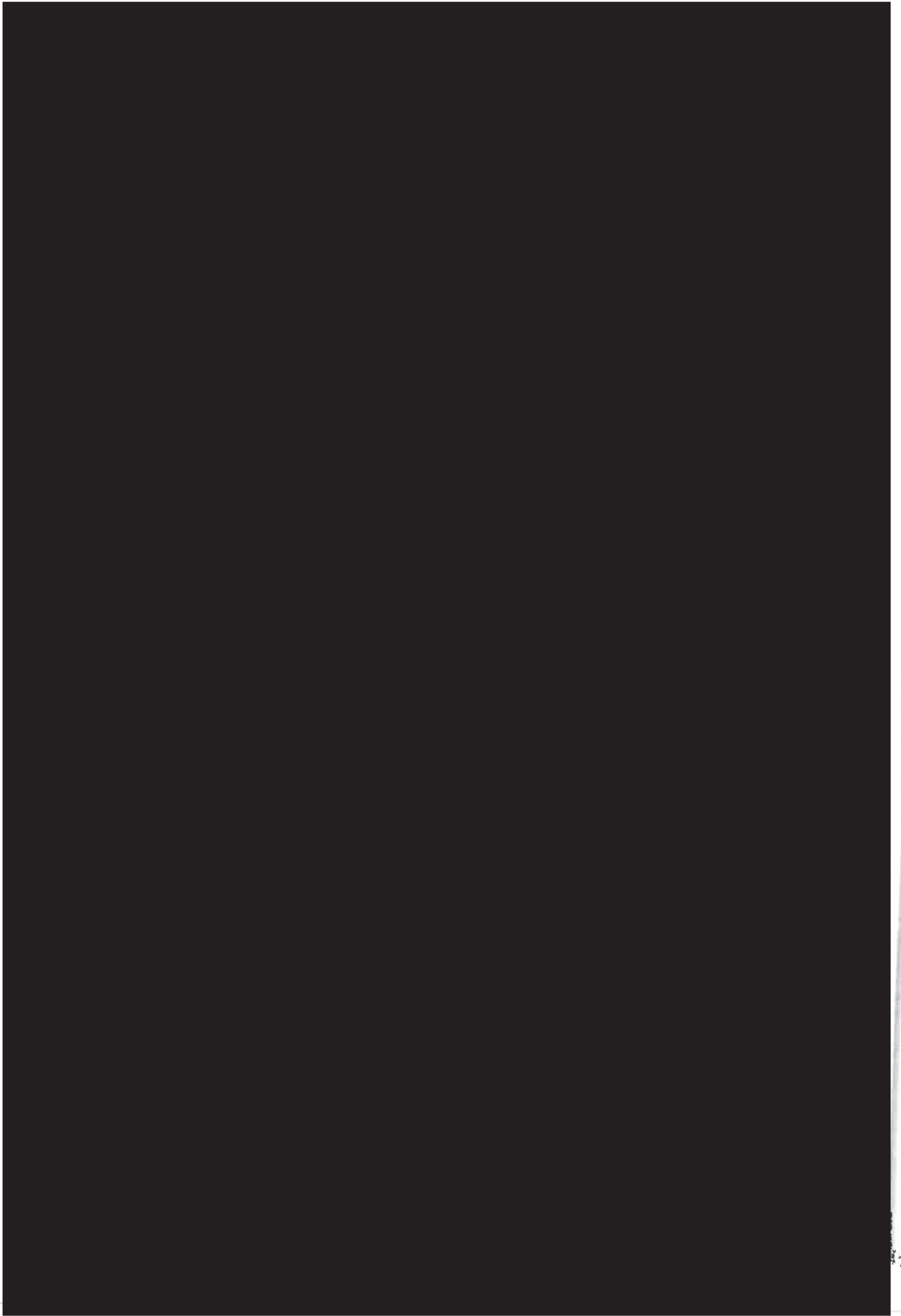




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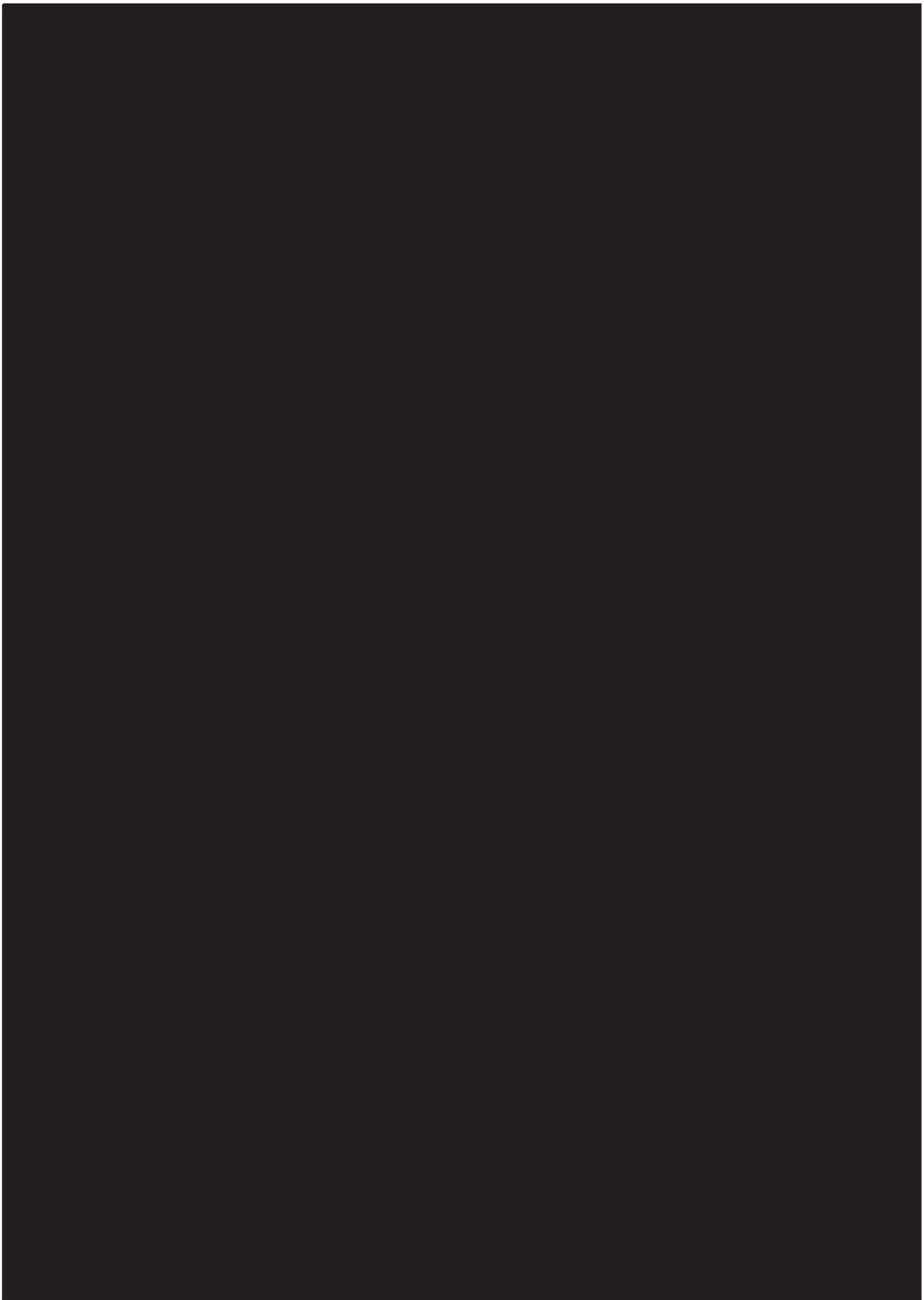




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EXHIBIT #4
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MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods
Re: Nicky Figueroa-Quiles; Case No. 2023-045352
Date: October 19, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Nicky Figueroa-Quiles's ("Applicant") application for a Pari-Mutuel General Individual Occupational License. Applicant submitted a completed application for a Pari-Mutuel General Individual Occupational License on August 9, 2023, and he applied for a waiver of his felony convictions. The Executive Director reviewed the file along with the waiver interview notes and declined to waive the felony convictions. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On August 23, 2023, Applicant submitted his application to the Division of Pari-Mutuel Wagering for a Pari-Mutuel General Individual Occupational License. Upon review of the completed application, it appears the Applicant was convicted of the following felonies in Florida:

- Possession of Heroin in 2003;
- Conspiracy to Possess with Intent to Distribute Crack Cocaine, Heroin, Cocaine, and Marijuana in 2016.

Applicant applied for a waiver for the felony convictions and a waiver interview was subsequently conducted. On August 28, 2023, Applicant spoke with an investigator for the waiver interview, and a report was drafted of that interview which was submitted to the Executive Director of the Florida Gaming Control Commission for consideration. On September 28, 2023, the Executive Director declined to waive the felony convictions.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“. . . the commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

“[i]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

Rule 75-5.006(1), Florida Administrative Code, requires the applicant to submit Form FGCC PMW-3180, Request for Waiver, and schedule a waiver interview with the Office of Investigations. Failure to participate in a waiver interview or to disclose any pertinent information regarding criminal convictions shall result in a denial of the request for waiver.

Rule 75-5.006(2), Florida Administrative Code, provides that “[t]he applicant shall establish proof of rehabilitation and demonstrate good moral character.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions were not waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

ROUTING SLIP REQUEST FOR WAIVER

RE: FIGUEROA-QUILES, NICKY - LIC # 13559880
(APPLICANT'S NAME - LICENSE #)

Case No: 2023 04 5352

1022 - Hotwalker
Occupation Code and Job Title

Palm Meadows Training Center
Facility (d/b/a name)

90-DAY RESPONSE DEADLINE:

NOVEMBER 9, 2023
(DATE)

Investigations Section: Reviewed by Bradford D. Jones [Signature] 9/5/2023
(Initial & Date)

The attached "Request for Waiver" file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section: Reviewed by: DD 09/06/2023
(Initial & Date)

[Signature] 9/10/23
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes or No If yes, in what jurisdiction? _____

Executive Director: [Signature] 9/28
(Initial & Date)

Prepare Waiver or Prepare File for Commission Review

Comments: _____

Investigative Findings:

October 4, 2001

Tampa Police Department, Hillsborough County, Florida, - Possession of Heroin - Felony - Adjudication Withheld upon a plea of Guilty and Sentenced on October 7, 2003 to 4 years' Probation - Also ordered to attend a drug program and assessed \$831.00 in Court fees.

July 2, 2015

United States Marshals, Tampa Florida Field Office, - Conspiracy to Possess with Intent to Distribute Crack Cocaine, Heroin, Cocaine and Marijuana - Felony - Adjudicated Guilty and on February 19, 2016 Sentenced to 120 Months in Federal prison with 8 years' probation upon release. - Also assessed \$100.00 in Court costs. Probation scheduled to terminate in 2031

*Please attach Routing Slip to front of case file



Louis Trombetta, Executive Director

Ron DeSantis, Governor

OFFICE OF INVESTIGATIONS
WAIVER INVESTIGATIVE REPORT

Office: PMW	Region: Southern	Date of Complaint: August 11, 2023	Case Number: 2023 04 5352
Respondent: FIGUEROA-QUILES, NICKY [REDACTED] (AKA)-ROBLES, PIZACIO ARBERTO		Complainant: DIVISION OF PARI-MUTUEL WAGERING OFFICE OF INVESTIGATIONS 1400 WEST COMMERCIAL BOULEVARD, SUITE 165 FT. LAUDERDALE, FLORIDA 33309	
License # / Type: 13559880 / 1022		Profession: Hotwalker	Report Date: August 24, 2023
Period of Investigation: August 11, 2023, through August 24, 2023		Type of Report: Final	
<p>Basis of Investigation: This investigation is predicated upon receipt of Nicky FIGUEROA-QUILES'S application for a State of Florida Pari-Mutuel Wagering Individual Occupational License and Waiver Request form dated April 13, 2023 (Exhibit #1).</p> <p>On April 13, 2023, FIGUEROA-QUILES submitted a PMW Occupational License application to be licensed as a Hotwalker at the Palm Meadows Training Center in Boynton Beach, Florida (PMTTC). On his application, he answered "YES" to the question, "Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you?" FIGUEROA-QUILES disclosed a March 2023 Felony Possession with Intent to Distribute Marijuana conviction from Carolina, Puerto Rico. The investigation revealed the above charge has a conviction date of 2016. (Exhibit #1)</p> <p>On August 2, 2023, licensing notified FIGUEROA-QUILES that his original application needed to be amended by disclosing an October 4, 2001 arrest from Hillsborough County, Florida, and a February 14, 2002 arrest from San Juan, Puerto Rico (Exhibit #4, Pages 2 & 3).</p> <p>On August 8, 2023, FIGUEROA-QUILES amended his application and disclosed that the last two arrests are related to the same case (Exhibit #1, Pages 7 & 8).</p>			
*Address Change			
Related Case:			
Investigations Specialist II / Date		Investigator Supervisor / Date	
 Andre' Tribble / 08/24/2023 Chief of Investigations / Date		 Julio Minaya / August 29, 2023	
 Bradford D. Jones / September 5, 2023			

CONTINUATION

CRIMINAL HISTORY

Arrest 1					
Date of Arrest: 10/04/2001		Arresting Agency: Tampa Police Department, Hillsborough County, Florida.			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1	Conspiracy to Traffic in Cocaine	Felony		Nolle Prosequi	10/07/2003
2	Possession of Heroin	Felony	Guilty	Adj/Withheld	10/07/2003
3					
4					

SENTENCE

According to the FDLE report FIGUEROA-QUILES was sentenced to four (4) years' probation and was ordered to pay \$831.00 dollars Court Cost (Exhibit #3, Page 8)

Additional Information: According to the Hillsborough County Clerk's Office, the court docket indicated that on 01/23/2002 FIGUEROA-QUILES was scheduled to return to court but failed to do so. A warrant was issued for his arrest and on 02/14/2002 FIGUEROA-QUILES was arrested because of the warrant under the name of Alberto Pizarro Robles by the San Juan, Puerto Rico Police Department. He was extradited back to Tampa, Florida and on 10/07/2003, Charge #1 was dispositioned as Nolle Prosequi and Charge #2 as Adjudication Withheld. (Exhibit #2 Pages #38 thru 45 & Exhibit #3, Page #12)

Arrest 2					
Date of Arrest: 07/02/2015		Arresting Agency: United States Marshals, Tampa, Florida Office.			
OFFENSE					
CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE	
1	Conspiracy to Possess with Intent to Distribute Crack Cocaine, Heroin, Cocaine, Marijuana	Felony	Guilty	Guilty	02/19/2016
2					
3					
4					

SENTENCE

According to the FDLE report and the United States District Court of Puerto Rico in San Juan, Docket for case#: 3:12-CR-00414-DRD-7, reflects FIGUEROA-QUILES was sentenced on 08/10/2016, to 120 months' imprisonment, eight (8) years' probation and ordered to pay \$100.00 dollars in Court Cost. FIGUEROA-QUILES is currently on federal probation till 2031. (Exhibit #2, Page #4)

Additional Information: On August 17, 2023, I spoke with Danielle Caron, a United States Probation Officer via cell phone [REDACTED] also sent Caron an email requesting information on the status of FIGUEROA-QUILES'S probation and fines. Caron stated that his file is stamped "Sealed Confidential" and therefore she was not allowed to disclose any information regarding FIGUEROA-QUILES'S case.

CRIMINAL HISTORY

CONTINUATION

Arrest 3	
Date of Arrest:	Arresting Agency:

CHARGES		OFFENSE			
1	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
2					
3					
4					

SENTENCE

Additional Information:

Arrest 4	
Date of Arrest:	Arresting Agency:

CHARGES		OFFENSE			
1	CHARGES	CLASSIFICATION	PLEA	DISPOSITION	CONVICTION DATE
2					
3					
4					

SENTENCE

Additional Information:

ADDITIONAL LICENSES

	YES	NO
--	-----	----

CONTINUATION

Has the Applicant ever possessed a Florida Pari-Mutuel Occupational License?		X
Does the Applicant possess an Occupational License from other jurisdictions?		X

1. License Type:

Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
Has License ever been suspended or revoked?			YES	NO
Was any derogatory information received?				
Additional Comments:				

2. License Type:

Date Licensed:	Expiration Date:	License #:	Agency or Jurisdiction:	
Has License ever been suspended or revoked?			YES	NO
Was any derogatory information received?				
Additional Comments:				

3. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
Has License ever been suspended or revoked?			YES	NO
Was any derogatory information received?				
Additional Comments:				

4. License Type:

Date Licensed:	Expiration Dated:	License #:	Agency or Jurisdiction:	
Has License ever been suspended or revoked?			YES	NO
Was any derogatory information received?				
Additional Comments:				

WAIVER INTERVIEW

Was a Waiver Interview Conducted?	YES	NO
	X	

CONTINUATION

Date of Interview: August 28, 2023, at 12:00 P.M.		Location of Interview: Telephonic Interview	
Was the applicant cooperative?	YES	NO	
Additional Comments:	X		

SUMMARY OF INTERVIEW:

On August 28, 2023, Investigative Supervisor Julio Minaya interviewed Nicky **FIGUEROA-QUILES** due to **FIGUEROA-QUILES** not being able to speak or understand the English language.

FIGUEROA-QUILES disclosed information about his 2001 Felony arrest and conviction for Possession of Heroin in Tampa Florida. **FIGUEROA-QUILES** stated that at the time of his arrest he lived in Tampa Florida and was asked by three (3) friends to take a ride with them to Miami, Florida. He stated that when they arrived at a private residence in Miami, because he did not know anyone there, he remained inside the vehicle while his three friends entered the residence. He said that his friends remained inside the residence for approximately 20-25 minutes and when they finally exited the location, one of them was holding a brown bag which was placed inside the vehicle's trunk. They then proceeded to drive back to Tampa when the vehicle was stopped by the Tampa Police.

According to **FIGUEROA-QUILES**, he and his friends were removed from the vehicle, searched, handcuffed and placed in different police vehicles while the vehicle they were riding in was searched. It was during this search that the brown bag containing the drugs (Heroin) was found inside the vehicle's trunk. **FIGUEROA-QUILES** goes on to say that after being arrested he returned to Puerto Rico and was unaware that he had a court date in January of 2002. Because he failed to make this appearance, a warrant was issued for his arrest. On February 14, 2002, he was arrested under the name Alberto Pizarro Robles and was extradited back to Tampa, Florida, where the charge of Conspiracy to Traffic in Cocaine was dropped. He then pled guilty to the Possession of Heroin charge. **FIGUEROA-QUILES** was sentenced to 4 years' probation, ordered to attend a drug program, and was also ordered to pay a Court fine.

FIGUEROA-QUILES says that after being placed on probation he left Florida in January of 2004 and returned to Puerto Rico where he remained until September of 2005 when he left and went to his brother's house in Detroit, Michigan. He remained in Michigan until June of 2006, when he returned to Puerto Rico and began selling Marijuana which led to his 2015 federal arrest for Conspiracy to Possess with Intent to Distribute Crack Cocaine, Heroin, Cocaine and Marijuana.

As for his 2015 Federal arrest for Conspiracy to Possess with Intent to Distribute Crack Cocaine, Heroin, Cocaine and Marijuana, **FIGUEROA-QUILES** stated that he would buy large quantities of marijuana, repackage it and sell it to others. He goes on to say that although he was indicted on May 24, 2012, but he was not physically arrested until July 2, 2015, in Tampa, Florida, because there were a total of seventy-four (74) defendants involved in that case. After being arrested he was extradited back to Puerto Rico to stand trial. However, in 2016, he pled guilty and was sentenced to ten (10) years in prison, and 8 years' probation after being released in March of 2023; he remains on probation until 2031.

CONTINUATION

CONCLUSION:

A check of the Association of Racing Commissioners International (ARCI) database showed No rulings against **FIGUEROA-QUILES**. (Exhibit #4)

During the waiver interview, **FIGUEROA-QUILES** stated that he did not attend a drug program. However, on February 25, 2015, a Court Order was entered Terminating his Drug Offender Probation requirements. This document is attached as **Exhibit #2, Page #45**.

FIGUEROA-QUILES also stated that he has two sons in the Thoroughbred Racing industry in the state of Florida. The first is Cesar Figueroa-Rodriguez who is a Trainer at Gulfstream Park, and Nicky Jr. Figueroa-Rodriguez who is a Jockey. **FIGUEROA-QUILES** also stated that his brother Juan Carlos Figueroa-Quiles works at the Palm Meadows Training Track Center located in Palm Beach Florida, as the Track Supervisor. Versa license checks were conducted on all three family members which found no enforcement history for any of them **Exhibit #4**.

FIGUEROA-QUILES provided his photo via email (Exhibit #1).

Status: Case closed by Investigations and forwarded to Licensing for review.

CONTINUATION

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State of Florida
Department of Business and Professional Regulation
Chronology Report

Case #: 2023045352 **Incident date:** 04/13/2023 **Status:** 106 - Sent to Directors office
Lic Type: 1022 **Disposition:**
Case Type: Complaint

Responsible: Itrombeta - TROMBETTA, LOUIS

Complainant: DIVISION OF PARI MUTUEL WAGERING
1400 W COMMERCIAL BLVD, STE 165, FORT LAUDERDALE, FL 33309

Respondent: FIGUEROA QUILES, NICKY
1577 NORTH MILITARY TRAIL, BOYNTON BEACH, FL 33472

Summary: 336- PALM MEADOWS TRAINING CENTER: Palm Meadows Thoroughbred Training Center

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	08/15/2023	A	79	10	Investigations Request Additional Data		FIGUEROA QUILES, NICKY
	08/22/2023	A	79	195	Miscellaneous		FIGUEROA QUILES, NICKY
	08/18/2023	A	79	435	Telephone		FIGUEROA QUILES, NICKY
	08/11/2023	A	79	435	Telephone	jminaya	FIGUEROA QUILES, NICKY
	08/11/2023	A	79	440	Incoming Correspondence	cstubbs1	FIGUEROA QUILES, NICKY
	08/11/2023	A	10	15	Assigned to Investigator	atribble	FIGUEROA QUILES, NICKY



State of Florida
Department of Business and Professional Regulation
Chronology Report

Chronology:	Effective Date	Type	Lic Type	Code	Description	Responsible Party	Respondent
	08/14/2023	A	79	100	Initial Review Conducted		FIGUEROA QUILES, NICKY
	08/11/2023	R		atribble	TRIBBLE, ANDRE	cstubbs1	
	09/06/2023	R		ddonaldson	DONALDSON, DAVID	cstubbs1	
	09/06/2023	R		ltrombetta	TROMBETTA, LOUIS	ddonaldson	
	09/05/2023	R		bjones	JONES, BRADFORD	bjones	
	09/05/2023	S	1022	165	Supervisor Review	bjones	
	09/06/2023	S	1022	106	Sent to Directors office	ddonaldson	
	09/06/2023	S	1022	104	Sent to Licensing Section	cstubbs1	
	09/05/2023	S	1022	90	Closed	cstubbs1	
	08/11/2023	S	1022	10	Initial Review	cstubbs1	
	08/11/2023	S	1022	20	Under Investigation	cstubbs1	

Allegation:	Code	Description
	WAVR	Waiver of Crim. Conv. or Other Offenses

DD

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search Update	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline
Mass Status Update	Public Case Info			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

VR Home > Complaint Search > **Maintain Complaint**

Lic Type	1022 - Pari-Mutuel General Individual Occupational	Status	104 Sent to Licensing Section	Status Date	09/06/2023
Complaint #	2023045352	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	FIGUEROA QUILES, NICKY	Responsible	ddonaldson - DONALDSON, DAVID	Private Case

Complaint	Respondent	Complainant	Add'l Info
-----------	------------	-------------	------------

Source	LIC - Licensee	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	WALK - Walk-in	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	IIIB - Waivers	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	04/13/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	SR - Southern Region	Received	08/11/2023	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	08/11/2023	Entered By	cstubbs1	<input type="checkbox"/> Time Tracking	Auto Assign
Summary	336- PALM MEADOWS TRAINING CENTER: Palm Meadows Thoroughbred Training Center			<input type="checkbox"/> Attachments	History
Updated	09/06/2023 08:38:05	By	cstubbs1	<input type="checkbox"/> Work Notes	Print Report

Change	Save	OK	Cancel	Back
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STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myflorida.com/dbpr

APR 14 2023
2023 APR 18 PM 1:29
RECEIVED
FLORIDA GAMING
CONTROL COMMISSION

TO: Nicky Figueroa Ortiz
Full Legal Name of Applicant

[Redacted]
Date of Birth

[Redacted]
Individual/Org. Number

If you are a new applicant to Florida and have been convicted of any felony, regardless of whether adjudication was withheld, or if you are renewing your pari-mutuel occupational license in Florida and have been convicted of any of the crimes listed below, you must first request and receive a waiver from the Division Director in order to receive a Florida Pari-Mutuel Wagering Occupational License or Cardroom License. Please check the appropriate box(es) below that best describes your situation:

For Pari-Mutuel and Cardroom Applicants:

A conviction in this state, in any other state, or under the laws of the United States of a capital felony, a felony or an offense in any other state which would be a felony under the laws of Florida involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character. A felony or misdemeanor in this state, in any other state, or under the laws of the United States, if such felony or misdemeanor is related to gambling or bookmaking, as contemplated in Section 849.25, Florida Statutes, or involves cruelty to animals.

Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction. Specify discipline and jurisdiction:

New applicant to Florida who has been convicted of any felony, regardless whether adjudication was withheld.

For Cardroom Applicants Only:

A misdemeanor involving forgery; larceny, extortion, or conspiracy to defraud, in this state or any other state, or under the laws of the United States.

If you choose to request a waiver, please sign this form below and return it with your completed application, license, and fingerprint fees. After an investigation is conducted, the Division Director will either grant or deny the request for waiver. You will be notified of the decision by mail at the address provided on your application.

UNTIL YOU ARE LICENSED:

- 1) You are not permitted to engage in any activity which requires a pari-mutuel occupational license or a cardroom license at any pari-mutuel facility in Florida. If you are found to be working without a license, you will be subject to arrest for trespassing and your waiver request may be denied.
- 2) You are forbidden from accessing any of the restricted areas of any pari-mutuel facility in Florida.

I hereby request a waiver for the situation(s) or conviction(s) noted above, and acknowledge that license and fingerprint fees are non-refundable in the event the waiver request is denied. I hereby acknowledge that my failure to participate in a waiver interview or to disclose any pertinent information regarding convictions, rulings, revocations, or denials from other jurisdictions will result in a denial of the request for waiver. I hereby waive the Section 120.05, Florida Statutes, timeline requirement regarding the processing of this application.

X Nicky Figueroa Ortiz
Signature of Applicant

4/13/23
Date

TO BE CONSIDERED FOR A WAIVER, APPLICANTS MUST COMPLETE FORM DBPR PMW-3180 - REQUEST FOR RELEASE OF INFORMATION AND AUTHORIZATION TO RELEASE INFORMATION, AND SCHEDULE A WAIVER INTERVIEW WITH THE OFFICE OF INVESTIGATIONS.



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.fldb.com

APPLICATION CHECKLIST - IMPORTANT - Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- Completed Form DBPR PMW-3120 - Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages - If necessary to respond to any application questions.
- Supporting Legal Documentation - If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee** - Make checks or money orders payable to DBPR.

- Pari-Mutuel General Occupational License - \$15.00* * does not include fingerprint fee
- Pari-Mutuel Professional Occupational License - \$60.00*
- Cardroom Employee Occupational License - \$100.00*

Fingerprints - Choose One Option:

- Electronic Fingerprints:** Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved LiveScan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved LiveScan Service Provider.

- Fingerprint Card:** Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdb.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

- Fingerprint Resubmission for Renewal and Upgrade Applicants:** Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering Licensing Section
2901 Blair Stone Road, Tallahassee, Florida 32309-1637
Phone: 904.487.1366

RECEIVED
23 APR 18 PM 1:21
FLORIDA GAMING
CONTROL COMMISSION

APR 14 2023

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

Social Security Number [REDACTED]		Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name FIGUEROA - Quiles	First NICKY	Middle	Suffix
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If yes, list the name or names used: _____			
Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input checked="" type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaska Native <input type="checkbox"/> White or Caucasian <input checked="" type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other			
Current Mailing Address 1577 NORTH MILITARY TR.		Email Address (optional)	
City W. PALM BEACH	State FL.	Zip Code (+4 optional) 33472	Country, if other than USA
Primary Phone Number		Secondary/Cell Phone Number (optional)	
Current Street Address SAME			
City	State	Zip Code (+4 optional)	Country, if other than USA
Type of Occupational License applying for: <input checked="" type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee		Facility where employed/trainer doing business: Palm Meadows 326 Thoroughbred Training Center	
Occupation: HOT WALKER		Is this your first time applying for a racing/wagering license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____			
Type of professional license (attach a copy of Florida professional license):		Florida License Number	
FOR DIVISION USE ONLY			
License Code 1022	License # 13559880	File # 102749	App # 193051
Association Code 326	Date Received 4/13/23	Entered By KE	License Year 2025
License Fee 15.00	FP Date 4/13/23	FP Fee 37.25	Total Fee 52.25
Off Temp	Waiver Requested	ARC	Enforcement
			Minor

RECEIVED
2023 APR 18 PM 1:18
FLORIDA GAMING
CONTROL COMMISSION

OPEN 1020

EXHIBIT #
PAGE # 4

APR 14 2023

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
3/2023	Carolina	North Carolina	Conv. To Possess w/ intent to sell marijuana	Fel	RECEIVED APR 10 PM CONTROL FUND COMMISSION

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of license revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as a unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of license and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 41, United States Code, Sections 653, 654; and Sections 409.2077, 409.2086, and 609.79; Florida Statute. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 108, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Per-Mutual Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.086 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 609.79, Florida Statute. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Per-Mutual Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

X [Signature]
Signature of Applicant

4/13/23
Date

**Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application**

Instructions: Please review this application thoroughly and complete all sections that pertain to you, and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION				
Social Security Number	Birth Date (MM/DD/YYYY)	Gender	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name	First	Middle	Suffix	
<u>Figueroa</u>	<u>Nicky</u>			
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, list the name or names used: <u>Alberto Robles</u>				
Race/Ethnicity (optional)				
<input type="checkbox"/> Black or African American		<input checked="" type="checkbox"/> Asian or Pacific Islander		<input type="checkbox"/> Native American or Alaskan Native
<input type="checkbox"/> White or Caucasian		<input checked="" type="checkbox"/> Hispanic/Latino		<input type="checkbox"/> Other
Current Mailing Address		Email Address (optional)		
<u>105 harbor lake circle</u>				
City	State	Zip Code (+4 optional)	Country, if other than USA	
<u>Greenacres</u>	<u>FL</u>	<u>33413</u>		
Primary Phone Number		Secondary/Cell Phone Number (optional)		
Current Street Address				
<u>105 harbor lake circle</u>				
City	State	Zip Code (+4 optional)	Country, if other than USA	
<u>Greenacres</u>	<u>FL</u>	<u>33413</u>		
Type of Occupational License applying for:				
<input type="checkbox"/> Part-Mutual General Individual		<input checked="" type="checkbox"/> Part-Mutual Professional Individual		Facility where employed and/or doing business
<input type="checkbox"/> Cardroom Employee				
Occupation: <u>Groomer</u>				
Does your position require access to the Cardroom?			Is this your first time applying for a racing/gaming license in Florida?	
<input type="checkbox"/> Yes <input type="checkbox"/> No			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a part-mutual permit?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following:				
Stable Name, Kennel Name, or Business Name _____				
Trainer Name (horse or greyhound racing only) _____				
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY				
Type of professional license (attach a copy of Florida professional license):			Florida License Number	
FOR DIVISION USE ONLY				
License Code	License #	File #	App #	
Association Code	Date Received	Entered By	License Year	
License Fee	FP Date	FP Fee	Total Fee	
Off Temp	Waiver Requested	ARCI	Enforcement	Minor

RECEIVED
 CONTROL DIVISION
 1123 AUG -8
 AM 5:51

Enrollment Number: 13554880

Amended Application Number: 1

Yes: Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, fraud, falsification, conspiracy to defraud, or false false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
 No

Yes: Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.
 No

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	INDIGENCY OR FELONY	SENTENCE
10/04/2001	Hillsborough	FL	trafficking illegal drugs	Petition	4 years probation
02/14/2002	San Juan	PR	same case extradition		

Yes: Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
 No

Yes: In any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.
 No

If you answered yes to any of the questions above, provide details here:

AUG-9 AM
CENTRAL COMM
RECEIVED

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 943, 954, and Sections 400.2577, 400.2585, and 809.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Nontraditional Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 809.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant: [Signature] Date: 8/8/2023

EXHIBIT #/
PAGE # 7

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
License Search		Entity Search		Modify License Standing		Maintain License CE Control			

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **cstubbs1**

[VR Home](#) > [License Search](#) > [License Home](#)

License			Licensee
Fed Tax # [REDACTED]	Lic Type 1022 - PMW General Individual Occupational	Expires On	History
File # 102749	Name FIGUEROA QUILES, NICKY	Extended To	<input type="checkbox"/> Notes
License #	Rank	Renewed On	Notes History
Entity # 13559880	Lic Status Applicant		Back
Address			
Street # 1577 Street NORTH MILITARY TRAIL			
Line 2			
Line 3			
City BOYNTON BEACH	State FL	Zip 33472	
Routing			
Other			
1st License Date	Rank Date	Certificate #	
Method --	Status Date	Certificate Date	
Fee Exempt No	Birth Date [REDACTED]	Renewal Sent	
Select			
Action	<input type="text"/>		
Modifiers			
Type	Modifier	Effective Date	Additional Info
No items found.			
Alt Keys			
BEST LIC NBR			

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VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: **ddonaldson**
09/06/2023

VR Home > Complaint Search > Maintain Complaint > License Home

License Fed Tax # [REDACTED] Lic Type 1022 - PMW General Individual Occupational Expires On File # 102749 Name FIGUEROA QUILES, NICKY Extended To License # Rank Renewed On Entity # 13559880 Lic Status Applicant		<input type="checkbox"/> Licensee <input type="checkbox"/> History <input type="checkbox"/> Notes <input type="checkbox"/> Notes History <input type="checkbox"/> Back								
Address Street # 1577 Street NORTH MILITARY TRAIL Line 2 Line 3 City BOYNTON BEACH State FL Zip 33472 Routing		<p>* New applicant, - no previous license, - no temp issued.</p> <p>*No ARCI findings.</p> <p>*CCIS findings.</p> <p>*No additional enforcement cases.</p>								
Other 1st License Date Rank Date Certificate # Method -- Status Date [REDACTED] Certificate Date Fee Exempt No Birth Date [REDACTED] Renewal Sent										
Select Action <input type="text"/>  										
Modifiers <table border="1"> <thead> <tr> <th>Type</th> <th>Modifier</th> <th>Effective Date</th> <th>Additional Info</th> </tr> </thead> <tbody> <tr> <td colspan="4">No items found.</td> </tr> </tbody> </table>		Type	Modifier	Effective Date	Additional Info	No items found.				
Type	Modifier	Effective Date	Additional Info							
No items found.										
Alt Keys BEST LIC NBR										

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Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: FIGUEROA QUILES, NICKY – 13559880 **Case No: 2023 04 5352**
(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	4/13/2023
COMPLETE APPLICATION RECEIVED:	8/9/2023
90-DAY DEADLINE:	11/7/2023

<u>Randall Kitchens</u>	<u>326 – Palm Meadows</u>	<u>1022 – Hot Walker</u>
Application Processor	Facility (d/b/a name)	Occupation/Job Title


Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review				
Conviction				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<input checked="" type="checkbox"/> Felony : 2 Count(s)				
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking				
<input type="checkbox"/> Animal Cruelty				
<input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
07/02/2015	San Juan, Puerto Rico	Conspiracy to Poss to Distribute 3:12-CR-414-007	F	Guilty
10/04/2001	Tampa, Florida	Possession Heroin 2001CF015260000	F	Adj Withheld

Disposition Unknown
<input type="checkbox"/> Felony Arrest(s) : Count(s)
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
<input type="checkbox"/> Animal Cruelty

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review				
Disposition Confirmation				
Disqualifying Convictions/Arrests Confirmed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval				
Forward to <input checked="" type="checkbox"/> Investigations <input type="checkbox"/> Legal <input type="checkbox"/> Director Initials: 				
Comments:				

DD



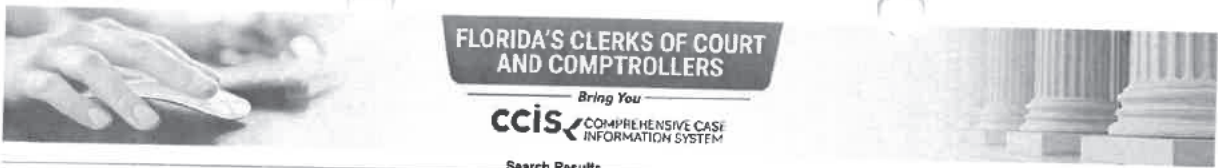
Licensees

Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [REDACTED]
Date of Birth:
First Name:
Middle Name:
Last Name: FIGUEROA%
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------



Search Results

div:td.donal@aon/gcc
09/06/2023

<input type="checkbox"/>	Name	SSN	Date Of Birth	Gender	Race	Address	Cases
<input type="checkbox"/>	ROBLES, ARBERTO	-	03/22/1980	M	W	ELFARO CAROLINA, PR 00964	4

UNITED STATES DISTRICT COURT
Middle District of Florida
Office of the Clerk
United States Courthouse
Tampa, Florida 33602

Sheryl L. Loesch, Clerk
(813) 301-5412

Mark Middlebrook
Tampa Division Manager
(813) 301-5470

CLERK, U.S. DISTRICT COURT
District of Puerto Rico
Jose V. Toledo Federal Bldg and U.S. Courthouse
300 Calle Del Recinto Sur. - 3rd floor
San Juan, P.R. 00901

RE: United States of America v. Nicky Figueroa-Quiles
Our Case No. 8:15-MJ-1430-T-EAJ
Your Case No. 12-414 (DRD)

Dear Clerk:

Enclosed herewith are the following documents in the above styled cause which has been transferred to your jurisdiction pursuant to Rule 5.

- (X) Original Magistrate Judge case documents
- () Certified file (pertinent papers only)
- () Bond paperwork consisting of appearance bond.

Should you have any questions, please do not hesitate to contact me at (813) 301-5777.

Thank you.

Very truly yours,

SHERYL L. LOESCH, CLERK

BY: Cathy Morgan
Cathy Morgan, Deputy Clerk

Enclosures.

EXHIBIT # 2
PAGE # 1

**U.S. District Court
Middle District of Florida (Tampa)
CRIMINAL DOCKET FOR CASE #: 8:15-mj-01430-E_J-1**

Case title: USA v. Figueroa-Quiles

Date Filed: 07/02/2015

Other court case number: 3:12-414-DRD-CVR District of Puerto Rico

Date Terminated: 07/02/2015

Assigned to: Magistrate Judge Elizabeth A. Jenkins

Defendant (1)

Nicky Figueroa-Quiles
Spanish interpreter required
TERMINATED: 07/02/2015

represented by **Yvette Clair Gray**
Federal Public Defender's Office
2075 West First Street, Suite 300
Ft Myers, FL 33901
239/334-0397
Fax: 239/334-4109
Email: yvette_gray@fd.org
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
*Designation: Public Defender or
Community Defender Appointment*

Pending Counts

None

Disposition

Highest Offense Level (Opening)

None

Terminated Counts

None

Disposition

Highest Offense Level (Terminated)

None

Complaints

21:841A=CD.F CONTROLLED
SUBSTANCE - SELL, DISTRIBUTE, OR
DISPENSE

Disposition

Plaintiff

USA

represented by **Christopher Poor**
 US Attorney's Office - FLM
 Suite 3200
 400 N Tampa St
 Tampa, FL 33602
 813-274-6000
 Email: christopher.poor@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Date Filed	#	Docket Text
07/02/2015	<u>1</u>	Rule 5(c)(3) Documents Received from the District of Puerto Rico as to Nicky Figueroa-Quiles (CDM) (Entered: 07/06/2015)
07/02/2015	<u>2</u>	Arrest (Rule 5(c)(2)) of Nicky Figueroa-Quiles from the District of Puerto Rico on charges of Indictment (CDM) (Entered: 07/06/2015)
07/02/2015	<u>3</u>	Minute Entry for proceedings held before Magistrate Judge Elizabeth A. Jenkins: Initial Appearance in Rule 5(c)(3) Proceedings as to Nicky Figueroa-Quiles held on 7/2/2015. (digital) (Interpreter/Language: Rick Arenas/Spanish) (CDM) (Entered: 07/06/2015)
07/02/2015	<u>4</u>	***CJA 23 Financial Affidavit by Nicky Figueroa-Quiles (CDM) (Entered: 07/06/2015)
07/02/2015	<u>5</u>	ORDER APPOINTING FEDERAL PUBLIC DEFENDER Yvette Clair Gray for Nicky Figueroa-Quiles. Signed by Magistrate Judge Elizabeth A. Jenkins on 7/2/2015. (CDM) (Entered: 07/06/2015)
07/02/2015	<u>6</u>	COMMITMENT TO ANOTHER DISTRICT as to Nicky Figueroa-Quiles. Defendant committed to the District of Puerto Rico. Signed by Magistrate Judge Elizabeth A. Jenkins on 7/2/2015. (CDM) (Entered: 07/06/2015)
07/02/2015	<u>7</u>	TRANSFER Rule(5)(c)(3) to Puerto Rico as to Nicky Figueroa-Quiles (CDM) (Entered: 07/06/2015)

PACER Service Center			
Transaction Receipt			
08/21/2023 14:39:00			
PACER Login:	bdjones2360	Client Code:	
Description:	Docket Report	Search Criteria:	8:15-mj-01430-E_J
Billable Pages:	2	Cost:	0.20

[Query](#) [Reports](#) [Utilities](#) [Help](#) [Log Out](#)

**United States District Court
District of Puerto Rico (San Juan)
CRIMINAL DOCKET FOR CASE #: 3:12-cr-00414-DRD-7**

Case title: USA v. Oppenheimer-Torres et al

Date Filed: 05/24/2012

Date Terminated: 08/10/2016

Assigned to: Judge Daniel R. Dominguez

Defendant (7)

Nicky Figueroa-Quiles
TERMINATED: 08/10/2016

represented by **Edwin Prado-Galarza**
Prado, Nunez & Associates, PSC
403 Del Parque St.
8th Floor
San Juan, PR 00907
787-977-1411
Fax: 787-977-1410
Email: pradolaw10@gmail.com
TERMINATED: 01/22/2020
Designation: Retained

Pending Counts

21:841(a)(1), 846 and 860 CONSPIRACY
TO POSSESS WITH INTENT TO
DISTRIBUTE CRACK COCAINE,
HEROIN, COCAINE, MARIJUANA
(1)

Disposition

IMPR: One Hundred Twenty (120) Months;
SRT: Eight (8) Years; SMA: \$100.00

Highest Offense Level (Opening)

Felony

Terminated Counts

21:841(a)(1), 860; 18:2 A/A,
POSSESSION/DISTRIBUTION OF
HEROIN, COCAINE BASE, COCAINE,
MARIJUANA
(2-5)

Disposition

Dismissed

Highest Offense Level (Terminated)

Felony

Complaints

Disposition

None

Interested Party

US Probation Office

Interested Party

US Probation Office

TERMINATED: 06/07/2013

Plaintiff

USA

represented by **Camille Garcia-Jimenez**

**DOJ-USAO
District of Puerto Rico
Torre Chardon
350 Carlos Chardon Street
Suite 1201
San Juan, PR 00918
787-772-4068
Email: camille.garcia@usdoj.gov
TERMINATED: 06/06/2023
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: AUSA Designation**

**Jeanette M. Collazo-Ortiz
United States Attorney's Office
350 Chardon St.
Torre Chardon Suite 1201
San Juan, PR 00918
787-772-3928
Fax: 787-766-5398
Email: jeanette.collazo@usdoj.gov
TERMINATED: 01/04/2023
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: AUSA Designation**

**Jose A. Contreras
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-766-5656
Fax: 787-772-4012
Email: jose.a.contreras@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED**

**EXHIBIT # 2
PAGE # 5**

Designation: AUSA Designation

Marie Christine Amy
United States Attorney's Office
Torre Chardon, Suite 1201
350 Carlos Chardon Avenue
San Juan, PR 00918
787-766-5656
Email: marie.c.amy@usdoj.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: AUSA Designation

Max J. Perez-Bouret
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-766-5656
Fax: 787-771-4050
Email: max.j.perez@usdoj.gov
TERMINATED: 03/23/2023
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: AUSA Designation

Obdulio E. Melendez-Torra - CLOSED
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-766-5656
Email: USAPR.InactiveAUSA@usdoj.gov
TERMINATED: 10/07/2020
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: AUSA Designation

Alberto R. Lopez-Rocafort
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-766-5656
Fax: 787-766-5398
Email: Alberto.Lopez@usdoj.gov
Designation: AUSA Designation

**Angela Jean Clifford-Salisbury -
CLOSED**
USDOJ - USAO

350 Carlos Chardon Avenue
Suite 1201
San Juan, PR 00918
787-282-1812
Email: USAPR.InactiveAUSA@usdoj.gov
TERMINATED: 10/28/2020
Designation: AUSA Designation

Enrique Silva-Aviles
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-282-1896
Email: enrique.silva@usdoj.gov
TERMINATED: 03/23/2021
Designation: AUSA Designation

Jenifer Yois Hernandez-Vega
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-282-1802
Fax: 787-766-5398
Email: jenifer.hernandez@usdoj.gov
TERMINATED: 02/18/2021
Designation: AUSA Designation

Juan C. Reyes-Ramos
United States Department of Justice
United States Attorney's Office
Torre Chardon, Suite 1201
350 Carlos Chardon Street
San Juan, PR 00918
787-766-5656
Fax: 787-772-3976
Email: juan.c.reyes@usdoj.gov
TERMINATED: 07/07/2021
ATTORNEY TO BE NOTICED
Designation: AUSA Designation

Kelly Zenon-Matos - CLOSED
United States Attorneys Office
District of Puerto Rico
Torre Chardon Suite 1201
350 Chardon Ave
San Juan, PR 00918
787-766-5656
Fax: 787-771-4050
Email: USAPR.InactiveAUSA@usdoj.gov
TERMINATED: 06/02/2022

ATTORNEY TO BE NOTICED
Designation: AUSA Designation

Linet Suarez
 United States Attorneys' Office
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Date Filed	#	Docket Text
05/24/2012	1	MOTION to Seal Case by USA as to all defendants. (np) (Entered: 05/25/2012)
05/24/2012	2	ORDER granting 1 Motion to Seal Case as to all defendants. Signed by US Magistrate Judge Silvia Carreno-Coll on 5/24/12. (np) (Entered: 05/25/2012)

05/24/2012	3	<p>INDICTMENT as to David Oppenheimer-Torres (1) count(s) 1, 2-5, 6, Jason Smith-Rodriguez (2) count(s) 1, 2-5, 6, Anibal Del-Valle-Hiraldo (3) count(s) 1, 2-5, 6, Luis Gomez-Avila (4) count(s) 1, 2-5, 6, Luis Clemente-Cruz (5) count(s) 1, 2-5, 6, Jonathan Roman-Carrasquillo (6) count(s) 1, 2-5, 6, Nicky Figueroa-Quiles (7) count(s) 1, 2-5, Carlos Garcia-Carrasquillo (8) count(s) 1, 2-5, 6, Alejandro Vargas-Lopez (9) count(s) 1, 2-5, 6, Jayson Encarnacion-Ayala (10) count(s) 1, 2-5, 6, Miguel Andino-Garcia (11) count(s) 1, 2-5, 6, Abraham Walker-Couvertier (12) count(s) 1, 2-5, 6, Zuleyka Cordero-Vega (13) count(s) 1, 2-5, 6, Xiomara Rivera-Velazquez (14) count(s) 1, 2-5, 6, Luis A. Casanova-Rivera (15) count(s) 1, 2-5, 6, Ramon L. Martinez-Fonseca (16) count(s) 1, 2-5, 6, Luis J. Cruz-Huertas (17) count(s) 1, 2-5, 6, Giancarlo Santiago-Lopez (18) count(s) 1, 2-5, 6, Carlos Perez-Ayuso (19) count(s) 1, 2-5, 6, Christian R. Rivera-Laracuente (20) count(s) 1, 2-5, 6, Emanuel Rivera-Carmona (21) count(s) 1, 2-5, Carlos A. Romero-Ortiz (22) count(s) 1, 2-5, Dennys Marrero-Romero (23) count(s) 1, 2-5, Jonathan Claudio-Parrilla (24) count(s) 1, 2-5, 6, Zeus Cordero-Alvarez (25) count(s) 1, 2-5, Francisco Carmona-Ocasio (26) count(s) 1, 2-5, 6, Jose Sanchez-Ramos (27) count(s) 1, 2-5, Richard Colon-Liboy (28) count(s) 1, 2-5, Jorge L. Bermudez-Rivera (29) count(s) 1, 2-5, Edgardo Rivera-Rivera (30) count(s) 1, 2-5, Noel Santana-Pizarro (31) count(s) 1, 2-5, Jorge Gonzalez-Gonzalez (32) count(s) 1, 2-5, Israel O. Santiago-Gonzalez (33) count(s) 1, 2-5, Sherly Ann Diaz-Santiago (34) count(s) 1, 2-5, Awilda Suarez-Carmona (35) count(s) 1, 2-5, Angel Brionni-Febres (36) count(s) 1, 2-5, Jesus Montanez-Ramos (37) count(s) 1, 2-5, Ramon Suarez-Carmona (38) count(s) 1, 2-5, 6, Mitchell Falu-Montes (39) count(s) 1, 2-5, 6, Ommi O. Melendez-Andino (40) count(s) 1, 2-5, Jose D. Pagan-Hiraldo (41) count(s) 1, 2-5, Frankie Couvertier-Ocasio (42) count(s) 1, 2-5, Edgardo Davila (43) count(s) 1, 2-5, Juan C. Torrench-Garcia (44) count(s) 1, 2-5, 6, Jesus Torrench-Rodriguez (45) count(s) 1, 2-5, George Nogueras-Campos (46) count(s) 1, 2-5, 6, Christopher Padro-Rosario (47) count(s) 1, 2-5, Jose D. Gonzalez-Carmona (48) count(s) 1, 2-5, Misael Diaz-Frebes (49) count(s) 1, 2-5, Antonio Garcia-Caribe (50) count(s) 1, 2-5, Hiam J. Fontanez-Caraballo (51) count(s) 1, 2-5, Carlos Girona-Colon (52) count(s) 1, 2-5, Grimaldy Morales-Castro (53) count(s) 1, 2-5, Dean Lugo-Diaz (54) count(s) 1, 2-5, Raymar Bultron-Hernandez (55) count(s) 1, 2-5, Miguel A. Betancourt-Cruz (56) count(s) 1, 2-5, Jofred Garay-Nunez (57) count(s) 1, 2-5, Daniel Torres-Guzman (58) count(s) 1, 2-5, Griselle Ferrer-Rivera (59) count(s) 1, 2-5, Marck Diaz-Figueroa (60) count(s) 1, 2-5, Jonathan Nunez-Colon (61) count(s) 1, 2-5, 6, Christopher Rivera-Ayala (62) count(s) 1, 2-5, Eduardo Velez-Montalvo (63) count(s) 1, 2-5, Victor E. Bonano-Kerkado (64) count(s) 1, 2-5, George Montanez-Ramos (65) count(s) 1, 2-5, Edwin Pastrana-Nieves (66) count(s) 1, 2-5, Luis Andino-Fargas (67) count(s) 1, 2-5, Rafael Sepulveda-Santiago (68) count(s) 1, 2-5, William Torrench-Villanueva (69) count(s) 1, 2-5, Raul Calo-Massas (70) count(s) 1, 2-5, Roberto Aponte-Arriaga (71) count(s) 1, 2-5, Jose C. Iglesias-Encarnacion (72) count(s) 1, 2-5, Alberto Isales-Roman (73) count(s) 1, 2-5, Angel L. Bon-Rivera (74) count(s) 1, 2-5. (np) Modified on 5/31/2012 to edit event title (cm). (Entered: 05/25/2012)</p>
05/24/2012	4	<p>Minute Entry for proceedings held before US Magistrate Judge Silvia Carreno-Coll:Return of Indictment by Grand Jury as to all defendants held on 5/24/2012. WA to be issued. (Court Reporter FTR.)Hearing held at 05:13.Hearing ended at 05:33. (np) (Entered: 05/25/2012)</p>
05/24/2012	5	<p>*RESTRICTED* ARREST Warrant Issued by US Magistrate Judge Silvia Carreno-Coll in case as to all defendants. (np) (Entered: 05/25/2012)</p>
07/16/2012	286	<p>ORDER as to David Oppenheimer-Torres, et al., Status Conference as to all defendants set for 7/30/2012 04:30 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 7/16/2012.(om) (Entered: 07/16/2012)</p>

07/19/2012	<u>298</u>	INFORMATIVE Motion regarding Discovery Matters by USA as to all defendants (Lopez-Rocafort, Alberto) Modified on 7/20/2012 avoiding repetition (np). (Entered: 07/19/2012)
07/30/2012	310	ORDER noted <u>298</u> Informative Motion as to David Oppenheimer-Torres (1), et al., IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 7/30/2012. (om) (Entered: 08/13/2012)
07/30/2012	<u>311</u>	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Status Conference as to David Oppenheimer-Torres, et al., Follow Up Deadline due by 8/30/2012. Status Conference set for 10/15/2012 04:30 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. (Court Reporter Yvette Richardson.) Hearing set for 04:30. Hearing held at 04:45. Hearing ended at 05:27. (Attachments: # <u>1</u> Appendix Attendance list) (om) (Entered: 08/13/2012)
08/22/2012	<u>324</u>	INFORMATIVE Motion regarding the Cancellation of the Physical Inspections scheduled for August 22 and 23, 2012, by USA as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Carlos Garcia-Carrasquillo, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Xiomara Rivera-Velazquez, Luis A. Casanova-Rivera, Ramon L. Martinez-Fonseca, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Carlos A. Romero-Ortiz, Dennys Marrero-Romero, Jonathan Claudio-Parrilla, Zeus Cordero-Alvarez, Francisco Carmona-Ocasio, Jose Sanchez-Ramos, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Noel Santana-Pizarro, Jorge Gonzalez-Gonzalez, Israel O. Santiago-Gonzalez, Sherly Ann Diaz-Santiago, Awilda Suarez-Carmona, Angel Brionni-Febres, Jesus Montanez-Ramos, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Jose D. Pagan-Hiraldo, Frankie Couvertier-Ocasio, Edgardo Davila, Juan C. Torrench-Garcia, Jesus Torrench-Rodriguez, George Noguerras-Campos, Christopher Padro-Rosario, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Antonio Garcia-Caribe, Hiam J. Fontanez-Carballo, Carlos Girona-Colon, Grimaldy Morales-Castro, Dean Lugo-Diaz, Raymar Bultron-Hernandez, Miguel A. Betancourt-Cruz, Joufred Garay-Nunez, Daniel Torres-Guzman, Griselle Ferrer-Rivera, Marck Diaz-Figueroa, Jonathan Nunez-Colon, Christopher Rivera-Ayala, Eduardo Velez-Montalvo, Victor E. Bonano-Kerkado, Jorge Montanez-Ramos, Edwin Pastrana-Nieves, Luis Andino-Fargas, Rafael Sepulveda-Santiago, William Torrench-Villanueva, Raul Calo-Massas, Roberto Aponte-Arriaga, Jose C. Iglesias-Encarnacion, Alberto Isales-Roman, Angel L. Bon-Rivera. Suggestions in opposition/response due by 9/7/2012 (Lopez-Rocafort, Alberto) (Entered: 08/22/2012)
08/25/2012	329	ORDER noted <u>324</u> Informative Motion as to David Oppenheimer-Torres (1), et al. Second day of Inspection of the evidence shall be set by not later than September 7, 2012. IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 8/24/2012. (om) (Entered: 08/25/2012)
08/27/2012	<u>331</u>	INFORMATIVE Motion regarding Second Inspection of Physical Evidence by USA as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Carlos Garcia-Carrasquillo, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Xiomara Rivera-Velazquez, Luis A. Casanova-Rivera, Ramon L. Martinez-Fonseca, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Carlos A. Romero-Ortiz, Dennys Marrero-Romero, Jonathan Claudio-Parrilla, Zeus Cordero-Alvarez, Francisco Carmona-Ocasio

		<p>Jose Sanchez-Ramos, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Noel Santana-Pizarro, Jorge Gonzalez-Gonzalez, Israel O. Santiago-Gonzalez, Sherly Ann Diaz-Santiago, Awilda Suarez-Carmona, Angel Brionni-Febres, Jesus Montanez-Ramos, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Jose D. Pagan-Hirald, Frankie Couvertier-Ocasio, Edgardo Davila, Juan C. Torrench-Garcia, Jesus Torrench-Rodriguez, George Nogueras-Campos, Christopher Padro-Rosario, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Antonio Garcia-Caribe, Hiam J. Fontanez-Caraballo, Carlos Girona-Colon, Grimaldy Morales-Castro, Dean Lugo-Diaz, Raymar Bultron-Hernandez, Miguel A. Betancourt-Cruz, Joufred Garay-Nunez, Daniel Torres-Guzman, Griselle Ferrer-Rivera, Marck Diaz-Figueroa, Jonathan Nunez-Colon, Christopher Rivera-Ayala, Eduardo Velez-Montalvo, Victor E. Bonano-Kerkado, Jorge Montanez-Ramos, Edwin Pastrana-Nieves, Luis Andino-Fargas, Rafael Sepulveda-Santiago, William Torrench-Villanueva, Raul Calo-Massas, Roberto Aponte-Arriaga, Jose C. Iglesias-Encarnacion, Alberto Isales-Roman, Angel L. Bon-Rivera. Suggestions in opposition/response due by 9/13/2012 (Lopez-Rocafort, Alberto) (Entered: 08/27/2012)</p>
09/20/2012	362	<p>ORDER noted 331 Informative Motion as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Anibal Del-Valle-Hirald (3), Luis Gomez-Avila (4), Luis Clemente-Cruz (5), Jonathan Roman-Carrasquillo (6), Nicky Figueroa-Quiles (7), Carlos Garcia-Carrasquillo (8), Alejandro Vargas-Lopez (9), Jayson Encarnacion-Ayala (10), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Xiomara Rivera-Velazquez (14), Luis A. Casanova-Rivera (15), Ramon L. Martinez-Fonseca (16), Luis J. Cruz-Huertas (17), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Christian R. Rivera-Laracuenta (20), Emanuel Rivera-Carmona (21), Carlos A. Romero-Ortiz (22), Dennys Marrero-Romero (23), Jonathan Claudio-Parrilla (24), Zeus Cordero-Alvarez (25), Francisco Carmona-Ocasio (26), Jose Sanchez-Ramos (27), Richard Colon-Liboy (28), Jorge L. Bermudez-Rivera (29), Edgardo Rivera-Rivera (30), Noel Santana-Pizarro (31), Jorge Gonzalez-Gonzalez (32), Israel O. Santiago-Gonzalez (33), Sherly Ann Diaz-Santiago (34), Awilda Suarez-Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Ommi O. Melendez-Andino (40), Jose D. Pagan-Hirald (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrench-Rodriguez (44), Jesus Torrench-Rodriguez (45), George Nogueras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Girona-Colon (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Joufred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74). IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 9/20/2012. (om) (Entered: 09/20/2012)</p>
09/27/2012	378	<p>ORDER as to David Oppenheimer-Torres, et al. Change of plea motions file in this case will be referred to the U.S. Magistrate Judges as follows. Defendants 1 through 19 to U.S. Magistrate Judge Camille L. Velez-Rive. Defendants 20- through 38 to U.S. Magistrate Judge Bruce J. McGiverin. Defendants 39 through 56 to U.S. Magistrate Judge Marcos E. Lopez, and defendants 57 through 74 to U.S. Magistrate Judge Silvia Carreno-Coll. IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 9/27/2012.(om) (Entered: 09/27/2012)</p>

09/27/2012	381	MEMORANDUM OF THE CLERK as to defendants ONE through NINETEEN (1-19): Pursuant to the Referral Order issued by Judge Daniel R. Dominguez on 9/27/12, docket entry #378, any motion for change of plea filed by any of these defendants is to be referred to Magistrate Judge Camille Velez-Rive. Signed by Clerk on 9/27/12. (li) (Entered: 09/27/2012)
10/02/2012	<u>401</u>	NOTICE OF ATTORNEY APPEARANCE: Jose A. Contreras appearing for USA. (Contreras, Jose) (Entered: 10/02/2012)
10/03/2012	<u>403</u>	MOTION to Withdraw as Attorney by Alberto Lopez-Rocafort by USA as to all defendants. (Lopez-Rocafort, Alberto) Modified on 10/4/2012 editing entry (np). (Entered: 10/03/2012)
10/12/2012	431	ORDER as to All Defendants Status Conference set for 10/15/2012 04:30 PM will be HELD in HATO REY Courtroom 3 before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 10/12/12.(su) (Entered: 10/12/2012)
10/18/2012	<u>452</u>	ORDER TO THE WARDEN of MDC-GUAYNABO as to All Defendants and STATUS CONFERENCE MANAGEMENT ORDER Status Conference set for 11/15/2012 05:00 PM in Courtroom 4 before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 10/16/12.(su) (Entered: 10/18/2012)
10/19/2012	<u>461</u>	Minute Entry for proceedings held before Judge Daniel R. Dominguez as to all defendants: SECOND Status Conference held on 10/15/12. Court granted 45 days for plea negotiations. Court granted 10 days to produce clean CD's. Court ordered the government to coordinate 2 new inspections and granted 20 days to do so. Court granted 10 additional days to discuss possibilities of a plea. Speedy Trial is tolled for 30 days in the interest of justice.3rd Status Conference set for 11/15/2012 05:00 PM in Courtroom 4 before Judge Daniel R. Dominguez. (Court Reporter Yvette Richardson.)Hearing set for 04:30.Hearing held at 05:20.Hearing ended at 05:53. (Attachments: # <u>1</u> Attendance List) (su) (Entered: 10/19/2012)
10/22/2012	<u>464</u>	INFORMATIVE Motion regarding Second Inspection of Physical Evidence by USA as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Carlos Garcia-Carrasquillo, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Xiomara Rivera-Velazquez, Luis A. Casanova-Rivera, Ramon L. Martinez-Fonseca, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuenta, Emanuel Rivera-Carmona, Carlos A. Romero-Ortiz, Dennys Marrero-Romero, Jonathan Claudio-Parrilla, Zeus Cordero-Alvarez, Francisco Carmona-Ocasio, Jose Sanchez-Ramos, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Noel Santana-Pizarro, Jorge Gonzalez-Gonzalez, Israel O. Santiago-Gonzalez, Sherly Ann Diaz-Santiago, Awilda Suarez-Carmona, Angel Brionni-Febres, Jesus Montanez-Ramos, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Jose D. Pagan-Hiraldo, Frankie Couvertier-Ocasio, Edgardo Davila, Juan C. Torrench-Rodriguez, Jesus Torrench-Rodriguez, George Noguerras-Campos, Christopher Padro-Rosario, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Antonio Garcia-Caribe, Hiam J. Fontanez-Caraballo, Carlos Girona-Colon, Grimaldy Morales-Castro, Dean Lugo-Diaz, Raymar Bultron-Hernandez, Miguel A. Betancourt-Cruz, Jofred Garay-Nunez, Daniel Torres-Guzman, Griselle Ferrer-Rivera, Marck Diaz-Figueroa, Jonathan Nunez-Colon, Christopher Rivera-Ayala, Eduardo Velez-Montalvo, Victor E. Bonano-Kerkado, Jorge Montanez-Ramos, Edwin Pastrana-Nieves, Luis Andino-Fargas, Rafael Sepulveda-Santiago, William Torrench-Villanueva, Raul Calo-Massas, Roberto Aponte-Arriaga, Jose C. Iglesias-Encarnacion, Alberto Isales-Roman,

		Angel L. Bon-Rivera. Suggestions in opposition/response due by 11/8/2012 (Contreras, Jose) (Entered: 10/22/2012)
11/14/2012	530	ORDER noted <u>464</u> Informative Motion as to David Oppenheimer-Torres (1), et al. It is so ordered. Signed by Judge Daniel R. Dominguez on 11/14/2012. (om) (Entered: 11/14/2012)
11/15/2012	538	ORDER as to David Oppenheimer-Torres, et al. Further Status Conference set for today, November 15, 2012 at 5:00 p.m. will be held at the Old San Juan Courthouse. Counsel who have filed change of plea motions are excused to attend today's proceedings. IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 11/15/2012.(om) (Entered: 11/15/2012)
11/15/2012	<u>574</u>	Minute Entry for proceedings held before Judge Daniel R. Dominguez:Status Conference as to David Oppenheimer-Torres, et al., held on 11/15/2012. Motions due by 2/1/2013. Pretrial Conference set for 2/4/2013 05:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. (Court Reporter Yvette Richardson.)Hearing set for 05:00.Hearing held at 05:26.Hearing ended at 06:00. (Appendix Attendance list) (om) (Entered: 12/05/2012)
11/20/2012	<u>551</u>	INFORMATIVE Motion regarding Additional Discovery Related to Defendant 43 Edgardo Davila by USA. Suggestions in opposition/response due by 12/6/2012 (Contreras, Jose) Modified on 12/4/2012 editing entry (np). (Entered: 11/20/2012)
11/20/2012	<u>552</u>	INFORMATIVE Motion regarding Attendance at Second Inspection of Physical Evidence by USA as to all defendants. Suggestions in opposition/response due by 12/6/2012 (Attachments: # <u>1</u> Attendance Sheet)(Contreras, Jose) Modified on 12/4/2012 editing entry (np). (Entered: 11/20/2012)
12/05/2012	575	ORDER noted <u>551</u> Informative Motion ; noted <u>552</u> Informative Motion; noted and denied <u>552</u> Informative Motion; noted 555 Motion Submitting; noted 484 Motion to Modify Conditions of Release; noted 501 Motion to Withdraw Document ; noted 556 Motion Submitting; noted 487 Motion Submitting ; noted 415 Motion for Change of Plea; noted 485 Motion Submitting; noted 369 Motion for Change of Plea as to Christopher Padro-Rosario (47); noted 399 Motion Submitting ; noted 486 Motion Submitting ; noted 432 Motion for Change of Plea; noted 466 Motion Submitting; noted 520 Motion for Change of Plea; noted 360 Motion for Change of Plea; noted 360 Motion to Consolidate Cases; noted 433 Motion for Change of Plea; noted 359 Motion for Change of Plea; noted 370 Motion for Change of Plea; noted 440 Report and Recommendations on Plea of Guilty; noted 416 Motion for Change of Plea; noted 372 Motion for Change of Plea ; noted 518 Motion to Substitute Attorney. IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 12/5/2012. (om) Modified on 12/6/2012 editing entry (np). (Entered: 12/05/2012)
02/01/2013	704	ORDER as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Carlos Garcia-Carrasquillo, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Xiomara Rivera-Velazquez, Luis A. Casanova-Rivera, Ramon L. Martinez-Fonseca, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Carlos A. Romero-Ortiz, Dennys Marrero-Romero, Jonathan Claudio-Parrilla, Zeus Cordero-Alvarez, Francisco Carmona-Ocasio, Jose Sanchez-Ramos, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Noel Santana-Pizarro, Jorge Gonzalez-Gonzalez, Israel O. Santiago-Gonzalez, Sherly Ann Diaz-Santiago, Awilda Suarez-Carmona, Angel Brionni-Febres, Jesus Montanez-Ramos, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Jose D. Pagan-Hiraldo, Frankie

		Couvertier-Ocasio, Edgardo Davila, Juan C. Torrench-Rodriguez, Jesus Torrech-Rodriguez, George Noguerras-Campos, Christopher Padro-Rosario, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Antonio Garcia-Caribe, Hiam J. Fontanez-Caraballo, Carlos Colon-Girona, Grimaldy Morales-Castro, Dean Lugo-Diaz, Raymar Bultron-Hernandez, Miguel A. Betancourt-Cruz, Jofred Garay-Nunez, Daniel Torres-Guzman, Griselle Ferrer-Rivera, Marck Diaz-Figueroa, Jonathan Nunez-Colon, Christopher Rivera-Ayala, Eduardo Velez-Montalvo, Victor E. Bonano-Kerkado, Jorge Montanez-Ramos, Edwin Pastrana-Nieves, Luis Andino-Fargas, Rafael Sepulveda-Santiago, William Torrench-Villanueva, Raul Calo-Massas, Roberto Aponte-Arriaga, Jose C. Iglesias-Encarnacion, Alberto Isales-Roman, Angel L. Bon-Rivera. PRETRIAL CONFERENCE SET FOR MONDAY, FEBRUARY 4, 2013 AT 5:00 P.M. IS CANCELED. PRETRIAL TO RESET. IT IS SO ORDERED. Signed by Judge Daniel R. Dominguez on 2/1/2013.(om) (Entered: 02/01/2013)
04/30/2013	1008	ORDER as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuate, Emanuel Rivera-Carmona, Dennys Marrero-Romero, Francisco Carmona-Ocasio, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Sherly Ann Diaz-Santiago, Angel Brianni-Febres, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Frankie Couvertier-Ocasio, Juan C. Torrench-Rodriguez, George Noguerras-Campos, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Hiam J. Fontanez-Caraballo, Dean Lugo-Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago, Raul Calo-Massas : Status Conference AS TO ALL PENDING DEFENDANTS IN THIS CASE set for 5/8/2013 05:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 4/30/2013.(om) (Entered: 04/30/2013)
05/08/2013	1060	Minute Entry for proceedings held before Judge Daniel R. Dominguez:Status Conference as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuate, Emanuel Rivera-Carmona, Dennys Marrero-Romero, Francisco Carmona-Ocasio, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Sherly Ann Diaz-Santiago, Angel Brianni-Febres, Ramon Suarez-Carmona, Mitchell Falu-Montes, Ommi O. Melendez-Andino, Frankie Couvertier-Ocasio, Juan C. Torrench-Rodriguez, George Noguerras-Campos, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Hiam J. Fontanez-Caraballo, Dean Lugo-Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago, Raul Calo-Massas held on 5/8/2013. Motions due by 7/1/2013. Jury Trial set for 8/12/2013 09:30 PM in Courtroom 3 before Judge Daniel R. Dominguez. Pretrial Conference set for 8/1/2013 04:30 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Hearing set for 05:00.Hearing held at 05:47.Hearing ended at 06:30. (om) (Entered: 05/20/2013)
07/31/2013	1274	ORDER as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Luis Gomez-Avila, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuate, Emanuel Rivera-Carmona, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Sherly Ann Diaz-Santiago, Angel Brianni-Febres, Frankie Couvertier-Ocasio, George Noguerras-Campos, Dean Lugo-

		Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago. Final Pretrial Conference set for August 1, 2013 at 4:30 p.m. is reset for August 5, 2013 at 3:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 7/31/2013.(om) (Entered: 07/31/2013)
08/05/2013	<u>1299</u>	Minute Entry for proceedings held before Judge Daniel R. Dominguez:Further Status Conference as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Luis Gomez-Avila, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Richard Colon-Liboy, Angel Brionni-Febres, Frankie Couvertier-Ocasio, George Noguerras-Campos, Dean Lugo-Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago held on 8/5/2013. Motions due by 8/30/2013. Pretrial Conference set for 9/12/2013 04:30 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. (Court Reporter Zulma Ruiz.)Hearing set for 03:00.Hearing held at 04:05.Hearing ended at 04:36. (om) (Entered: 08/06/2013)
09/10/2013	1388	ORDER as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Luis Gomez-Avila, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Richard Colon-Liboy, Angel Brionni-Febres, Frankie Couvertier-Ocasio, George Noguerras-Campos, Dean Lugo-Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago: Further Status Conference set for September 12, 2013 is reset for September 16, 2013 at 3:45 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 09/10/2013. (om) (Entered: 09/10/2013)
09/13/2013	1405	ORDER as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Luis Gomez-Avila, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Richard Colon-Liboy, Angel Brionni-Febres, Frankie Couvertier-Ocasio, George Noguerras-Campos, Dean Lugo-Diaz, Marck Diaz-Figueroa, Christopher Rivera-Ayala, Jorge Montanez-Ramos, Rafael Sepulveda-Santiago : Pretrial Conference set for September 16, 2013 is reset for September 26, 2013 at 5:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 9/13/2013. (om) (Entered: 09/13/2013)
09/26/2013	1427	ORDER granting 1421 Informative Motion as to Rafael Sepulveda-Santiago (68): Defense counsel is excused from Pretrial Conference.Signed by Judge Daniel R. Dominguez on 09/26/2013. (amr) (Entered: 09/26/2013)
09/26/2013	<u>1437</u>	Minute Entry for proceedings held before Judge Daniel R. Dominguez:Pretrial Conference as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Luis Gomez-Avila, Nicky Figueroa-Quiles, Alejandro Vargas-Lopez, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Richard Colon-Liboy, Angel Brionni-Febres, Frankie Couvertier-Ocasio, George Noguerras-Campos, Dean Lugo-Diaz, Marck Diaz-Figueroa, Jorge Montanez-Ramos held on 9/26/2013. Plea negotiations to conclude by 10/01/2013. Further Status Conference set for 10/15/2013 05:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. (Court Reporter Annette Montalvo.)Hearing set for 05:00.Hearing held at 05:45.Hearing ended at 06:30. (amr) (Entered: 10/01/2013)

01/07/2014	<u>1696</u>	MOTION to Continue <i>Jury Trial</i> by USA as to all defendants(Contreras, Jose) Modified on 1/23/2014 to eliminate individuals names(su). (Entered: 01/07/2014)
07/17/2014	<u>2108</u>	TRANSCRIPT REQUEST by USA as to Abraham Walker-Couvertier (12), Dean Lugo-Diaz (54) for proceedings held on 7/7/14-7/11/14 before Judge DRD. (Contreras, Jose) Modified on 7/19/2014 editing entry (np). (Entered: 07/17/2014)
07/02/2015		Arrest of Nicky Figueroa-Quiles (7) in Middle District of Florida. (ft) (Entered: 07/30/2015)
07/13/2015	<u>2508</u>	***CASE PARTICIPANTS*** Rule 5 Documents Received as to Nicky Figueroa-Quiles (7). (Attachments: # <u>1</u> Envelope)(su) Modified on 7/16/2015 (np). (Entered: 07/14/2015)
07/28/2015	<u>2528</u>	Minute Entry for proceedings held before US Magistrate Judge Bruce J. McGiverin:Initial Appearance as to Nicky Figueroa-Quiles (7) held on 7/28/2015. Defendant was provided with copy of the Indictment and advised as to his rights. Also, defendant was advised of the applicable minimum and maximum statutory penalties that he is exposed to if convicted of the charges in the indictment. Defendant informed the Court that he will retain counsel. Arraignment/Bail set for 8/11/2015 09:00 AM in Courtroom 9 before US Magistrate Judge Bruce J. McGiverin. PO:Patricia Cordova(Court Reporter DCR.)Hearing held at 02:05.Hearing ended at 02:10.Interpreter Enith Valdes. (ft) (Entered: 07/30/2015)
07/28/2015	<u>2529</u>	ORDER scheduling detention hearing as to Nicky Figueroa-Quiles (7). Defendant is TEMPORARILY DETAINED pending hearing. Signed by US Magistrate Judge Bruce J. McGiverin on 7/28/15.(ft) (Entered: 07/30/2015)
07/31/2015	<u>2532</u>	Transcript of Further Status Conference as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Luis Gomez-Avila (4), Nicky Figueroa-Quiles (7), Alejandro Vargas-Lopez (9), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Emanuel Rivera-Carmona (21), Richard Colon-Liboy (28), Angel Brianni-Febres (36), Frankie Couvertier-Ocasio (42), George Nogueras-Campos (46), Dean Lugo-Diaz (54), Marck Diaz-Figueroa (60), Christopher Rivera-Ayala (62), Jorge Montanez-Ramos (65), Rafael Sepulveda-Santiago (68) held on August 5, 2013, before Judge Daniel R. Dominguez. Court Reporter/Transcriber Zulma M. Ruiz, Telephone number 787-772-3375. COA Number: 15-1261. NOTICE RE REDACTION OF TRANSCRIPTS: The parties have seven (7) calendar days to file with the Court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript will be available electronically to the public without redaction after 90 calendar days. The policy is located at www.prd.uscourts.gov. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 8/24/2015. Redacted Transcript Deadline set for 9/3/2015. Release of Transcript Restriction set for 11/2/2015. (zr) (Entered: 07/31/2015)
08/03/2015	<u>2538</u>	MOTION for Leave to Appear by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 8/20/2015 (Prado-Galarza, Edwin) (Entered: 08/03/2015)
08/04/2015	<u>2539</u>	ORDER noted <u>2538</u> Motion for Leave to Appear as to Nicky Figueroa-Quiles (7). Signed by Judge Daniel R. Dominguez on 08/4/2015. (amr) (Entered: 08/04/2015)
08/07/2015	<u>2541</u>	MOTION to Continue by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 8/24/2015 (Prado-Galarza, Edwin) (Entered: 08/07/2015)
08/10/2015	<u>2542</u>	ORDER granting <u>2541</u> Motion to Continue as to Nicky Figueroa-Quiles (7): Arraignment/Bail Hearing are reset for 8/14/2015 at 9:00 AM in Courtroom 9

		before US Magistrate Judge Bruce J. McGiverin. Signed by US Magistrate Judge Bruce J. McGiverin on 8/10/2015. (jm) (Entered: 08/10/2015)
08/14/2015	2544	Minute Entry for proceedings held before US Magistrate Judge Bruce J. McGiverin: Arraignment/Bail as to Nicky Figueroa-Quiles (7) Counts 1-5 held on 8/14/2015. Present: AUSA Olga Castellon, Atty. Edwin Prado, and USPO Shirley Pabon. Dft is U/C and present in court. Defendant was found competent to understand the proceedings. He waived the reading of the Indictment and entered a plea of not guilty as to counts 1-5. Case is referred to Judge Dominguez for trial setting. As to bail, the government requested detention. Defense counsel argued in favor of bail. The Court ordered defendant detained on the basis of risk of flight. (Court Reporter DCR.) Hearing set for 09:00. Hearing held at 09:36. Hearing ended at 09:47. Interpreter Moises Hernandez. (ar) Modified on 8/17/2015 to edit dkt. text as per cdc request (ab). (Entered: 08/17/2015)
08/14/2015	<u>2548</u>	ORDER OF DETENTION PENDING TRIAL as to Nicky Figueroa-Quiles (7). Signed by US Magistrate Judge Bruce J. McGiverin on 8/14/2015. (jm) (Entered: 08/17/2015)
08/17/2015	2545	ORDER as to Nicky Figueroa-Quiles (7)): Status Conference set for 9/14/2015 04:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 8/17/2015.(amr) (Entered: 08/17/2015)
09/14/2015	2566	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Status Conference as to Nicky Figueroa-Quiles (7) held on 9/14/2015. Present were: AUSA Aaron Howell for AUSA Contreras and defense counsel Edwin Prado. The Court was appraised the status of the case. Attorney Prado informed that discovery was pending. Government advised that discovery package is available for pickup at the U.S. Attorneys Office. Defense counsel request 10 days to review discovery. The parties should come prepare to provide trial dates at next conference. Further Status Conference set for 9/24/2015 04:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Hearing set for 04:00. Hearing held at 04:25. Hearing ended at 04:29. (amr) (Entered: 09/15/2015)
09/24/2015	2574	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Status Conference as to Nicky Figueroa-Quiles (7) held on 9/24/2015. Present were: AUSA Jose Contreras and defense counsel Edwin Prado. The Court was appraised the status of the case. Defense counsel request additional 45 days to review and discuss with his client the voluminous evidence in this case. The request is granted. The Speedy Trial remains tolled in the interest of justice which outweighs the best interest of the public and the defendants under 18 U.S.C. Sec. 3161(h)(7)(A) until the next conference. Further Status Conference set for 11/9/2015 04:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Hearing set for 04:00. Hearing held at 04:30. Hearing ended at 04:37. (amr) (Entered: 09/25/2015)
10/01/2015	<u>2590</u>	*RESTRICTED* ARREST Warrant Returned Executed on 7/2/15 in case as to Nicky Figueroa-Quiles (7). (np) (Entered: 10/14/2015)
11/09/2015	2602	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Status Conference as to Nicky Figueroa-Quiles (7) held on 11/9/2015. Present were: AUSA Elba Gorbea for AUSA Contreras and defense counsel Edwin Prado. The Court was appraised the status of the case. Government advised that discovery has been provided. Attorney Prado informed that is in the process of reviewing the voluminous discovery. Counsel stated that does not foresee case going to trial and request additional time to review discovery and engage in plea negotiations. The request is granted. The Speedy Trial remains tolled in the interest of justice which outweighs the best interest of the public and the defendants under 18 U.S.C. Sec. 3161(h)(7)(A) until the next conference. Pretrial Conference set for 12/15/2015 04:00 PM in Old San Juan Courtroom. (amr) (Entered: 11/10/2015)

		before Judge Daniel R. Dominguez. Hearing set for 04:00. Hearing held at 04:40. Hearing ended at 04:45. (amr) (Entered: 11/10/2015)
12/15/2015	2625	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Pretrial Conference as to Nicky Figueroa-Quiles (7) held on 12/15/2015. Present were: AUSA Jose Contreras and Attorney Javier Villar substituting Edwin Prado. The Court was appraised the status of the case. AUSA Contreras informed that formal plea agreement was sent to defense counsel on December 14, 2015. Defense counsel advised that will need to meet with defendant to discuss plea offer. Counsel request additional time to meet with defendant. The request is granted. The Speedy Trial remains tolled in the interest of justice which outweighs the best interest of the public and the defendants under 18 U.S.C. Sec. 3161(h)(7)(A) until the next conference. Motion for Change of Plea due by 01/05/2015 or Final Pretrial Conference set for 1/11/2016 04:30 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Hearing set for 04:00. Hearing held at 04:11. Hearing ended at 04:22. (amr) (Entered: 12/16/2015)
12/23/2015	<u>2638</u>	MOTION to Continue by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 1/11/2016 (Prado-Galarza, Edwin) (Entered: 12/23/2015)
01/04/2016	2642	ORDER granting <u>2638</u> Motion to Continue as to Nicky Figueroa-Quiles (7). Motion for Change of Plea due by 2/5/2016. Final Pretrial Conference set for 2/8/2016 04:00 PM in Old San Juan Courtroom before Judge Daniel R. Dominguez. No other continuance will be allowed. Signed by Judge Daniel R. Dominguez on 01/04/2016. (amr) (Entered: 01/04/2016)
02/01/2016	<u>2654</u>	MOTION for change of plea by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 2/19/2016 (Prado-Galarza, Edwin) (Entered: 02/01/2016)
02/01/2016	2655	ORDER REFERRING MOTION: as to Nicky Figueroa-Quiles (7). re <u>2654</u> MOTION for change of plea filed by Nicky Figueroa-Quiles TO MAGISTRATE JUDGE Camille Velez-Rive, for Change of Plea Hearing and Report and Recommendation. Signed by Judge Daniel R. Dominguez on 02/01/2016. (amr) (Entered: 02/01/2016)
02/01/2016	2656	ORDER as to Nicky Figueroa-Quiles (7), Set/Reset Hearings as to Nicky Figueroa-Quiles (7): Change of Plea Hearing is set for 2/19/2016 at 09:00 AM in Courtroom 11 before US Magistrate Judge Camille L. Velez-Rive. Signed by US Magistrate Judge Camille L. Velez-Rive on 2/1/16. (ljt) (Entered: 02/01/2016)
02/01/2016	<u>2657</u>	MOTION to Restrict Document by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 2/19/2016 (Prado-Galarza, Edwin) Modified on 2/2/2016 removing level of restriction inadvertently placed by cnsl (np). (Entered: 02/01/2016)
02/01/2016	<u>2658</u>	***EX-PARTE***PLEA AGREEMENT and PLEA AGREEMENT SUPPLEMENT as to Nicky Figueroa-Quiles (7) (Prado-Galarza, Edwin) Modified on 2/2/2016 adding text (np). (Entered: 02/01/2016)
02/02/2016	2659	ORDER granting <u>2657</u> Motion to Restrict as to Nicky Figueroa-Quiles (7). Signed by US Magistrate Judge Camille L. Velez-Rive on 2/2/16. (ljt) (Entered: 02/02/2016)
02/19/2016	<u>2667</u>	WAIVER of Right to Trial by Jury and Consent to proceed before USMJ by Nicky Figueroa-Quiles (7). (yr) (Entered: 02/24/2016)
02/19/2016	<u>2668</u>	PLEA AGREEMENT as to Nicky Figueroa-Quiles (7). (yr) (Entered: 02/24/2016)
02/19/2016	<u>2669</u>	***EX-PARTE***PLEA AGREEMENT SUPPLEMENT as to Nicky Figueroa-Quiles (7). (yr) (Entered: 02/24/2016)
02/19/2016	2670	Minute Entry for proceedings held before US Magistrate Judge Camille L. Velez-Rive: Change of Plea Hearing as to Nicky Figueroa-Quiles (7) held on 2/19/2016.

		Present were AUSA Maritza Gonzalez and Mr. Edwin Prado-Galarza. Defendant was present and under custody. Waiver of JT and consent to proceed before a U. S. Magistrate Judge was filed and accepted in open court. The defendant is found competent to understand the proceedings. He is advised of the maximum penalties he's facing, his constitutional rights, consequences of waiving said rights and the applicable statutory guidelines. After hearing the parties, the Magistrate Judge will issue a Report and Recommendation to Judge Dominguez so that the guilty plea as to count One (1) of the Indictment be accepted. The Court orders the preparation of the Pre-Sentence Report. Defendant to remain under custody. (E-mail to USPO) Sentencing Hearing set for 6/20/2016 at 10:00 AM in Old San Juan Courtroom before Judge Daniel R. Dominguez. (Court Reporter -DCR.)Hearing set for 09:00.Hearing held at 10:14.Hearing ended at 10:35.Interpreter -Sonia Crescioni. (yr) (Entered: 02/24/2016)
02/25/2016	<u>2671</u>	REPORT AND RECOMMENDATIONS on Plea of Guilty as to Nicky Figueroa-Quiles (7). Objections to R&R due by 3/14/2016. Signed by US Magistrate Judge Camille L. Velez-Rive on 2/19/16.(ljt) (Entered: 02/25/2016)
04/13/2016	<u>2681</u>	ORDER adopting Report and Recommendation re <u>2671</u> Report and Recommendations on Plea of Guilty as to Nicky Figueroa-Quiles (7). Signed by Judge Daniel R. Dominguez on 4/13/2016. (JM) (Entered: 04/13/2016)
05/04/2016	<u>2686</u>	***SELECTED PARTIES*** NOTICE of Disclosure of PSR Pursuant to Local Rule 132, the U.S. Probation Officer makes disclosure of the presentence report for the defendant of record. According to said rule, any inaccuracies or discrepancies should be reported to the Probation Officer within 14 days from disclosure of the document. Since the presentence report is a Court Document, its contents must not be recorded or otherwise disseminated to third parties in any manner, by USA, US Probation, Nicky Figueroa-Quiles (U.S. Probation Officer, Merangelie Serrano) (Entered: 05/04/2016)
05/20/2016	<u>2689</u>	***SELECTED PARTIES*** NOTICE of Disclosure of Amended PSR Pursuant to Local Rule 132, the U.S. Probation Officer makes disclosure of the amended presentence report for the defendant of record. Since the presentence report is a Court Document, its contents must not be recorded or otherwise disseminated to third parties in any manner, by US Probation as to Nicky Figueroa-Quiles (U.S. Probation Officer, Merangelie Serrano) Modified on 5/23/2016 to correct filer (gav). (Entered: 05/20/2016)
06/06/2016	<u>2690</u>	***SELECTED PARTIES*** NOTICE of Disclosure of Amended PSR Pursuant to Local Rule 132, the U.S. Probation Officer makes disclosure of the amended presentence report for the defendant of record. Since the presentence report is a Court Document, its contents must not be recorded or otherwise disseminated to third parties in any manner, by US Probation as to Nicky Figueroa-Quiles. (U.S. Probation Officer, Merangelie Serrano) Modified on 6/7/2016 to delete filer & add text (gav). (Entered: 06/06/2016)
06/10/2016	<u>2691</u>	MOTION to Continue <i>Sentencing Hearing</i> by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 6/27/2016 (Prado-Galarza, Edwin) (Entered: 06/10/2016)
06/13/2016	<u>2692</u>	ORDER granting <u>2691</u> Motion to Continue as to Nicky Figueroa-Quiles (7). Sentencing Hearing reset for 8/10/2016 09:30 AM in Old San Juan Courtroom before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 06/13/2016. (amr) (Entered: 06/13/2016)
08/01/2016	<u>2712</u>	MOTION to Restrict Document <i>Sentencing Memorandum</i> by Nicky Figueroa-Quiles (7). Suggestions in opposition/response due by 8/18/2016 (Prado-Galarza, Edwin) (Entered: 08/01/2016)

08/01/2016	<u>2713</u>	**CASE PARTICIPANTS** SENTENCING MEMORANDUM by Nicky Figueroa-Quiles (7) (Attachments: # <u>1</u> Exhibit Letter) (Prado-Galarza, Edwin) (Entered: 08/01/2016)
08/02/2016	2714	ORDER granting <u>2712</u> Motion to Restrict as to Nicky Figueroa-Quiles(7). Signed by Judge Daniel R. Dominguez on 8/2/2016. (bg) (Entered: 08/02/2016)
08/02/2016	<u>2716</u>	***SELECTED PARTIES*** NOTICE of Filing Exhibits Sentencing Memorandum (Attachments: # <u>1</u> Exhibit Additional Exhibit in Docket 2713) (Prado-Galarza, Edwin) (Entered: 08/02/2016)
08/02/2016	<u>2718</u>	***SELECTED PARTIES*** NOTICE of Filing of Addendum to the PSR. The addendum to the presentence investigation report has been filed in compliance with Rule 32 of the Federal Rules of Criminal Procedure by US Probation as to Nicky Figueroa-Quiles (U.S. Probation Office Staff, Rebecca Perez) Modified on 8/3/2016 as to filer (gav). (Entered: 08/02/2016)
08/05/2016	2719	ORDER as to Nicky Figueroa-Quiles (7) : Sentencing Hearing set for 8/10/2016 09:30 AM in Courtroom 5 IN HATO REY before Judge Daniel R. Dominguez. Signed by Judge Daniel R. Dominguez on 08/05/2016.(amr) (Entered: 08/05/2016)
08/10/2016	2720	Minute Entry for proceedings held before Judge Daniel R. Dominguez: Sentencing held on 8/10/2016 for Nicky Figueroa-Quiles (7). Present were: AUSA Jose Contreras and Attorney Edwin Prado. Defendant present U/C and assisted by the official court interpreter. Statements in support of mitigation of punishment were heard on behalf of defendant. Defendants allocation was heard. After hearing the parties, the Court imposed sentence. Count(s) 1, IMPR: One Hundred Twenty (120) Months; SRT: Eight (8) Years; SMA: \$100.00; Count(s) 2-5, Dismissed. Defendant was advised his right to appeal. (Court Reporter Donna Prather.) Hearing set for 09:30. Hearing held at 09:45. Hearing ended at 10:32. Interpreter Marie Hernandez. (amr) (Entered: 08/10/2016)
08/10/2016	<u>2721</u>	JUDGMENT as to Nicky Figueroa-Quiles (7). Count(s) 1, IMPR: One Hundred Twenty (120) Months; SRT: Eight (8) Years; SMA: \$100.00; Count(s) 2-5, Dismissed. Signed by Judge Daniel R. Dominguez on 08/10/2016.(amr) (Entered: 08/10/2016)
12/16/2016	<u>2743</u>	MOTION Requesting Copy of Docket Sheet, MOTION Requesting Copy of Pre-Sentence Report, Justice and Commitment and Plea Agreement (Responses due by 12/30/2016. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically.) by Nicky Figueroa-Quiles (7) pro se. (Attachments: # <u>1</u> Envelope)(gav) (Entered: 12/16/2016)
01/18/2017	2748	ORDER granting <u>2743</u> Motion Requesting Copy of Docket Sheet as to Nicky Figueroa-Quiles (7). Documents sent via US Mail to defendant. Signed by Judge Daniel R. Dominguez on 1/18/2017. (bg) (Entered: 01/18/2017)
01/26/2017	2751	ORDER finding as moot <u>2743</u> Motion Requesting Order as to Nicky Figueroa-Quiles (7). Signed by Judge Daniel R. Dominguez on 01/26/2017. (amr) (Entered: 01/26/2017)
01/22/2020	<u>3133</u>	MOTION to Withdraw as Attorney by Edwin Prado-Galarza. by Nicky Figueroa-Quiles. Responses due by 2/5/2020. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Prado-Galarza, Edwin) (Entered: 01/22/2020)
01/22/2020	3134	ORDER granting <u>3133</u> Motion to Withdraw as Attorney. Edwin Prado-Galarza withdrawn from case. as to Nicky Figueroa-Quiles (7). Signed by Judge Daniel R. Dominguez on 01/22/2020. (amr) (Entered: 01/22/2020)

10/29/2020		NOTICE of Docket Text Modification by Deputy Clerk re: 3282 MOTION Requesting Order ***FILED IN ERROR - Incorrect PDF*** (gav) (Entered: 10/29/2020)
02/18/2021	<u>3315</u>	MOTION to Withdraw as Attorney by Jenifer Y. Hernandez-Vega. by USA as to David Oppenheimer-Torres, Jason Smith-Rodriguez, Anibal Del-Valle-Hiraldo, Luis Gomez-Avila, Luis Clemente-Cruz, Jonathan Roman-Carrasquillo, Nicky Figueroa-Quiles, Carlos Garcia-Carrasquillo, Alejandro Vargas-Lopez, Jayson Encarnacion-Ayala, Miguel Andino-Garcia, Abraham Walker-Couvertier, Zuleyka Cordero-Vega, Xiomara Rivera-Velazquez, Luis A. Casanova-Rivera, Ramon L. Martinez-Fonseca, Luis J. Cruz-Huertas, Giancarlo Santiago-Lopez, Carlos Perez-Ayuso, Christian R. Rivera-Laracuente, Emanuel Rivera-Carmona, Carlos A. Romero-Ortiz, Dennys Marrero-Romero, Jonathan Claudio-Parrilla, Zeus Cordero-Alvarez, Francisco Carmona-Ocasio, Jose Sanchez-Ramos, Richard Colon-Liboy, Jorge L. Bermudez-Rivera, Edgardo Rivera-Rivera, Noel Santana-Pizarro, Jorge Felix Gonzalez-Gonzalez, Israel O. Santiago-Gonzalez, Sherly Ann Diaz-Santiago, Awilda Suarez-Carmona, Angel Brionni-Febres, Jesus Montanez-Ramos, Ramon Suarez-Carmona, Mitchell Falu-Montes, Omni O. Melendez-Andino, Jose D. Pagan-Hiraldo, Frankie Couvertier-Ocasio, Edgardo Davila, Juan C. Torrech-Rodriguez, Jesus Torrech-Rodriguez, George Noguerras-Campos, Christopher Padro-Rosario, Jose D. Gonzalez-Carmona, Misael Diaz-Frebes, Antonio Garcia-Caribe, Hiam J. Fontanez-Caraballo, Carlos Colon-Girona, Grimaldy Morales-Castro, Dean Lugo-Diaz, Raymar Bultron-Hernandez, Miguel A. Betancourt-Cruz, Jouffred Garay-Nunez, Daniel Torres-Guzman, Griselle Ferrer-Rivera, Marck Diaz-Figueroa, Jonathan Nunez-Colon, Christopher Rivera-Ayala, Eduardo Velez-Montalvo, Victor E. Bonano-Kerkado, Jorge Montanez-Ramos, Edwin Pastrana-Nieves, Luis Andino-Fargas, Rafael Sepulveda-Santiago, William Torrench-Villanueva, Raul Calo-Massas, Roberto Aponte-Arriaga, Jose C. Iglesias-Encarnacion, Alberto Isales-Roman, Angel L. Bon-Rivera. Responses due by 3/4/2021. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Hernandez-Vega, Jenifer) (Entered: 02/18/2021)
02/18/2021	3316	ORDER granting <u>3315</u> Motion to Withdraw as Attorney. Jenifer Yois Hernandez-Vega withdrawn from case as to all defendants 1-74. Signed by Judge Daniel R. Dominguez on 02/18/2021. (amr) (Entered: 02/18/2021)
07/06/2021	<u>3354</u>	MOTION to Withdraw as Attorney by AUSA Juan C. Reyes-Ramos as to all defendants . Responses due by 7/20/2021. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Reyes-Ramos, Juan) Modified on 7/7/2021 to remove defendants' individual names. (gav). (Entered: 07/06/2021)
07/07/2021	3356	ORDER granting <u>3354</u> Motion to Withdraw as Attorney Juan C. Reyes-Ramos withdrawn from case. as to all defendants (1-74). Signed by Judge Daniel R. Dominguez on 07/07/2021. (amr) (Entered: 07/07/2021)
12/08/2021	<u>3409</u>	MOTION to Withdraw as Attorney by USA as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Anibal Del-Valle-Hiraldo (3), Luis Gomez-Avila (4), Luis Clemente-Cruz (5), Jonathan Roman-Carrasquillo (6), Nicky Figueroa-Quiles (7), Carlos Garcia-Carrasquillo (8), Alejandro Vargas-Lopez (9), Jayson Encarnacion-Ayala (10), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Xiomara Rivera-Velazquez (14), Luis A. Casanova-Rivera (15), Ramon L. Martinez-Fonseca (16), Luis J. Cruz-Huertas (17), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Christian R. Rivera-Laracuente (20), Emanuel Rivera-Carmona (21), Carlos A. Romero-Ortiz (22), Dennys Marrero-Romero (23), Jonathan Claudio-Parrilla (24), Zeus Cordero-Alvarez (25), Francisco Carmona-Ocasio (26), Jose Sanchez-Ramos (27), Richard Colon-Liboy (28), Jorge L. Bermudez-Rivera (29), Edgardo Rivera-Rivera (30), Noel Santana-Pizarro (31), Jorge Felix Gonzalez-Gonzalez (32), Israel O. Santiago-Gonzalez (33), Sherly Ann Diaz-Santiago (34), Awilda Suarez-Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Omni O. Melendez-Andino (40), Jose D. Pagan-Hiraldo (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrech-Rodriguez (44), Jesus Torrech-Rodriguez (45), George Noguerras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Colon-Girona (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Jouffred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74). Responses due by 12/15/2021. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Hernandez-Vega, Jenifer) (Entered: 12/08/2021)

		Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Ommi O. Melendez-Andino (40), Jose D. Pagan-Hiraldo (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrech-Rodriguez (44), Jesus Torrech-Rodriguez (45), George Noguerras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Colon-Girona (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Joufred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74). Responses due by 12/22/2021. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Colon-Garcia, Yanira) (Entered: 12/08/2021)
12/09/2021	3410	ORDER granting <u>3409</u> Motion to Withdraw as Attorney Yanira I. Colon-Garcia withdrawn from case as to all defendants. Signed by Judge Daniel R. Dominguez on 12/09/2021. (amr) (Entered: 12/09/2021)
12/09/2021	3411	ORDER granting <u>3409</u> Motion to Withdraw as Attorney Yanira I. Colon-Garcia withdrawn from case as to all defendants. Signed by Judge Daniel R. Dominguez on 12/09/2021. (amr) (Entered: 12/09/2021)
12/09/2021	3412	ORDER granting <u>3409</u> Motion to Withdraw as Attorney Yanira I. Colon-Garcia withdrawn from case as to all defendants. Signed by Judge Daniel R. Dominguez on 12/09/2021. (amr) (Entered: 12/09/2021)
05/25/2022	<u>3548</u>	MOTION to Withdraw as Attorney by Juan C. Reyes. by USA as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Anibal Del-Valle-Hiraldo (3), Luis Gomez-Avila (4), Luis Clemente-Cruz (5), Jonathan Roman-Carrasquillo (6), Nicky Figueroa-Quiles (7), Carlos Garcia-Carrasquillo (8), Alejandro Vargas-Lopez (9), Jayson Encarnacion-Ayala (10), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Xiomara Rivera-Velazquez (14), Luis A. Casanova-Rivera (15), Ramon L. Martinez-Fonseca (16), Luis J. Cruz-Huertas (17), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Christian R. Rivera-Laracuente (20), Emanuel Rivera-Carmona (21), Carlos A. Romero-Ortiz (22), Dennys Marrero-Romero (23), Jonathan Claudio-Parrilla (24), Zeus Cordero-Alvarez (25), Francisco Carmona-Ocasio (26), Jose Sanchez-Ramos (27), Richard Colon-Liboy (28), Jorge L. Bermudez-Rivera (29), Edgardo Rivera-Rivera (30), Noel Santana-Pizarro (31), Jorge Felix Gonzalez-Gonzalez (32), Israel O. Santiago-Gonzalez (33), Sherly Ann Diaz-Santiago (34), Awilda Suarez-Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Ommi O. Melendez-Andino (40), Jose D. Pagan-Hiraldo (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrech-Rodriguez (44), Jesus Torrech-Rodriguez (45), George Noguerras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Colon-Girona (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Joufred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74).

		Responses due by 6/8/2022. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Reyes-Ramos, Juan) (Entered: 05/25/2022)
05/26/2022	3550	ORDER granting <u>3548</u> Motion to Withdraw as Attorney Juan C. Reyes as to all defendants (1-74). Signed by Judge Daniel R. Dominguez on 05/26/2022. (amr) (Entered: 05/26/2022)
10/14/2022	<u>3602</u>	***FILED IN ERROR. Counsel filed using another counsel's login/password. To be re-filed.*** MOTION to Withdraw as Attorney by Jose A. Contreras. by USA as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Anibal Del-Valle-Hiraldo (3), Luis Gomez-Avila (4), Luis Clemente-Cruz (5), Jonathan Roman-Carrasquillo (6), Nicky Figueroa-Quiles (7), Carlos Garcia-Carrasquillo (8), Alejandro Vargas-Lopez (9), Jayson Encarnacion-Ayala (10), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Xiomara Rivera-Velazquez (14), Luis A. Casanova-Rivera (15), Ramon L. Martinez-Fonseca (16), Luis J. Cruz-Huertas (17), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Christian R. Rivera-Laracuente (20), Emanuel Rivera-Carmona (21), Carlos A. Romero-Ortiz (22), Dennys Marrero-Romero (23), Jonathan Claudio-Parrilla (24), Zeus Cordero-Alvarez (25), Francisco Carmona-Ocasio (26), Jose Sanchez-Ramos (27), Richard Colon-Liboy (28), Jorge L. Bermudez-Rivera (29), Edgardo Rivera-Rivera (30), Noel Santana-Pizarro (31), Jorge Felix Gonzalez-Gonzalez (32), Israel O. Santiago-Gonzalez (33), Sherly Ann Diaz-Santiago (34), Awilda Suarez-Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Ommi O. Melendez-Andino (40), Jose D. Pagan-Hiraldo (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrech-Rodriguez (44), Jesus Torrech-Rodriguez (45), George Nogueras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Colon-Girona (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Jofred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74). Responses due by 10/28/2022. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Perez-Bouret, Max) Modified on 10/14/2022 (gav). (Entered: 10/14/2022)
10/14/2022		NOTICE of Docket Text Modification by Deputy Clerk re: <u>3602</u> MOTION to Withdraw as Attorney by Jose A. Contreras. ***FILED IN ERROR. Counsel filed using another counsel's login/password. To be re-filed.*** (gav) (Entered: 10/14/2022)
11/17/2022	<u>3612</u>	MOTION to Withdraw as Attorney by Jose A. Contreras. by USA as to David Oppenheimer-Torres (1), Jason Smith-Rodriguez (2), Anibal Del-Valle-Hiraldo (3), Luis Gomez-Avila (4), Luis Clemente-Cruz (5), Jonathan Roman-Carrasquillo (6), Nicky Figueroa-Quiles (7), Carlos Garcia-Carrasquillo (8), Alejandro Vargas-Lopez (9), Jayson Encarnacion-Ayala (10), Miguel Andino-Garcia (11), Abraham Walker-Couvertier (12), Zuleyka Cordero-Vega (13), Xiomara Rivera-Velazquez (14), Luis A. Casanova-Rivera (15), Ramon L. Martinez-Fonseca (16), Luis J. Cruz-Huertas (17), Giancarlo Santiago-Lopez (18), Carlos Perez-Ayuso (19), Christian R. Rivera-Laracuente (20), Emanuel Rivera-Carmona (21), Carlos A. Romero-Ortiz (22), Dennys Marrero-Romero (23), Jonathan Claudio-Parrilla (24), Zeus Cordero-Alvarez (25), Francisco Carmona-Ocasio (26), Jose Sanchez-Ramos (27), Richard Colon-Liboy (28), Jorge L. Bermudez-Rivera (29), Edgardo Rivera-Rivera (30), Noel Santana-Pizarro (31), Jorge Felix Gonzalez

		Gonzalez (32), Israel O. Santiago-Gonzalez (33), Sherly Ann Diaz-Santiago (34), Awilda Suarez-Carmona (35), Angel Brionni-Febres (36), Jesus Montanez-Ramos (37), Ramon Suarez-Carmona (38), Mitchell Falu-Montes (39), Ommi O. Melendez-Andino (40), Jose D. Pagan-Hiraldo (41), Frankie Couvertier-Ocasio (42), Edgardo Davila (43), Juan C. Torrech-Rodriguez (44), Jesus Torrech-Rodriguez (45), George Noguerras-Campos (46), Christopher Padro-Rosario (47), Jose D. Gonzalez-Carmona (48), Misael Diaz-Frebes (49), Antonio Garcia-Caribe (50), Hiam J. Fontanez-Caraballo (51), Carlos Colon-Girona (52), Grimaldy Morales-Castro (53), Dean Lugo-Diaz (54), Raymar Bultron-Hernandez (55), Miguel A. Betancourt-Cruz (56), Jofred Garay-Nunez (57), Daniel Torres-Guzman (58), Griselle Ferrer-Rivera (59), Marck Diaz-Figueroa (60), Jonathan Nunez-Colon (61), Christopher Rivera-Ayala (62), Eduardo Velez-Montalvo (63), Victor E. Bonano-Kerkado (64), Jorge Montanez-Ramos (65), Edwin Pastrana-Nieves (66), Luis Andino-Fargas (67), Rafael Sepulveda-Santiago (68), William Torrench-Villanueva (69), Raul Calo-Massas (70), Roberto Aponte-Arriaga (71), Jose C. Iglesias-Encarnacion (72), Alberto Isales-Roman (73), Angel L. Bon-Rivera (74). Responses due by 12/1/2022. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Contreras, Jose) (Entered: 11/17/2022)
11/21/2022	3614	ORDER granting <u>3612</u> Motion to Withdraw as Attorney Jose A. Contreras withdrawn from case. as to all defendants (1-74). Signed by Judge Daniel R. Dominguez on 11/21/2022. (amr) (Entered: 11/21/2022)
01/03/2023	<u>3619</u>	MOTION to Withdraw as Attorney by Jeanette M. Collazo. by USA as to All Defendants. Responses due by 1/17/2023. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Collazo-Ortiz, Jeanette) Modified on 1/4/2023 to remove event (gav). Modified docket text "as to all defendants" on 1/4/2023 (rim). (Entered: 01/03/2023)
01/04/2023	3620	ORDER granting <u>3619</u> Motion to Withdraw as Attorney Jeanette M. Collazo-Ortiz withdrawn from case as to all defendants (1-69). Signed by Judge Daniel R. Dominguez on 01/04/2023. (amr) (Entered: 01/04/2023)
01/11/2023	<u>3628</u>	MOTION to Withdraw as Attorney by AUSA Pedro R. Casablanca. by USA as to all defendants. Responses due by 1/25/2023. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Casablanca-Sagardia, Pedro) Modified on 1/12/2023 to remove list of names. (mg). (Entered: 01/11/2023)
01/11/2023	3629	ORDER granting <u>3628</u> Motion to Withdraw as Attorney Pedro R. Casablanca-Sagardia withdrawn from case as to all Defendants (1 - 74). Signed by Judge Daniel R. Dominguez on 01/11/2023. (amr) (Entered: 01/11/2023)
01/17/2023	<u>3632</u>	MOTION to Withdraw as Attorney by Jose A. Contreras. by USA as to al defendants. Responses due by 1/31/2023. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Contreras, Jose) Modified on 1/18/2023 to remove list of names. (mg). (Entered: 01/17/2023)
01/17/2023	3633	ORDER granting <u>3632</u> Motion to Withdraw as Attorney Jose A. Contreras as to all defendants (1-74). Signed by Judge Daniel R. Dominguez on 01/17/2023. (amr) (Entered: 01/17/2023)
03/21/2023	<u>3642</u>	MOTION to Withdraw as Attorney by Max Perez-Bouret. by USA as to all defendants. Responses due by 4/4/2023. NOTE: Pursuant to FRCP 6(a) an additional three days does not apply to service done electronically. (Perez-Bouret, Max) Modified on 3/22/2023 to remove list of names. (mg). (Entered: 03/21/2023)
03/23/2023	3643	ORDER granting <u>3642</u> Motion to Withdraw as Attorney Max J. Perez-Bouret withdrawn from case as to all defendants (1-74). Signed by Judge Daniel R. Dominguez on

03/23/2023. (amr) (Entered: 03/23/2023)

PACER Service Center			
Transaction Receipt			
08/21/2023 14:30:39			
PACER Login:	bdjones2360	Client Code:	
Description:	Docket Report	Search Criteria:	3:12-cr-00414-DRD
Billable Pages:	19	Cost:	1.90

UNITED STATES DISTRICT COURT

JUDICIAL DISTRICT OF PUERTO RICO

APR 14 2023

UNITED STATES OF AMERICA

v.

NICKY FIGUEROA-QUILES

JUDGMENT IN A CRIMINAL CASE

Case Number: 3:12-CR-414-007 (DRD)

USM Number: 62713-018

Edwin Prado-Garlarza, Esq. Defendant's Attorney

RECEIVED 2023 APR 18 PM 1:28 FLORIDA AIRING CONTROL COMMISSION

THE DEFENDANT:

[x] pleaded guilty to count(s) One (1) of Indictment.

[] pleaded nolo contendere to count(s) which was accepted by the court.

[] was found guilty on count(s) after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

Table with 4 columns: Title & Section, Nature of Offense, Offense Ended, Count. Row 1: 21 U.S.C. § 841(a)(1) and 860; Conspiracy to Possess with Intent to Distribute 700 kilograms but less than 1,000 kilograms of marijuana within a protected location; 05/24/2012; 1

The defendant is sentenced as provided in pages 2 through 6 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

[] The defendant has been found not guilty on count(s)

[x] Count(s) remaining [] is [x] are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid.

August 10, 2016

Date of Imposition of Judgment

S/ Daniel R. Dominguez

Signature of Judge

Daniel R. Dominguez

Senior, U.S. District Judge

Name and Title of Judge

August 10, 2016

Date

EXHIBIT # 2 PAGE # 26

DEFENDANT: NICKY FIGUEROA-QUILES
CASE NUMBER: 3:12-CR-414-007 (DRD)

SUPERVISED RELEASE

RECEIVED
APR 18 PM 1:28
FLORIDA GAMING
CONTROL COMMISSION

Upon release from imprisonment, the defendant shall be on supervised release for a term of:

EIGHT (8) YEARS.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.

- The above drug testing condition is suspended, based on the court's determination that the defendant poses a low risk of future substance abuse. *(Check, if applicable.)*
- The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. *(Check, if applicable.)*
- The defendant shall cooperate in the collection of DNA as directed by the probation officer. *(Check, if applicable.)*
- The defendant shall comply with the requirements of the Sex Offender Registration and Notification Act (42 U.S.C. § 16901, et seq.) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in which he or she resides, works, is a student, or was convicted of a qualifying offense. *(Check, if applicable.)*
- The defendant shall participate in an approved program for domestic violence. *(Check, if applicable.)*

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page.

STANDARD CONDITIONS OF SUPERVISION

- 1) the defendant shall not leave the judicial district without the permission of the court or probation officer;
- 2) the defendant shall report to the probation officer and shall submit a truthful and complete written report within the first five days of each month;
- 3) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 4) the defendant shall support his or her dependents and meet other family responsibilities;
- 5) the defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;
- 6) the defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
- 7) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
- 8) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 9) the defendant shall not associate with any persons engaged in criminal activity and shall not associate with any person convicted of a felony, unless granted permission to do so by the probation officer;
- 10) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view of the probation officer;
- 11) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 12) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court; and
- 13) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

**EXHIBIT #2
PAGE #27**

DEFENDANT: NICKY FIGUEROA-QUILES
CASE NUMBER: 3:12-CR-414-007 (DRD)

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	<u>Assessment</u>		<u>Fine</u>		<u>Restitution</u>
TOTALS	\$ 100.00		\$ 0.00		\$ 0.00

- The determination of restitution is deferred until _____. An Amended Judgment in a Criminal Case (AO 245C) will be entered after such determination.
- The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

Name of Payee	Total Loss*	Restitution Ordered	Priority or Percentage

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 FLORIDA GAMING
 CONTROL COMMISSION

TOTALS	\$	0.00	\$	0.00
--------	----	------	----	------

- Restitution amount ordered pursuant to plea agreement \$ 0.00
- The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).
- The court determined that the defendant does not have the ability to pay interest and it is ordered that:
 - the interest requirement is waived for the fine restitution.
 - the interest requirement for the fine restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

EXHIBIT # 2
PAGE # 28



Doing The Most Good

Date: March 25, 2023

*William Booth, Founder
Brian Peddle, General
Commissioner Willis Howell, Territorial
Commander
Lt. Colonel Kenneth Luyk, Divisional
Commander
Major James Hall, Area Commander
Major Leisa Hall, Area Commander
Patricia "Trish" Mikulan PhD, RRC Director*

To: Whom it may concern
From: The Salvation Army Corrections Department
Re: Inmate Verification

APR 14 2023

Figueroa-Quiles Nicky. Register Number: 62713-018 is with the Salvation Army Residential Re-Entry Program located at 1577 N. Military Trail, West Palm Beach, FL 33409. He was referred to The Salvation Army Re-Entry Corrections Center by order of the Federal Bureau of Prisons on 11/15/2022.

The following is a description of said resident:

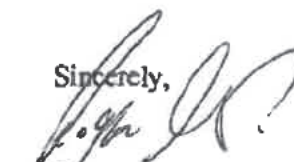
Race: Hispanic/ Sex: Male. Height. 5' 7" Weight: 164 lbs Eyes: Black

Hair: Black DOB: [REDACTED] Social Security Number [REDACTED]

He has been incarcerated since . 08/10/2016

All of the above information has been extracted from documents and telecommunications received from the Federal Bureau of Prisons. Any assistance that you can provide for him would be greatly appreciated. Should you have any questions, please contact our office at (561) 689-1212. I thank you in advance for your assistance and cooperation.

Sincerely,


Roger Garth
Federal Case Manager

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CONTROL COMMISSION

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The Salvation Army Residential Re-Entry Center 1577 North Military Trail West Palm Beach, FL 33409

p: 561.689.1212 f: 561.689.7182

Local Website: www.salvationarmypalmbeachcounty.org

Face Book: www.facebook.com/salarmypbco

EXHIBIT #2
PAGE #29

BP-40714
APR 10

U.S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF PRISONS

NOTICE OF RELEASE AND ARRIVAL

Inmate Name FIGUEROA-QUILES, NICKY	Reg No. : 62713-018 FBI No. : 383824VB4 (Misc No.)	Institution/Address THE SALVATION ARMY 1577 NORTH MILITARY TRAIL WEST PALM BEACH, FL 33409
Release Date 01/07/2024	Release Method GOOD CONDUCT TIME RELEASE	
Public Law Days 2	Supervision to follow release: (If yes, advise inmate of Obligation to Report for Supervision) <input checked="" type="checkbox"/> Yes (8 Years 0 Months) <input type="checkbox"/> No	

RELEASED TO: (Check one)

<input type="checkbox"/> Community	<input type="checkbox"/> Detainee
Transportation arranged to : _____ (City and State)	Detaining Agency : _____
Method of transportation : _____ (Name of common carrier or other)	Agency Address : _____
Date of expected arrival at residence : _____	

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FEDERAL BUREAU OF PRISONS
CENTRAL INSTITUTION

SUPERVISION JURISDICTION(S)

Sentencing District Chief Director : LUIS O. ENCARNACION CANALES Supervision Agency : UNITED STATES PROBATION OFFICE District : PUERTO RICO Address : 150 CARLOS CHARDON AVENUE, ROOM SAN JUAN, PR 00918 Phone : (787) 766-5596	District of Residence (for relocation cases) Chief Director : RUIZ, RISELDA R. Supervision Agency : UNITED STATES PROBATION OFFICE District : SOUTHERN DISTRICT OF FLORIDA Address : 400 NORTH MIAMI AVENUE, 9TH FL MIAMI, FL 33128 Phone : (305) 523-5300
Address of proposed residence : _____	

DNA STATUS

DNA sample required: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	If YES date sample taken 07/26/2015	DNA Number GUR08629
---	---	-------------------------------

Obligation to Report for Supervision: If you were sentenced to, or otherwise required to serve, a term of supervision this term begins immediately upon your discharge from imprisonment, and you are directed to report for supervision within 72 hours. If you are released from a detaining authority, you shall report for supervision within 72 hours after your release by the detaining authority. If you can not report for supervision in the district of your approved residence within 72 hours, you must report to the nearest U.S. Probation Office for instruction. Failure to obey the reporting requirements described above will constitute a violation of release conditions.

Inmate's Signature (file copy only)

Distribution: Inmate Central File (Section 5), Inmate, Chief Supervision Officer in Sentencing District, Chief Supervision Officer in District of Residence, and U.S. Parole Commission (if applicable)

PDF

Prescribed by P5806

This form replaces BP-3714 dtd FEB 02

EXHIBIT # 2
PAGE # 30

BP-A0291
FORM 12

FURLOUGH APPLICATION - APPROVAL AND RECORD FORM

Sequence 427954

U.S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF PRISONS

Inmate's Name FIGUEROA-QUILES, NICKY	Register No. 62713-018	Institution (address and phone number): YAZOO CITY FCI I P.O. BOX 3666 YAZOO CITY, MS 39194 (662)751-4800
---	---------------------------	---

APR 14 2023

APPLICATION

Purpose of Visit TRANSFER TO RRC	Sentry Assignment FURL TRANS	Date/Time of Departure 03/14/2023 09:00	Date/Time of Return N/A
-------------------------------------	---------------------------------	--	----------------------------

Furlough Address (include name of responsible party if applicable):
THE SALVATION ARMY, 1577 NORTH MILITARY TRAIL, WEST PALM BEACH, FL 33409

Telephone No. (Including Area Code): 561-689-1212

Point of Contact for Emergency YAZOO CITY FCI	Method of Transportation TOWN DRIVER/DELTA AIRLINES INC./TAXI	Detainer/Pending Charges Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Verified by (CSM Staff) Pickens, Ruthie S.
--	--	---	---

NOTE TO APPLICANT: You are reminded that should any unusual circumstances arise during the period of your visit, you should notify the institution immediately at telephone: (662)751-4800

UNDERSTANDING

I understand that if approved, I am authorized to be only in the area of the destination shown above and at ordinary stopovers or points on a direct route to or from that destination. I understand that my furlough only extends the limits of my confinement and that I remain in the custody of the Attorney General of the United States. If I fail to remain within the extended limits of this confinement, it shall be deemed as escape from the custody of the Attorney General, punishable as provided in Section 751 of Title 18, United States Code. I understand that I may be thoroughly searched upon my return to the institution and that I will be held responsible for any item of contraband or illicit material that is found. I have read or had read to me, and I understand that the foregoing conditions govern my furlough, and will abide by them. I have read or had read to me, and I understand the CONDITIONS OF FURLOUGH as set forth on the reverse of this form.

See Signature Page, BP-A0291IS

Sec Signature Page, BP-A0291IS	FIGUEROA-QUILES, NICKY
Witness	Signature of Applicant
CASE MANAGER	03/09/2023
Title	Date Signed

ADMINISTRATIVE ACTION

Information Verified by BURCH, KATINA	Title UNIT MANAGER
Name of USPO Notified RUIZ, RIBELDA R.	Date of Notification 03/09/2023
Does USPO Have Any Objections to Furlough? (If so, explain) N/A	

APPROVAL

Approval for the above named Inmate to leave the Institution on a furlough as outlined is hereby granted in accordance with P.L. 93-209 and the BOP Furlough Program Statement. The period of furlough is from 03/14/2023 09:00 to 03/14/2023 20:30	As CMC, I have reviewed the Request for Activity Clearance (404) and the SENTRY CIM Clearance and Separate Data and I recommend the inmate be approved to participate in this furlough. <input type="checkbox"/> Yes <input type="checkbox"/> No Signature of CMC Singleton, LISA A.
---	--

Chief Executive Officer (Name & Date) - Approval and signature certifies CIMS Clearance

Approval Disapproval Harrison, Charles, Warden, 03/10/2023
Reason(s) for disapproval:

RECORD

Date/Time Released: 03/14/2023 09:00	Date/Time Returned: N/A
--------------------------------------	-------------------------

Travel Schedule:

DPT FCC Yazoo City on 03/14/2023, at 9:00 a.m., via town driver, en route to the Jackson Madgar Wiley-Evers International Airport. DPT Jackson, MS at 12:22 p.m., via Delta Airlines Inc. Flight 2066. ARV in Atlanta, GA at 2:45 p.m., and DPT at 4:54 p.m., via Delta Airlines Inc. Flight 866. ARV in West Palm Beach, FL at 6:40 p.m., and continue on via taxi to The Salvation Army, 1577 North Military Trail, West Palm Beach, FL 33409, to ARV MLT 8:30 p.m., on 03/14/2023. Inmate is required to wear the provided PPE while on furlough.

EXHIBIT # 2
PAGE # 31

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COMMISSION



**Inmate's Photo
Conditions of Furlough**

- (a) An inmate who violates the conditions of a furlough may be considered an escapee under 18 U.S.C. § 4042 or 18 U.S.C. § 751, and may be subject to criminal prosecution and institution disciplinary action.
- (b) A furlough will only be approved if an inmate agrees to the following conditions and understands that, while on furlough, he/she:
- (1) Remains in the legal custody of the U.S. Attorney General, in service of a term of imprisonment;
 - (2) Is subject to prosecution for escape if he/she fails to return to the institution at the designated time;
 - (3) Is subject to institution disciplinary action, arrest, and criminal prosecution for violating any conditions(s) of the furlough;
 - (4) May be thoroughly searched and given a urinalysis, breathalyzer, and other comparable test, during the furlough or upon return to the institution, and must pre-authorize the cost of such test(s) if the inmate or family members are paying the other costs of the furlough. The inmate must pre-authorize all testing fee(s) to be withdrawn directly from his/her inmate deposit fund account;
 - (5) Must contact the institution (or United States Probation Officer) in the event of arrest, or any other serious difficulty or illness; and
 - (6) Must comply with any other special instructions given by the institution.

Special Instructions:

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2023 APR 18 PM 1:29
FLORIDA GAMING
CONTROL COMMISSION

It has been determined that consumption of poppy seeds may cause a positive drug test which may result in disciplinary action. As a condition of my participation in community programs, I will not consume any poppy seeds or items containing poppy seeds. (Note: Additional conditions may be added to Special Instructions as warranted).

- (c) While on furlough, the inmate must not:
- (1) Violate the laws of any jurisdiction (federal, state, or local);
 - (2) Leave the area of his/her furlough without permission, except for traveling to the furlough destination, and returning to the institution;
 - (3) Purchase, sell, possess, use, consume, or administer any narcotic drugs, marijuana, alcohol, or intoxicants in any form, or frequent any place where such articles are unlawfully sold, dispensed, used, or given away;
 - (4) Use medication that is not prescribed and given to the inmate by the institution medical department or a licensed physician;
 - (5) Have any medical/dental/surgical/psychiatric treatment without staff's written permission, unless there is an emergency. Upon return to the institution, the inmate must notify institution staff if he/she received any prescribed medication or treatment in the community for an emergency;
 - (6) Possess any firearm or other dangerous weapon;
 - (7) Get married, sign any legal papers, contracts, loan applications, or conduct any business without staff's written permission;
 - (8) Associate with persons having a criminal record or with persons who the inmate knows to be engaged in illegal activities without staff's written permission;
 - (9) Drive a motor vehicle without staff's written permission, which can only be obtained if the inmate has proof of a currently valid drivers license and proof of appropriate insurance; or
 - (10) Return from furlough with anything the inmate did not take out with him/her (for example, clothing, jewelry, or books).

I have read, or had read to me, and I understand the above conditions concerning my furlough and agree to abide by them.

Inmate's Signature: Richard Wicks, WICKS Reg. No.: 62713-018 Date: 03/09/2023
Signature/Printed Name of Staff Witness: See Signature Page, DF-A029/15

Record Copy - Inmate Central File; Copy - Control Center, Chief Correctional Services Supervisor, Correctional Systems Department, Inmate Use on Furlough

EXHIBIT # 2
PAGE # 32

Conditions of Furlough - Inmate's Copy

1. I will not violate the laws of any jurisdiction (federal, state, or local). I understand that I am subject to prosecution for escape if I fail to return to the institution at the designated time.
2. I will not leave the area of my furlough without permission, with exception of traveling to the furlough destination, and returning to the institution.
3. While on furlough status, I understand that I remain in the custody of the U.S. Attorney General. I agree to conduct myself in a manner not to bring discredit to myself or to the Bureau of Prisons. I understand that I am subject to arrest and/or institution disciplinary action for violating any condition(s) of my furlough:
4. I will not purchase, possess, use, consume, or administer any narcotic drugs, marijuana, intoxicants in any form, nor will I frequent any place where such articles are unlawfully sold, dispensed, used, or given away.
5. I will not use any medication that is not prescribed and given to me by the institution medical department for use or prescribed by a licensed physician while I am on furlough. I will not have any medical/dental/surgical/psychiatric treatment without the written permission of staff, except where an emergency arises and necessitates such treatment. I will notify institution staff of any prescribed medication or treatment received in the community upon my return to the institution.
6. I will not have in my possession any firearm or dangerous weapon.
7. I will not get married, sign any legal papers, contracts, loan applications, or conduct any business without the written permission of staff.
8. I will not associate with persons having a criminal record or with those persons who I know are engaged in illegal occupations.
9. I agree to contact the institution (or United States Probation Officer) in the event of arrest, or any other serious difficulty or illness.
10. I will not drive a motor vehicle without the written permission of staff. I understand that I must have a valid driver's license and sufficient insurance to meet any applicable financial responsibility laws.
11. I will not return from furlough with any article I did not take out with me (for example, clothing, jewelry, or books). I understand that I may be thoroughly searched and given a urinalysis and/or breathalyzer and/or other comparable tests upon my return to the institution. I understand that I will be held accountable for the results of the search and test(s).
12. It has been determined that consumption of poppy seeds may cause a positive drug test which may result in disciplinary action. As a condition of my participation in community programs, I will not consume any poppy seeds or items containing poppy seeds.
13. Special Instructions:

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 CONTROL COMMISSION

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Replaces BP-291 of SEPT 1999

FILE IN SECTION 5 UNLESS APPROPRIATE FOR PRIVACY FOLDER

SECTION 5

EXHIBIT # 2
 PAGE # 33

Spanish: Conditions of Furlough Template Copy

This is a translation of an English-language document provided as a courtesy to those not fluent in English. If differences or any misunderstandings occur, the document of record shall be the related English-language document.

Esta es una traducción de un documento escrito en inglés, distribuido como una cortesía a las personas que no puedan leer inglés. Si resulta alguna diferencia o algún malentendido con esta traducción, el único documento reconocido será la versión en inglés.

Condiciones de Permiso de Salida Temporera - Copia del Reo

1. No violaré leyes de ninguna jurisdicción (federal, estatal, o local). Entiendo que estoy sujeto al juicio por fuga si no vuelvo a la institución en la fecha designada.
2. No dejaré el área designada por mi permiso de salida temporera sin autorización, con excepción al viaje hacia el área designada por el permiso de salida temporera, y el regreso a la institución.
3. Mientras esté en estado de permiso de salida temporera, entiendo que permanezco en la custodia del General de Fiscal de EE.UU.. Acuerdo a conducirme en una manera que no desacredite a mi persona ni a la Agencia Federal de Prisiones. Entiendo que estoy sujeto a arresto y/o acción disciplinaria de la institución por violación de cualquier condición de mi permiso de salida temporera.
4. No compraré, posearé, usaré, consumiré, o administraré ninguna droga narcótica, marihuana, estupefacientes en cualquier forma, ni tampoco frecuentaré cualquier lugar donde tales artículos son ilegalmente vendidos, dispensados, usados, o regalados.
5. No usaré ninguna medicación que no sea recetada y dada por el departamento médico de la institución para mi uso o recetada por un médico autorizado mientras estoy bajo permiso de salida temporera. No tendré ningún tratamiento médico/dental/quirúrgico/psiquiátrico sin el permiso escrito del personal, excepto en caso de emergencia que requiera tal tratamiento. Notificaré al personal de la institución sobre cualquier medicación recetada o tratamiento recibido en la comunidad al regresar a la institución.
6. No tendré en mi posesión ninguna arma de fuego o arma peligrosa.
7. No contraeré matrimonio, ni firmaré cualquier papel legal, contratos, solicitudes de préstamo o conduciré cualquier negocio sin el permiso escrito del personal.
8. No me asociaré con personas con antecedentes criminales o con aquellas personas quienes conozco estar envueltos en ocupaciones ilegales.
9. Acuerdo ponerme en contacto con la institución (u Oficial de la Oficina Federal de Libertad Supervisada) en caso de arresto, o cualquier otra dificultad seria o enfermedad.
10. No conduciré un automóvil sin el permiso escrito del personal. Entiendo que debo tener una licencia de conducir válida y suficiente seguro automovilístico para satisfacer cualquier ley de responsabilidad financiera aplicable.
11. No volveré de salida temporera con ningún artículo con el cual no haya salido (por ejemplo; ropa), joyas, o libros). Entiendo que puedo ser registrado a fondo y administrado un análisis de orina y/o alcohómetro y/u otras pruebas comparables al regresar a la institución. Entiendo que seré responsable por los resultados del registro y prueba(s).
12. Ha sido determinado que el consumo de semillas de anapola puede causar un resultado positivo en una prueba de drogas, lo cual puede resultar en acción disciplinaria. Como condición de mi participación en programas comunitarios, no consumiré ninguna semilla de anapola o artículos que contengan semillas de anapola.
13. Instrucciones Especiales:

Yo he leído, o se me leyeron, y entiendo las condiciones anteriormente dichas acerca de mi permiso de salida temporera y acuerdo a cumplir con ellas.

Firma del Reo:

Número de Registro:

Fecha:

Firma / Nombre Impreso de Testigo del Personal:

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 APR 18 1983
 FLORIDA GAMING
 CONTROL COMMISSION

FILE IN SECTION 5 UNLESS APPROPRIATE FOR PRIVACY FOLDER

SECTION 5

EXHIBIT # 3
 PAGE # 34

BP-AD189
JUNE 10

RELEASE & GRATUITY INFORMATION CDFRM

U.S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF PRISONS

To: COMMISSARY	Institution YAZOO CITY FCI I
From: Case Manager	Date: 03/09/2023

Please enter personal account information and return to Release Clerk/Unit Secy:

Register Number 62713-016	Name FIGUEROA-GUILLES, WICKY	Funds in Personal Account	\$1875.00
Release Date 03/14/2023	Method FURL TRANS TO RRC		
Unit ALPHA 1	Work Detail COMPWD PH	Anticipated Earnings Prior to Release	
<p>DPT FCC Yazoo City on 03/14/2023, at 9:00 a.m., via town driver, en route to the Jackson Wedgar Wiley-Evers International Airport. DPT Jackson, MS at 12:22 p.m., via Delta Airlines, Inc. Flight 2068. ARV in Atlanta, GA at 2:45 p.m., and DPT at 4:54 p.m., via Delta Airlines Inc. Flight 856. ARV in West Palm Beach, FL at 6:40 p.m., and continue on via taxi to The Salvation Army, 1577 North Military Trail, West Palm Beach, FL 33409, to ARV NLT 8:30 p.m., on 03/14/2023. Inmate is required to wear the provided PPE while on furlough.</p>		Anticipated Spending Prior to Release	
		U.S. Savings Bonds	
		Received last 6 months	\$1334.80
		Spent last 6 months	\$1425.00
		Excess Funds to be Disposed	
		Commissary Clerk: /s/	

CASE MANAGER WILL COMPLETE THE FOLLOWING:

To: COMMISSARY
From: Case Manager
Date: 03/09/2023

1. You are authorized to pay cash gratuity in the amount of:	\$0.00
2. If detainer is removed, subject will be given:	N/A
3. Dispose of excess funds as follows:	
a. Obtain check for delivery to inmate up release in amount of:	
b. Mail check in the amount of : _____	
THE SALVATION ARMY 1577 NORTH MILITARY TRAIL, WEST PALM BEACH, FL 33409	

4. Release Destination: 1577 NORTH MILITARY TRAIL, WEST PALM BEACH, FL 33409

5. Transportation:
PLANE TICKET: \$238.20
TAXI: \$30.00
MEALS: \$30.00

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 2023 APR 18 PM 1:29
 F. OUIDA GARRING
 CONTROL COMMISSION

Government Expense Private

/s/ Burch, Katina
Case Manager

Approved By: Singleton, Lisa A. /s/

Record Copy - Commissary; Copy - Case Manager; Copy - Commissary; Copy - Release File
 This form replaces BP-189(51) dated July 1977
 FILE IN SECTION 5 UNLESS APPROPRIATE FOR PRIVACY FOLDER
 POF Prescribed by P5873

SECTION 5

EXHIBIT # 2
PAGE # 35

**IN THE CIRCUIT COURT OF THE THIRTEENTH JUDICIAL CIRCUIT
OF THE STATE OF FLORIDA, IN AND FOR HILLSBOROUGH COUNTY
CRIMINAL DIVISION**

CLERK'S CERTIFICATE OF DISPOSITION

DEFENDANT'S NAME: ARBERTO ROBLES
DATE OF BIRTH: [REDACTED]
DRIVER'S LICENSE #: [REDACTED]

CASE #: 00-CF-014697-A
DIVISION: 0
JUDGE: WALTER R HEINRICH

COURT DISPOSITION

OFFENSE DATE	ARREST DATE	COUNT	CHARGE	GOC	PLEA	DISPOSITION DATE	FINDING
08/29/2000	08/29/2000	1	8931351C1C TRAFFICKING IN ILLEGAL DRUGS 28 GRMS OR MORE			12/14/2000	NT - LETTER OF RELEASE
08/29/2000	08/29/2000	2	893131A POSS. OF HEROIN W/INTENT TO SELL OR DELIVER			12/14/2000	NT - LETTER OF RELEASE
08/29/2000	08/29/2000	3	893.13(5)A IMPORTATION OF CONTROLLED SUBSTANCE			12/14/2000	NT - LETTER OF RELEASE

SENTENCE/COMMENTS: FILE DESTROYED

STATUTORY/OTHER DISPOSITION

<input type="checkbox"/>	Admitted Civil Infraction by payment of civil penalty (Florida Statute/County Ordinance/Municipal Ordinance)
<input type="checkbox"/>	Criminal Report Affidavit or the charging document is no longer available in accordance with the retention requirements as set forth in Florida Rules of Judicial Administration 2.430

This is to certify that the information listed above is true and correct.

Witness my hand and official seal on this the 2nd day of May, 2016.

PAT FRANK
CLERK OF THE CIRCUIT COURT

Signed: 5/2/2016 3:58:54 PM

By:

Donna Johnson

Donna Johnson, Deputy Clerk



**EXHIBIT # 2
PAGE # 37**

FILED

MAR 02 2015

CLERK OF CIRCUIT COURT

Officer	<u>Benitez</u>
Office Location	<u>13-5</u>
Judge Division	<u>Perry / K</u>

STATE OF FLORIDA

VS

Robles, Alberto
 Defendant

in the Circuit Court
Hillsborough County, Florida
 DC No. T36314
 Docket/UC No. 0115260

COURT ORDER TERMINATING DRUG OFFENDER PROBATION

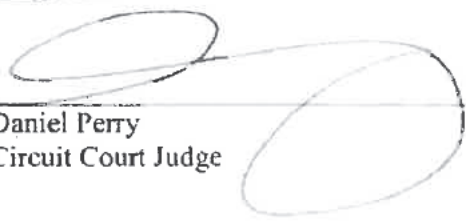
On 10/07/2003, defendant was placed on drug offender probation for a period of Four (4) Years. Having given due consideration to the court file and the argument of the parties, the court, on its own motion, has determined that defendant should be discharged from supervision by the Department of Corrections, and it is

Terminate supervision, convert costs to a Lien.

ORDERED that defendant's Drug Offender Probation be terminated, that defendant shall be hereby released from Drug Offender Probation, and that proceedings on this case be terminated pursuant to Section 948.04, Florida Statutes.

IT IS FURTHER ORDERED, that the clerk of the court file this order in the official records of the court and provide certified copies of the order to the Department of Corrections for its use in carrying out its duties as required by law.

DONE AND ORDERED ON 25th day of February, 2015.


 Daniel Perry
 Circuit Court Judge

YC 2-26-2015

DC3-258 (Revised 5-02)

Original: Clerk of Court
Copy: DC Offender File

EXHIBIT #2
PAGE # 45



Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: %nicky figueroa%
Middle Name: %%nicky figueroa%%
Last Name: %%nicky figueroa%%
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

August 2, 2023

Mr. Nicky Figueroa Quiles
1577 North Military Trail
Boynton Beach, Florida 33472

RE: Application No. 193051, Entity 13559880
1022 - PMW General Individual Occupational

Dear Mr. Figueroa Quiles:

We have received the additional information you submitted, however, we are still unable to complete the processing of your application. The item(s) checked below is either missing or requires correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Hillsborough County, Florida Arrest(s) – 10/04/2001
- San Juan, Puerto Rico Arrest(s) – 02/14/2002

You must provide the court disposition records for the following charges:

- San Juan, Puerto Rico Arrest(s) – 02/14/2002

Note: If you cannot obtain the requested court disposition records, please submit a certified statement from the Clerk of Court for the relevant jurisdiction stating the status of records or that the records are not available. All aliases must be listed, if applicable.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 08/12/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2801 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32309

EXHIBIT #
PAGE #

A handwritten signature in black ink, appearing to be the number "4" with a flourish, written over the "EXHIBIT # PAGE #" text.

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

EXHIBIT #
PAGE #

FAQ | Help | Sign Out

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

License Search	Entity Search	Modify License Standing	Maintain License CE Control
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Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jmtmays

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2023**

File # **69757** Name **FIGUEROA, CESAR JEANPIER** Extended To

License # **11263536** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **11263536** Lic Status **Lic Expired**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **9017** Street **ALLEN CIRC**

Line 2

Line 3

City **TAMPA** State **FL** Zip **33615**

Routing

Other

1st License Date **10/21/2020** Rank Date **10/21/2020** Certificate #

Method **I-S-1024** Status Date **07/01/2023** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	10/04/2020	
C	ONTR - Owner/Trainer	12/26/2020	
I	TBRD - Thoroughbred	10/04/2020	
L	320 - Tampa Bay Downs	12/26/2020	
Y	3YR - 3 Year License	10/04/2020	

Alt Keys

BEST LIC NBR **11263536**

EXHIBIT #
PAGE # 5

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EXHIBIT # 4
PAGE # 6

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

License Search | Entity Search | Modify License Standing | Maintain License CE Control

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2025**

File # **65469** Name **FIGUEROA, NICKY** Extended To

License # **11314236** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **11314236** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **2534** Street **WASHINGTON STREET**

Line 2

Line 3

City **HOLLYWOOD** State **FL** Zip **33024**

Routing

Other

1st License Date **06/07/2022** Rank Date **06/07/2022** Certificate #

Method **I-S-1024** Status Date **06/07/2022** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	JCKY - Jockey	02/10/2017	
I	TBRD - Thoroughbred	02/10/2017	
L	321 - Gulfstream Park	02/10/2017	
Y	3YR - 3 Year License	02/10/2017	

Alt Keys

BEST LIC NBR **11314236**

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EXHIBIT # 4
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[VR Home](#) | [Inbox](#) | [Entity](#) | [Application](#) | [License](#) | [Cash](#) | [Exam](#) | [Inspection](#) | [Enforcement](#) | [Report](#)

[License Search](#) | [Entity Search](#) | [Modify License Standing](#) | [Maintain License CE Control](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jminaya

VR Home > License Search > License Home

License

Fed Tax # [REDACTED] Lic Type **1021 - PMW Professional Individual Occupational** Expires On **06/30/2025**

File # **72021** Name **Figueroa, Juan Carlos** Extended To

License # **10306216** Rank **PIND - Professional Individual Occupational** Renewed On

Entity # **10306216** Lic Status **Current**

- Licensee
- History
- Notes
- Notes History
- Back

Address

Street # **105** Street **HARBOR LAKE CIRCLE**

Line 2

Line 3

City **GREENACRES** State **FL** Zip **33413**

Routing

Other

1st License Date **06/28/2022** Rank Date **06/28/2022** Certificate #

Method **I-S-1024** Status Date **06/28/2022** Certificate Date

Fee Exempt **No** Birth Date [REDACTED] Renewal Sent

Select

Action

Modifiers

Type	Modifier	Effective Date	Additional Info
C	IOWN - Individual Animal Owner	06/20/2022	
I	TBRD - Thoroughbred	06/20/2022	
L	326 - Palm Meadows	06/20/2022	
Y	3YR - 3 Year License	06/20/2022	

Alt Keys

BEST LIC NBR **10306216**

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EXHIBIT # 4
PAGE # 8

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods.
Re: Jefferson Gonzalez Lozano; Case No. 2023-051224
Date: October 19, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Jefferson Gonzalez Lozano's ("Applicant") application for a Slot Machine Professional Individual Occupational License. Applicant submitted a completed application Slot Machine General Individual Occupational License on September 12, 2023. Upon review of the application, it appears Applicant has been convicted of felony crimes in the state of Florida. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 12, 2023, Applicant submitted his application to the Division of Pari-Mutuel Wagering for a Slot Machine Professional Individual Occupational License. Upon review of the completed application, it appears the Applicant was convicted of the following crimes in the state of Florida:

- Vehicular Homicide in 1999
- Leaving the Scene of an Accident with a Death in 1999

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime

involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Staff Recommendation: Because the Applicant's disqualifying criminal conviction could not be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – Slot Machine Occupational License

RE: Gonzalez Lozano, Jefferon-11838374
(APPLICANT'S NAME– LICENSE #)

Case No: 2023051224

INITIAL APPLICATION RECEIVED:	<u>5/24/2023</u>
COMPLETE APPLICATION RECEIVED:	<u>9/12/2023</u>
90-DAY DEADLINE:	<u>12/11/2023</u>

Tori Lanier
Application Processor

285-Calder Casino
Facility (d/b/a name)

Cook
Occupation/Job Title

Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
Conviction				
<input checked="" type="checkbox"/> Felony: Count(s)				
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor convictions that involve theft, fraud, or burglary				
<input type="checkbox"/> Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
11/05/1998	Hallandale Beach, FL	Vehicular Homicide	Felony	Adjudication Withheld
11/05/1998	Hallandale Beach, FL	Leaving Scene Accident w/Death	Felony	Adjudication Withheld

Disposition Unknown				
<input type="checkbox"/> Felony Arrest(s) :				
<input type="checkbox"/> Misdemeanor Arrest – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary				
Comments:				
Arrest Date	Location	Charge	Level	Disposition

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.
Comments:

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:
Comments:	

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed? Yes No Approval

Forward to Investigations Legal Director Initials:  9/12/23

Comments:

State of Florida Vs. Gonzalez, Jefferson

Broward County Case Number: 99005867CF10A
State Reporting Number: 061999CF005867A88810
Court Type: Felony
Case Type: Felony
Filing Date: 03/31/1999
Case Status: Disposed
Court Location: Central Courthouse
Judge ID / Name: Duffy - FD, Barbara R.
Magistrate Id / Name: N/A
BCCN: 0217129

- Party(ies)

Total: 2

Party Type	Party Name	Address	Attorneys / Address ★ Denotes Lead Attorney
Defendant	Gonzalez, Jefferson Gender: Male Race: White Complexion: Medium Height: 5'9" Weight: 164 Eye: Brown Hair: Black DOB: ██████████ Birth City: Botaga	112 SW 1 Avenue Hallandale, FL 33009	★ <i>Blostain, Melinda Nell</i> Public Defender Bar ID: 90281 Broward Public Defenders Office 201 SE 6th St Fort Lauderdale, FL 33301-3303 Status: Removed 01/30/2002 <i>Della Fera, Richard Francis</i> Bar ID: 66710 500 E Broward BLVD STE 1710 Fort Lauderdale, FL 33394 Status: Removed 01/30/2002
State	State of Florida		

- Charge(s)

Total: 2

Offense Date	Charge	Degree	Detail
03/31/1999	1	(F1)	Vehicular Homicide Date Filed: 03/31/1999 Current Statute: (F1)782.071(1) Filing Type: SAO Information- Not In Custody Filing Agency: Hallandale PD Original Statute: (F1)782.071(1)-Vehicular Homicide

Offense Date	Charge	Degree	Detail
03/31/1999	2	(F1)	Leaving Scene Accident w/Death Date Filed: 03/31/1999 Current Statute: (F1)316.027(1)(b) Filing Type: SAO Information- Not In Custody Filing Agency: Hallandale PD Original Statute: (F1)316.027(1)(b)-Leaving Scene Accident w/Death

- Warrant(s)

Total: 1

Issue Date	Charge(s)	Detail	Status
03/31/1999	1, 2	Warrant# 99005867CF10A990331CNIC990331 (InActive) Type: Capias - Not In Custody Bond Amount: \$17500.00 Bond Type: Set By Judge Date Served: 05/17/1999 Hold Without Bail Bond: No	05/17/1999 Capias Served 03/31/1999 Active <i>(Comment: NIC ** NIC/JUV **)</i>

- Bond(s)

Total: 0

There is no Bond information available for this case.

- Arrest(s)

Total: 2

Arrest Date	Charge	Arrest Number	Detail
12/20/2001	1	BS01029071	Arrest Name At Arrest: Gonzales, Jefferson Offense: Vehicular Homicide Degree: (F1) Bond Amount: \$ Statute: 782.071(1) OBTS Number: 0607006931
12/20/2001	2	BS01029071	Arrest Name At Arrest: Gonzales, Jefferson Offense: Leaving Scene Accident w/Death Degree: (F1) Bond Amount: \$ Statute: 316.027(1)(b) OBTS Number: 0607006931

- Disposition(s)

Total: 2

Offense

Date Charge Disposition(s)

03/31/1999 1 Plea(s)
05/17/1999 Plea
Arraignment / Nolo Contendere

Disposition(s)
05/17/1999 Disposition
Adjudication Withheld

Sentence(s)
05/17/1999 Sentence (Judicial Officer(s): Fogan, Robert)
Comment (Docketrac Sentence Record Note: 1/30/02 Probation Reinstated)
Comment (Total Amount Imposed \$250.00)
Charge Reopen Status (01/30/2002, Closed, 1)
null
Community Control (2 Year(s) 0 Month(s) 0 Day(s), Comment: PROB/CC End Date: May 17 2004)
Driver's License Suspended (License Suspended by Court, Term: 5 Year(s) 0 Month(s) 0 Day(s))
State Probation (3 Year(s) 0 Month(s) 0 Day(s), Start Date: 05/17/1999, End Date: 05/17/2004)
Restitution (\$99,999,999.99, Due: 12/31/9999, Restitution Ordered - Reserves on Amount)
Provisions: Provisions (Community Service, Court Reserves Restitution, Drug Evaluation, No Alcohol, Probation Reinstated) Special Provisions(Defendant Sentenced Under Sentencing Guidelines, Youthful Offender)

03/31/1999 2 Plea(s)
05/17/1999 Plea
Arraignment / Nolo Contendere

Disposition(s)
05/17/1999 Disposition
Adjudication Withheld

Sentence(s)
05/17/1999 Sentence (Judicial Officer(s): Fogan, Robert)
Comment (Docketrac Sentence Record Note: 1/30/02 Probation Reinstated)
Comment (Total Amount Imposed \$50.00)
Community Control (2 Year(s) 0 Month(s) 0 Day(s), Comment: PROB/CC End Date: May 17 2004)
State Probation (3 Year(s) 0 Month(s) 0 Day(s), Start Date: 05/17/1999, End Date: 05/17/2004)
Sentence Status (Concurrent, Case#: 99-005867-CF10A, Counts: 001-001, Comment: Def#:99005867CF10A Count:001)
Provisions: Provisions (Probation Reinstated) Special Provisions(Defendant Sentenced Under Sentencing Guidelines)

- Collection(s)

Total: 2

Collection Date	Charge	Citation Number	Total Imposed Amount	Total Amount Due	Total Amount Paid	Current Amount Due	Last Payment Date	Last Payment Amount	Next Payment Date	Next Payment Amount
05/26/2009	1		\$250.00	\$257.00	\$257.00	\$0.00	05/26/2009	\$7.00	-	\$0.00
05/27/2004	2		\$50.00	\$50.00	\$50.00	\$0.00	03/05/2004	\$2.40	-	\$0.00

- Event(s) & Document(s)

Total: 26

Date	Description	Additional Text	View	Pages
05/18/2004	File Letter	Fr Doc Re Completion Of Supervison		
01/30/2002	Hrg Held / Final -Violation Of Probation	Judge Zeidwig Ct Rept Electronic		
01/28/2002	File Transcript	12-20-01		
01/09/2002	File Notice/Assistant PD Assignment	Amended		
01/02/2002	Delete From Docket	/ 1st Vop Hrg / Cr-D Whitmarsh		
11/02/2001	File Defense Notice Of Hearing	11-26-01 / Status Re: Restitution		
07/17/2001	Citation Sent To Tallahassee			
04/25/2001	File States Notice Of Hearing	4-25-01 @ 11am / For Judg Zeidwig		
12/07/2000	File Letter From Defendant	To Judge		
04/03/2000	File Notice Of Filing	Re: Fax Received On 3-29-00		
04/03/2000	File Restitution Order			
10/28/1999	Hearing Held	Status		
10/28/1999	Order	Waive Cos/trust Fund \$200. & Victim Fee \$50. Are Still Ordered/court Defers Deft Doing Comm Serv ce Hours, As Previously Ordered Until He Attains The Age Of Majority And Orders Doc Not To Violate is Probation UntilWaive Cos/trust Fund \$200. & Victim Fee \$50. Age 18, Unless The Defendant Is ble To Begin His Community Service Hours Earlier. Restitution Previously Been Ordered Shall Be Read ressed As To Amount 1-19-2000 @3:30pm		
10/25/1999	Hearing Held	Status		

Date	Description	Additional Text	View	Pages
10/25/1999	Order	Dennis Schaefer To Be Present In Crt 10-28-99 Or File Motion To Withdraw W/order From Above Case		
05/21/1999	File Order Of Probation			
05/17/1999	Delete From Docket	Cr-Electronic		
05/17/1999	Sentence Held / File Sentence	Judge Fogan / Elec Ct Rept		
05/17/1999	File Sentencing Guidelines			
05/17/1999	Broward County Supervision	Count(s):< 001 >		
05/17/1999	Capias Served	Count(s):< 001 002 >		
04/01/1999	Arraignment Held	"J"fogan For"j"gardner/rpt-Lee		
04/01/1999	Defendant Allowed 15 Days to File Motions			
04/01/1999	File Pre-Sentence Investigation Order	Dennis Schaeffer To File Appearance		
03/31/1999	File States Information -Direct File As An Adult			
03/31/1999	File State Not In Custody - Information	Count(s):< 001 002 >		

- Hearing(s) Total: 3

Date	Description	Additional Text
05/17/1999	Sentencing/Deferred PSI Ordered	Hearing Time: 10:00 AM Judicial Officer(s):Alspecter - FN, Susan L. Location: Room 4840
01/02/2002	Hearing/First Violation of Probation	Hearing Time: 8:30 AM Judicial Officer(s):Duffy - FD, Barbara R. Location: Room 4900
01/19/2000	Hearing/Hearing Set	Hearing Time: 3:30 PM Judicial Officer(s):Fogan, Robert Location: Room 4840

- Related Case(s) Total: 0

There is no related case information available for this case.

RECEIVED

2023 SEP 12 AM 9:17

COMPLAINT AFFIDAVIT

BEFORE MUST BE ANSWERED IF DEFENDANT NOT IN C

ARREST FORM

BROWARD COUNTY GAMING

Filing Agency: **Hallandale** Office No: **9837938** Local ID: [] DLE: [] FE: [] SS: []

Defendant's Last Name: **GONZALEZ** First: **Jefferson** Middle: **SUF** Alias/Street Name: [] Citizenship: **US**

Race: **W** Sex: **M** Hgt: [] Eyes: **Brn** Hair: **Blk** Wgt: [] Comp Lt: [] Age: [] DOB: [] Birthplace: [] Scars, marks, TT: []

Permanent Address: **112 SW 1 Avenue Hallandale, FL 33009** Local Address: **Same as Perm.**

Residence Type: **1** (1) City (2) County (3) Florida (4) Out of State Place of Employment: **Student** Length: []

How long defendant in Broward County: [] Breathalyzer by/CCN: [] Reading: [] Place of arrest: **112 SW 1 Ave** Date/time arrested: **11/5/98** Arresting officer(s) CCN: **WILLIAMS #6012**

Officer Injured: **Y** **N** Unit: **T1** Zone: **2** Beat: **SW** Shift: **B** Trans. Unit: [] PMD: **Y** **N** Transporting officer/CCN: [] Pick-up time: [] Time arrived at BSO: [] Drug Type: **N**

Drug: **N-NA** **B-Barbiturate** **H-Hydrogen** **P-Paraphernalia/** **U-Unknown** **Activity:** **S-Buy** **E-Use** **K-Dispense/** **Indication of:** **Y** **N** **UK**
A-Amphetamine **C-Cocaine** **M-Marjuana** **Equipment** **Z-Other** **N-NA** **T-Traffic** **M-Manufacture/** **Distribute** **Alcohol influence:**
E-Heroin **O-Opium** **S-Synthetic** **P-Possess** **D-Deliver** **Cultivate** **Z-Other** **Drug influence:**

Defendant's Vehicle Make: **Mazda** Type: **Van** Year: **92** Color: **White** VIN: **1N3LV5232N0420182**

Attach Defendant's Photo: [] Vehicle towed to: **Action** Tag # **VILL** Other identifiers or remarks: []

Name of victim(s) or corporation, exact legal name and state of incorporation: **State of Florida**

Count #	Offenses Charged	Citation # If Applicable	FS or Capes/Warrant #
1	Vehicular Homicide (3rd Degree)		782.071(1)
2	Leave Scene of Crash invol. Fatality		316.027(1B)

Probable Cause Affidavit

Before me this date personally appeared **Eric Williams** who being first duly sworn deposes and says that on **05** day of **NOV** (year) **98** at **400 S.W. 8 Avenue** (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

The deft. was positively identified as the driver of the suspect vehicle which was involved in a traffic crash with the victim. The victim was in a electric wheelchair and was crossing the intersection of S.W. 8 Ave. and S.W. 4 Ct. when he was struck by the deft. The deft. then left the scene of the crash without rendering or seeking aid for the victim who was left lying in the street. At the time of the crash, the deft. was operating the suspect vehicle without a proper license and, according to the passengers of the suspect veh., the deft. was not operating the vehicle and giving his full concentration to the safe operation of same.

I swear the above statement is correct and true to the best of my knowledge and belief. **Eric Williams #6012** 2130 OFFICER'S NAME/CCN OFFICER'S DIVISION

STATE OF Florida COUNTY OF Broward The foregoing instrument was acknowledged before me this **26** day of **March** (year) **99** who is personally known to me and who has produced (ID type) **leg** as identification and who **DID** (did or did not) take an oath. (SEAL OR STAMP IF APPLICABLE)

DEPUTY CLERK OF THE COURT, NOTARY PUBLIC, OR ASSISTANT STATE ATTORNEY **Set/ub/30** TITLE OR NAME/CCN SEVENTEENTH JUDICIAL CIRCUIT BROWARD COUNTY STATE OF FLORIDA FIRST APPEARANCE/ARREST FORM (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION) Distribution: Orig - Court 2nd - State Attorney 3rd - Filing Agency 4th - Arresting Ag

COURT COPY

RECEIVED

2023 SEP 12 AM 9:17

COMPLAINT AFFIDAVIT

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN CUSTODY

BROWARD COUNTY COMMISSION ARREST

ARREST FORM

Filing Agency	Hallandale	Offense No.	98-37938	Local ID #	FILE	DOB #	55
Defendant's Last Name	First Middle SUR GONZALEZ Jefferson			Alias/Street Name	Citizenship US		
Race	Sex	Hgt	Eyes	Hair	Wgt	Comp Lt	Age
W	M		Brn	Blk		LT	
Permanent Address	112 SW 1 Avenue Hallandale, Fl 33009			Local Address:	Same as Perm.		
Residence Type:	(1) City	(2) County	(3) Florida	(4) Out of State	Place of Employment	Length	
How long defendant in Broward County:	Breathalyzer by/CCN			Reading	Place of arrest	Date/time arrested	Arresting officer/CCN
Officer Injured	Unit	Zone	Beat	Shift	Trans. Unit	PMD	Transporting officer/CCN
Y <input type="checkbox"/> N <input type="checkbox"/> R <input type="checkbox"/>	T1	2	SW	B		Y <input type="checkbox"/> N <input type="checkbox"/>	Pick-up time:
Type:	B-Barbitate	H-Hellodyrin	P-Proprietary	U-Unknown	Acidic	Adul.	B-Drug
N-NA	C-Cocaine	M-Marjuana	E-Equipment	Z-Other	N	N-NA	T-Traffic
A-Amphetamine	E-Ethron	O-Opiam	S-Synthetic			P-Possess	A-Organic
						S-Self	C-Cannabis
							E-Use
							M-Manufacture
							K-Dispense
							D-Distribute
							L-Collect
							A-Administer
							Z-Other
							Drug Inference:
							Y <input type="checkbox"/> N <input type="checkbox"/> U <input type="checkbox"/>
							O <input type="checkbox"/> P <input type="checkbox"/> Q <input type="checkbox"/>

Defendant's vehicle Make: Mazda Type: Van Year: 92 Color: White VIN: JM3LV5232N0420182

Defendant's Photo: Action Vehicle towed to: Tag # V11111 Other Identifiers or remarks:

Name of victim(s) or corporation, exact legal name and state of incorporation:			
State of Florida			
Count #	Offenses Charged	Citation # if Applicable	FS or Capita/Warrant #
1	Vehicular Homicide (3rd Degree)		782.071(1)
2	Leave Scene of Crash Invol. Fatality		316.027(1b)

Probable Cause Affidavit

Eric Williams

Before me the date personally appeared Eric Williams on 05 day of NOV 98 at 400 S.W. 8 Avenue who being first duly sworn depose and says that on 05 day of NOV 98 at the facts showing probable cause to believe the same are as follows:

The deft. was positively identified as the driver of the suspect vehicle which was involved in a traffic crash with the victim. The victim was in a electric wheelchair and was crossing the intersection of S.W. 8 Ave. and S.W. 4 Ct. when he was struck by the deft. The deft. then left the scene of the crash without rendering or seeking aid for the victim who was left lying in the street. At the time of the crash, the deft. was operating the suspect vehicle without a proper license and, according to the passengers of the suspect veh., the deft. was not operating the vehicle and giving his full concentration to the safe operation of same.

I swear the above statement is correct and true to the best of my knowledge and belief.

OFFICER/AFFIDAVIT'S SIGNATURE: Eric Williams #6012 OFFICER'S NAME/CCN: 2130 OFFICER'S DIVISION

STATE OF Florida COUNTY OF Broward The foregoing instrument was acknowledged before me this 26 day of NOV 98 who is personally known to me and who has produced (ID type) Leg as identification and who DID (did or did not) take an oath. (SEAL OR STAMP IF APPLICABLE)

DEPUTY CLERK OF THE COURT, NOTARY PUBLIC, OR ASSISTANT STATE ATTORNEY FILE OR HANGAREN

SEVENTEENTH JUDICIAL CIRCUIT BROWARD COUNTY STATE OF FLORIDA (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION.)

(Indicate): Orig - Court 2nd - State Attorney 3rd - Filing Agency 4th - Arresting Agency

17th Judicial Circuit in and for Broward County
In the County Court in and for Broward County

DIVISION:
M Criminal
T Traffic
O Other

ORDER

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FLORIDA GAMING
CONTROL COMMISSION

THE STATE OF FLORIDA VS.

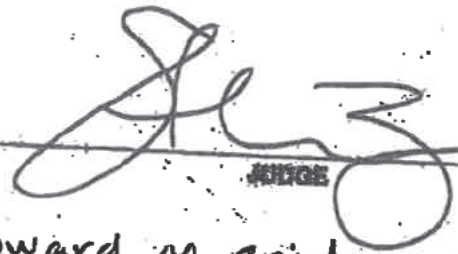
Jefferson Gonzalez
DEFENDANT

CASE NUMBER
99-5861CF10A

Vehicle Homicide
CHARGE: Leaving scene of Accident - Fatal
VOP - NB

It is hereby ordered that the charge of violation of probation be dismissed and the defendant's probation be reinstated. Original sentence from 5-17-99 remains the same.

DONE AND ORDERED THIS 30 DAY OF January 2022, IN BROWARD COUNTY, FLORIDA.


JUDGE
Howard M. Zeidwig

COPIES: ESO - BAO



271876

BS 01-12-8756

2023 SEP 12 AM 9:17

FLORIDA GAMING CONTROL COMMISSION

SHORT ARREST INFORMATION FORM

45# / 01528218

ARREST NUMBER 500129071		LAST NAME GONZALEZ		FIRST NAME JEFFERSON		MIDDLE NAME NNN	
RACE W	SEX M	AGE 18	DATE OF BIRTH [REDACTED]	ADDRESS 112 SW 1ST AVE HALLANDALE FL 33009			
DATE OF ARREST 12-20-01		TIME 1040 hrs.		PLACE OF ARREST Courtroom 4840 CONTRA			
ARRESTING OFFICER/AGENT T. HESTER				IDN# 4199	AGENCY BSO		DIVISION DIST 6
CHECK APPLICABLE BOXES		CAPIAS/WARRANT WCH		COURT ORDER		BOND Surr. OTHER	
COUNT NUMBER	OFFENSES CHARGED			CAPIAS# WARRANT# CASE#	BOND AMOUNT		
I	VOP (Vehicular Homicide)			99-5867 C/10A	NO BOND		
					11:00:21 AM 12-21		
					FELONY		
COMMENTS: DEFENDANT SERVED WITH WARRANT (ATTACHED) AND HE IS REMOVED INTO CUSTODY PAL JUDGE ZEITWIG WITH NO BOND HOLD.							
RELEASE INFORMATION:				 ARRESTING OFFICER/AGENT SIGNATURE			
DATE: _____							
RELEASE REASON: _____							
TIME: _____ BY IDN# _____							

JEFFERSON GONZALEZ LOZANO

07/26/2004 23:06:00

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MILWAUKEE AIRPORT
CONTROL COMMISSION

Document

(b)(7)(E)	(b)(7)(E)	Incident Date/Time	Incident Type
		2004-07-26T23:06:00.000-04:00	S
(b)(7)(E)	Personal Search	(b)(7)(E)	(b)(7)(E)
(b)(7)(E)	(b)(7)(E)	Last Name	First Name
		GONZALEZ LOZANO	JEFFERSON
Citizenship	Date of Birth (DoB)	Document Type Code	(b)(7)(E)
Colombia (CO/COL)			
Alien Registration Number (ARN)	(b)(7)(E)	Race	Gender
		WHITE (W)	Male (M)
Height	Weight	Hair Color	Hair Color
509	220	BLACK (BK)	BLACK (BK)
(b)(7)(E)	Mode of Transport (MOT)	Conveyance	
	AIR	COMMERCIAL AIR (C)	
Port Runner Type		Port Runner Country	
License Year	License State	License Country	(b)(7)(E)
(b)(7)(E)		(b)(7)(E)	
Embark Airport		Departure Country	
BOGOTA#ELDORADO,COLOMBIA (BOG)		Colombia (CO/COL)	
Departure Airport		(b)(7)(E)	Declaration Type
BOGOTA#ELDORADO,COLOMBIA (BOG)			
Search Reason			
OFFICER SAFETY			
Passenger Quantity	(b)(7)(E)	(b)(7)(E)	Search Type
			IMMEDIATE PATDOWN (I)

Search Reason 1
OFFICER SAFETY (007)

Search Reason 2

Search Reason 3

Body Scan

Refused Body Scan

b(7)(E)

b(7)(E)

Two Hour Detention

b(7)(E)

Yes

b(7)(E)

Funds on Passenger

b(7)(E)

0

b(7)(E)

b(7)(E)

Address

Document Upload

Remarks

Remarks

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PORTLAND AIRPORT
CUSTOMER SERVICE

Subject is a 21 year old single male citizen of Colombia who arrived at MIA I AP on 07/26/2004 aboard American Airlines flight 2170 from Bogota Colombia. Subject presented himself for inspection as a returning US resident using Colombian passport # [REDACTED] Subject was then referred to secondary for verification of his US resident status.

In secondary CIS verified subject's US resident status and a [REDACTED] check revealed a felony arrest in 1998 for Hit and Run with fatalities.. The record further revealed that subject was a minor at the time of his arrest and he was later charged as an adult. Subject was then placed under oath and read his rights and in a sworn statement gave his name as Jefferson Gonzalez Lozano and stated that he is a citizen of Colombia and has been a US resident since March 7, 1989. Subject stated that in 1998 he was involved in a Hit and Run when he was 15 years old. He stated that he was later charged as an adult at age 16 when charges came back for Vehicular Homicide and leaving the scene of an accident because the person he hit had died. Subject stated that he received 2 years house arrest and 3 years probation with 370 hours of Community service and he had his driver's license revoked for five years. Subject stated that he was arrested again in December of 2001 for probation violation because he missed some restitution payments which was \$123.00 US dollars paid monthly to the victim's family. Subject stated that he was jailed for 40 days awaiting to see the Judge for probation violation which he says was dismissed by the Judge who did not find him at fault for missing payments because he was in school and looking for a job. [REDACTED] District Counsel concurred with mandatory detention at Krome SPC and [REDACTED] were also notified. Subject appears inadmissible under section 212(a)(2)(A)(i)(I) of the INA.

Disposition:(EXC- subject detained at Krome SPC pending hearing before IJ pursuant to section 240)
null

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2013 SEP 12 AM 9:17
CONSUL GENERAL

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FROM :

FRX NO. :

2023 SEP 12 AM 9:17
Jul. 24 2007 09:37 AM

JEFFERSON

EL PASO CANINE CONTROL COMMISSION

UNITED STATES DEPARTMENT OF JUSTICE
U.S. IMMIGRATION COURT

IN THE MATTER OF:

CASE NO. A 41 379 199

Jefferson Gonzalez Lozano
Respondent

IN REMOVAL PROCEEDINGS

Stacy Goltskiy Esq. Shaheem Javidi Asst. Chief Counsel

ORDER OF THE IMMIGRATION JUDGE

This is a summary of the oral decision on 12 OCT 2007. This memorandum is solely for the convenience of the parties. If the proceedings should be appealed or reopened, the oral decision will become the official opinion in the case.

- [] The Respondent was ordered removed from the United States to _____ Respondent's application for voluntary departure was denied and Respondent was ordered removed to _____ or in the alternative to _____
- [] Respondent's application for voluntary departure was granted until _____ upon posting a bond in the amount of \$ _____ with an alternate order of removal to _____
- [] Respondent's application for asylum was () granted () denied () withdrawn () initially.
- [] Respondent's application for withholding of removal was () granted () denied () withdrawn.
- [] Article 3, Convention Against Torture was () granted () denied () withdrawn.
- [] Respondent's application for cancellation of removal under Section 240 was () granted () denied () withdrawn.
- [] Respondent's application for a waiver under Section _____ of the Immigration and Nationality Act (INA) was () granted () denied () withdrawn or () other.
- [] Respondent's application for adjustment of status under Section _____ was () granted () denied () withdrawn. If granted, it was ordered that Respondent be issued all appropriate documents necessary to give effect this order.
- [] Respondent knowingly filed a frivolous asylum application after proper notice.
- [] Respondent was advised of the limitation on discretionary relief for failure to appear as ordered in the Immigration Judge's oral decision.
- [] Proceedings were terminated.
- [] Other: Respondent's status remained as lawful permanent resident.

Dated: 12 OCT 2007

Appeal: WAIVED/REMOVED (2007)

Approved by: NWC w/ HIA, P.O. Box 8528, Falls Church, VA 22041

1. Daniel Dowell
2. HANER DOWELL
U.S. Immigration Judge

CERTIFICATE OF SERVICE

This document was served by: MAIL (M) PERSONAL SERVICE (P)
TO: [] Alien [] Agent or Credentialed Officer [] Alien's Attorney [] DHS
DATE: 12 OCT 2007 BY: []
Attachments: [] EOIR-01 [] EOIR-04 [] Legal Service List [] Other

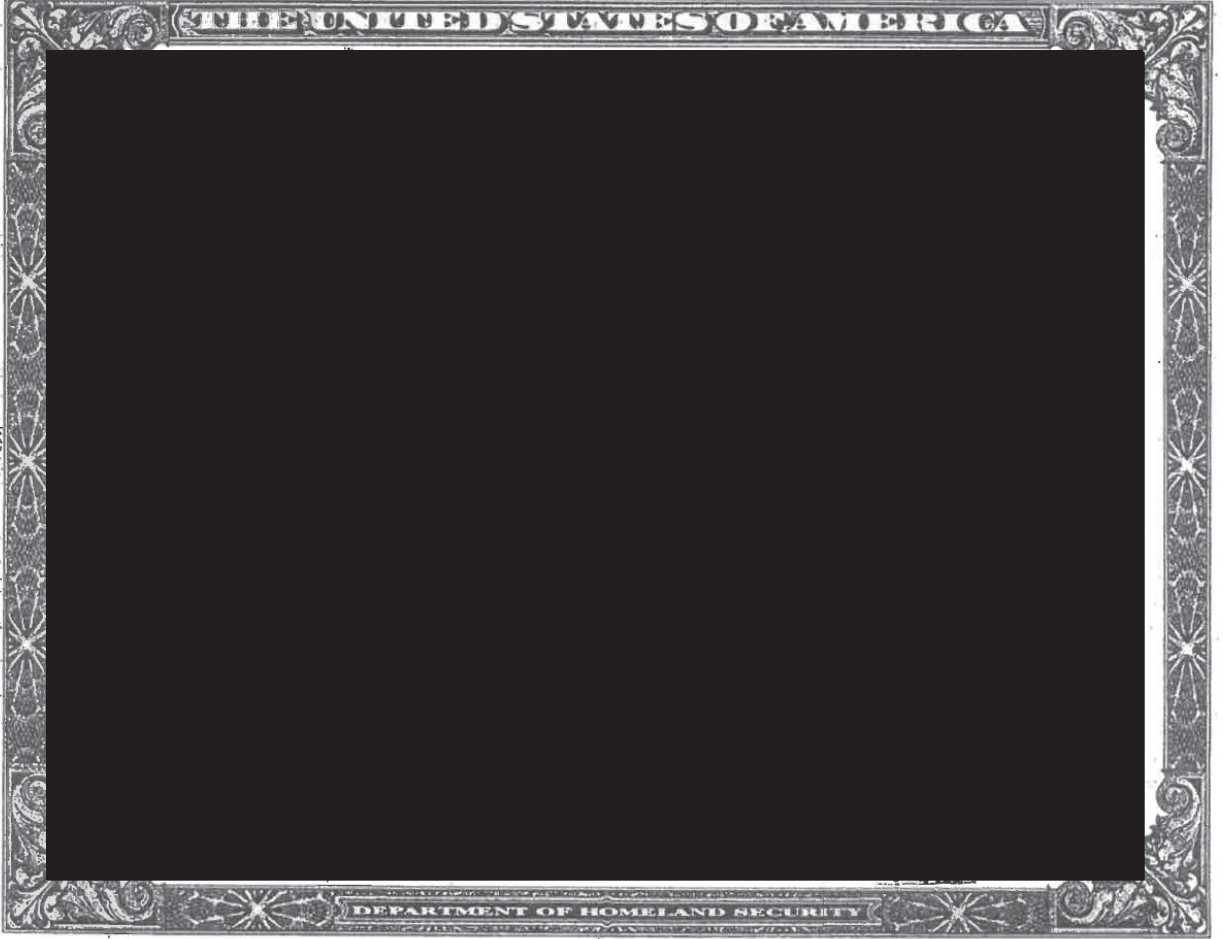
Interpreter Lidia Hues Aris [] ROIR [] Downs Global [] Removal-Memo-100

RESPONDENT'S COPY

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U.S. CUSTOMS AND BORDER
PROTECTION



FORM N-650 (REV. 6/04)

Jonathan Dye

From: Jeff Gonzalez <jeff1229@gmail.com>
Sent: Monday, September 11, 2023 10:57 PM
To: PMW-Operations
Subject: RE: FGCC Application and deficiency letter for Gonzalez Lozano, Jefferson
Attachments: Document A.pdf; Document B.pdf; Document C.pdf; Document D.pdf; Document E.pdf; Document F.pdf; Document G.pdf; Document H.pdf; PMW - DEF LTR - GONZALEZ LOZANO, JEFFERSON[3404].pdf

Applicant: Jefferson Gonzalez Lozano

Florida Gaming Control Commission
RE: Application #6280, entity 11838374
1051-slot machine professional individual

2023 SEP 12 AM 9:17
RECEIVED
GENERAL COMMISSION

In regards to your letter dated 05/30/2023, I have attached all corresponding documentation regarding this matter.

Your letter reads as follows

- You must provide the court disposition records for the following charges:
- Miami Dade County, FL - 11/5/1998, 03/25/1999, 12/20/2001, 07/26/2004

All dates in question above are part of one original incident dated 11/5/1998. They are not separate incidents or charges.

1. On 11/5/1998 I was involved in a vehicle accident at the age of 15, that resulted in a fatality. On that day I was taken into custody (Document A) and released to my parents hours later.
2. On 03/25/1999 (recorded court document shows 03/26/1999) I appeared in court for the first time at the Broward County Courthouse (regarding the accident that took place on 11/5/1998), at which time it was decided that I would be charged as an adult, changing my status from a minor and generating a new arrest record (Document B).
3. (Document C) is the disposition of the charges imposed on the above mentioned dates (98/99), again, not separate charges or incidents.
4. On 12/20/2001 I appeared in court and was arrested for a violation of probation (Document D) for being behind on restitution payments, which were ordered as part of my original charge on 11/5/1998. On 01/30/2002 (Document E) I was present for a hearing in front of the ordering judge in which he dismissed the above mentioned Violation of Probation, noting that I did not willfully default on the ordered restitution payments, resulting in my probation being reinstated to its original terms.
5. On the date of 07/26/2004 I was detained upon arrival by CPB Miami International Airport (Document F). At the time my immigration status was legal permanent resident and due to my original case on 11/5/1998 resulting in a felony withheld adjudication, I was ordered to present to an immigration judge regarding removal proceedings. On October 12, 2006 I was granted cancellation of removal by a US Immigration judge and status reinstated to lawful permanent resident- shown on Disposition from US Immigration Court (Document G). On 07/20/2009 I became a United States Citizen (Document H).

Your letter also states:

- You must list the information on your application for the following charges.
- Miami Dade County, FL. 07/26/2004

1. This date is part of the original case from 11/5/1998, as stated above, and when completing the application I did not list this date separately. I have since tried to go through the DBPR website to add this date to satisfy this request but was unable to access the original application.

Please advise on how to correct this.

Should you need any further information, please do not hesitate to contact me at your convenience. I can be reached at 904-862-1650.

Thank you for your help.

Jefferson Gonzalez Lozano

From: PMW-Operations
Sent: Monday, August 7, 2023 2:04 PM
To: jeff1229@gmail.com
Subject: FGCC Application and deficiency letter for Gonzalez Lozano, Jefferson

Please let me know if you have further questions!

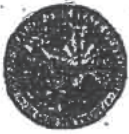
Thank you,

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PMW-Operations
Central Operations



The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)



FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

May 30, 2023

Mr. Jefferson Gonzalez Lozano
398 Southwest South Quick Circle
Port St. Lucie, Florida 34953

RE: Application No. 6280, Entity 11838374
1051 - Slot Machine Professional Individual

Dear Mr. Gonzalez Lozano:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please complete the attached PMW-3410 - Slot Machine Individual Occupational License Application form. If you have ever been convicted of or had adjudication withheld for any crime; or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records.

You must list the information on your application for the following charges:

- Miami-Dade County, FL - 07/26/2004 ✓

You must provide the court disposition records for the following charges:

- Miami-Dade County, FL, 11/5/1998, 3/25/1999, 12/20/2001, 07/26/2004 ✓

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/27/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

FLORIDA GAMING
CONTROL COMMISSION

2023 SEP 12 AM 9:16

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Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

TL
Operations Analyst II

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FLORIDA GAMING CONTROL COMMISSION

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2023 SEP 12 AM 9:17

COMPLAINT AFFIDAVIT

SHAD FIELDS MUST BE ANSWERED IF DEFENDANT NOT IN C

ARREST FORM

BROWARD COUNTY

Filing Agency: **Hallandale** Offense Report: **98-37938** Local ID: **SUF** FDLE: **15** FBI: **11/5/98** SS: **WILLIAMS #6012**

Defendant's Last Name: **GONZALEZ** First: **Jefferson** Middle: **SUF** Alias/Street Name: **Same as Perm.** Citizenship: **US**

Race: **W** Sex: **M** Hgt: **5** Eyes: **Brn** Hair: **Blk** Wgt: **150** Comp Lt: **15** Birthplace: **FL** Scars, marks, TT:

Permanent Address: **112 SW 1 Avenue Hallandale, Fl 33009** Local Address: **Same as Perm.**

Residence Type: **1** (1) City (2) County (3) Florida (4) Out of State Place of Employment: **Student** Length:

How long defendant in Broward County: Breathalyser by/CCN: Reading: Place of arrest: **112 SW 1 Ave** Date/time arrested: **11/5/98** Arresting officer(s) CCN: **WILLIAMS #6012**

Officer Injured: **Y** **N** Unit: **T1** Zone: **2** Beat: **SW** Shift: **B** Trans. Unit: PMD: **Y** **N** Transporting officer/CCN: Pick-up time: Time arrived at BSO: Drug Type: **N**

Type: **N-NA** B-Barbiturate H-Halucinogen P-Paraphernalia U-Unknown Activity: **N** A-Arrest: **N-NA** B-Buy E-Use K-Dispense/ Indication of **Y** **N** **UK**
 C-Cocaine M-Marijuana Equipment B-Synthetic N-NA T-Traffic M-Manufacture/ Distribute Alcohol Influence:
 A-Amphetamine E-Heroin O-Opium S-Sell A-Smuggle D-Deliver Produce/ Cultivate Z-Other Drug Influence:

Defendant's Vehicle Make: **Mazda** Type: **Van** Year: **92** Color: **Wh** VIN: **1M3LW5232N0420182**

Attach Defendant's Photo: **Action** Vehicle towed to: **Action** Tag: **YFL1** Other identifiers or remarks:

Name of victim(s) if corporation, exact legal name and state of incorporation: **State of Florida**

Count #	Offenses Charged	Citation # if Applicable	FS or Capias/Warrant #
1	Vehicular Homicide (3rd Degree)		782.071(1)
2	Leave Scene of Crash invol. Fatality		316.027(IB)

Probable Cause Affidavit

Before me this date personally appeared **Eric Williams** who being first duly sworn deposes and says that on **26** day of **March** (year) **99** at **400 S.W. 8 Avenue** (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

The deft. was positively identified as the driver of the suspect vehicle which was involved in a traffic crash with the victim. The victim was in a electric wheelchair and was crossing the intersection of S.W. 8 Ave. and S.W. 4 Ct. when he was struck by the deft. The deft. then left the scene of the crash without rendering or seeking aid for the victim who was left lying in the street. At the time of the crash, the deft. was operating the suspect vehicle without a proper license and, according to the passengers of the suspect veh., the deft. was not operating the vehicle and giving his full concentration to the safe operation of same.

I swear the above statement is correct and true to the best of my knowledge and belief. **Eric Williams #6012** OFFICER'S NAME/CCN **2130** OFFICER'S DIVISION

STATE OF **Florida** COUNTY OF **Broward**
The foregoing instrument was acknowledged before me this **26** day of **March** (year) **99** who is personally known to me or who has produced (ID type) **Leo** as identification and who **DID** (did or did not) take an oath. (SEAL OR STAMP IF APPLICABLE)

Sgt. [Signature] DEPUTY CLERK OF THE COURT, NOTARY PUBLIC, OR ASSISTANT STATE ATTORNEY **Sgt. [Signature]** TITLE OR RANK

SEVENTEENTH JUDICIAL CIRCUIT BROWARD COUNTY STATE OF FLORIDA FIRST APPEARANCE/ARREST FORM (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION.)

880 08-92 (Revised 8/97) Distribution: Orig - Court 2nd - State Attorney 3rd - Filing Agency 4th - Arresting Ag

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COMPLAINT AFFIDAVIT

SHADED FIELDS MUST BE ANSWERED IF DEFENDANT NOT FUGITIVE

FLORIDA GAMING
BROWARD COUNTY COMMISSION

ARREST FORM

Filing Agency Hallandale	Offense Code 9837938	Local ID #	FILE	FBI #	OBTS #
Defendant's Last Name GONZALEZ	First Jefferson	Middle SUF	Alias/Street Name	Citizenship US	
Race W <input type="checkbox"/> M <input checked="" type="checkbox"/>	Hgt	Eyes Brn	Hair Blk	Wgt	Comp Lt
Age 15	Birthplace	Scars, marks, TT			
Permanent Address 112 SW 1 Avenue Hallandale, Fl 33009			Local Address: Same as Perm.		
Residence Type: (1) City (2) County (3) Florida (4) Out of State			Place of Employment Student	Length	
How long defendant in Broward County:	Breathalyzer by/CCN	Roading	Place of arrest 112 SW 1 Ave	Date/time arrested 11/5/98	Arresting officer(s) CCH WILLIAMS #6012
Officer Injured Y <input type="checkbox"/> N <input checked="" type="checkbox"/>	Unit T1	Zone 2	Beat SW	Shift B	Trans. Unit ----
PMD Y <input type="checkbox"/> N <input checked="" type="checkbox"/>	Transporting officer/CCN	Pick-up time:	Time arrived at BSO:		Drug Type: N
Type: B-Barbiturate N-NA A-Amphetamine	H-Hallucinogen M-Marijuana O-Opium	P-Paraphernalia/Equipment S-Synthetic	U-Unknown Z-Other	Activity: N	Arrest: N-NA P-Possess S-Sat
B-Buy T-Traffic A-Snuggle D-Driver	E-Use M-Manufacture/Produce/ C-Cultivate	K-Dispense/ D-Distribute Z-Other	Indication of Alcohol Intoxication Drug Intoxication:		Y <input type="checkbox"/> N <input type="checkbox"/> O <input type="checkbox"/> D <input type="checkbox"/>

Defendant's Vehicle Make: **Mazd** Type: **Van** Year: **92** Color: **White** VIN # **JM31V5232N0420182**

Attach Defendant's Photo
Vehicle towed to: **Action** Tag # **V1111** Other identifiers or remarks:

Name of victim(s) (if corporation, exact legal name and state of incorporation): State of Florida			
Count #	Offenses Charged	Citation #, if Applicable	FS or Capias/Warrant #
1	Vehicular Homicide (3rd Degree)		782.071(1)
2	Leave Scene of Crash invol. Fatality		316.027(1b)

Probable Cause Affidavit

Eric Williams

Before me this date personally appeared **Eric Williams** who being first duly sworn depose and says that on **05** day of **NOV** (year) **98** at **400 S.W. 8 Avenue** (crime location) the above named defendant committed the above offenses charged and the facts showing probable cause to believe the same are as follows:

The deft. was positively identified as the driver of the suspect vehicle which was involved in a traffic crash with the victim. The victim was in a electric wheelchair and was crossing the intersection of S.W. 8 Ave. and S.W. 4 Ct. when he was struck by the deft. The deft. then left the scene of the crash without rendering or seeking aid for the victim who was left lying in the street. At the time of the crash, the deft. was operating the suspect vehicle without a proper license and, according to the passengers of the suspect veh., the deft. was not operating the vehicle and giving his full concentration to the safe operation of same.

I swear the above statement is correct and true to the best of my knowledge and belief.

OFFICER/AFFIANT'S SIGNATURE: **Eric Williams #6012** OFFICER'S DIVISION: **2130**

STATE OF Florida COUNTY OF **Broward**

The foregoing instrument was acknowledged before me this **26** day of **March** (year) **99** who is personally known to me or who has produced (ID type) **Leo** as identification and who **DID** (ind or did not) take an oath. (SEAL OR STAMP IF APPLICABLE)

DEPUTY CLERK OF THE COURT, NOTARY PUBLIC, OR ASSISTANT STATE ATTORNEY TITLE OR RANK/CCN

SEVENTEENTH JUDICIAL CIRCUIT BROWARD COUNTY STATE OF FLORIDA FIRST APPEARANCE/ARREST FORM (SHOULD ADDITIONAL SPACE BE NEEDED, USE THE PROBABLE CAUSE AFFIDAVIT CONTINUATION.)

- 1st - Court
- 2nd - State Attorney
- 3rd - Filing Agency
- 4th - Arresting Agency

17th Judicial Circuit in and for Broward County
 In the County Court in and for Broward County

DIVISION:
 Criminal
 Traffic
 Other

ORDER

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FLORIDA GAMING
CONTROL COMMISSION

THE STATE OF FLORIDA VS.

Jefferson Gonzalez
DEFENDANT

CASE NUMBER

99-5861CF10A

CHARGE: Vehicular Homicide
Leaving scene of Accident - fatal
VOP - NB

It is hereby ordered that the charge of violation of probation be dismissed and the defendant's probation be reinstated. Original sentence from 5-17-99 remains the same.

DONE AND ORDERED THIS 30 DAY OF January 2002 IN

BROWARD COUNTY, FLORIDA



JUDGE
Howard M. Zeidwig

COPIES: BSO - BAO



291876
BS 01-12-8756

2023 SEP 12 AM 9:17

FLORIDA BAILING
GENERAL COMMISSION

SHORT ARREST INFORMATION FORM

U# 1/04528298

ARREST NUMBER 500129071			LAST NAME GONZALEZ	FIRST NAME JEFFERSON	MIDDLE NAME NAN
RACE W	SEX M	AGE 18	DATE OF BIRTH [REDACTED]	ADDRESS 112 SW 1ST AVE HALLANDALE FL 33009	
DATE OF ARREST 12-20-01		TIME 1040 hrs.	PLACE OF ARREST Courtroom 4840 CONTRA		
ARRESTING OFFICER/AGENT T. Hester			IDN# 4199	AGENCY B30	DIVISION Dist 6
CHECK APPLICABLE BOXES	CAPIAS/WARRANT WC#	COURT ORDER	BOND Surr.	OTHER	

COUNT NUMBER	OFFENSES CHARGED	CAPIAS# WARRANT# CASE#	BOND AMOUNT
I	VOP (Vehicular Homicide)	99-5867 CFQA	No Bond
			1 Dec 21 AM 10:21
			FELONY

COMMENTS:
 DEFENDANT SERVED WITH WARRANT (ATTACHED) AND HE IS
 RETURNED INTO CUSTODY PAL JUDGE ZIDWIG WITH NO BOND
 HOLD.

RELEASE INFORMATION:

DATE: _____

RELEASE REASON: _____

TIME: _____ BY IDN# _____

ARRESTING OFFICER/AGENT SIGNATURE

RECEIVED

JEFFERSON GONZALEZ LOZANO

(b)(7)(E)

07/26/2004 23:06:00

2023 SEP 12 AM 9:17

FLORIDA GAMING CONTROL COMMISSION

Document

b(7)(E)	b(7)(E)	Incident Date/Time	Incident Type
		2004-07-26T23:06:00.000-04:00	S
b(7)(E)	Personal Search	b(7)(E)	b(7)(E)
b(7)(E)	b(7)(E)	Last Name	First Name
		GONZALEZ LOZANO	JEFFERSON
Citizenship	Date of Birth (DoB)	Document Type Code	b(7)(E)
Colombia (CO/COL)			
Alien Registration Number (ARN)	b(7)(E)	Race	Gender
		WHITE (W)	Male (M)
Height	Weight	Hair Color	Hair Color
509	220	BLACK (BK)	BLACK (BK)
b(7)(E)	Mode of Transport (MOT)	Conveyance	
	AIR	COMMERCIAL AIR (C)	
Port Runner Type		Port Runner Country	
License Year	License State	License Country	b(7)(E)
b(7)(E)		b(7)(E)	
Embark Airport		Departure Country	
BOGOTA#ELDORADO,COLOMBIA (BOG)		Colombia (CO/COL)	
Departure Airport		b(7)(E)	Declaration Type
BOGOTA#ELDORADO,COLOMBIA (BOG)			
Search Reason			
OFFICER SAFETY			
Passenger Quantity	b(7)(E)	b(7)(E)	Search Type
			IMMEDIATE PATDOWN (I)

Search Reason 1

OFFICER SAFETY (007)

Search Reason 2

Search Reason 3

Body Scan

Refused Body Scan

b(7)(E)

b(7)(E)

Two Hour Detention

b(7)(E)

Yes

b(7)(E)

Funds on Passenger
0

b(7)(E)

b(7)(E)

b(7)(E)

Address

Document Upload

Remarks

Remarks

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BOSTON AIRPORT
CUSTOMS AND BORDER PROTECTION

Subject is a 21 year old single male citizen of Colombia who arrived at MIA I AP on 07/26/2004 aboard American Airlines flight 2170 from Bogota Colombia. Subject presented himself for inspection as a returning US resident using Colombian passport [REDACTED] Subject was then referred to secondary for verification of his US resident status.

In secondary CIS verified subject's US resident status and a [REDACTED] check revealed a felony arrest in 1998 for Hit and Run with fatalities.. The record further revealed that subject was a minor at the time of his arrest and he was later charged as an adult. Subject was then placed under oath and read his rights and in a sworn statement gave his name as Jefferson Gonzalez Lozano and stated that he is a citizen of Colombia and has been a US resident since March 7, 1989. Subject stated that in 1998 he was involved in a Hit and Run when he was 15 years old. He stated that he was later charged as an adult at age 16 when charges came back for Vehicular Homicide and leaving the scene of an accident because the person he hit had died. Subject stated that he received 2 years house arrest and 3 years probation with 370 hours of Community service and he had his driver's license revoked for five years. Subject stated that he was arrested again in December of 2001 for probation violation because he missed some restitution payments which was \$123.00 US dollars paid monthly to the victim's family. Subject stated that he was jailed for 40 days awaiting to see the Judge for probation violation which he says was dismissed by the Judge who did not find him at fault for missing payments because he was in school and looking for a job. (b)(6) (b)(7)(C) District Counsel concurred with mandatory detention at Krome SPC and (b)(6) (b)(7)(C) were also notified. Subject appears inadmissible under section 212(a)(2)(A)(i)(I) of the INA.

Disposition:(EXC- subject detained at Krome SPC pending hearing before IJ pursuant to section 240)
null

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ESTABLISHMENT OF ADMISSION

FROM :

FRX NO. :

Jul. 24 2007 09:37 AM 9:17

JEFFERSON

FLORIDA GAMING CONTROL COMMISSION

UNITED STATES DEPARTMENT OF JUSTICE
U.S. IMMIGRATION COURT

IN THE MATTER OF:

CASE NO. A 41 379 199

Jefferson GONZALEZ LOZANO

IN REMOVAL PROCEEDINGS

Respondent

Steven Goldstein

Esq.

Shahana JAYATI

Asst. Chief Counsel

ORDER OF THE IMMIGRATION JUDGE

This is a summary of the oral decision on 12 OCT 2007. This memorandum is solely for the convenience of the parties. If the proceedings should be appealed or reopened, the oral decision will become the official opinion in the case.

- [] The Respondent was ordered removed from the United States to _____
- [] Respondent's application for voluntary departure was denied and Respondent was ordered removed to _____ or in the alternative to _____
- [] Respondent's application for voluntary departure was granted until _____ upon posting a bond in the amount of \$ _____ with an alternate order of removal to _____
- [] Respondent's application for asylum was () granted () denied () withdrawn () initially.
- [] Respondent's application for withholding of removal was () granted () denied () withdrawn.
- [] Article 3. Convention Against Torture was () granted () denied () withdrawn.
- [] Respondent's application for cancellation of removal under Section 240 was (✓) granted () denied () withdrawn.
- [] Respondent's application for a waiver under Section _____ of the Immigration and Nationality Act (INA) was () granted () denied () withdrawn or () other.
- [] Respondent's application for adjustment of status under Section _____ was () granted () denied () withdrawn. If granted, it was ordered that Respondent be issued all appropriate documents necessary to give effect this order.
- [] Respondent knowingly filed a frivolous asylum application after proper notice.
- [] Respondent was advised of the limitation on discretionary relief for failure to appear as ordered in the Immigration Judge's oral decision.
- [] Proceedings were terminated.
- [] Other: Respondent's status reclassified as lawful permanent resident.

Dated: 12 OCT 2007

Appeal: WAIVED / REOPENED (A/R)

Appeal due by: N/A
RIA, P.O. Box 8556 - Falls Church, VA 22041

J. Daniel Dowell
J. DANIEL DOWELL
U.S. Immigration Judge

CERTIFICATE OF SERVICE

This document was served by: MAIL () PERSONAL SERVICE (✓)
TO: [] Allen [] Allen c/o Custodial Officer [] Allen's Attorney [] Other
DATE: 12 OCT 2007 BY: []
Attachments: [] EOIR-41 [] EOIR-26 [] Legal Service List [] Other

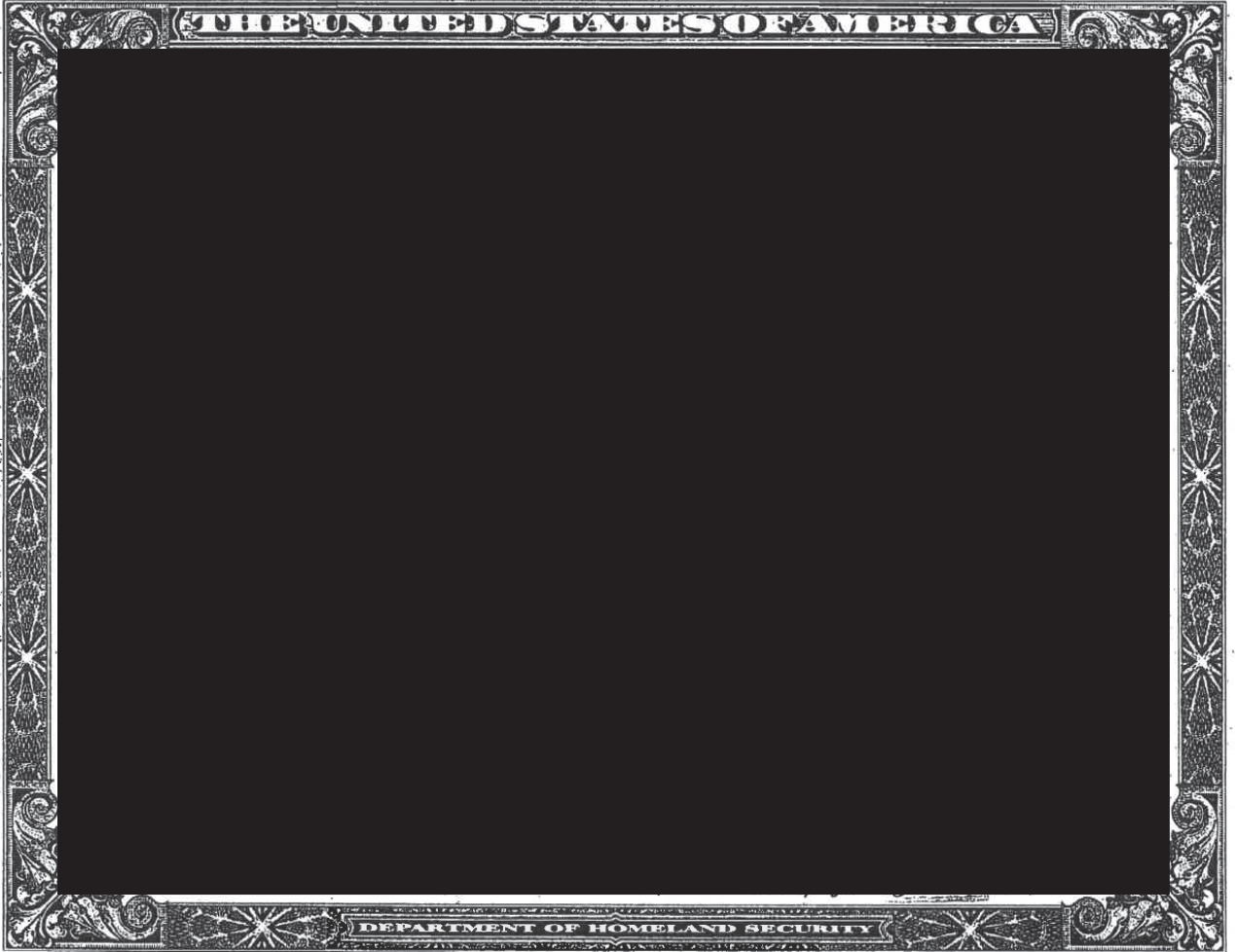
Interpreter Zidana Hours Davis [✓] ROIR [] Brown Global Special Removal-Mesa-IDD

RESPONDENT'S COPY

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U.S. CUSTOMS AND BORDER
CONTROL COMMISSION



Jonathan Dye

From: Jeff Gonzalez <jeff1229@gmail.com>
Sent: Monday, September 11, 2023 10:57 PM
To: PMW-Operations
Subject: RE: FGCC Application and deficiency letter for Gonzalez Lozano, Jefferson
Attachments: Document A.pdf; Document B.pdf; Document C.pdf; Document D.pdf; Document E.pdf; Document F.pdf; Document G.pdf; Document H.pdf; PMW - DEF LTR -GONZALEZ LOZANO, JEFFERSON[3404].pdf

Applicant: Jefferson Gonzalez Lozano

Florida Gaming Control Commission
RE: Application #6280, entity 11838374
1051-slot machine professional individual

In regards to your letter dated 05/30/2023, I have attached all corresponding documentation regarding this matter.

Your letter reads as follows

- You must provide the court disposition records for the following charges:
- Miami Dade County, FL - 11/5/1998, 03/25/1999, 12/20/2001, 07/26/2004

All dates in question above are part of one original incident dated 11/5/1998. They are not separate incidents or charges.

1. On 11/5/1998 I was involved in a vehicle accident at the age of 15, that resulted in a fatality. On that day I was taken into custody (**Document A**) and released to my parents hours later.
2. On 03/25/1999 (recorded court document shows 03/26/1999) I appeared in court for the first time at the Broward County Courthouse (regarding the accident that took place on 11/5/1998), at which time it was decided that I would be charged as an adult, changing my status from a minor and generating a new arrest record (**Document B**).
3. (**Document C**) is the disposition of the charges imposed on the above mentioned dates (98/99), again, not separate charges or incidents.
4. On 12/20/2001 I appeared in court and was arrested for a violation of probation (**Document D**) for being behind on restitution payments, which were ordered as part of my original charge on 11/5/1998. On 01/30/2002 (**Document E**) I was present for a hearing in front of the ordering judge in which he dismissed the above mentioned Violation of Probation, noting that I did not willfully default on the ordered restitution payments, resulting in my probation being reinstated to it's original terms.
5. On the date of 07/26/2004 I was detained upon arrival by CPB Miami International Airport (**Document F**). At the time my immigration status was legal permanent resident and due to my original case on 11/5/1998 resulting in a felony withheld adjudication, I was ordered to present to an immigration judge regarding removal proceedings. On October 12, 2006 I was granted cancellation of removal by a US Immigration judge and status reinstated to lawful permanent resident- shown on Disposition from US Immigration Court (**Document G**). On 07/20/2009 I became a United States Citizen (**Document H**).

Your letter also states:

- You must list the information on your application for the following charges.
- Miami Dade County, FL. 07/26/2004

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FLORIDA GAMING CONTROL COMMISSION

1. This date is part of the original case from 11/5/1998, as stated above, and when completing the application I did not list this date separately. I have since tried to go through the DBPR website to add this date to satisfy this request but was unable to access the original application.

Please advise on how to correct this.

Should you need any further information, please do not hesitate to contact me at your convenience. I can be reached at 904-862-1650.

Thank you for your help.

Jefferson Gonzalez Lozano

From: PMW-Operations

Sent: Monday, August 7, 2023 2:04 PM

To: jeff1229@gmail.com

Subject: FGCC Application and deficiency letter for Gonzalez Lozano, Jefferson

Please let me know if you have further questions!

Thank you,

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The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

We the People

Of the United States

In Order to form a more perfect Union,
establish Justice, insure domestic Tranquility,
provide for the common defence,
promote the general Welfare, and secure
the Blessings of Liberty to ourselves and
our Posterity, we ordain and establish this
Constitution for the United States of America.



SIGNATURE OF BEARER / SIGNATURE DU TITULAIRE / FIRMA DEL TITULAR

PASSPORT
PASSÉPORT
PASAPORTE

UNITED STATES OF AMERICA



[FAQ](#) | [Help](#) | [Sign O](#)

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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[Complaint Search](#)
 [Change Recording License Type](#)
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 [Mass Activity Update](#)
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[Mass Status Update](#)
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Domain **10 - Division of Pari-Mutuel Wagering**

Logged in as: ttante

[VR Home](#) > **Complaint Search**

Search Criteria	Results
---------------------------------	-------------------------

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
No items found.										

Total: 0

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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number XXXXXXXXXX
Date of Birth:
First Name:
Middle Name:
Last Name: gonzalez lozano
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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DBPR On-Line Services

If you need to mail additional information to DBPR please include this coversheet.

License Type: **Slot Machine Prof Ind Occupational Lic**

Application Type: **Slot Machine Professional Employee Occupational License**

File Number: **2656**

Application Number: 

License Number:

Application Date: **05/24/2023 (mm/dd/yyyy)**

Last Name: **Gonzalez Lozano**

First Name: **Jefferson**

Middle Name:

Mail To:
DBPR - Division of Pari-Mutuel Wagering
Licensing Section
2601 Blair Stone Road
Tallahassee, FL 32399-1037

If you have any questions please call our Customer Contact Center at 850-487-1395.



Have you used, been known as, or called **No** by another name (example - maiden name, pseudonym, nickname) or alias other than the one you provided for this application?

Citizenship

Are you a United States citizen? **Yes**

Prior License

Is this your first time applying for a racing/gaming license in Florida? **Yes**

Current Employer

Current Employer Name: **Cummins Allison**

Employment History

Have you previously worked for a gaming-related employer? **No**

Jurisdiction

Have you ever been licensed in any other racing or gaming jurisdiction? **No**

Discipline

Have you ever had a racing or gaming license revoked or denied in this or any other state or country? **No**

Criminal History Background Questions

Have you ever been convicted of, or had adjudication of guilt withheld for, a felony or misdemeanor involving forgery, larceny, extortion, or conspiracy to defraud or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States? **No**

Have you ever been convicted of or had adjudication of guilt withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? **Yes**

Criminal History Background Details 1

Date of Disposition	05/17/1999 (mm/dd/yyyy)
County	Broward
State	Florida
Offense	Vehicular homicide
Misdemeanor or Felony?	Felony
Sentence	Withheld adjudication. 2 years community control, 3 years pr

Criminal History Background Details 2

Date of Disposition	05/17/1999 (mm/dd/yyyy)
County	Broward
State	Florida

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods
Re: Alberto Lazo; Case No. 2023-052159
Date: October 19, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Alberto Lazo's ("Applicant") application for a Slot Machine/Cardroom/Pari-Mutuel Combination General Individual License. Applicant submitted a completed application for a Slot Machine/Cardroom/Pari-Mutuel Combination General Individual License on September 14, 2023. Upon review of the application, it appears Applicant has been convicted of a felony. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 14, 2023, Applicant submitted his application to the Division of Pari-Mutuel Wagering for a Slot Machine/Cardroom/Pari-Mutuel Combination General Individual License. Upon review of the completed application, it appears that the Applicant was convicted of felonies in the state of Florida. Specifically, the Applicant was convicted of the following:

- Aggravated Stalking in 2015;
- Written Threats to Kill or do Bodily Injury in 2015.

Applicant did not apply for a waiver for his felony conviction, however, under section 551.107(6)(a), Florida Statutes, the legislature did not authorize the Executive Director to waive criminal convictions for slot machine licensing.

Relevant Law

Section 551.107(6)(a), Florida Statutes, provides in pertinent part that:

“. . .the commission may deny, suspend, revoke, or refuse to renew any slot machine occupational license if the applicant for such license or the licensee has been convicted in this state, in any other state, or under the

laws of the United States of a capital felony, a felony, or an offense in any other state that would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; racketeering; or a crime involving a lack of good moral character, or has had a gaming license revoked by this state or any other jurisdiction for any gaming-related offense.”

Section 849.086(6)(f), Florida Statutes, provides that the “provisions specified in s. 550.105(4), (5), (6), (7), (8), and (10) relating to licensure shall be applicable to cardroom occupational licenses.”

Section 849.086(6)(g), Florida Statutes, provides that:

“[t]he commission may deny, declare ineligible, or revoke any cardroom occupational license if the applicant or holder thereof has been found guilty or had adjudication withheld in this state or any other state, or under the laws of the United States of a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority.”

Section 550.105(5)(d), Florida Statutes, provides that:

“. . . the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's disqualifying criminal convictions cannot be waived, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

**CASE FILE ROUTING SLIP
SLOT MACHINE APPLICATION**

RE: LAZO, ALBERTO - 13713342
(APPLICANT'S NAME- LICENSE #)

Case No: 2023052159

INITIAL APP RECEIVED:	<u>8/24/2023</u> (Date)
COMPLETE APP RECEIVED:	<u>9/14/2023</u> (Date)
90-DAY DEADLINE:	<u>12/13/2023</u> (Date)

Investigations Section:

Reviewed by Steve Kogan

N/A

(Initial & Date)

The attached file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section:

Amy Hall
Application Processor

155 - Gretna Racing LLC
Facility (d/b/a name)

1055 - Cage Supervisor
Occupation/Job Title

Reviewed by David Donaldson

 9/18/23
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes No

Is the applicant related to another application?

Yes No

Comments: _____

Office of Director:

Reviewed by Director _____

(Initial & Date)

Approve Application _____

Deny Application _____

Comments: _____

*Please attach Routing Slip to front of case file.

Licensing Administrator Review – Slot Machine Occupational License

RE: **LAZO, ALBERTO - 13713342**

Case No: **2023052159**

(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	8/24/2023
COMPLETE APPLICATION RECEIVED:	9/14/2023
90-DAY DEADLINE:	12/13/2023

Amy Hall
Application Processor

155 - Gretna Racing LLC
Facility (d/b/a name)

1055 – Cage Supervisor
Occupation/Job Title


Pursuant to Section 551.107(6)(c) Conviction is defined as being found guilty, with or without adjudication of guilt, as a result of a jury verdict, non-jury trial, or entry of a plea of guilty or nolo contendere. Any misdemeanor conviction to include fraud and theft which are defined for purposes of slot machine gaming licensure as involving dishonesty, misrepresentation, deceit, and lack of truthful conduct in transaction with another.

Licensing Section Review				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
Conviction				
<input checked="" type="checkbox"/> Felony: 2 Count(s)				
<input type="checkbox"/> Misdemeanor – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor convictions that involve theft, fraud, or burglary				
<input type="checkbox"/> Forgery, Larceny, Theft, Extortion, Conspiracy to Defraud (Slot Combo Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
07/02/2014	Miami-Dade, FL	Stalking/Aggravated/Court Order: Case#F14-015357	F3	Adjudication Withheld
07/02/2014	Miami-Dade, FL	Written Threats To Kill Or Do Bodily Injury: Case#F14-015357	F2	Adjudication Withheld
Disposition Unknown				
<input type="checkbox"/> Felony Arrest(s) :				
<input type="checkbox"/> Misdemeanor Arrest – Gambling Related/Bookmaking				
<input type="checkbox"/> Two or more misdemeanor arrests/counts that involve theft, fraud, or burglary				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
Enforcement/Jurisdiction Offenses				
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Revoked, Denied, Ejected, Unpaid Fine, in this or any other state gaming commission, governmental department, agency, or other authority exercising regulatory jurisdiction over the gaming of another state or jurisdiction.				
Comments:				
Related Licenses				
Check VR License Relations to Determine if Applicant is Related to a Business				
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.				
Business License Number:		Business Name:		
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>				

Date Deficiency Letter Issued:	Initials:
Comments:	

Licensing Administrator Review

Disposition Confirmation

Disqualifying Convictions/Arrests Confirmed?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	<input type="checkbox"/> Approval
Forward to	<input type="checkbox"/> Investigations	<input checked="" type="checkbox"/> Legal	<input type="checkbox"/> Director
Comments:	Initials: 	9/18/23	

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 DBPR PMW-3410 – Slot Machine Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION					
Social Security Number [REDACTED]		Birth Date (MM/DD/YYYY) [REDACTED]		Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name Lazo		First Alberto		Middle Suffix	
Have you used, been known as, or called by another name (example – maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
If yes, list the name or names used: _____					
Race/Ethnicity (check only one): <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input checked="" type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other					
Are you a United States citizen? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, provide the name of the country of which you are a citizen: _____					
Current Mailing Address 1501 SW 142ND AVE				Email Address (optional)	
City Miami		State FL	Zip Code (+4 optional) 33184	Country USA	
Primary Phone Number [REDACTED]			Secondary/Cell Phone Number (optional) [REDACTED]		
Current Street Address 1501 SW 142ND AVE					
City Miami		State FL	Zip Code (+4 optional) 33184	Country USA	
Type of Slot Machine Occupational License applying for: <input type="checkbox"/> General Individual <input type="checkbox"/> Professional Individual <input checked="" type="checkbox"/> Slot Machine/Cardroom/PMW Combination				Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Job title(s)*: Cage Supervisor				Facility where employed and/or doing business: Flagler	
*Applicants for a Combo license should disclose all job titles				Employer name: Magic City Casino	
FOR DIVISION USE ONLY					
License Code <u>1055</u>	License # <u>13713342</u>	File # <u>11974</u>	App # <u>42459</u>		
Association Code <u>ISS</u>	Date Received <u>AUG 24 2023</u>	Entered By <u>[Signature]</u>	License Year <u>23/26</u>		
License Fee <u>\$100.00</u>	FP Date <u>AUG 24 2023</u>	FP Fee <u>N/A</u>	Total Fee <u>\$100.00</u>		
Waiver Requested (Combo Only)		ARCI <input checked="" type="checkbox"/>		Enforcement <input checked="" type="checkbox"/>	

EMPLOYMENT HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

<input type="checkbox"/> Yes	Have you previously worked for a gaming-related employer?			
<input checked="" type="checkbox"/> No	If yes, you must list below all gaming-related employment history in the past ten years.			
Name of Employer	Address	Start Date (Month/ Year) - End Date (Month/ Year)	Title/Position Held & Supervisor Name	Reason for Leaving

PREVIOUS LICENSING HISTORY (ATTACH ADDITIONAL PAGES AS NECESSARY)

<input type="checkbox"/> Yes	Have you ever been licensed in any other racing or gaming jurisdiction? If yes, you must list all current and previous licenses and provide the details in the section below.	
<input checked="" type="checkbox"/> No		
State(s) or Jurisdiction(s) Where Licensed	Date of Expiration	Is this license currently suspended or subject to fines or other discipline? Explain why.
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No
		<input type="checkbox"/> Yes <input type="checkbox"/> No

<input type="checkbox"/> Yes	Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
<input checked="" type="checkbox"/> No	

If you answered yes to the question above, provide details here:

AUG 24 2023

PLEASE READ AND SIGN BELOW

CONTROL COMMISSION

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this Instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging the FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

AUTHORIZATION FOR RELEASE OF INFORMATION:

I do hereby instruct all law enforcement, criminal justice agencies, gaming commissions, tribal gaming regulatory agencies or commissions, state agencies, or commissions responsible for gaming regulation to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation or the Florida Department of Law Enforcement.

I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Further, I understand that under Florida Statute, any information released that is not specifically exempted shall become part of the public record, releasable upon request to the public pursuant to Chapter 119, Florida Statutes.

Alberto Lazo

Print Legal Name (First Middle Last)

[Redacted]

Birth Date (MM/DD/YYYY)

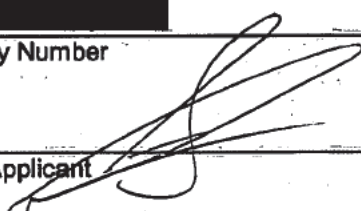
[Redacted]

Social Security Number

Magic City Casino

Name of Employer

Signature of Applicant



08/24/2023

Date

AUG 24 2023

We the People

*Of the United States,
in Order to form a more perfect Union,
establish justice, insure domestic Tranquility,
provide for the common defence,
promote the general Welfare, and secure
the Blessings of Liberty to ourselves and
our Posterity, do ordain and establish this
Constitution for the United States of America.*



SIGNATURE OF BEARER / SIGNATURE DU TITULAIRE / FIRMA DEL TITULAR

PASSPORT
PASSEPORT
PASAPORTE

UNITED STATES OF AMERICA



FLORIDA GAMING
CONTROL COMMISSION

2023 AUG 28 AM 9:18

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AUG 24 2023

RECEIVED



2023 AUG 28 AM 9: 18

Licensees

FLORIDA GAMING Licensee
CONTROL COMMISSION Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number [REDACTED]
Date of Birth:
First Name: Alberto
Middle Name:
Last Name: Lazo
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

AUG 24 2023

Licensees



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FLORIDA GAMING
CONTROL COMMISSION

Licensee
Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: Alberto
Middle Name:
Last Name: Lazo
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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[Licensees](#) [Rulings](#) [Horse Tracking](#) [Bulletin Board](#) [Preferences](#) [Log Off](#)

AUG 24-2023

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update | Mass Status Update
Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: twebb

VR Home > Complaint Search

System Messages

- Fed Tax # not found

Search Criteria | Results



Complaint

Board Complaint #

Lic Type Reference

Case Type Status

Assigned To

Incident From  Incident To 

Key Phrase in Summary

- Find
- New
- Clear
- Back

Respondent

Fed Tax #

File #

License #

Entity #

Key Name

Street #

Street

City

Postal/Zip

State

County

Complainant Key Name

Involved Party Key Name

 Get Adobe Reader.

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FLORIDA GAMING CONTROL COMMISSION

No findings

AUG 24 2023

Voucher



Date

8/24/2023

No. 4504

Amount \$ 100.00

Description	Alberto Lazo
Charged to	Slot Machine/Cardroom/PMW Combo 3 year
Received by	
Approved by	Wry Cross

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2023 AUG 28 AM 9:17

FLORIDA GAMING
CONTROL COMMISSION

AUG 24 2023

Luiza Webb

From: David Donaldson
Sent: Monday, August 21, 2023 4:10 PM
To: Jonathan Dye; Cyntoria Harris; Randall Kitchens; Tori Lanier; Cleari Maglioni; Cynthia Ritter; Holly Chaires; Amy Hall; Kathy Echelmeyer; Flor Ferrufino; Michelle Futrell; Carlos Gaitan; Dennis Miller; Martha Pacheco; Jason Cantor; Wadley Celian; Dennis Chen; Jose Cuevas; Bill Crafts; Martin Derico; Wanda Edwards; Donald England; Velda Ganpat; Willie Geter; Frank Gomez; Ernesto Guerra; Noel Haynes; Shaida Horard; Marva Jones; Seannie Joseph; Maria Leiva-Ubidia; Kereene Lewis; Angie Medina; Kit Miller; Pedro Polanco; Yashwanti Ragoobir; Jackelin Rodriguez; Eileen Ross; Tatiana Santos; John Stanic; Michael Warren; Luiza Webb; Evan Holley; Arthur Johnson; Steven Maners; Joseph Qatato
Cc: Glenda Ricks
Subject: Tallahassee Operations Address Reminder
Importance: High

Good afternoon,

This is a reminder of our decoupling from DBPR services and that all FGCC Tallahassee staff are in a new location. Please do not use the 2601 Blairstone Road address any longer, this address goes through DBPR before being routed to FGCC. This process can add several days to already time sensitive items.

All mail and cash batches for FGCC Tallahassee Operations need to be sent to **4070 Esplanade Way, Ste. 250, Tallahassee, FL 32399**. Also please be sure all checks and money orders to the Commission are made out to FGCC.



FLORIDA
GAMING CONTROL
COMMISSION

David Donaldson
Licensing Administrator
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
850.794.8121 Office 850.536.8714 FAX

FLORIDA GAMING
CONTROL COMMISSION

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The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. LARGER VIEW

Greetings,

Ruben's Scanner is not working.

Thank you

AUG 25 2023

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods
Re: Stephanie L. Porter; Case No. 2023-057165
Date: October 20, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Stephanie L. Porter's, ("Applicant") application for a Cardroom Employee Occupational License. Applicant submitted a completed application for a Cardroom Employee Occupational License on September 8, 2023. It appears the Applicant has been convicted of disqualifying offenses. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On September 8, 2023, Applicant submitted her completed application to the Division of Pari-Mutuel Wagering for a Cardroom Employee Occupational License. Upon review of the completed application, it appears the Applicant was convicted of a disqualifying offense in the state of Florida: Petit Theft in Duval County, 1998.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides:

“[T]he commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted of . . . a crime involving a lack of good moral character”

Section 550.105(5)(d), Florida Statutes, provides that:

“the term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of

this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Staff Recommendation: Because the Applicant's was convicted of disqualifying criminal offenses the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: PORTER, STEPHANIE L – 13613518 Case No: 2023057165
(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	5/31/2023
COMPLETE APPLICATION RECEIVED:	9/8/2023
90-DAY DEADLINE:	12/7/2023

<u>Randall Kitchens</u>	<u>148 – Orange Park</u>	<u>1012 – Chlp Counter</u>
Application Processor	Facility (d/b/a name)	Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review				
Conviction				
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
<input type="checkbox"/> Felony : Count(s)				
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking				
<input type="checkbox"/> Animal Cruelty				
<input checked="" type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)				
Comments:				
Arrest Date	Location	Charge	Level	Disposition
09/29/1998	Duval County, Fl	Petit Theft 161998MM051976A	M	Adj Withheld

Disposition Unknown
<input type="checkbox"/> Felony Arrest(s) : Count(s)
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking
<input type="checkbox"/> Animal Cruelty

Enforcement/Jurisdiction Offenses
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.	
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval	
Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director	Initials: <i>g</i>
Comments:	

applicant w/ criminal history did not file for a waiver. g Parks

APPLICANT

* See Privacy Act Notice on Back

LEAVE BLANK

TYPE OR PRINT ALL INFORMATION IN BLACK

LAST NAME NAM FIRST NAME MIDDLE NAME

FBI LEAVE BLANK

FD-258 (Rev. 5-15-17) 1110-0046

PORTER STEPHANIE

SIGNATURE OF PERSON FINGERPRINTED

Stephanie Porter

ALIASES AKA

O
R
I

RESIDENCE OF PERSON FINGERPRINTED

DATE OF BIRTH DOB
Month Day Year

CITIZENSHIP CTZ
US

SEX RACE HGT WGT EYES HAIR PLACE OF BIRTH POB
F BL 5'5" 147 BRN BRN CALIF.

YOUR NO. OCA

LEAVE BLANK

EMPLOYER AND ADDRESS

UNIVERSAL CONTROL NO. UCN

ARMED FORCES NO. MNU

CLASS

CR

REASON FINGERPRINTED

SOCIAL SECURITY NO. SOC

REF.

MISCELLANEOUS NO. MNU

V

6/5/23



FEDERAL BUREAU OF INVESTIGATION
 UNITED STATES DEPARTMENT OF JUSTICE
 CJIS DIVISION/CLARKSBURG, WV 26306

1110-0046

APPLICANT

1. LOOP



CENTER OF LOOP

DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2. WHORL



DELTA

THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

THIS CARD FOR USE BY:

- LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS, PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON A STATE STATUTE DO NOT SATISFY THIS REQUIREMENT.
- U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.
- OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE THE SECURITY OF THOSE INSTITUTIONS.

Please review this helpful information to aid in the successful processing of hard copy civil fingerprint submissions in order to prevent delays and rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation. Ensure all information is typed or legibly printed using blue or black ink. Enter data within the boundaries of the designated field or block. Complete all required fields. (If a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

The required fields for hard copy civil fingerprint cards are: ORI, Date of Birth, Place of Birth, NAAT, Sex, Date fingerprinted, Reason Fingerprinted, and proper completion of fingerprint impressions.

- Do not use highlighters on fingerprint cards.
- Do not enter data or labels within "Leave Blank" areas.
- Ensure fingerprint impressions are rolled completely from nail to nail.
- Ensure fingerprint impressions are in the correct sequence.
- Ensure notations are made for any missing fingerprint impression (i.e. amputation).
- Do not use more than two retabs per fingerprint impression block.
- Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the Internet by accessing the FBI's website at: fbi.gov, click on 'Fingerprints', then click on 'Ordering Fingerprint Cards & Training Aids'. Direct questions to the Biometric Services Section's Customer Service Group at (304) 825-5590 or by e-mail at identity@fbi.gov.

Social Security Account Number (SSAN): Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN, is responsible for informing the person whether disclosure is mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In this instance, the SSAN is solicited pursuant to 28 U.S.C 534 and will be used as a unique identifier to confirm your identity because many people have the same name and date of birth. Disclosure of your SSAN is voluntary; however, failure to disclose your SSAN may affect completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

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According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collection is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20530.

INSTRUCTIONS:

- PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
- IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE 'EMPLOYER AND ADDRESS'. THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI. UNIVERSAL CONTROL NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- MISCELLANEOUS NO. - RECORD: OTHER ARMED FORCES NO. PASSPORT NO. [FP] ALIEN REGISTRATION NO. (AR). PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

FD-258 (REV. 5-15-17)

U.S. GOVERNMENT PUBLISHING OFFICE:
 07/28/2020 11:52:04

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 MAY 31 10 09 AM '09
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 CLARKSBURG, WV

RECEIVED
 2023 MAY 31 AM 9:29
 FLORIDA GAMING
 CONTROL COMMISSION

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering
 DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION					
Social Security Number [REDACTED]		Birth Date (MM/DD/YYYY) [REDACTED]		Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female	
Last Name Porter		First Stephanie	Middle L	Suffix	
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
If yes, list the name or names used: _____					
Race/Ethnicity (optional) <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> Other					
Current Mailing Address [REDACTED]			Email Address (optional) [REDACTED]		
City Jacksonville	State FL	Zip Code (+4 optional) 32222	Country, if other than USA		
Primary Phone Number 904-333-7172			Secondary/Cell Phone Number (optional)		
Current Street Address [REDACTED]					
City Jacksonville	State FL	Zip Code (+4 optional) 32222	Country, if other than USA		
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input type="checkbox"/> Pari-Mutuel Professional Individual <input checked="" type="checkbox"/> Cardroom Employee			Facility where employed and/or doing business: bestbet - 148		
Occupation: <u>CHIP COUNT</u>					
Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____					
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY					
Type of professional license (attach a copy of Florida professional license):			Florida License Number		
FOR DIVISION USE ONLY					
License Code <u>1012</u>	License # <u>13613518</u>	File # <u>14723</u>	App # <u>72850</u>		
Association Code <u>910</u>	Date Received <u>5/31/23</u>	Entered By <u>[Signature]</u>	License Year <u>23/26</u>		
License Fee <u>100</u>	FP Date <u>5/31/23</u>	FP Fee <u>37.25</u>	Total Fee <u>137.25</u>		
Off Temp	Waiver Requested	ARCI	Enforcement	Minor	

REGISTRATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of, or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an annulment? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

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Stephanie L. Porter Date 05/23/2023
Signature of Applicant

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2023 MAY 31 AM 9:28

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FL. GAMING CONTROL COMMISSION
DIV. OF PARI-MUTUEL WAGERING
TALLAHASSEE FL 32399

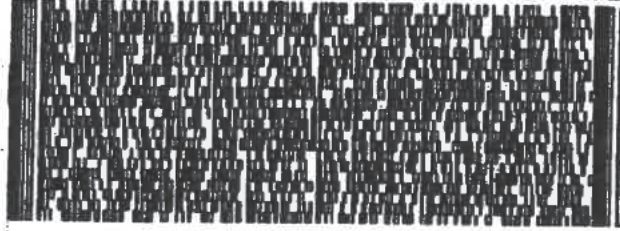
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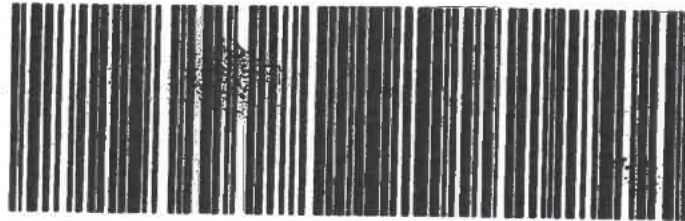
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FL-US TLH



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Padded Pak



FLORIDA GAMING CONTROL COMMISSION

RECEIVED

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

HZ

2023 SEP -8 PM 1:26

June 21, 2023

FLORIDA GAMING
CONTROL COMMISSION

Ms. Stephanie L Porter
6691 Sandler Lakes Drive
Jacksonville, Florida 32222

RE: Application No. 72850, Entity 13613518
1012 - Cardroom Employee Occupational

Dear Ms. Porter:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 2 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Duval County, Florida Arrest(s) - 09/29/1998

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

Also, Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

5

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

Entity number: 13613518

Amended application number: 1

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

Table with columns: DATE OF DISPOSITION, COUNTY, STATE, OFFENSE, MISDEMEANOR OR FELONY?, SENTENCE. Row 1: Adjudication withheld, Duval, FL, misdemeanor, None.

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 663, 654; and Sections 409.2577, 409.2596, and 559.79, Florida Statutes.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete.

Signature of Applicant: Stephanie L Porter

Date: 09/05/2023

FLORIDA GAMING CONTROL COMMISSION

2023 SEP -8 PM 1:27



Jody Phillips
Clerk of the Circuit Court

Jody Phillips
CLERK OF THE COUNTY COURT
DUVAL COUNTY
501 WEST ADAMS STREET
JACKSONVILLE, FLORIDA 32202-2985

I, Jody Phillips, CLERK OF THE CIRCUIT AND COUNTY COURTS IN AND FOR DUVAL COUNTY, FLORIDA DO HEREBY CERTIFY THE RECORDS KEPT BY THIS OFFICE INDICATE THAT THE FOLLOWING ENTRIES CONSTITUTE A TRUE AND CORRECT COPY OF THE COURT RECORD AND LITERAL TRANSCRIPT OF THE MISDEMEANOR DEPARTMENT, JACKSONVILLE, DUVAL COUNTY, FLORIDA.

DEFENDANT STEPHANIE LOUISE PORTER

CASE NO. 16-1998-MM-051976-AXXX-MA

OFFENSE DATE September 29, 1998

JUDGE PAULINE DRAKE

OFFENDER NO. /CITATION NO. 1998-038069 /

DIVISION 1 (County)

Count	Initial Statute Description	Court Statute Description	Plea	Disposition	Disposition Date
1	PETIT THEFT	PETIT THEFT	GUILTY	ADJUDICATION WITHHELD	9/30/1998

DISPOSITION

1 COURT FINE OF \$100 IMPOSED, \$99 REMAINS OUTSTANDING.

OTHER ARRESTED 09/29/1998, CASE FILE DESTROYED 08/29/2014

WITNESS BY HAND AND SEAL OF OFFICE, AT JACKSONVILLE, DUVAL COUNTY, FLORIDA, THIS 25th of August, 2023.

FLORIDA GAMING
CONTROL COMMISSION
2023 SEP -8 PM 1:26

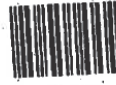
RECEIVED



Jody Phillips
CLERK OF THE CIRCUIT AND COUNTY COURTS
Jenny S. Smith
DEPUTY CLERK

*top name
middle likes
inville, FL 32222*

Retail



32399

RDC 99

U.S. POSTAGE PAID
FCM-LG ENV
JACKSONVILLE, FL 32203
SEP 05, 2023

\$1.35

R2305K138332-10

FORWARDED FROM CUI
FORWARDED FROM CUI
FORWARDED FROM CUI
SEP 7 2023
SEP 8 2023

*Office of Operations
2601 Blair Stone Road
Tallahassee, FL 32399*

FORWARDED FROM CUI
SEP 08 2023

2023 SEP 8 PM 1:26
FLORIDA GAMING
CONTROL COMMISSION

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
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- [Complaint Search](#)
- [Mass Status Update](#)
- [Chance Recording License Type](#)
- [Public Case Info](#)
- [Delete Complaint](#)
- [Mass Activity Update](#)
- [Mass Discipline Update](#)

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: ahall5

[VR Home](#) > **Complaint Search**

Search Criteria	Results
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Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
No items found.										

Total: 0

Print	Back
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Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number: [REDACTED]
Date of Birth:
First Name: stephanie
Middle Name:
Last Name: porter
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
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JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

September 12, 2023

Ms. Stephanie L Porter
6691 Sandler Lakes Drive
Jacksonville, Florida 32222

RE: Application No. 72850, Entity 13613518
1012 - Cardroom Employee Occupational

Dear Ms. Porter:

We have received the additional information you submitted.

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

Any individual or business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - Request for Waiver and DBPR PMW-3195 - Request for Release of Information and Authorization to Release Information (forms enclosed). The Division will process a request for waiver upon receipt of a complete application. If you fail to provide the above information, your application will be processed as-is.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. **Return the missing document and/or information to the address provided above, attention Office of Operations.** If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

MEMORANDUM

To: The Florida Gaming Control Commission (the “Commission”)
From: Division of Pari-Mutuel Wagering
Re: Kirk M. Ziadie; Case No. 2023-057292
Date: November 1, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Kirk M. Ziadie’s (“Applicant”) application for a Pari-Mutuel Professional Individual Occupational License (the “Application”). On August 18, 2023, Applicant submitted a completed Application. However, pursuant to Orders issued by track stewards and Final Orders issued by the Division of Pari-Mutuel Wagering, Department of Business and Professional Regulation (“DBPR”) between May 30, 2004, and January 11, 2016, the Applicant committed forty-nine violations of chapter 550, Florida Statutes, and rules governing the conduct of persons connected with racetracks and frontons. In addition, on January 11, 2016, DBPR suspended Applicant’s pari-mutuel wagering license by final order for a time period of six years. Based on the foregoing, the Commission should authorize the issuance of a Letter of License Denial to the Applicant.

Pertinent Facts

On August 18, 2023, following the conclusion of the Applicant’s pari-mutuel wagering license suspension imposed by DBPR on January 11, 2016, in Final Order, file number 2016-00123, the Applicant submitted the Application to the Division of Pari-Mutuel Wagering.

During review of the completed application, Commission staff observed that by Orders issued by track stewards and Final Orders issued by DBPR between May 30, 2004, and January 11, 2016, the Applicant was found to have violated Chapter 550, Florida Statutes, and the rules governing the conduct of persons connected with racetracks and frontons, on forty-nine occasions. A list of each Order and Final Order, including a brief description of the violations, is attached hereto as *Exhibit 1*.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for or holder thereof has violated the provisions of this chapter or the rules of the division governing the conduct of persons connected with racetracks and frontons.

(Emphasis added)

Staff Recommendation: Based on the Applicant's previous violations of Chapter 550 and the rules promulgated thereunder that govern the conduct of persons connected with racetracks and frontons, the Commission may deny the Application or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Letter of License Denial in this matter.

Exhibit 1

	Final Order Issued in Case Number:	Date of Final Order	Applicant found in Violation of Florida Section/Florida Administrative Code:	Violation Type	Violation Date
1	2004028212	5/30/2004	Section 550.2415, Rule 61D-6.011.	Permitted substance, but over threshold.	5/2/2004
2	2005022931	4/22/2005	Rule 61D-2.012	Failure to have foal certificate	4/22/2005
3	2005030701	7/24/2005	Section 550.2415(1)(a).	Prohibited substance.	5/8/2005
4	2004057550	11/17/2005	Section 550.2415(1)(a), Rule 61D-6.001.	Prohibited substance.	10/14/2004
5	2004060610	11/17/2005	Section 550.2415(1)(a), Rule 61D-6.001.	Prohibited substance.	12/3/2004
6	2005064692	12/22/2005	Rule 61D-6.008(2).	Permitted substance, but over threshold.	12/2/2005
7	2006006002	1/23/2006	Rule 61D-2.012	Late Scratch/No Tattoo	1/1/2006
8	2006005999	1/23/2006	Rule 61D-2.012	Late Scratch/No Foal Certificate	1/12/2006
9	2006005191	3/2/2006	Section 550.2415(1)(a).	Prohibited substance.	1/15/2006
10	2006006449	3/2/2006	Section 550.2415(1), Rule 61D-6.008	Permitted substance, but over threshold.	1/19/2006
11	2006007718	3/2/2006	Section 550.2415(1).	Permitted substance, but over threshold.	1/30/2006
12	2006022184	3/21/2006	Rule 61D-2.012	Late Scratch/No Foal Certificate	3/9/2006
13	2006019839	4/26/2006	Rule 61D-6.008(2)(b).	Permitted substance, but over threshold.	3/18/2006
14	2006060434	11/19/2006	Rule 61D-6.008(2)(c).	Permitted substance, but over threshold.	10/15/2006
15	2007008307	3/3/2007	Section 550.2415(1).	Prohibited substance.	1/6/2007
16	2007023290	3/24/2007	Rule 61D-6.009(8)	Expired Coggins test.	3/11/2007
17	2006067518	3/28/2007	Rule 61D-6.008(2)(c).	Permitted substance, but over threshold.	11/26/2006
18	2007068121	1/25/2008	Rule 61D-6.008(2)(b).	Permitted substance, but over threshold.	12/2/2007
19	2008004547	1/25/2008	Rule 61D-6.008(2).	Permitted substance, but over threshold.	12/2/2007
20	2008005198	1/25/2008	Rule 61D-6.008(2).	Permitted substance, but over threshold.	1/5/2008
21	2008037738	11/22/2008	Rule 61D-6.008(2)(c)(3).	Permitted substance, but over threshold.	6/14/2008
22	2008037729	11/22/2008	Rule 61D-6.008(2)(c)(3).	Permitted substance, but over threshold.	6/14/2008

Exhibit 1

	Final Order Issued in Case Number:	Date of Final Order	Applicant found in Violation of Florida Section/Florida Administrative Code:	Violation Type	Violation Date
23	2008039364	11/22/2008	Rule 61D-13.008(2)(a)(2).	Permitted substance, but over threshold.	6/27/2008
24	2008054126	11/22/2008	Rule 61D-13.008(2)(a)(2).	Permitted substance, but over threshold.	9/11/2008
25	2007062696	11/23/2008	Section 550.2415(1)(a).	Prohibited substance.	10/25/2007
26	2009003113	2/3/2009	Rule 61D-6.008(3)(c).	Late scratch.	1/18/2009
27	2009022107	5/2/2009	Rule 61D-6.011(2)(c).	Prohibited substance.	3/25/2009
28	2007025004	6/18/2009	Section 550.2415(1)(a), Rule 61D-6.011(2).	Prohibited substance.	3/19/2007
29	2009029506	11/12/2009	Rule 61D-6.008(2)(a)(2).	Permitted substance, but over threshold.	5/14/2009
30	2008059733	11/15/2009	Section 550.2415(1)(a).	Prohibited substance.	9/20/2008
31	2009048213	9/30/2010	Section 550.2415(1)(a), Rule 61D-6.011(2).	Prohibited substance.	3/31/2009
32	2012033990	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	7/4/2012
33	2012040949	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	8/17/2012
34	2012041931	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	8/30/2012
35	2012041948	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	9/14/2012
36	2012043730	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	9/27/2012
37	2013016106	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	3/13/2013
38	2013023790	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	4/26/2013
39	2013023875	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	5/10/2013
40	2013025104	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	5/24/2013
41	2013025126	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	5/26/2013
42	2013026525	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	6/8/2013
43	2013026031	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	6/9/2013
44	2013029114	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	6/25/2013

Exhibit 1

	Final Order Issued in Case Number:	Date of Final Order	Applicant found in Violation of Florida Section/Florida Administrative Code:	Violation Type	Violation Date
45	2013030616	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	7/1/2013
46	2013032774	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	7/19/2013
47	2013034195	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	8/3/2013
48	2013043815	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	10/12/2013
49	2013047021	1/11/2016	Section 550.2415(1)(a)	Permitted substance, but over threshold.	10/27/2013

Randall Kitchens

From: Glenda Ricks
Sent: Wednesday, October 11, 2023 2:44 PM
To: Randall Kitchens
Subject: FW: Kirk Ziadie Stop Order
Attachments: ZIADIE, KIRK.docx

Please include the email and attached document in the application file.



Glenda Ricks, Chief of Operations

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Operations
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399

Main Line: 850.794.8130 Direct Line: 850.794.8126

Preserve and protect the integrity of gaming activities through fair regulation, licensing, effective criminal investigation, and enforcement.

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Kevin Scheen <Kevin.Scheen@flgaming.gov>
Sent: Wednesday, October 11, 2023 12:02 PM
To: Glenda Ricks <Glenda.Ricks@flgaming.gov>
Subject: FW: Kirk Ziadie Stop Order

Hi Glenda,
As per our phone conversation this morning, please see attached.
Let me know if you need anything else on this.
Thanks



Kevin Scheen – State Steward
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
Office: 954 457 6130 Cell: 754 234 1133

From: Rodriguez, Jorge <Jorge.Rodriguez@1st.com>
Sent: Wednesday, October 11, 2023 11:09 AM
To: Kevin Scheen <Kevin.Scheen@flgaming.gov>
Subject: FW: Kirk Ziadie Stop Order

Good morning sir,

As discussed, please see the attached STOP Order for the individual in question.

Have a great one,

Doogie

1/ST

JORGE "DOOGIE" RODRIGUEZ
DIRECTOR OF SECURITY
SOUTHEAST REGION

GULFSTREAM PARK RACING &
CASINO / PALM MEADOWS
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6972
C /954.848.7780



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STOP ORDER

**TO: GULFSTREAM PARK
GULFSTREAM PARK WEST
PALM MEADOWS T. C.
R.T.T.C.**

**FROM: RODERICK BALDWIN
SECURITY MANAGER**

DATE: 9/22/16

RE: STOP ORDER

NAME: KIRK ZIADIE

AGE: 48 Y/O

SEX: M

RACE:

HEIGHT: UNK

WEIGHT: UNK

EYES: BRN

HAIR: BLK

OCCUPATION: TRAINER

REASON: EFFECTIVE IMMEDIATELY TRAINER KIRK ZIADIE IS NOT ALLOWED ON ANY GSP OR GSPW PROPERTY. IF SEEN CONTACT IMMEDIATE SUPERVISOR.



**IF THE ABOVE MENTIONED PERSON TRIES TO GAIN ACCESS
TO THE PREMISES, NOTIFY THE SECURITY OFFICE.**

Department of Business and Professional Regulation
 Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: ZIADIE, KIRK M – 701515

Case No: 2023057292

(APPLICANT'S NAME– LICENSE #)

INITIAL APPLICATION RECEIVED:	<u>5/31/2023</u>
COMPLETE APPLICATION RECEIVED:	<u>8/18/2023</u>
90-DAY DEADLINE:	<u>11/16/2023</u>

Randall Kitchens

950 - Ocala

1021 - Trainer

Application Processor

Facility (d/b/a name)

Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review	
Conviction	
Did the application accurately reflect the Criminal History Record?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<input type="checkbox"/> Felony : Count(s)	
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking	
<input type="checkbox"/> Animal Cruelty	
<input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)	

Disposition Unknown	
<input type="checkbox"/> Felony Arrest(s) : Count(s)	
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking	
<input type="checkbox"/> Animal Cruelty	

Enforcement/Jurisdiction Offenses	
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.	
Comments:	
Applicant with extensive ruling history in Florida. Facility - 950 - Ocala.	

Related Licenses	
Check VR License Relations to Determine if Applicant is Related to a Business.	
Is the individual applicant related to a business?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.
Business License Number:	Business Name:
Has a deficiency letter been issued to the business indicating all ODSs must be licensed?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Date Deficiency Letter Issued:	Initials:

Licensing Administrator Review	
Disposition Confirmation	
Disqualifying Convictions/Arrests Confirmed?	Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval
Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director	Initials: <u>[Signature]</u> 10/11/23
Comments:	

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
Mass Status Update | Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: rkitchens

VR Home > Complaint Search > Maintain Complaint

Lic Type	1021 - Pari-Mutuel Wagering Individual Occupational	Status	10 Initial Review	Status Date	10/11/2023
Complaint #	2023057292	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent ZIADIE, KIRK M	Responsible	gricks - RICKS, GLENDA	Private Case	

Complaint | Respondent | Complainant | Add'l Info

Source	INTN - Internal	Security Level	1	<input type="checkbox"/>	Parties	<input type="checkbox"/>	Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/>	Allegations		Discipline
Class'n	OTHR - Other	Complexity	R - Regular	<input type="checkbox"/>	Violations		Compliance
Security	STND - Standard	Incident	08/18/2023	<input type="checkbox"/>	Related	<input checked="" type="checkbox"/>	Disposition
Region	SR - Southern Region	Received	08/18/2023	<input type="checkbox"/>	Inspection		
Reference				<input type="checkbox"/>	Costs		
Entered	10/11/2023	Entered By	rkitchens	<input type="checkbox"/>	Time Tracking		Auto Assign
Summary	Applicant with extensive ruling history and suspension in Florida. Facility - 950 - Ocala.			<input type="checkbox"/>	Attachments		History
Updated	10/11/2023 14:39:32	By	rkitchens	<input type="checkbox"/>	Work Notes		Print Report

Change | Save | OK | Cancel | Back

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APPLICANT
* See Privacy Act Notice on Back

LEAVE BLANK

TYPE OR PRINT ALL INFORMATION IN BLACK

FBI

LEAVE BLANK

LAST NAME NAM FIRST NAME MIDDLE NAME

ZIADIE KIRK MAURICE

FD-258 (Rev. 5-15-17) 1110-0046

SIGNATURE OF PERSON FINGERPRINTED

ALIASES AKA

O
R
I

RESIDENCE OF PERSON FINGERPRINTED

DATE OF BIRTH DOB

CITIZENSHIP CTZ

USA

SEX

M

RACE

W

HGT.

5'8

WGT.

175

EYES

BLK

HAIR

BLK

PLACE OF BIRTH POB

JAMAICA

DATE

5/30/23

SIGNATURE OF OFFICIAL TAKING FINGERPRINTS

R. Mojica GPO

EMPLOYER AND ADDRESS

YOUR NO. OCA

UNIVERSAL CONTROL NO. UCN

ARMED FORCES NO. MNU

SOCIAL SECURITY NO. SOC

MISCELLANEOUS NO. MNU

LEAVE BLANK

CLASS

P

REF.

112 6-6-23 Hz



FEDERAL BUREAU OF INVESTIGATION
 UNITED STATES DEPARTMENT OF JUSTICE
 CJIS DIVISION/CLARKSBURG, WV 26306

1110-0046

APPLICANT

MARION COUNTY SHERIFF'S OFFICE
 P.O. BOX 1987
 CCALA, FL 34478

1. LOOP



CENTER OF LOOP
 DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2. WHORL



DELTA

THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

THIS CARD FOR USE BY:

1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.
2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT LICENSING, PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT.
3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.
4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN THE SECURITY OF THOSE INSTITUTIONS.

Please review this helpful information to aid in the successful processing of hard copy civil fingerprint submissions in order to prevent delays or rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation. Ensure all information is typed or legibly printed using blue or black ink. Enter data within the boundaries of the designated field or block. Complete all required fields. (If a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

- The required fields for hard copy civil fingerprint cards are: ORI, Date of Birth, Place of Birth, NAM, Sex, Date fingerprinted, Reason Fingerprinted, and proper completion of fingerprint impression boxes.

Do not use highlighters on fingerprint cards.
 Do not enter data or labels within 'Leave Blank' areas.
 Ensure fingerprint impressions are rolled completely from nail to nail.
 Ensure fingerprint impressions are in the correct sequence.
 Ensure notations are made for any missing fingerprint impression (i.e. amputation).
 Do not use more than two retabs per fingerprint impression block.
 Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the Internet by accessing the FBI's website at: fbi.gov, click on "Fingerprints", then click on "Ordering Fingerprint Cards & Training Aids". Direct questions to the Biometric Services Section's Customer Service Group at (304) 625-5590 or by e-mail at identity@fbi.gov.

Social Security Account Number (SSAN): Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN, is responsible for informing the person whether disclosure is mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In this instance, the SSAN is solicited pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to disclose your SSAN may affect completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and talent fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

PAPERWORK REDUCTION ACT NOTICE

According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collected is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20530.

INSTRUCTIONS:

- 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
- 2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE "EMPLOYER AND ADDRESS". THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI. UNIVERSAL CONTROL NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- 3. MISCELLANEOUS NO. - RECORD: OTHER ARMED FORCES NO. PASSPORT NO. (FP), ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

FD-258 (REV. 5-15-17)

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 FBI/DOJ

1020 OPEN

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Social Security Number [REDACTED]	Birth Date (MM/DD/YYYY) [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name ZIADIE	First KIRK	Middle MAURICE	Suffix MR.
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If yes, list the name or names used: _____			
Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input checked="" type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input checked="" type="checkbox"/> Other JAMAICAN			
Current Mailing Address [REDACTED]		Email Address (optional) [REDACTED]	
City Miramar	State Fla.	Zip Code (+4 optional) 33027	Country, if other than USA
Primary Phone Number [REDACTED]		Secondary/Cell Phone Number (optional)	
Current Street Address SAME			
City	State	Zip Code (+4 optional)	Country, if other than USA
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input checked="" type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee		Facility where employed and/or doing business: NELSON JONES TRAINING CENTER	
Occupation: TRAINER			
Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____			
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY			
Type of professional license (attach a copy of Florida professional license):		Florida License Number	
FOR DIVISION USE ONLY			
License Code 1021	License # 701515	File # 9832 9832	App # 246204
Association Code 950	Date Received 5/31/2023	Entered By DM	License Year 25
License Fee 80	FP Date 5/30/2023	FP Fee 37.25	Total Fee 117.25
Off Temp	Waiver Requested	ARCI <input checked="" type="checkbox"/>	Enforcement <input checked="" type="checkbox"/> Minor

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CENTRAL COMMISSION

1020 OPEN



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING

www.myfloridallicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel General Occupational License - \$15.00* * does not include fingerprint fee
 - Pari-Mutuel Professional Occupational License - \$80.00*
 - Cardroom Employee Occupational License - \$100.00*

Fingerprints – Choose One Option:

- Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920830Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.

- Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.

- Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s) to:
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

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FLORIDA GAMING
CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
					2/23 JUN - 5 PM 5
					RECEIVED

FLORIDA GAMING CONTROL COMMISSION

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

SUSPENSION IN FLA / POSITIVE TEST

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

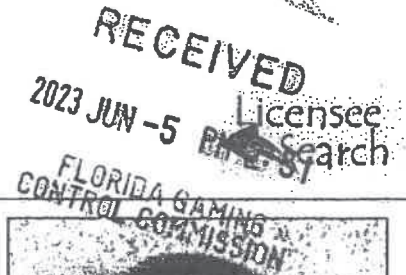
Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.


 Signature of Applicant

5/30/23
 Date

licenses



Licensee: Kirk Maurice Ziadie

Reports on Kirk Maurice Ziadie

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report



Identification Information

Date of Birth	Federal ID/SSN	Country	Sex	Add a New Identification Record
[REDACTED]	[REDACTED]	USA	M	
		USA	Unknown	

Name Information

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix	Add a New Name Record
Current Legal Name		Kirk	Maurice	Ziadie		
Previous Legal		KIRK	MAURICE	ZAIDIE		
Other		Kirk	M	Ziadie		

Address Information

Address Type	Street Address	City	State	Zip Code
Mailing	[REDACTED]	MIRAMAR	FL	33027

Phone Information

Phone Number Type	Phone Number
Business	[REDACTED]
Home	[REDACTED]
Mobile	[REDACTED]

License Information

License Number	License Type	Issued Date	Expiration Date	Licensing Commission	Add a New License Record
648182	Trainer	3/18/2016	12/31/2016	Kentucky Racing Commission	
701515	Trainer	1/12/2016	6/30/2018	Florida Division of Pari-Mutuel Wagering	LAST (EXP)
456988	Trainer	9/15/2014	12/31/2014	Kentucky Racing Commission	
701515	Trainer	6/30/2012	6/30/2015	Florida Division of Pari-Mutuel Wagering	
	Trainer	8/19/2011	6/30/2012	Florida Division of Pari-Mutuel Wagering	

11648	Trainer	3/3/2011	6/30/2011	Florida Division of Pari-Mutuel Wagering
88641	Trainer	1/13/2010	12/31/2010	Maryland Racing Commission
5249230	Trainer	11/20/2008	12/31/2008	Maryland Racing Commission
72809	Trainer	6/1/2008	12/31/2008	New Jersey Racing Commission
701515	Trainer	5/19/2008	12/30/2010	Delaware Thoroughbred Racing Commission
701515	Trainer	6/30/2007	6/30/2010	Florida Division of Pari-Mutuel Wagering
252291	Trainer	9/29/2005	12/31/2005	Indiana Racing Commission
*N*1740780	Trainer	6/24/2005	6/30/2006	Virginia Racing Commission
25030	Trainer	6/24/2005	6/30/2006	Virginia Racing Commission
08227492	Trainer	6/1/2005	8/30/2008	Pennsylvania Horse Racing Commission
*N*1726229	Trainer	5/14/2005	12/31/2005	New Jersey Racing Commission
*N*1704898	Trainer	4/13/2005	12/31/2005	Arkansas Racing Commission
701515	Trainer	7/2/2004	6/30/2007	Florida Division of Pari-Mutuel Wagering
107988	Trainer	12/4/2002	12/31/2003	Texas Racing Commission
701515	Trainer	12/11/2001	6/30/2004	Florida Division of Pari-Mutuel Wagering
*N*1034732	Trainer	12/11/2001	6/30/2004	Florida Division of Pari-Mutuel Wagering
96-1081-0701515	Owner	6/14/1996	6/30/1999	Florida Division of Pari-Mutuel Wagering
	Trainer	6/14/1996	6/30/1999	Florida Division of Pari-Mutuel Wagering
*N*880920	Owner	10/28/1994	6/30/1997	Florida Division of Pari-Mutuel Wagering
943330	Assistant Trainer	7/26/1994	12/31/1994	Birmingham Racing Commission
93-1081-0701515	Owner	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
	Unknown	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
*N*880919	Owner	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
91-3049-0701515	Unknown	6/13/1991	6/30/1992	Florida Division of Pari-Mutuel Wagering
90-3050-0701515	Unknown	7/21/1990	6/30/1993	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Processing Commission
 Florida Division of Pari-Mutuel Wagering
 Virginia Racing Commission

Date Taken	Status	Notes	RCI Card Submitted Date
7/4/1993	Unknown		
6/24/2005	No		

Add a New Fingerprint Record

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End
11-011	Reinstatement to Good Standing in State	2/10/2011	0	N/A	MARYLAND	2/10/2011
11-006MD	Failure to Pay Fine or Fees	1/28/2011	0	N/A	1/28/2011	2/10/2011
10-180MD	General/Miscellaneous Rulings	11/16/2010	200	N/A	NO WORKMENS COMP	
10-013MD	Race Office/Track Rule Violation	1/22/2010	200	Yes		
415097	Unknown	3/31/2009	250	N/A	9/30/2010	9/30/2010
426000	Unknown	3/25/2009	500	N/A	5/2/2009	
421937	✓ Medication/Drug Violation - Animal	9/11/2008	1000	N/A	11/14/2008	11/28/2008 BUTE CALDER
08082	✓ Medication/Drug Violation - Animal	8/20/2008	200	Yes		DMSO/CARTERBURY
70-2008 DE	General/Miscellaneous Rulings	8/6/2008	500	N/A	ENTERED HOUSE VETS LIST AT SICA	DEL PK that was on
408414	Medication/Drug Violation - Animal	6/27/2008	1000	N/A	11/22/2008	
408219	Unknown	6/14/2008	1000	N/A	11/14/2008	11/28/2008
408209	Medication/Drug Violation - Animal	6/14/2008	1000	N/A	11/14/2008	11/28/2008 Oxypentolone
2008010	Failure to Report or Appear ✓	6/12/2008	500	No		
2008010	General/Miscellaneous Rulings	6/12/2008	500	N/A	N/A	N/A
23-2008	Failure to Complete License Procedure	6/3/2008	500	Yes		
405268 2008004736	Medication/Drug Violation - Animal	1/5/2008	500	N/A	1/26/2008	

3rd offense in cal. year

401327 2008004547	Medication/Drug Violation - Animal	12/2/2007	250	N/A	1/25/2008	
401327 2007 06 8121	Medication/Drug Violation - Animal	12/2/2007	250	N/A	1/25/2008	
195855	Unknown	10/25/2007	300	N/A	11/14/2008	11/28/2008
320000964	Race Office/Track Rule Violation	3/11/2007	250	N/A	3/24/2007	
191546 320000949	Medication/Drug Violation - Animal	1/6/2007	250	N/A	3/3/2007	
185092 320000916	Medication/Drug Violation - Animal	3/18/2006	500	N/A	4/22/2006	
320000908	Race Office/Track Rule Violation	3/9/2006	250	N/A	3/19/2006	
184323 320000896	Medication/Drug Violation - Animal	1/30/2006	250	N/A	3/2/2006	
183107 320000897	Medication/Drug Violation - Animal	1/19/2006	1000	N/A	3/2/2006	
183059 320000895	Medication/Drug Violation - Animal	1/15/2006	100	N/A	3/2/2006	
320000869	Unknown	1/12/2006	250	N/A	1/23/2006	
320000870	Unknown	1/1/2006	250	N/A	1/23/2006	
96	Race Office/Track Rule Violation	7/7/2005	100	N/A		
05MON76	Race Office/Track Rule Violation	6/23/2005	100	N/A		
173147 325000843	Medication/Drug Violation - Animal	5/8/2005	300	N/A	7/24/2005	
320000840	Race Office/Track Rule Violation	4/22/2005	100	N/A	4/17/2005	
932228	Medication/Drug Violation - Animal	5/2/2004	100	N/A	5/30/2004	

Applicable Reports

- Comprehensive Ruling Report
- Comprehensive Licensee Report

Comprehensive Licensee Report

ARCI Licensee: Kirk Maurice Ziadie

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FLORIDA GAMING
CONTROL COMMISSION

Identification Information

DOB: [REDACTED]
Country: USA
Sex: M

DOB: [REDACTED]
Country: USA
Sex: Unknown

Licensee's Names

Current Legal Name: Kirk Maurice Ziadie
Previous Legal: KIRK MAURICE ZAIDIE
Other: Kirk M Ziadie

Licensee's Addresses

Mailing: 3701 SW 141 AVE
MIRAMAR, Florida 33027

Licensee's Phone Numbers

Business: 9548622250
Home: 9542143895
Mobile: 9546788705

Fingerprint History

Date Taken: 6/24/2005 Commission: Virginia Racing Commission Status: No

Date Taken: 7/4/1993 Commission: Florida Division of Pari-Mutuel Wagering Status: Unknown

License History

License Number:	648182	License Type:	Trainer
Commission:	Kentucky Racing Commission	State/Province:	Kentucky
Issue Date:	3/18/2016	Expire Date:	12/31/2016
Division:	Horse	Breed:	Thoroughbred

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 1/12/2016

Expire Date: 6/30/2018

Division: Horse

Breed: Unknown

License Number: 456988

License Type: Trainer

Commission: Kentucky Racing Commission

State/Province: Kentucky

Issue Date: 9/15/2014

Expire Date: 12/31/2014

Division: Horse

Breed: Thoroughbred

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 6/30/2012

Expire Date: 6/30/2015

Division: Horse

Breed: Unknown

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 8/19/2011

Expire Date: 6/30/2012

Division: Horse

Breed: Unknown

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 3/3/2011

Expire Date: 6/30/2011

Division: Horse

Breed: Unknown

License Number: 11648

License Type: Trainer

Commission: Maryland Racing Commission

State/Province: Maryland

Issue Date: 1/13/2010

Expire Date: 12/31/2010

Division: Horse

Breed: Thoroughbred

License Number: 88641
Commission: Maryland Racing Commission
Issue Date: 11/20/2008
Division: Horse

License Type: Trainer
State/Province: Maryland
Expire Date: 12/31/2008
Breed: Thoroughbred

License Number: 5249230
Commission: New Jersey Racing Commission
Issue Date: 6/1/2008
Division: Horse

License Type: Trainer
State/Province: New Jersey
Expire Date: 12/31/2008
Breed: Thoroughbred

License Number: 72809
Commission: Delaware Thoroughbred Racing Commission
Issue Date: 5/19/2008
Division: Horse

License Type: Trainer
State/Province: Delaware
Expire Date: 12/30/2010
Breed: Thoroughbred

License Number: 701515
Commission: Florida Division of Pari-Mutuel Wagering
Issue Date: 6/30/2007
Division: Horse

License Type: Trainer
State/Province: Florida
Expire Date: 6/30/2010
Breed: Unknown

License Number: 252291
Commission: Indiana Racing Commission
Issue Date: 9/29/2005
Division: Horse

License Type: Trainer
State/Province: Indiana
Expire Date: 12/31/2005
Breed: Unknown

License Number: *N*1740780
Commission: Virginia Racing Commission
Issue Date: 6/24/2005
Division: Horse

License Type: Trainer
State/Province: Virginia
Expire Date: 6/30/2006
Breed: Thoroughbred

License Number: 25030
Commission: Virginia Racing Commission
Issue Date: 6/24/2005

License Type: Trainer
State/Province: Virginia
Expire Date: 6/30/2006

Division:	Horse	Breed:	Thoroughbred
License Number:	08227492	License Type:	Trainer
Commission:	Pennsylvania Horse Racing Commission	State/Province:	Pennsylvania
Issue Date:	6/1/2005	Expire Date:	8/30/2008
Division:	Horse	Breed:	Thoroughbred
License Number:	*N*1726229	License Type:	Trainer
Commission:	New Jersey Racing Commission	State/Province:	New Jersey
Issue Date:	5/14/2005	Expire Date:	12/31/2005
Division:	Horse	Breed:	Thoroughbred
License Number:	*N*1704898	License Type:	Trainer
Commission:	Arkansas Racing Commission	State/Province:	Arkansas
Issue Date:	4/13/2005	Expire Date:	12/31/2005
Division:	Horse	Breed:	Thoroughbred
License Number:	701515	License Type:	Trainer
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	7/2/2004	Expire Date:	6/30/2007
Division:	Horse	Breed:	Unknown
License Number:	107988	License Type:	Trainer
Commission:	Texas Racing Commission	State/Province:	Texas
Issue Date:	12/4/2002	Expire Date:	12/31/2003
Division:	Horse	Breed:	Unknown
License Number:	701515	License Type:	Trainer
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	12/11/2001	Expire Date:	6/30/2004
Division:	Horse	Breed:	Unknown

License Number: *N*1034732 License Type: Trainer
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 12/11/2001 Expire Date: 6/30/2004
Division: Horse Breed: Thoroughbred

License Number: 96-1081-0701515 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/14/1996 Expire Date: 6/30/1999
Division: Horse Breed: Thoroughbred

License Number: 96-1081-0701515 License Type: Trainer
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/14/1996 Expire Date: 6/30/1999
Division: Horse Breed: Thoroughbred

License Number: *N*880920 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 10/28/1994 Expire Date: 6/30/1997
Division: Horse Breed: Thoroughbred

License Number: 943330 License Type: Assistant Trainer
Commission: Birmingham Racing Commission State/Province: Alabama
Issue Date: 7/26/1994 Expire Date: 12/31/1994
Division: Horse Breed: Thoroughbred

License Number: 93-1081-0701515 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: 93-1081-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: *N*880919 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: 91-3049-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/13/1991 Expire Date: 6/30/1992
Division: Unknown Breed: Unknown

License Number: 90-3050-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/21/1990 Expire Date: 6/30/1993
Division: Unknown Breed: Unknown

Ruling History

Ruling Number: 11-011 Ruling Date: 2/10/2011
Ruling Type: Reinstatement to Good Standing in State
Fine Amount: \$ 0 Fine Paid: N/A
Suspension Start: Suspension End: 2/10/2011

Ruling Text: In the matter of trainer Kirk M. Ziadie, 3701 S.W. 141st Avenue, Miramar, FL 33027, subject of Stewards' Ruling #11-006 dated January 28, 2011. Kirk Ziadie, having paid the two hundred dollars (\$200.00) fine imposed upon him on November 16, 2010 (Ruling #10-180), is hereby reinstated to good standing. BY ORDER OF THE STEWARDS

Ruling Number: 11-006MD Ruling Date: 1/28/2011

Ruling Type: Failure to Pay Fine or Fees

Fine Amount: \$ 0 Fine Paid: N/A

Suspension Start: 1/28/2011 Suspension End: 2/10/2011

Ruling Text: Trainer Kirk M. Ziadie, 1155 N. University Drive, Suite 301, Pembroke Pines, FL 33024, having failed to pay a two hundred dollars (\$200.00) fine imposed upon him on November 16, 2010 (Ruling #10-180), is hereby suspended pending payment of the fine. [COMAR 09.10.04.05, §B.] While suspended, Kirk Ziadie is denied the privileges of all the grounds under the jurisdiction of the Maryland Racing Commission. [COMAR 09.10.01.45, Y(1)(a).] BY ORDER OF THE STEWARDS

Ruling Number: 10-180MD Ruling Date: 11/16/2010

Ruling Type: General/Miscellaneous Rulings

Fine Amount: \$ 200 Fine Paid: N/A

Suspension Start: Suspension End:

Ruling Text: Trainer Kirk M. Ziadie is fined two hundred dollars (\$200.00) for causing a late scratch of his entry, "Blazing Rate" out of the second race on October 28, 2010, by failing to have Workers' Compensation Insurance coverage at the time of the race. [Violation under COMAR 09.10.04.19.] BY ORDER OF THE STEWARDS

Ruling Number: 10-013MD Ruling Date: 1/22/2010

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 200 Fine Paid: Yes

Suspension Start: Suspension End:

Ruling Text: Trainer Kirk M. Ziadie, 1155 N. University Drive, Suite 301, Pembroke Pines, FL 33024, is fined \$200.00 for failure to have the foal certificate on file with the Horse Identifier for his entry, "D'artagnans'spirit ", in the fifth race at Laurel Park on January 21, 2010, causing a late scratch and a refund of bets placed on the horse. [COMAR 09.10.01.20, §A(1).] BY ORDER OF THE STEWARDS

Ruling Number: **415097** Ruling Date: **3/31/2009**

Ruling Type: **Unknown**

Fine Amount: **\$ 250** Fine Paid: **N/A**

Suspension Start: **9/30/2010** Suspension End: **9/30/2010**

Ruling Text: **10-21-10 - Fine \$250 paid by Ralph Ziadie with check #1458. 9-30-10 Final Order Issued: Fined \$250 Unpaid 9-17-10 Final Order sent to agency clerk 9-9-10 - FO to Director- egb 6-16-10- PRO to Petitioner and infn officer 3-24-10- No EOR rec'd by legal. Motion for F/O being drafted. 2-3-10 - AC served by Joseph Poag to Kirk Ziadie. 320-Tampa Bay Downs, Inc. Horse: FORTUNATE TRAIL Drug: Boldenone (Greater than 40 nanograms per milliliter) Sample # 415097 Lab # A296926-HU Investigative Report sent to Legal on 09/15/2009. 2/3/2010 AC hand served to respondent by Chief Investigator Joseph Poag, Maryland Racing Commission.**

Ruling Number: **426000** Ruling Date: **3/25/2009**

Ruling Type: **Unknown**

Fine Amount: **\$ 500** Fine Paid: **N/A**

Suspension Start: **5/2/2009** Suspension End:

Ruling Text: **320-Tampa Bay Downs, Inc. Horse: MIKE'S CLASSIC Drug: Clenbuterol (In Urine 4.5 ng/mL - In Serum 250 pg/mL) Sample # 426000 Lab # A294065-HB and A294065-HU**

Ruling Number: **421937** Ruling Date: **9/11/2008**

Ruling Type: **Medication/Drug Violation - Animal**

Fine Amount: **\$ 1000** Fine Paid: **N/A**

Suspension Start: **11/14/2008** Suspension End: **11/28/2008**

Ruling Text: **325-Calder Race Course Horse: R SALTY VET Drug: Phenylbutazone Sample # 421937 Lab # A236124-HB HEARING DATE 11/14/2008 FINED \$1000.00 AND OCCUPATIONAL LICENSE SUSPENDED FOR 15 DAYS: 12/2 through and including 12/16/2008. FINE PAID: CHECK #81889 from Florida Horsemen's Bookkeeper Corporation for \$4300 which pays the fines issued in rulings on the following cases:2007062696, 2008054126, 2008039364, 2008037738, and 2008037729**

Ruling.: 08082 Ruling Date: 8/20/2008
Number: .

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 200 Fine Paid: Yes
Amount:

Suspension Suspension End:
Start:

Ruling Trainer Kirk M Ziadie, having responded is hereby assessed a civil penalty of
Text: \$200 for a medication violation "Dimethylsulfoxide" (DMSO) found in the
sample E77027 taken from the horse "Fireonthewire" following the fifth race
at Canterbury Park on August 2, 2008. MS 240.24 MRC Rule 7890.0100
Subp. 13C; 7890.0110 Subp. 1.

Ruling 70-2008 DE Ruling Date: 8/6/2008
Number:

Ruling General/Miscellaneous Rulings
Type:

Fine \$ 500 Fine Paid: N/A
Amount:

Suspension Suspension End:
Start:

Ruling 70-2008 Trainer Kirk Ziadie, DOB 8/22/68, having waived his right to a
Text: hearing, is fined the sum of five hundred (\$500.00) dollars for entering the
horse "STEELIX", which was on the Vets List at Saratoga, necessitating a
scratch from the eighth race at Delaware Park on Sunday, July 27, 2008.
Refer to D.T.R.C Rules 3.4; and 10.8.1.2. Fine to be paid within 48 hours.
Ruling 70-2008

Ruling 408414 Ruling Date: 6/27/2008
Number:

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/22/2008 Suspension End:
Start:

Ruling 325-Calder Race Course Horse: CALL ME PETE Drug: Phenylbutazone
Text: Sample # 408414 Lab # A219726-HB HEARING DATE 11/14/2008 FINED
\$1000.00 AND OCCUPATIONAL LICENSE SUSPENDED FOR 7 DAYS :
11/25, 11/26, 11/27, 11/28, 11/29, 11/30, and 12/01/2008 FINE PAID: CHECK
#81889 from Florida Horsemen's Bookkeeper Corporation for \$4300 which
pays the fines issued in rulings on the following cases:2007062696,
2008054126, 2008039364, 2008037738, and 2008037729

Ruling : 408209 Ruling Date: 6/14/2008
Number:

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/14/2008 Suspension End: 11/28/2008
Start:

Ruling 325-Calder Race Course Horse: CENZONTLE Drug: Oxyphenbutazone
Text: Sample # 408209 Lab # A217496-HB HEARING DATE 11/14/08 FINED
\$1000 3rd offense within calendar year FINE PAID: CHECK #81889 from
Florida Horsemen's Bookkeeper Corporation for \$4300 which pays the fines
issued in rulings on the following cases:2007062696, 2008054126,
2008039364, 2008037738, and 2008037729

Ruling 408219 Ruling Date: 6/14/2008
Number:

Ruling Unknown
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/14/2008 Suspension End: 11/28/2008
Start:

Ruling 325-Calder Race Course Horse: ROMAGNOLA Drug: Oxyphenbtazone
Text: Sample # 408219 Lab # A217503-HB HEARING DATE 11/14/2008 FINED
\$1000.00 FINE PAID: CHECK #81889 from Florida Horsemen's Bookkeeper
Corporation for \$4300 which pays the fines issued in rulings on the following
cases:2007062696, 2008054126, 2008039364, 2008037738, and
2008037729

Ruling 2008010 Ruling Date: 6/12/2008
Number:

Ruling Failure to Report or Appear
Type:

Fine \$ 500 Fine Paid: No
Amount:

Suspension Suspension End:
Start:

Ruling Having waived his right to legal counsel and a hearing is hereby assessed a
Text: fine of \$500 for scratching the horse, "BROAD SWORD" from the Seventh
race on Tueaday, June 10, 2008 without an excuse satisfactory to the
Stewards (the horwe ran on June 8, 2008 at Delaware Park). Fine to be paid
within 72 hours.

Ruling 2008010 Ruling Date: 6/12/2008
Number:

Ruling: General/Miscellaneous Rulings

Type:

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start:

Suspension End:

Ruling Text: Having waived his right to legal counsel and a hearing is hereby assessed a fine of \$500 for scratching the horse, "BROAD SWORD" from the Seventh race on Tuesday, June 10, 2008 without an excuse satisfactory to the Stewards (the horse ran on June 8, 2008 at Delaware Park). Fine to be paid within 72 hours.

Ruling Number: 23-2008

Ruling Date: 6/3/2008

Ruling Type: Failure to Complete License Procedure

Fine Amount: \$ 500

Fine Paid: Yes

Suspension Start:

Suspension End:

Ruling Text: 23-2008 TRAINER KIRK ZIADIE, DOB 8/22/68, HAVING WAIVED HIS RIGHT TO A HEARING, IS FINED THE SUM OF FIVE HUNDRED (\$500.00) DOLLARS FOR FAILING TO SECURE A CURRENT LICENSE AND FAILING TO HAVE WORKMEN'S COMPENSATION ON FILE WITH THE DELAWARE THOROUGHBRED RACING COMMISSION LICENSING OFFICE, NECESSITATING A SCRATCH ON THE HORSE "NAV QUATORZE" IN THE FOURTH RACE ON SATURDAY, MAY 17, 2008. REFER TO D.R.C RULES 7.1.1, 7.3.3, AND 3.4. FINE TO BE PAID WITHIN 48 HOURS. RULING 23-2008

Ruling Number: 405268 2008004736

Ruling Date: 1/5/2008

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start: 1/26/2008

Suspension End:

Ruling Text: 321-Gulfstream Park Horse: FOREIGN RUCKUS Drug: Phenylbutazone Sample # 405268 Lab # A174623-HB Ruling #2008004736 Fine \$500.00 Paid 1/27/08 Check #2325 (Total payment \$750.00 for Case / Ruling # #2008 00 5198 [Ruling #2008004736], Fine \$500.00 and 2007 06 8121 [Ruling #2008004547], Fine \$250.00)

Ruling Number: 401327 2007 06 8121

Ruling Date: 12/2/2007

Ruling .: Medication/Drug Violation - Animal

Type:

Fine \$ 250

Fine Paid: N/A

Amount:

Suspension 1/25/2008

Suspension End:

Start:

Ruling Bute Overage 323-Tropical At Calder Race Course Sample 401327 Lab#
Text: A168394-HU Fine \$250 Trainer Kirk Ziaidie, Ruling #2007 06 8121

Ruling 401327 2008004547

Ruling Date: 12/2/2007

Number:

Ruling Medication/Drug Violation - Animal

Type:

Fine \$ 250

Fine Paid: N/A

Amount:

Suspension 1/25/2008

Suspension End:

Start:

Ruling 323-Tropical Park, Inc Horse: REGAL GLORY Drug: Phenylbutazone Sample
Text: # 401327 Lab # A168394-HB Ruling #2008004547 Fine \$250.00 Paid 1/27/08
Check #2325 (Total payment \$750.00 for Case / Ruling # #2008 00 5198
[Ruling #2008004736], Fine \$500.00 and 2007 06 8121 [Ruling
#2008004547], Fine \$250.00)

Ruling 195855

Ruling Date: 10/25/2007

Number:

Ruling Unknown

Type:

Fine \$ 300

Fine Paid: N/A

Amount:

Suspension 11/14/2008

Suspension End: 11/28/2008

Start:

Ruling 323-Tropical Park, Inc @ 325-Calder Race Course Horse: HOLIDAY
Text: MOMENT Drug: Clenbuterol Sample # 195855 Lab # A15973-HU Report of
Positive Results delivered to the Board of Stewards for possible
administrative action on November 16, 2007. HEARING HELD 11/14/08 DID
NOT DISPUTE LABORATORY FINDINGS WILL COMPLY WITH THE
DECISION OF THE BOARD OF STEWARDS. FINED \$300.00 1/20/09 Fine
remains unpaid. FINE PAID: CHECK #81889 from Florida Horsemen's
Bookkeeper Corporation for \$4300 which pays the fines issued in rulings on
the following cases:2007062696, 2008054126, 2008039364, 2008037738,
and 2008037729

Ruling 320000964

Ruling Date: 3/11/2007

Number:

Ruling Race Office/Track Rule Violation

Type:

Fine : \$ 250
Amount:
Suspension 3/24/2007
Start:
Ruling 320-Tampa Bay Downs Expired coggins at race time JS Ruling #320-000964
Text: Fine \$250.00 Paid on 04/13/07 Ck # 094554
Fine Paid: N/A
Suspension End:

Ruling Number: 191546 320000949
Ruling Date: 1/6/2007
Ruling Type: Medication/Drug Violation - Animal

Fine \$ 250
Amount:
Suspension 3/3/2007
Start:
Fine Paid: N/A
Suspension End:

Ruling Text: 320-Tampa Bay Downs Horse: STEELIX Drug: Clenbuterol Sample #191546
Lab #A094103-HU Ruling No. 320-000949 dated 3/3/07. Fine \$250.00. Fine paid 3/13/07 with ck#1441.

Ruling Number: 185092 320000916
Ruling Date: 3/18/2006
Ruling Type: Medication/Drug Violation - Animal

Fine \$ 500
Amount:
Suspension 4/22/2006
Start:
Fine Paid: N/A
Suspension End:

Ruling Text: 320-Tampa Bay Downs Horse: RED HEAD CHAMP Drug: Phenylbutazone /
Oxyphenbutazone Sample #185092 Lab #A028872-HB Ruling No.
320.000916 dated 4/26/06. Fine \$500.00. Fine paid 5/6/06 with check number
232.

Ruling Number: 320000908
Ruling Date: 3/9/2006
Ruling Type: Race Office/Track Rule Violation

Fine \$ 250
Amount:
Suspension 3/19/2006
Start:
Fine Paid: N/A
Suspension End:

Ruling Text: 320-Tampa Bay Downs Late Scratch: (No Official Foal Certificate) JS Ruling
#320-000908 Fine \$250.00 Paid 4/1/06, Ck #060931

Ruling Number: 184323 320000896
Ruling Date: 1/30/2006

Ruling : Medication/Drug Violation - Animal

Type:

Fine \$ 250

Fine Paid: N/A

Amount:

Suspension 3/2/2006

Suspension End:

Start:

Ruling 320-Tampa Bay Downs Horse: KING DREAMER Drug: Dimethyl Sulfoxide
Text: Sample #184323 Lab #A015171-HU JS Ruling #320-000896 dated 3/2/06 -
Fine \$250.00 Paid 3/3/06, Ck #3303.

Ruling 183107 320000897

Ruling Date: 1/19/2006

Number:

Ruling Medication/Drug Violation - Animal

Type:

Fine \$ 1000

Fine Paid: N/A

Amount:

Suspension 3/2/2006

Suspension End:

Start:

Ruling 320-Tampa Bay Downs Horse: VIRGO VIXEN Drug: Dimethyl Sulfoxide /
Text: Phenylbutazone >8 Sample #183107 Lab #A013322-HB JS Ruling #320-
000897 dated 3/2/06. Fine \$1,000.00. Fine Paid 3/3/06 with Ck #3303.

Ruling 183059 320000895

Ruling Date: 1/15/2006

Number:

Ruling Medication/Drug Violation - Animal

Type:

Fine \$ 100

Fine Paid: N/A

Amount:

Suspension 3/2/2006

Suspension End:

Start:

Ruling 320-Tampa Bay Downs Horse: KING DREAMER Drug: Dimethyl Sulfoxide
Text: Sample #183059 Lab #A011618-HU JS Ruling #320-000895 dated 3/2/06.
Fine \$100.00. Fine Paid 3/3/06 with Ck #3303.

Ruling 320000869

Ruling Date: 1/12/2006

Number:

Ruling Unknown

Type:

Fine \$ 250

Fine Paid: N/A

Amount:

Suspension 1/23/2006

Suspension End:

Start:

Ruling 320-Tampa Bay Downs Late Stratch JS Ruling #320-000869 Fine \$250.00
Text: Paid 2/3/06 Ck #087268

Ruling Number: 320000870 Ruling Date: 1/1/2006

Ruling Type: Unknown

Fine Amount: \$ 250 Fine Paid: N/A

Suspension Start: 1/23/2006 Suspension End:

Ruling Text: 320-Tampa Bay Downs Late Scratch JS Ruling #320-000870 dated 1/23/06. Fine \$250.00 Paid 2/3/06 Ck #087268.

Ruling Number: 96 Ruling Date: 7/7/2005

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: Suspension End:

Ruling Text: FAILED TO HAVE FOAL PAPERS ON FILE.

Ruling Number: 05MON76 Ruling Date: 6/23/2005

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: Suspension End:

Ruling Text: FAILED TO HAVE FOAL PAPERS ON FILE.

Ruling Number: 173147 325000843 Ruling Date: 5/8/2005

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 300 Fine Paid: N/A

Suspension Start: 7/24/2005 Suspension End:

Ruling Text: 320-Tampa Bay Downs (Raced in Tampa Bay Downs but Stables Horses @ 325-Calder Race Course) Inv Dennis Badillo Horse: DON AGUSTIN Drug: Clenbuterol CI 3 Sample 173147 Lab #25859M JS Ruling #325-000843 Fine \$300.00 Paid 8/23/05, Ck #32157

Ruling Number: 320000840 Ruling Date: 4/22/2005

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: 4/17/2005 Suspension End:

Ruling Text: 320-Tampa Bay Downs No Foal Certificate At Race Time JS Ruling #320-000840 Fine \$100.00. Fine paid 4/17/05.

Ruling Number: 932228 Ruling Date: 5/2/2004

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: 5/30/2004 Suspension End:

Ruling Text: 320-Tampa Bay Downs Resp Lic #701515 Inv Nicolas Miyar Horse: BRUSHED WITH GLORY Drug: Flunixin Sample #932228 Lab #73783L Ruling #325-000676 issued by Board of Stewards at Calder Race Course on May 30, 2004; respondent fined \$100.00. Fine paid June 1, 2004 by Ck. #104.

State of Florida



Department of Business and Professional Regulation
Enforcement Search Listing

Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2007030323	07/28/2008	LAB	90	04/27/2007	100	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007025004	02/04/2010	LAB	90	03/19/2007	45	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007023290	04/30/2007	INTN	90	03/11/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007008307	03/29/2007	LAB	90	01/08/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006067518	04/23/2007	LAB	90	11/26/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006060434	01/25/2007	LAB	90	10/15/2006	90	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2006019839	05/19/2006	LAB	90	03/18/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006022184	05/19/2006	INTN	90	03/09/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006007718	03/18/2006	LAB	90	01/30/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006006449	03/16/2006	LAB	90	01/19/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006005191	03/16/2006	LAB	90	01/15/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006005999	03/16/2006	INTN	90	01/12/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006006002	03/16/2006	INTN	90	01/01/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005064692	02/03/2006	LAB	90	12/02/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005030701	09/08/2005	LAB	90	05/08/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005022931	05/11/2005	INTN	90	04/22/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004060610	02/01/2006	LAB	90	12/03/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004057550	02/01/2006	LAB	90	10/14/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004028212	06/04/2004	LAB	90	05/02/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009039658	03/02/2010	ANON	90		100	ZIADIE, KIRK M	1021	9832	701515	CC



State of Florida
Department of Business and Professional Regulation
Enforcement Search Listing

Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2009026161	05/18/2009	LAB	90	01/21/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009003113	01/20/2010	INTN	90	01/18/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009019767	04/15/2009	LAB	90	01/18/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019763	04/15/2009	LAB	90	01/17/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019761	04/15/2009	LAB	90	01/16/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017788	04/03/2009	LAB	90	01/11/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017781	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017784	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017786	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017783	04/03/2009	LAB	90	01/09/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2008059733	10/31/2008	LAB	90	09/20/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008054126	07/30/2009	LAB	90	09/11/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008039364	07/30/2009	LAB	90	06/27/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008037729	07/01/2008	LAB	90	06/14/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008037738	07/30/2009	LAB	90	06/14/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008005198	02/20/2008	LAB	90	01/05/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007068121	02/27/2008	LAB	90	12/02/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008004547	02/27/2008	LAB	90	12/02/2007	90	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007062696	11/09/2009	LAB	90	10/25/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007048948	09/25/2007	LIC	90	06/30/2007	100	ZIADIE, KIRK M	1021	9832	701515	CC

State of Florida



Department of Business and Professional Regulation
Enforcement Search Listing

Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2013023790	08/13/2017	LAB	90	04/26/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013016106	08/13/2017	LAB	90	03/13/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013004831	10/11/2013	LAB	90	01/06/2013	55	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2013005018	08/20/2013	LAB	90	01/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012043730	06/13/2017	LAB	90	09/27/2012	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2012044104	02/03/2015	LAB	90	09/22/2012	55	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012041948	06/13/2017	LAB	90	09/14/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012041931	06/13/2017	LAB	90	08/30/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012040949	06/09/2017	LAB	90	08/17/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012033990	06/09/2017	LAB	90	07/04/2012	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2012026684	06/12/2012	LAB	90	05/18/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012024018	05/23/2012	LAB	90	04/29/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012021061	05/02/2012	LAB	90	04/14/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012011360	03/13/2012	LAB	90	02/09/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009029506	06/03/2009	LAB	90	05/14/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009048213	10/05/2010	LAB	90	03/31/2009	60	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2009031718	06/12/2009	LAB	90	03/29/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009022107	05/19/2009	LAB	90	03/25/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009031768	06/12/2009	LAB	90	03/21/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019770	04/15/2009	LAB	90	01/29/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC



State of Florida
Department of Business and Professional Regulation
Enforcement Search Listing

Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2015037730	01/12/2016	INTN	90	05/29/2015	60	ZIADIE, KIRK M	1021	9832	701515	APDN
1021	2015022708	02/23/2017	LAB	90	05/09/2015	323	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2015020548	12/29/2016	LAB	90	04/24/2015	323	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2015009229	12/29/2016	LAB	90	02/06/2015	323	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2014052733	06/14/2017	LAB	90	12/07/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2014039033	06/14/2017	LAB	90	09/05/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2014006345	06/14/2017	LAB	90	01/19/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013047021	06/14/2017	LAB	90	10/27/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013043815	06/14/2017	LAB	90	10/12/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013034195	06/14/2017	LAB	90	08/03/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013032774	06/14/2017	LAB	90	07/19/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013028055	08/27/2013	INTN	90	07/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2013031214	11/18/2013	LAB	90	07/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2013030616	06/14/2017	LAB	90	07/01/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013029114	06/14/2017	LAB	90	06/25/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013026031	06/14/2017	LAB	90	06/09/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013026525	06/14/2017	LAB	90	06/08/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013025126	06/14/2017	LAB	90	05/28/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013025104	06/14/2017	LAB	90	05/24/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013023875	06/13/2017	LAB	90	05/10/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC

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Domain **10 - Division of Pari-Mutuel Wagering** Logged in as: gricks

VR Home > **Complaint Search**




[Search Criteria](#) | [Results](#)

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
Complaint	2015037730	Closed		05/29/2015	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	APDN		
Complaint	2015022708	Closed	031421	05/09/2015	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CASC		
Complaint	2015020548	Closed	028949	04/24/2015	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2015009229	Closed	798044	02/06/2015	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2014052733	Closed	028332	12/07/2014	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2014039033	Closed	799956	09/05/2014	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2014006345	Closed	795544	01/19/2014	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013047021	Closed	787233	10/27/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013043815	Closed	787098	10/12/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013034195	Closed	791655	08/03/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013032774	Closed	790510	07/19/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013028055	Closed	61D-6.003(2)	07/06/2013	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	CASC		
Complaint	2013031214	Closed	788437	07/06/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2013030616	Closed	791530	07/01/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013029114	Closed	791513	06/25/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013026031	Closed	786600	06/09/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013026525	Closed	786584	06/08/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA	1021	FINL		

						RACING LABORATORY				
Complaint	2013025126	Closed	786920	05/26/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013025104	Closed	786847	05/24/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013023875	Closed	786406	05/10/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013023790	Closed	786695	04/26/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013016106	Closed	785589	03/13/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2013004831	Closed	781978	01/06/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CASC		
Complaint	2013005018	Closed	781969	01/06/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	PCF		
Complaint	2012043730	Closed	780373	09/27/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2012044104	Closed	780308	09/22/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2012041948	Closed	780135	09/14/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2012041931	Closed	779882	08/30/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2012040949	Closed	779722	08/17/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2012033990	Closed	777376	07/04/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL		
Complaint	2012026684	Closed	550790	05/18/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2012024018	Closed	550528	04/29/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2012021061	Closed	550266	04/14/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2012011360	Closed	548600	02/09/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009029506	Closed	418346	05/14/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LAB	1021	JSR		
Complaint	2009048213	Closed	415097	03/31/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LAB	1021	ADMC		
Complaint	2009031718	Closed		03/29/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009022107	Closed	426000	03/25/2009	ZIADIE, KIRK M		1021	JSR		

						UNIVERSITY OF FLORIDA RACING LAB				
Complaint	2009031768	Closed		03/21/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019770	Closed		01/29/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009026161	Closed		01/21/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009003113	Closed		01/18/2009	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2009019767	Closed		01/18/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019763	Closed		01/17/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019761	Closed		01/16/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017788	Closed		01/11/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017781	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017784	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017786	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017783	Closed		01/09/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2008059733	Closed	422342	09/20/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008054126	Closed	421937	09/11/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008039364	Closed	408414	06/27/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008037729	Closed	408209	06/14/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008037738	Closed	408219	06/14/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008004736	Entry Error		01/05/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021			
Complaint	2008005198	Closed	405268 2008004736	01/05/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2007068121	Closed	401327 2008004547	12/02/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		

Complaint	2008004547	Closed	401327 2007 06 8121	12/02/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007062696	Closed	195855	10/25/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2007048948	Closed		06/30/2007	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	CC		
Complaint	2007030323	Closed	194410	04/27/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007025004	Closed	193728	03/19/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007023290	Closed	320000964	03/11/2007	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2007008307	Closed	191546 320000949	01/06/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006067518	Closed	188427 323000649	11/26/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006060434	Closed	187661 325000939	10/15/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2006019839	Closed	185092 320000916	03/18/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006022184	Closed	320000908	03/09/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2006007718	Closed	184323 320000896	01/30/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006006449	Closed	183107 320000897	01/19/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006005191	Closed	183059 320000895	01/15/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006005999	Closed	320000869	01/12/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2006006002	Closed	320000870	01/01/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2005064692	Closed	179373 323000607	12/02/2005	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2005030701	Closed	173147 325000843	05/08/2005	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2005022931	Closed	320000840	04/22/2005	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2004060610	Closed	089809 323000578	12/03/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2004057550	Closed	088845	10/14/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2004028212	Closed	932228	05/02/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		

Complaint	2009039658	Closed			ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	CC			
											
Total: 81											
								<input type="button" value="Print"/>	<input type="button" value="Back"/>		

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GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
2023 AUG -3 PM 9:47
FLORIDA GAMING
CONTROL COMMISSION

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission.
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed).

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

Cynthia Ritter

From: Stephen Pagano <Stephen.Pagano@njoag.gov>
Sent: Thursday, August 3, 2023 12:17 PM
To: PMW-Operations
Cc: atm racingllc@gmail.com
Subject: Kirk Zaidie
Attachments: -Type File Name-Zaidie.pdf

You don't often get email from stephen.pagano@njoag.gov. [Learn why this is important](#)

Attached please find copy of letter in reference to Kirk Zaidie.

Thank you,

Stephen Pagano

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 DEPARTMENT OF LAW AND PUBLIC SAFETY
 DIVISION OF NEW JERSEY RACING COMMISSION
 PO Box 088
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Lt. Governor

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Attorney General

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 LAWRENCE DEMARZO
 FRANCIS X. KEEGAN, JR.
 CHARLES E. TOMARO
 GLEN VETRANO
Commissioners

JUDITH A. NASON
Executive Director

August 3, 2023

To whom it may concern:

Kirk Ziadie has no outstanding fines in the state of New Jersey and is welcome to apply for licensing with the state of New Jersey Racing Commission.

Mr. Ziadie, was last licensed in New Jersey in 2008 as a trainer.



Stephen Pagano
 State Steward
 New Jersey Racing Commission

RECEIVED
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 CONTROL COMMISSION



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Cynthia Ritter

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Thursday, August 3, 2023 11:49 AM
To: PMW-Operations
Subject: Fwd: Kirk Zaidie

Last letter.. NJ.. Thanks again for everything

Anthony Mattera and Kirk Ziadie

Begin forwarded message:

From: Stephen Pagano <spag527@yahoo.com>
Date: August 3, 2023 at 11:43:07 AM EDT
To: atmracingllc@gmail.com
Subject: Kirk Zaidie



PHILIP D. MURPHY
Governor

SHEILA Y. OLIVER
Lt. Governor

State of New Jersey
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF NEW JERSEY RACING COMMISSION
PO. Box 088
TRENTON, NJ 08625-0088

MATTHEW J. PLATKIN
Attorney General

MICHAEL J. ARNONE, D.D.S.
LAWRENCE DEMARZO
FRANCIS X. KEEGAN, JR.
CHARLES E. TOMARO
GLEN VETRANO
Commissioners

JUDITH A. NASON
Executive Director

August 3, 2023

To whom it may concern:

Kirk Ziadie has no outstanding fines in the state of New Jersey and is welcome to apply for licensing with the state of New Jersey Racing Commission.

Mr. Ziadie, was last licensed in New Jersey in 2008 as a trainer.

Stephen Pagano
State Steward
New Jersey Racing Commission

RECEIVED
2023 AUG - 3 PM 12: 58
FLORIDA GAMING
CONTROL COMMISSION



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FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
 2023 JUL 21 PM 3:25
 FLORIDA GAMING
 CONTROL COMMISSION

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006 ✓
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission. X
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed). ✓

No
Minnesota
X No NY

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

SIGN
DATE

YES
KZ
7/19/23

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, embezzlement, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or placed under any conditions of court-ordered changes against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
11/22/2006	DUNWOD	FL	BATTERY	MISDEMEANOR	PROBATION

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of license revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of license and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:
SUSPENSION IN FLA / POSITIVE TEST

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 652, 654, and Sections 409.2077, 409.2592, and 655.70, Florida Statutes. Social Security numbers are used to allow efficient processing of applications and licenses by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be reported on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1993 (Welfare Reform Act), 104 Public Law 102, Sec. 517.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.50-16.54. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notification and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.055 and Title 28, CFR, Section 16.54. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the National Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 655.70, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being arrested or of receiving a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.



Signature of Applicant Date 5/30/23

RECEIVED
JUL 21 PM 3:25
FLORIDA GAMING
REGULATION
COMMISSION



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

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2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number [REDACTED]					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
ZIADIE	KIRK	M			
Birth Date (MM/DD/YYYY) [REDACTED]					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name Last First Middle Title Suffix					
Permit holder Name					
Official Capacity					
ATTEST STATEMENT					
I, <u>KIRK ZIADIE</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
(If individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u>				Date: <u>7/19/23</u>	
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>19th</u> Day of <u>JULY</u> 20 <u>23</u>					
by <u>KIRK ZIADIE</u>			<u>[Signature]</u>		
Type or print name of applicant			Signature of applicant		
who is personally known to me or who has produced the following as identification:					
<u>DIVERSIFIED</u>			<u>[Signature]</u>		
Type of identification			Signature of person taking acknowledgement		
					
			Notary Seal (Rubber Stamp and Expiration)		

Letter of Good Standing

Cheryl Kauffman -LABOR- <cheryl.kauffman@maryland.gov>
To: Anthony Mattara <atmracingllc@gmail.com>, dlpl@marylandracingcommission-labor@maryland.gov

Hi Anthony,
Kirk Ziedie is in Good Standing.
If you need any further information, please call the Maryland Racing Commission licensing office at (410) 296-9683.

Thank you,
Cheryl Kauffman
(Quoted text hidden)



Cheryl Kauffman
Maryland Racing Commission
Maryland Department of Labor
300 E. Towsontown Blvd.
Towson, Maryland 21286
Cheryl.Kauffman@Maryland.gov
410-296-8683 (O)
410-296-9687 (F)
Website | Facebook | Twitter

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2023 JUL 21 PM 3:25
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CONTROL COMMISSION

[Click here to complete a three question customer experience survey](#)

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Kirk Zladie Letter of Good Standing

Davis, Rhonda (VRC) <Rhonda.Davis@vrc.virginia.gov>

Tue, Jul 11, 2023 at 3:14 PM

To: Anthony Mattera <atmracingllc@gmail.com>, "kirkziadieracing@aol.com" <kirkziadieracing@aol.com>

I sent an email directly to the Florida Gaming Control Commission.

Thanks,

Rhonda Davis

Pari-mutuels & Licensing

Virginia Racing Commission

5707 Huntsman Road

Suite 201-B

Richmond, VA 23250

Office (804) 966-7415

Cell (804) 461-0740

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2023 JUL 21 PM 3:25
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CONTROL COMMISSION

From: Anthony Mattera <atmracingllc@gmail.com>

Sent: Tuesday, July 11, 2023 2:49 PM

To: kirkziadieracing@aol.com <kirkziadieracing@aol.com>

Cc: Davis, Rhonda (VRC) <Rhonda.Davis@vrc.virginia.gov>

Subject: Kirk Zladie Letter of Good Standing

Quoted text hidden]

entireties who are the addressees. If you are not an intended recipient of this e-mail, the dissemination, distribution, copying or use of the information it contains is strictly prohibited. If you have received this communication in error, please immediately contact the Office of the Attorney General at (609) 292-4925 to arrange for the return of this information.

Anthony Mattera <atmracingllc@gmail.com>
To: Sharon Jemas <Sharon.Jemas@njoag.gov>

Wed, Jul 12, 2023 at 11:31 AM

Awesome, could you please send an email to me or to PMW-Operations@flgaming.gov stating that Kirk Ziadie has no pending fines or suspensions in the State of NJ.

Thanks again, I'll swing by friday to introduce myself lol
[Quoted text hidden]

Sharon Jemas <Sharon.Jemas@njoag.gov>
To: Anthony Mattera <atmracingllc@gmail.com>

Wed, Jul 12, 2023 at 12:11 PM

Anthony,

We will give them a call and follow up with the Stewards.

-Sharon

[Quoted text hidden]
[Quoted text hidden]

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

Jonathan Dye

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Wednesday, July 19, 2023 3:01 PM
To: PMW-Operations
Cc: Kirkziadieracing
Subject: Kirk Ziadie Finished Application and Letters of Good Standing
Attachments: FL Release Form.png; FL application KZ.png; Delaware.png; Maryland.png; Minnesota.png; Virginia.png; New Jersey.png

You don't often get email from atmracingllc@gmail.com. [Learn why this is important](#)

Good afternoon,

First and foremost Kirk and I would like to thank everyone at the PMW Office for their kindness and thoughtfulness throughout our interactions, everyone in the office has been super supportive and generous with their time and we thank you.

Kirk and I have reached out to all the states that were in question and he is in good standing in all states with no fines or suspensions pending. Most states' latest interactions with Kirk were over 15 years ago. The only fine and suspension pending was in Florida which he has served his time and paid his fine. I have attached the corrected application as well as interactions with other states. Some states informed us they would be sending the letter of good standing directly and hopefully they have been received. God willing this fulfills his requirements and Kirk can work on restoring his name and career.

Thanks so much,

Anthony Mattera and Kirk Ziadie

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2023 JUL 21 PM 5:25
FLORIDA GAMING
CONTROL COMMISSION

12:35



< Recents



+1 (952) 496-7953

Minneapolis, MN



July 14, 2023

12:30 PM Incoming Call
2 minutes

Share Contact

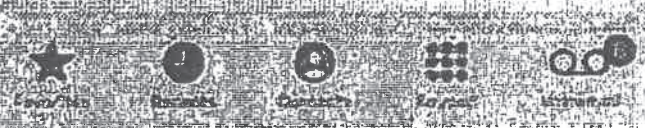
Create New Contact

Add to Existing Contact

Add to Emergency Contacts

Share My Location

Block this Caller



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2023 JUL 21 PM 3:25

FLORIDA GAMING
CONTROL COMMISSION

FW:

1 message

Corrigan, Sean (MRC) <Sean.Corrigan@state.mn.us>
To: "atmracingllc@gmail.com" <atmracingllc@gmail.com>
Cc: "Cummins, Nick (MRC)" <nick.cummins@state.mn.us>

Sun, Jul 23, 2023 at 4:43 PM

From: Corrigan, Sean (MRC)
Sent: Saturday, July 22, 2023 9:40 AM
To: atmracing@gmail.com
Subject:

Regarding your inquiry into Mr. K. Ziadie.

Mr. Ziadie shows no history of being licensed in Minnesota by the Minnesota racing Commission and Mr. Ziadie is eligible to apply for a license through the Minnesota Racing Commission.

Thank you

Sean Corrigan
Investigator

Minnesota Racing Commission
1100 Canterbury Road, Suite 100
Shakopee, MN 55379
O: 952-496-7950 Ext. 5
F: 952-496-7954
<https://mn.gov/mrc/>

RECEIVED
2023 JUL 24 AM 10:01
FLORIDA GAMING
CONTROL COMMISSION

Jonathan Dye

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Monday, July 24, 2023 9:22 AM
To: PMW-Operations
Cc: Kirkziadieracing
Subject: Kirk Ziadie Minnesota NJ attached
Attachments: Minn.png; New Jersey.png

You don't often get email from atmracingllc@gmail.com. [Learn why this is important](#)

Hey guys, I attached the correspondence with Minnesota and NJ. NJ has stated, they've also sent and contacted you guys directly and have no records of him for over 15 years. All states have now given Mr. Ziadie the all clear and he is excited for his future! He will make yas proud we promise lol. If you have any questions please call me at 609-418-3883 or Kirk directly at 954-678-9705.

Thanks again,
Anthony and Kirk



FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

CR

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
JUL 10 2023

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission.
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed).

2 emails attached

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2801 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

**Randall Kitchens
Operations Analyst II**

Cynthia Ritter

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Monday, July 10, 2023 10:53 AM
To: PMW-Operations
Subject: Fwd: Letter of Good Standing

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Letter of Good standing, Maryland

Thanks so much,
Anthony and Kirk



----- Forwarded message -----

From: Cheryl Kauffman -LABOR- <cheryl.kauffman@maryland.gov> **BY:**
Date: Fri, Jul 7, 2023 at 10:58 AM
Subject: Re: Letter of Good Standing
To: Anthony Mattera <atmracingllc@gmail.com>, <dloplmarylandracingcommission-labor@maryland.gov>

Hi Anthony,
Kirk Ziadie is in Good Standing,
If you need any further information , please call the Maryland Racing Commission licensing office at (410) 296-9683,

Thank you,
Cheryl Kauffman

On Fri, Jul 7, 2023 at 10:31 AM Anthony Mattera <atmracingllc@gmail.com> wrote:
Please call 609-418-3883 if you have any questions

Thanks again

On Thu, Jul 6, 2023 at 4:02 PM Anthony Mattera <atmracingllc@gmail.com> wrote:
To whom it may concern,

Hi, I am requesting a letter of good standing for Kirk Ziadie who last raced on June 28, 2015. The state of Florida has requested we reach out to other states to ensure he has no fines or suspensions. It's been a long frustrating road and we appreciate any help or guidance you can provide.

Thanks so much,
Anthony Mattera for Kirk Ziadie



Cheryl Kauffman
Maryland Racing Commission
Maryland Department of Labor
300 E. Towsontown Blvd.
Towson, Maryland 21286
Cheryl.Kauffman@Maryland.gov
410-296-9683 (O)
410-296-9687 (F)
[Website](#) | [Facebook](#) | [Twitter](#)

[Click here to complete a three question customer experience survey](#)

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Cynthia Ritter

From: Anthony Mattera <antmattera@gmail.com>
Sent: Monday, July 10, 2023 11:21 AM
To: PMW-Operations
Subject: Fwd: Fw: To sara crane

You don't often get email from antmattera@gmail.com. [Learn why this is important](#)

Letter of good standing Delaware

----- Forwarded message -----

From: Kirkziadieracing <kirkziadieracing@aol.com>
Date: Mon, Jul 10, 2023 at 11:12 AM
Subject: Fw: To sara crane
To: Anthony Mattera <antmattera@gmail.com>


JUL 10 2023

FY:

Sent from the all new AOL app for iOS

Begin forwarded message:

On Monday, July 10, 2023, 11:01 AM, Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov> wrote:

Mr. Ziadie,

A records search indicates you have not held a license to participate Thoroughbred Racing in the State of Delaware since 2010 and there is no current action against you in the state of Delaware.

Sarah Crane

Sarah Crane - Executive Director

Delaware Thoroughbred Racing Commission

Office – 302.993.8970

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From: Kirkziadieracing <kirkziadieracing@aol.com>
Sent: Friday, July 7, 2023 3:27 PM
To: Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov>
Subject: To sara crane

Hi sara my name is kirk ziadie and the state of florida needs clearance that im in good standings in delaware and im not suspended or revoked in this state .. Please if u can help me that would be appreciated thank u . You can reach me at 954 678 8705 if any questions

Sent from the all new AOL app for iOS



RECEIVED

By Randall Kitchens at 3:58 pm, Jul 11, 2023

July 11, 2023

Virginia Racing Commission
5707 Huntsman Road, Suite 201-B
Richmond, Virginia 23250

GAMING LICENSE INFORMATION REQUEST

The Florida Division of Pari-Mutuel Wagering has received a license application from the individual listed below and is currently conducting a background investigation. The individual has indicated on their application that they are currently licensed and in good standing in your jurisdiction. Please examine your files for any disciplinary proceedings/actions, restrictions, revocations or non-renewal concerning his/her license.

Please answer the following questions and return this form to our office via fax at **850.410.5350** or e-mail to Randall.Kitchens@myfloridalicense.com.

Individual Name: Kirk Ziadie

Tax ID: [REDACTED]

Type of License: Trainer

Is this individual currently licensed?: [] YES [x] NO

Is this individual's license in good standing?: [] YES [x] NO

If no, please explain: Expired in 2006

Has this individual had any disciplinary proceedings/actions, restrictions, revocations or non-renewal concerning his/her license? [] YES [x] NO

If yes, please explain: _____

Name of Certifier: Rhonda Davis

Date: 7/11/2023


Your assistance is appreciated. Thank you.

Florida Division of Pari-Mutuel Wagering
Attn: Randall Kitchens
Office of Operations
Licensing Section



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number [REDACTED]					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
ZIADIE	KIRK	M			
Birth Date (MM/DD/YYYY) [REDACTED]					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name Last First Middle Title Suffix					
Permit holder Name					
Official Capacity					
ATTEST STATEMENT					
I, <u>KIRK ZIADIE</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
(If individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u>				Date: <u>7/19/23</u>	
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>19th</u> Day of <u>JULY</u> 20 <u>23</u>					
by <u>KIRK ZIADIE</u>			<u>[Signature]</u>		
Type or print name of applicant			Signature of applicant		
who is personally known to me or who has produced the following as identification:					
<u>DIVERSIFIED</u>			<u>[Signature]</u>		
Type of identification			Signature of person taking acknowledgement		
			 LAURA K. MUNSON MY COMMISSION # HH 899723 EXPIRES: April 30, 2025		
Notary Seal (Rubber Stamp and Expiration)					

Randall Kitchens

From: Glenda Ricks
Sent: Wednesday, October 11, 2023 2:44 PM
To: Randall Kitchens
Subject: FW: Kirk Ziadie Stop Order
Attachments: ZIADIE, KIRK.docx

Please include the email and attached document in the application file.



Glenda Ricks, Chief of Operations

Florida Gaming Control Commission
Division of Pari-Mutuel Wagering, Office of Operations
4070 Esplanade Way, Suite 250, Tallahassee, FL 32399

Main Line: 850.794.8130 Direct Line: 850.794.8126

Preserve and protect the integrity of gaming activities through fair regulation, licensing, effective criminal investigation, and enforcement.

The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution, or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

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From: Kevin Scheen <Kevin.Scheen@flgaming.gov>
Sent: Wednesday, October 11, 2023 12:02 PM
To: Glenda Ricks <Glenda.Ricks@flgaming.gov>
Subject: FW: Kirk Ziadie Stop Order

Hi Glenda,
As per our phone conversation this morning, please see attached.
Let me know if you need anything else on this.
Thanks



Kevin Scheen – State Steward
Florida Gaming Control Commission
Division of Pari-Mutuel Wagering
Office of Operations
Office: 954 457 6130 Cell: 754 234 1133

From: Rodriguez, Jorge <Jorge.Rodriguez@1st.com>
Sent: Wednesday, October 11, 2023 11:09 AM
To: Kevin Scheen <Kevin.Scheen@flgaming.gov>
Subject: FW: Kirk Ziadie Stop Order

Good morning sir,

As discussed, please see the attached STOP Order for the individual in question.

Have a great one,

Doogie

1/ST

JORGE "DOOGIE" RODRIGUEZ
DIRECTOR OF SECURITY
SOUTHEAST REGION

GULFSTREAM PARK RACING &
CASINO / PALM MEADOWS
901 S FEDERAL HWY
HALLANDALE BEACH, FL 33009

P /954.457.6972
C /954.848.7780



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STOP ORDER

**TO: GULFSTREAM PARK
GULFSTREAM PARK WEST
PALM MEADOWS T. C.
R.T.T.C.**

**FROM: RODERICK BALDWIN
SECURITY MANAGER**

DATE: 9/22/16

RE: STOP ORDER

NAME: KIRK ZIADIE

AGE: 48 Y/O

SEX: M

RACE:

HEIGHT: UNK

WEIGHT: UNK

EYES: BRN

HAIR: BLK

OCCUPATION: TRAINER

REASON: EFFECTIVE IMMEDIATELY TRAINER KIRK ZIADIE IS NOT ALLOWED ON ANY GSP OR GSPW PROPERTY. IF SEEN CONTACT IMMEDIATE SUPERVISOR.






**IF THE ABOVE MENTIONED PERSON TRIES TO GAIN ACCESS
TO THE PREMISES, NOTIFY THE SECURITY OFFICE.**

						RACING LABORATORY					
Complaint	2013025126	Closed	786920	05/26/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2013025104	Closed	786847	05/24/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2013023875	Closed	786406	05/10/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2013023790	Closed	788695	04/26/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2013016106	Closed	785589	03/13/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2013004831	Closed	781978	01/06/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CASC			
Complaint	2013005018	Closed	781969	01/06/2013	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	PCF			
Complaint	2012043730	Closed	780373	09/27/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2012044104	Closed	780308	09/22/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2012041948	Closed	780135	09/14/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2012041931	Closed	779882	08/30/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2012040949	Closed	779722	08/17/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2012033990	Closed	777376	07/04/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	FINL			
Complaint	2012026684	Closed	550790	05/18/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2012024018	Closed	550528	04/29/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2012021061	Closed	550266	04/14/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2012011360	Closed	548600	02/09/2012	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2009029506	Closed	418346	05/14/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LAB	1021	JSR			
Complaint	2009048213	Closed	415097	03/31/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LAB	1021	ADMC			
Complaint	2009031718	Closed		03/29/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC			
Complaint	2009022107	Closed	426000	03/25/2009	ZIADIE, KIRK M		1021	JSR			

						UNIVERSITY OF FLORIDA RACING LAB				
Complaint	2009031768	Closed		03/21/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019770	Closed		01/29/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009026161	Closed		01/21/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009003113	Closed		01/18/2009	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2009019767	Closed		01/18/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019763	Closed		01/17/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009019761	Closed		01/16/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017788	Closed		01/11/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017781	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017784	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017786	Closed		01/10/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2009017783	Closed		01/09/2009	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2008059733	Closed	422342	09/20/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008054126	Closed	421937	09/11/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008039364	Closed	408414	06/27/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008037729	Closed	408209	06/14/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008037738	Closed	408219	06/14/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2008004736	Entry Error		01/05/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021			
Complaint	2008005198	Closed	405268 2008004736	01/05/2008	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2007068121	Closed	401327 2008004547	12/02/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		

Complaint	2008004547	Closed	401327 2007 06 8121	12/02/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007062696	Closed	195855	10/25/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2007048948	Closed		06/30/2007	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	CC		
Complaint	2007030323	Closed	194410	04/27/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007025004	Closed	193728	03/19/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2007023290	Closed	320000964	03/11/2007	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2007008307	Closed	191546 320000949	01/06/2007	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006067518	Closed	188427 323000649	11/26/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006060434	Closed	187661 325000939	10/15/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	CC		
Complaint	2006019839	Closed	185092 320000916	03/18/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006022184	Closed	320000908	03/09/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2006007718	Closed	184323 320000896	01/30/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006006449	Closed	183107 320000897	01/19/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006005191	Closed	183059 320000895	01/15/2006	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2006005999	Closed	320000869	01/12/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2006006002	Closed	320000870	01/01/2006	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2005064692	Closed	179373 323000607	12/02/2005	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2005030701	Closed	173147 325000843	05/08/2005	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2005022931	Closed	320000840	04/22/2005	ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	JSR		
Complaint	2004060610	Closed	089809 323000578	12/03/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2004057550	Closed	088845	10/14/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		
Complaint	2004028212	Closed	932228	05/02/2004	ZIADIE, KIRK M	UNIVERSITY OF FLORIDA RACING LABORATORY	1021	JSR		


Complaint	2009039658	Closed			ZIADIE, KIRK M	DIVISION OF PARI-MUTUEL WAGERING	1021	CC		
										
Total: 81										
								<input type="button" value="Print"/>		<input type="button" value="Back"/>

 Get Adobe Reader.

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number [REDACTED]					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Last Name	First	Middle	Title	Suffix	
ZIADIE	KIRK	M			
Birth Date (MM/DD/YYYY) [REDACTED]					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION					
Representative's Name Last		First	Middle	Title	Suffix
Permit holder Name					
Official Capacity					
ATTEST STATEMENT					
I, <u>KIRK ZIADIE</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation.					
I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested.					
(If individual applicant - legal name and any nickname or alias in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u>			Date: <u>7/19/23</u>		
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>19th</u> Day of <u>JULY</u> 20 <u>23</u>					
by <u>KIRK ZIADIE</u>		<u>[Signature]</u>			
(Type or print name of applicant)		Signature of applicant			
who is personally known to me or who has produced the following as identification:					
<u>Diversified</u>		<u>[Signature]</u>			
Type of identification		Signature of person taking acknowledgement			
		Notary Seal (Rubber Stamp and Expiration)			
		 LAURA K. MUNSON MY COMMISSION # HN 099723 EXPIRES: April 30, 2025			



JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
2023 AUG -3 PM 3:47
FLORIDA GAMING
CONTROL COMMISSION

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission.
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed).

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

Cynthia Ritter

From: Stephen Pagano <Stephen.Pagano@njoag.gov>
Sent: Thursday, August 3, 2023 12:17 PM
To: PMW-Operations
Cc: atm racingllc@gmail.com
Subject: Kirk Zaidie
Attachments: -Type File Name-Zaidie.pdf

You don't often get email from stephen.pagano@njoag.gov. [Learn why this is important](#)

Attached please find copy of letter in reference to Kirk Zaidie.

Thank you,

Stephen Pagano

CONFIDENTIALITY NOTICE The information contained in this communication from the Office of the New Jersey Attorney General is privileged and confidential and is intended for the sole use of the persons or entities who are the addressees. If you are not an intended recipient of this e-mail, the dissemination, distribution, copying or use of the information it contains is strictly prohibited. If you have received this communication in error, please immediately contact the Office of the Attorney General at (609) 292-4925 to arrange for the return of this information.



PHILIP D. MURPHY
Governor

SHEILA Y. OLIVER
Lt. Governor

State of New Jersey
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF NEW JERSEY RACING COMMISSION
PO Box 088
TRENTON, NJ 08625-0088

MATTHEW J. PLATKIN
Attorney General

MICHAEL J. ARNONE, D.D.S.
LAWRENCE DEMARZO
FRANCIS X. KEEGAN, JR.
CHARLES E. TOMARO
GLEN VETRANO
Commissioners

JUDITH A. NASON
Executive Director

August 3, 2023

To whom it may concern:

Kirk Ziadie has no outstanding fines in the state of New Jersey and is welcome to apply for licensing with the state of New Jersey Racing Commission.

Mr. Ziadie, was last licensed in New Jersey in 2008 as a trainer.

Stephen Pagano
State Steward
New Jersey Racing Commission

RECEIVED
2023 AUG -3 PM 2:58
FLORIDA GAMING
CONTROL COMMISSION



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Cynthia Ritter

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Thursday, August 3, 2023 11:49 AM
To: PMW-Operations
Subject: Fwd: Kirk Zaidie

Last letter.. NJ.. Thanks again for everything

Anthony Mattera and Kirk Zaidie

Begin forwarded message:

From: Stephen Pagano <spag527@yahoo.com>
Date: August 3, 2023 at 11:43:07 AM EDT
To: atmracingllc@gmail.com
Subject: Kirk Zaidie



State of New Jersey
 OFFICE OF THE ATTORNEY GENERAL
 DEPARTMENT OF LAW AND PUBLIC SAFETY
 DIVISION OF NEW JERSEY RACING COMMISSION
 PO. Box 088
 TRENTON, NJ 08625-0088

PHILIP D. MURPHY
Governor

SHEILA Y. OLIVER
Lt. Governor

MATTHEW J. PLATON
Attorney General

MICHAEL J. ARNONE, D.D.S.
 LAWRENCE DEMARZO
 FRANCIS X. KEEGAN, JR.
 CHARLES E. TOMARO
 GLEN VETRANO
Commissioners

JUDITH A. NASON
Executive Director

August 3, 2023

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Stephen Pagano
 State Steward
 New Jersey Racing Commission

RECEIVED
 2023 AUG -3 PM 12:58
 FLORIDA GAMING
 CONTROL COMMISSION





FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006 ✓
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission. X
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed). ✓

No
Minnesota
X No NY

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

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OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

Randall Kitchens
Operations Analyst II

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

**SIGN
DATE**

TS
KZ
7/19/23

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, embezzlement, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes No Have you ever been convicted of or had adjudication withheld for any crime, or placed under any criminal or civil control charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
11/22/2006	DUNWOD	FL	BATTERY	MISDEMEANOR	PROBATION

Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of license revocation or denial and explain why.

Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of license and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:
SUSPENSION IN FLA / POSITIVE TEST

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 652, 654, and Sections 402.2577, 402.2588, and 622.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be reported on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 132, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Par-Mutual Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Section 16.50-16.54. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.065 and Title 28, CFR, Section 16.54. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the National Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 622.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Par-Mutual Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being arrested or entering a plea of guilty or not guilty to any disqualifying offense, regardless of adjudication.


Signature of Applicant: _____ Date: 5/30/23

RECEIVED
JUL 21 11:3:25
FL ORG & GAMING CONTROL COMMISSION



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

RECEIVED
2023 JUL 21 PM 3:25
FLORIDA GAMING
CONTROL COMMISSION

PERSONAL INFORMATION					
Social Security Number/Federal Employer ID Number [REDACTED]					
IF INDIVIDUAL APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION:					
Last Name	First	Middle	Title	Suffix	
ZIADIE	KIRK	M			
Birth Date (MM/DD/YYYY) [REDACTED]					
IF BUSINESS APPLICANT, PLEASE COMPLETE THE FOLLOWING SECTION:					
Representative's Name Last		First	Middle	Title	Suffix
Permitholder Name					
Official Capacity					
ATTEST STATEMENT					
I, <u>KIRK ZIADIE</u> , do hereby instruct all law enforcement (name of applicant/representative) or criminal justice agencies, present and former employers or institutions with whom I or my businesses have a present or past business relationship, as well as all present or past social associates to release all requested information to the bearer of this release form, who is an authorized representative of the State of Florida, Department of Business and Professional Regulation. I further authorize any individual, agency, corporation, or other entity to release any and all information requested by the bearer of this release form with respect to myself or my business. Additionally, I do release such individuals or entities from any and all liability due to the release of information requested. (If individual applicant - legal name and any nicknames or aliases in parentheses)					
Applicant/Representative Signature: <u>[Signature]</u>				Date: <u>7/19/23</u>	
NOTARIZATION					
The foregoing application was sworn to and subscribed before me this <u>19th</u> Day of <u>JULY</u> 20 <u>23</u>					
by <u>KIRK ZIADIE</u> (Type or print name of applicant)			<u>[Signature]</u> (Signature of applicant)		
who is personally known to me or who has produced the following as identification:					
<u>[Signature]</u> (Type of identification)					
<u>[Signature]</u> (Signature of person taking acknowledgment)					
Notary Seal (Rubber Stamp and Expiration)					

Letter of Good Standing

Cheryl Kauffman -LABOR- <cheryl.kauffman@maryland.gov>
To: Anthony Mattara <atmracingllc@gmail.com>, dioplmarylandracingcommission-labor@maryland.gov

Hi Anthony,
Kirk Ziadie is in Good Standing.
If you need any further information, please call the Maryland Racing Commission licensing office at (410) 296-9683.

Thank you,
Cheryl Kauffman
(Quoted text hidden)



Cheryl Kauffman
Maryland Racing Commission
Maryland Department of Labor
300 E. Towsontown Blvd.
Towson, Maryland 21286
Cheryl.Kauffman@Maryland.gov
410-296-8683 (O)
410-296-9687 (F)
Website | Facebook | Twitter

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[Click here to complete a three question customer experience survey](#)

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Kirk Ziadie Letter of Good Standing

Davis, Rhonda (VRC) <Rhonda.Davis@vrc.virginia.gov>

Tue, Jul 11, 2023 at 3:14 PM

To: Anthony Mattera <atmracingllc@gmail.com>, "kirkziadieracing@aol.com" <kirkziadieracing@aol.com>

I sent an email directly to the Florida Gaming Control Commission.

Thanks,

Rhonda Davis

Pari-mutuels & Licensing
Virginia Racing Commission
5707 Huntsman Road
Suite 201-B
Richmond, VA 23250
Office (804) 966-7415
Cell (804) 461-0740

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2023 JUL 21 PM 3:25
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CONTROL COMMISSION

From: Anthony Mattera <atmracingllc@gmail.com>

Sent: Tuesday, July 11, 2023 2:49 PM

To: kirkziadieracing@aol.com <kirkziadieracing@aol.com>

Cc: Davis, Rhonda (VRC) <Rhonda.Davis@vrc.virginia.gov>

Subject: Kirk Ziadie Letter of Good Standing

(Quoted text hidden)

entres who are the addressees. If you are not an intended recipient of this e-mail, the dissemination, distribution, copying or use of the information it contains is strictly prohibited. If you have received this communication in error, please immediately contact the Office of the Attorney General at (609) 292-4925 to arrange for the return of this information.

Anthony Mattera <atmracingllc@gmail.com>
To: Sharon Jemas <Sharon.Jemas@njoag.gov>

Wed, Jul 12, 2023 at 11:31 AM

Awesome, could you please send an email to me or to PMW-Operations@flgaming.gov stating that Kirk Ziadie has no pending fines or suspensions in the State of NJ.

Thanks again, I'll swing by friday to introduce myself lol
[Quoted text hidden]

Sharon Jemas <Sharon.Jemas@njoag.gov>
To: Anthony Mattera <atmracingllc@gmail.com>

Wed, Jul 12, 2023 at 12:11 PM

Anthony,

We will give them a call and follow up with the Stewards.

-Sharon

[Quoted text hidden]
[Quoted text hidden]

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FLORIDA GAMING
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Jonathan Dye

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Wednesday, July 19, 2023 3:01 PM
To: PMW-Operations
Cc: Kirkziadieracing
Subject: Kirk Ziadie Finished Application and Letters of Good Standing
Attachments: FL Release Form.png; FL application KZ.png; Delaware.png; Maryland.png; Minnesota.png; Virginia.png; New Jersey.png

You don't often get email from atmracingllc@gmail.com: [Learn why this is important](#)

Good afternoon,

First and foremost Kirk and I would like to thank everyone at the PMW Office for their kindness and thoughtfulness throughout our interactions, everyone in the office has been super supportive and generous with their time and we thank you.

Kirk and I have reached out to all the states that were in question and he is in good standing in all states with no fines or suspensions pending. Most states' latest interactions with Kirk were over 15 years ago. The only fine and suspension pending was in Florida which he has served his time and paid his fine. I have attached the corrected application as well as interactions with other states. Some states informed us they would be sending the letter of good standing directly and hopefully they have been received. God willing this fulfills his requirements and Kirk can work on restoring his name and career.

Thanks so much,

Anthony Mattera and Kirk Ziadie

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CONTROL COMMISSION

12:35



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Minneapolis, MN



July 24, 2023

12:30 PM Incoming Call
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Block this Caller



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CONTROL COMMISSION

FW:

1 message

Corrigan, Sean (MRC) <Sean.Corrigan@state.mn.us>
To: "atmracingllc@gmail.com" <atmracingllc@gmail.com>
Cc: "Cummins, Nick (MRC)" <nick.cummins@state.mn.us>

Sun, Jul 23, 2023 at 4:43 PM

From: Corrigan, Sean (MRC)
Sent: Saturday, July 22, 2023 9:40 AM
To: atmracing@gmail.com
Subject:

Regarding your inquiry into Mr. K. Ziadie.

Mr. Ziadie shows no history of being licensed in Minnesota by the Minnesota racing Commission and Mr. Ziadie is eligible to apply for a license through the Minnesota Racing Commission.

Thank you

Sean Corrigan
Investigator

Minnesota Racing Commission
1100 Canterbury Road, Suite 100
Shakopee, MN 55379
O: 952-496-7950 Ext. 5
F: 952-496-7954
<https://mn.gov/mrc/>

RECEIVED
2023 JUL 24 AM 10:01
FLORIDA GAMING
CONTROL COMMISSION

Jonathan Dye

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Monday, July 24, 2023 9:22 AM
To: PMW-Operations
Cc: Kirkziadieracing
Subject: Kirk Ziadie Minnesota NJ attached
Attachments: Minn.png; New Jersey.png

You don't often get email from atmracingllc@gmail.com. [Learn why this is important](#)

Hey guys, I attached the correspondence with Minnesota and NJ. NJ has stated, they've also sent and contacted you guys directly and have no records of him for over 15 years. All states have now given Mr. Ziadie the all clear and he is excited for his future! He will make yas proud we promise lol. If you have any questions please call me at 609-418-3883 or Kirk directly at 954-678-9705.

Thanks again,
Anthony and Kirk

Cynthia Ritter

From: Anthony Mattera <antmattera@gmail.com>
Sent: Wednesday, July 12, 2023 10:54 AM
To: PMW-Operations
Cc: Kirkziadieracing
Subject: Re: To sara crane

You don't often get email from antmattera@gmail.com. [Learn why this is important](#)

Awesome thanks so much, we should be getting the other confirmations of good standing, within the day or two and will send back the correct forms.

Thanks so much for your help and consideration,

Anthony Mattera for Kirk Ziadie

On Jul 12, 2023, at 10:41 AM, PMW-Operations <PMW-Operations@flgaming.gov> wrote:

Thank you, Mr. Mattera. Both emails will be provided to the analyst reviewing the application.

Thank you,



The information contained in this transmission is intended solely for the use of the person(s) named herein. If you are not the intended recipient, you are hereby notified that any review, dissemination, distribution or duplication of this communication is strictly prohibited. If you are not the intended recipient, please contact me by reply e-mail and destroy all copies of the original message.

The State of Florida has a very broad public records law pursuant to Chapter 119, Florida Statutes. Most written communications to and from state officials regarding state business are public records, available to the public and media upon request. Therefore, your e-mail communications may be subject to public disclosure. [LARGER VIEW](#)

From: Anthony Mattera <antmattera@gmail.com>
Sent: Monday, July 10, 2023 11:21 AM
To: PMW-Operations <PMW-Operations@flgaming.gov>
Subject: Fwd: Fw: To sara crane

You don't often get email from antmattera@gmail.com. [Learn why this is important](#)

Letter of good standing Delaware

----- Forwarded message -----

From: Kirkziadieracing <kirkziadieracing@aol.com>
Date: Mon, Jul 10, 2023 at 11:12 AM
Subject: Fw: To sara crane
To: Anthony Mattera <antmattera@gmail.com>

Sent from the all new AOL app for iOS

Begin forwarded message:

On Monday, July 10, 2023, 11:01 AM, Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov> wrote:

Mr. Ziadie,

A records search indicates you have not held a license to participate Thoroughbred Racing in the State of Delaware since 2010 and there is no current action against you in the state of Delaware.

Sarah Crane

Sarah Crane - Executive Director

Delaware Thoroughbred Racing Commission

Office – 302.993.8970

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From: Kirkziadieracing <kirkziadieracing@aol.com>
Sent: Friday, July 7, 2023 3:27 PM
To: Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov>
Subject: To sara crane

Hi sara my name is kirk ziadie and the state of florida needs clearance that im in good standings in delaware and im not suspended or revoked in this state .. Please if u can help me that would be appreciated thank u . You can reach me at 954 678 8705 if any questions

[Sent from the all new AOL app for iOS](#)



RECEIVED

By Randall Kitchens at 3:58 pm, Jul 11, 2023

July 11, 2023

Virginia Racing Commission
5707 Huntsman Road, Suite 201-B
Richmond, Virginia 23250

GAMING LICENSE INFORMATION REQUEST

The Florida Division of Pari-Mutuel Wagering has received a license application from the individual listed below and is currently conducting a background investigation. The individual has indicated on their application that they are currently licensed and in good standing in your jurisdiction. Please examine your files for any disciplinary proceedings/actions, restrictions, revocations or non-renewal concerning his/her license.

Please answer the following questions and return this form to our office via fax at **850.410.5350** or e-mail to Randall.Kitchens@myfloridalicense.com.

Individual Name: Kirk Ziadie

Tax ID: [REDACTED]

Type of License: Trainer

Is this individual currently licensed?: [] YES [x] NO

Is this individual's license in good standing?: [] YES [x] NO

If no, please explain: Expired in 2006

Has this individual had any disciplinary proceedings/actions, restrictions, revocations or non-renewal concerning his/her license? [] YES [x] NO

If yes, please explain: _____

Name of Certifier: Rhonda Davis

Date: 7/11/2023

Your assistance is appreciated. Thank you.

Florida Division of Pari-Mutuel Wagering
Attn: Randall Kitchens
Office of Operations
Licensing Section



FLORIDA
GAMING CONTROL
COMMISSION

JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER

CR

June 16, 2023

Mr. Kirk M Ziadie
3701 Southwest 141 Ave.
Miramar, Florida 33027

RECEIVED
JUL 10 2023

RE: Application No. 246204, Entity 701515
1021 - PMW Professional Individual Occupational

Dear Mr. Ziadie:

Your application for an occupational license with the Office of Operations has been received. The item(s) checked below are either missing or require correction before the processing of your application can be completed:

- Application is incomplete: Please correct the highlighted section(s). It appears that you have made errors and/or omissions on page 3 of the application. If you have ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges, you must list the offenses in the Background Information section and provide court disposition records. Please initial and date any changes made to your application.

You must list the information on your application for the following charges:

- Broward County, Florida Arrest(s) – 11/22/2006
- Other: You must provide a letter of good standing from the Maryland Racing Commission, Minnesota Racing Commission, Delaware Racing Commission, Virginia Racing Commission, and New Jersey Racing Commission.
- PMW-3195 - Request for Release of Information and Authorization to Release Information (form enclosed).

2 emails attached

To expedite the processing of your application, please include this letter as well as any supporting documents and/or court disposition records that you may have. If you have an outstanding balance and would like to submit your payment by telephone, please call 850.488.3211.

If you fail to provide the Florida Gaming Control Commission with a complete application by 09/30/2023, your application shall lapse and no longer be processed by the Florida Gaming Control Commission. An applicant seeking a license as an initial or renewal

OFFICE OF OPERATIONS
2601 BLAIR STONE ROAD
TALLAHASSEE, FLORIDA 32399

applicant following the lapse of their previous license application shall be required to reapply by submitting all materials and fees required for that license in Florida Gaming Control Commission Rule.

Checks or money orders should be made payable to the Florida Gaming Control Commission or FGCC. Return the missing document and/or information to the address provided above, attention Office of Operations. If you have any questions please call 850.488.3211.

Thank you,

**Randall Kitchens
Operations Analyst II**

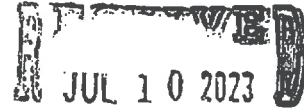
Cynthia Ritter

From: Anthony Mattera <atmracingllc@gmail.com>
Sent: Monday, July 10, 2023 10:53 AM
To: PMW-Operations
Subject: Fwd: Letter of Good Standing

You don't often get email from atmracingllc@gmail.com. [Learn why this is important](#)

Letter of Good standing, Maryland

Thanks so much,
Anthony and Kirk



----- Forwarded message -----

From: Cheryl Kauffman -LABOR- <cheryl.kauffman@maryland.gov>
Date: Fri, Jul 7, 2023 at 10:58 AM
Subject: Re: Letter of Good Standing
To: Anthony Mattera <atmracingllc@gmail.com>, <dloplmarylandracingcommission-labor@maryland.gov>

BY:

Hi Anthony,
Kirk Ziadie is in Good Standing,
If you need any further information , please call the Maryland Racing Commission licensing office at (410) 296-9683,

Thank you,
Cheryl Kauffman

On Fri, Jul 7, 2023 at 10:31 AM Anthony Mattera <atmracingllc@gmail.com> wrote:
Please call 609-418-3883 if you have any questions

Thanks again

On Thu, Jul 6, 2023 at 4:02 PM Anthony Mattera <atmracingllc@gmail.com> wrote:
To whom it may concern,

Hi, I am requesting a letter of good standing for Kirk Ziadie who last raced on June 28, 2015. The state of Florida has requested we reach out to other states to ensure he has no fines or suspensions. It's been a long frustrating road and we appreciate any help or guidance you can provide.

Thanks so much,
Anthony Mattera for Kirk Ziadie



Cheryl Kauffman
Maryland Racing Commission
Maryland Department of Labor
300 E. Towsontown Blvd.
Towson, Maryland 21286
Cheryl.Kauffman@Maryland.gov
410-296-9683 (O)
410-296-9687 (F)
[Website](#) | [Facebook](#) | [Twitter](#)

[Click here to complete a three question customer experience survey](#)

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Cynthia Ritter

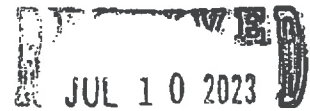
From: Anthony Mattera <antmattera@gmail.com>
Sent: Monday, July 10, 2023 11:21 AM
To: PMW-Operations
Subject: Fwd: Fw: To sara crane

You don't often get email from antmattera@gmail.com. [Learn why this is important](#)

Letter of good standing Delaware

----- Forwarded message -----

From: Kirkziadieracing <kirkziadieracing@aol.com>
Date: Mon, Jul 10, 2023 at 11:12 AM
Subject: Fw: To sara crane
To: Anthony Mattera <antmattera@gmail.com>



BY:

Sent from the all new AOL app for iOS

Begin forwarded message:

On Monday, July 10, 2023, 11:01 AM, Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov> wrote:

Mr. Ziadie,

A records search indicates you have not held a license to participate Thoroughbred Racing in the State of Delaware since 2010 and there is no current action against you in the state of Delaware.

Sarah Crane

Sarah Crane - Executive Director

Delaware Thoroughbred Racing Commission

Office – 302.993.8970

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From: Kirkziadieracing <kirkziadieracing@aol.com>
Sent: Friday, July 7, 2023 3:27 PM
To: Crane, Sarah A (DDA) <Sarah.Crane@delaware.gov>
Subject: To sara crane

Hi sara my name is kirk ziadie and the state of florida needs clearance that im in good standings in delaware and im not suspended or revoked in this state .. Please if u can help me that would be appreciated thank u . You can reach me at 954 678 8705 if any questions

Sent from the all new AOL app for iOS

APPLICANT
* See Privacy Act Notice on Back

LEAVE BLANK

TYPE OR PRINT ALL INFORMATION IN BLACK

FBI LEAVE BLANK

LAST NAME NAM FIRST NAME MIDDLE NAME

ZIADIE KIRK MAURICE

FD-258 (Rev. 5-15-17) 1110-0046

SIGNATURE OF PERSON FINGERPRINTED

ALIASES AKA

O
R
I

DATE OF BIRTH DOB

RESIDENCE OF PERSON FINGERPRINTED

DATE SIGNATURE OF OFFICIAL TAKING FINGERPRINTS

5/30/23 R. Majora GPO

EMPLOYER AND ADDRESS

CITIZENSHIP CTZ

USA

SEX

M

RACE

W

HGT.

58

WGT.

175

EYES

BLK

HAIR

BLK

PLACE OF BIRTH POB

JAMAICA

YOUR NO. OCA

LEAVE BLANK

UNIVERSAL CONTROL NO. UCN

ARMED FORCES NO. MNU

CLASS

P

REASON FINGERPRINTED

MISCELLANEOUS NO. MNU

REF.

112 6-6-23 Hz



FEDERAL BUREAU OF INVESTIGATION
 UNITED STATES DEPARTMENT OF JUSTICE
 CJIS DIVISION/CLARKSBURG, WV 26306

1110-0046

1. LOOP



CENTER OF LOOP

DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2. WHORL



DELTAS

THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

APPLICANT

MARION COUNTY SHERIFF'S OFFICE
 P.O. BOX 1987
 CCALA, FL 34478

THIS CARD FOR USE BY:

1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.
2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT LICENSING, PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON A STATUTE, STATE STATUTES DO NOT SATISFY THIS REQUIREMENT.
3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.
4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN THE SECURITY OF THOSE INSTITUTIONS.

Please review this helpful information to aid in the successful processing of hard copy civil fingerprint submissions in order to prevent delays or rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation. Ensure all information is typed or legibly printed using blue or black ink. Enter data within the boundaries of the designated field or block. Complete all required fields. (If a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

- The required fields for hard copy civil fingerprint cards are: ORI, Date of Birth, Place of Birth, NAM, Sex, Date fingerprinted, Reason Fingerprinted, and proper completion of fingerprint impression boxes.

- Do not use highlighters on fingerprint cards.
- Do not enter data or labels within 'Leave Blank' areas.
- Ensure fingerprint impressions are rolled completely from nail to nail.
- Ensure fingerprint impressions are in the correct sequence.
- Ensure notations are made for any missing fingerprint impression (i.e. amputation).
- Do not use more than two retabs per fingerprint impression block.
- Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the Internet by accessing the FBI's website at: fbi.gov, click on 'Fingerprints', then click on 'Ordering Fingerprint Cards & Training Aids'. Direct questions to the Biometric Services Section's Customer Service Group at (304) 625-5590 or by e-mail at identity@fbi.gov.

Social Security Account Number (SSAN): Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN, is responsible for informing the person whether disclosure is mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In this instance, the SSAN is solicited pursuant to 28 U.S.C 534 and will be used as a unique identifier to confirm your identity because many people have the same name and date of birth. Disclosure of your SSAN is voluntary; however, failure to disclose your SSAN may affect completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

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According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collected is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20530.

INSTRUCTIONS:

- 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
- 2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE 'EMPLOYER AND ADDRESS'. THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI. UNIVERSAL CONTROL NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- 3. MISCELLANEOUS NO. RECORD: OTHER ARMED FORCES NO. PASSPORT NO. (FP), ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

FD-258 (REV. 5-15-17)

U.S. GOVERNMENT PUBLISHING OFFICE:
 07/28/2020 11:52:04

RECEIVED
 JUN - 20 2020
 FBI - CLARKSBURG

1020 OPEN

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION					
Social Security Number [REDACTED]		Birth Date (MM/DD/YYYY) [REDACTED]		Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	
Last Name ZIADIE		First KIRK	Middle MAURICE	Suffix MR.	
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
If yes, list the name or names used: _____					
Race/Ethnicity (optional) <input type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input checked="" type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input checked="" type="checkbox"/> Other JAMAICAN					
Current Mailing Address [REDACTED]			Email Address (optional) [REDACTED]		
City Miramar	State Fla.	Zip Code (+4 optional) 33027	Country, if other than USA		
Primary Phone Number 954-678-8705		Secondary/Cell Phone Number (optional)			
Current Street Address SAME					
City	State	Zip Code (+4 optional)	Country, if other than USA		
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input checked="" type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee			Facility where employed and/or doing business: NELSON JONES TRAINING CENTER		
Occupation: <u>TRAINER</u>					
Does your position require access to the Cardroom? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			Is this your first time applying for a racing/gaming license in Florida? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____					
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY					
Type of professional license (attach a copy of Florida professional license):			Florida License Number		
FOR DIVISION USE ONLY					
License Code <u>1021</u>	License # <u>701515</u>	File # <u>9832</u>	App # <u>246204</u>		
Association Code <u>950</u>	Date Received <u>5/31/2023</u>	Entered By <u>DM</u>	License Year <u>25</u>		
License Fee <u>80</u>	FP Date <u>5/30/2023</u>	FP Fee <u>37.25</u>	Total Fee <u>117.25</u>		
Off Temp	Waiver Requested	ARCI <input checked="" type="checkbox"/>	Enforcement <input checked="" type="checkbox"/>	Minor	

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1020 OPEN



STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
DIVISION OF PARI-MUTUEL WAGERING
www.myfloridalicense.com

APPLICATION CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

ALL License Applicants Must Submit:

- Completed Form DBPR PMW-3120 – Print clearly and complete all sections that are not optional in black or blue ink.
- Additional Pages – If necessary to respond to any application questions.
- Supporting Legal Documentation – If necessary to respond to background information questions in application.
- Three (3) Year Licensing Fee – Make checks or money orders payable to DBPR.
 - Pari-Mutuel General Occupational License - \$15.00* * does not include fingerprint fee
 - Pari-Mutuel Professional Occupational License - \$80.00*
 - Cardroom Employee Occupational License - \$100.00*
- Fingerprints – Choose One Option:
 - Electronic Fingerprints: Electronic fingerprints must be submitted to the Division through a law enforcement agency or an FDLE approved Livescan Service Provider. Submit electronic fingerprints with the following ORI number: FL920630Z.

IMPORTANT: Electronic fingerprint processing fees must be paid directly to the law enforcement agency or FDLE approved Livescan Service Provider.
 - Fingerprint Card: Fingerprint cards (FD-258) can be mailed to applicants upon request by contacting the Division at the address or phone number below. Completed fingerprint cards must be mailed to the Division with your application.

IMPORTANT: Fingerprint card processing fees must be paid to the Division. Please see <http://www.fdle.state.fl.us/Criminal-History-Records/Obtaining-Criminal-History-Information.aspx> for the current fee amount.
 - Fingerprint Resubmission for Renewal and Upgrade Applicants: Applicants timely renewing or upgrading a license need only provide the Division a fingerprint resubmission processing fee. Visit our website or contact us for the current fee amount.

IMPORTANT: Timely submission of renewals must occur within one year of the expiration of applicant's license.

Please mail your completed application, documentation and required fee(s)
Department of Business and Professional Regulation
Pari-Mutuel Wagering; Licensing Section
2601 Blair Stone Road, Tallahassee, Florida 32399-1037
Phone: 850.487.1395

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CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE

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Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

SUSPENSION IN FLA / POSITIVE TEST

PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.

Signature of Applicant

Date

5/30/23

Licenses



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Licensee Search
FLORIDA GAMING & CONTROL COMMISSION

Licensee: Kirk Maurice Ziadie



Reports on Kirk Maurice Ziadie

- Comprehensive Ruling Report
- Drug Ruling Report (Last 5 Years)
- Comprehensive Licensee Report

Identification Information

Add a New Identification Record

Date of Birth	Federal ID/SSN	Country	Sex
[REDACTED]	[REDACTED]	USA	M
		USA	Unknown

Name Information

Add a New Name Record

Name Type	Prefix	First Name	Middle Name	Last Name	Suffix
Current Legal Name		Kirk	Maurice	Ziadie	
Previous Legal		KIRK	MAURICE	ZAIDIE	
Other		Kirk	M	Ziadie	

Address Information

Address Type	Street Address	City	State	Zip Code
Mailing	[REDACTED]	MIRAMAR	FL	33027

Phone Information

Phone Number Type	Phone Number
Business	[REDACTED]
Home	[REDACTED]
Mobile	[REDACTED]

License Information

Add a New License Record

License Number	License Type	Issued Date	Expiration Date	Licensing Commission
648182	Trainer	3/18/2016	12/31/2016	Kentucky Racing Commission
701515	Trainer	1/12/2016	6/30/2018	Florida Division of Pari-Mutuel Wagering LAST (EXP)
456988	Trainer	9/15/2014	12/31/2014	Kentucky Racing Commission
701515	Trainer	6/30/2012	6/30/2015	Florida Division of Pari-Mutuel Wagering
	Trainer	8/19/2011	6/30/2012	Florida Division of Pari-Mutuel Wagering

11648	Trainer	3/3/2011	6/30/2011	Florida Division of Pari-Mutuel Wagering
88641	Trainer	1/13/2010	12/31/2010	Maryland Racing Commission
5249230	Trainer	11/20/2008	12/31/2008	Maryland Racing Commission
72809	Trainer	6/1/2008	12/31/2008	New Jersey Racing Commission
701515	Trainer	5/19/2008	12/30/2010	Delaware Thoroughbred Racing Commission
252291	Trainer	6/30/2007	6/30/2010	Florida Division of Pari-Mutuel Wagering
*N*1740780	Trainer	9/29/2005	12/31/2005	Indiana Racing Commission
25030	Trainer	6/24/2005	6/30/2006	Virginia Racing Commission
08227492	Trainer	6/24/2005	6/30/2006	Virginia Racing Commission
*N*1726229	Trainer	6/1/2005	8/30/2008	Pennsylvania Horse Racing Commission
*N*1704898	Trainer	5/14/2005	12/31/2005	New Jersey Racing Commission
701515	Trainer	4/13/2005	12/31/2005	Arkansas Racing Commission
107988	Trainer	7/2/2004	6/30/2007	Florida Division of Pari-Mutuel Wagering
701515	Trainer	12/4/2002	12/31/2003	Texas Racing Commission
*N*1034732	Trainer	12/11/2001	6/30/2004	Florida Division of Pari-Mutuel Wagering
96-1081-0701515	Owner	12/11/2001	6/30/2004	Florida Division of Pari-Mutuel Wagering
	Trainer	6/14/1996	6/30/1999	Florida Division of Pari-Mutuel Wagering
*N*880920	Owner	6/14/1996	6/30/1999	Florida Division of Pari-Mutuel Wagering
943330	Assistant Trainer	10/28/1994	6/30/1997	Florida Division of Pari-Mutuel Wagering
93-1081-0701515	Owner	7/26/1994	12/31/1994	Birmingham Racing Commission
	Unknown	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
*N*880919	Owner	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
91-3049-0701515	Unknown	7/4/1993	6/30/1996	Florida Division of Pari-Mutuel Wagering
90-3050-0701515	Unknown	6/13/1991	6/30/1992	Florida Division of Pari-Mutuel Wagering
	Unknown	7/21/1990	6/30/1993	Florida Division of Pari-Mutuel Wagering

Fingerprint Information

Processing Commission
 Florida Division of Pari-Mutuel Wagering
 Virginia Racing Commission

Date Taken	Status	Notes	Add a New Fingerprint Record	RCI Card Submitted Date
7/4/1993	Unknown			
6/24/2005	No			

Ruling Number	Ruling Type	Ruling Date	Fine	Fine Paid?	Suspension Start	Suspension End
11-011	Reinstatement to Good Standing in State	2/10/2011	0	N/A	MARYLAND	2/10/2011
11-006MD	Failure to Pay Fine or Fees	1/28/2011	0	N/A	1/28/2011	2/10/2011
10-180MD	General/Miscellaneous Rulings	11/16/2010	200	N/A	NO WORKMENS COMP	
10-013MD	Race Office/Track Rule Violation	1/22/2010	200	Yes		
415097	Unknown	3/31/2009	250	N/A	9/30/2010	9/30/2010
426000	Unknown	3/25/2009	500	N/A	5/2/2009	
421937	✓ Medication/Drug Violation - Animal	9/11/2008	1000	N/A	11/14/2008	11/28/2008
08082	✓ Medication/Drug Violation - Animal	8/20/2008	200	Yes		
70-2008 DE	General/Miscellaneous Rulings	8/6/2008	500	N/A	ENTITLED HORSE	
408414	Medication/Drug Violation - Animal	6/27/2008	1000	N/A	11/22/2008	
408219	Unknown	6/14/2008	1000	N/A	11/14/2008	11/28/2008
408209	Medication/Drug Violation - Animal	6/14/2008	1000	N/A	11/14/2008	11/28/2008
2008010	Failure to Report or Appear ✓	6/12/2008	500	No		
2008010	General/Miscellaneous Rulings	6/12/2008	500	N/A	NO LICENSE/NO NECESSITATING	
23-2008	Failure to Complete License Procedure	6/3/2008	500	Yes	scratch - COLONIAL	
405268 2008004736	Medication/Drug Violation - Animal	1/5/2008	500	N/A	1/26/2008	

BOLDENONE
 BUTE CALDER
 DMED/CARTERBUTLY
 DEL PK that was on
 VETS LIST AT STGA
 3rd offense
 in cal. year

401327 2008004547	Medication/Drug Violation - Animal	12/2/2007	250	N/A	1/25/2008	
401327 2007 06 8121	Medication/Drug Violation - Animal	12/2/2007	250	N/A	1/25/2008	
195855	Unknown	10/25/2007	300	N/A	11/14/2008	11/28/2008
320000964	Race Office/Track Rule Violation	3/11/2007	250	N/A	3/24/2007	
191546 320000949	Medication/Drug Violation - Animal	1/6/2007	250	N/A	3/3/2007	
185092 320000916	Medication/Drug Violation - Animal	3/18/2006	500	N/A	4/22/2006	
320000908	Race Office/Track Rule Violation	3/9/2006	250	N/A	3/19/2006	
184323 320000896	Medication/Drug Violation - Animal	1/30/2006	250	N/A	3/2/2006	
183107 320000897	Medication/Drug Violation - Animal	1/19/2006	1000	N/A	3/2/2006	
183059 320000895	Medication/Drug Violation - Animal	1/15/2006	100	N/A	3/2/2006	
320000869	Unknown	1/12/2006	250	N/A	1/23/2006	
320000870	Unknown	1/1/2006	250	N/A	1/23/2006	
96	Race Office/Track Rule Violation	7/7/2005	100	N/A		
05MON76	Race Office/Track Rule Violation	6/23/2005	100	N/A		
173147 325000843	Medication/Drug Violation - Animal	5/8/2005	300	N/A	7/24/2005	
320000840	Race Office/Track Rule Violation	4/22/2005	100	N/A	4/17/2005	
932228	Medication/Drug Violation - Animal	5/2/2004	100	N/A	5/30/2004	

Applicable Reports

Comprehensive Ruling Report

Comprehensive Licensee Report

Comprehensive Licensee Report

ARCI Licensee: Kirk Maurice Ziadie

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CONTROL COMMISSION

Identification Information

DOB: [REDACTED]

Country: USA

Sex: M

DOB: [REDACTED]

Country: USA

Sex: Unknown

Licensee's Names

Current Legal Name: Kirk Maurice Ziadie

Previous Legal: KIRK MAURICE ZADIE

Other: Kirk M Ziadie

Licensee's Addresses

Mailing: [REDACTED]

Licensee's Phone Numbers

Business: 9548622250

Home: 9542143895

Mobile: 9546788705

Fingerprint History

Date Taken: 6/24/2005 Commission: Virginia Racing Commission Status: No

Date Taken: 7/4/1993 Commission: Florida Division of Pari-Mutuel Wagering Status: Unknown

License History

License Number: 648182

License Type: Trainer

Commission: Kentucky Racing Commission

State/Province: Kentucky

Issue Date: 3/18/2016

Expire Date: 12/31/2016

Division: Horse

Breed: Thoroughbred

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 1/12/2016

Expire Date: 6/30/2018

Division: Horse

Breed: Unknown

License Number: 456988

License Type: Trainer

Commission: Kentucky Racing Commission

State/Province: Kentucky

Issue Date: 9/15/2014

Expire Date: 12/31/2014

Division: Horse

Breed: Thoroughbred

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 6/30/2012

Expire Date: 6/30/2015

Division: Horse

Breed: Unknown

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 8/19/2011

Expire Date: 6/30/2012

Division: Horse

Breed: Unknown

License Number: 701515

License Type: Trainer

Commission: Florida Division of Pari-Mutuel Wagering

State/Province: Florida

Issue Date: 3/3/2011

Expire Date: 6/30/2011

Division: Horse

Breed: Unknown

License Number: 11648

License Type: Trainer

Commission: Maryland Racing Commission

State/Province: Maryland

Issue Date: 1/13/2010

Expire Date: 12/31/2010

Division: Horse

Breed: Thoroughbred

License Number: 88641 License Type: Trainer
Commission: Maryland Racing Commission State/Province: Maryland
Issue Date: 11/20/2008 Expire Date: 12/31/2008
Division: Horse Breed: Thoroughbred

License Number: 5249230 License Type: Trainer
Commission: New Jersey Racing Commission State/Province: New Jersey
Issue Date: 6/1/2008 Expire Date: 12/31/2008
Division: Horse Breed: Thoroughbred

License Number: 72809 License Type: Trainer
Commission: Delaware Thoroughbred Racing Commission State/Province: Delaware
Issue Date: 5/19/2008 Expire Date: 12/30/2010
Division: Horse Breed: Thoroughbred

License Number: 701515 License Type: Trainer
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/30/2007 Expire Date: 6/30/2010
Division: Horse Breed: Unknown

License Number: 252291 License Type: Trainer
Commission: Indiana Racing Commission State/Province: Indiana
Issue Date: 9/29/2005 Expire Date: 12/31/2005
Division: Horse Breed: Unknown

License Number: *N*1740780 License Type: Trainer
Commission: Virginia Racing Commission State/Province: Virginia
Issue Date: 6/24/2005 Expire Date: 6/30/2006
Division: Horse Breed: Thoroughbred

License Number: 25030 License Type: Trainer
Commission: Virginia Racing Commission State/Province: Virginia
Issue Date: 6/24/2005 Expire Date: 6/30/2006

Division:	Horse	Breed:	Thoroughbred
License Number:	08227492	License Type:	Trainer
Commission:	Pennsylvania Horse Racing Commission	State/Province:	Pennsylvania
Issue Date:	6/1/2005	Expire Date:	8/30/2008
Division:	Horse	Breed:	Thoroughbred
License Number:	*N*1726229	License Type:	Trainer
Commission:	New Jersey Racing Commission	State/Province:	New Jersey
Issue Date:	5/14/2005	Expire Date:	12/31/2005
Division:	Horse	Breed:	Thoroughbred
License Number:	*N*1704898	License Type:	Trainer
Commission:	Arkansas Racing Commission	State/Province:	Arkansas
Issue Date:	4/13/2005	Expire Date:	12/31/2005
Division:	Horse	Breed:	Thoroughbred
License Number:	701515	License Type:	Trainer
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	7/2/2004	Expire Date:	6/30/2007
Division:	Horse	Breed:	Unknown
License Number:	107988	License Type:	Trainer
Commission:	Texas Racing Commission	State/Province:	Texas
Issue Date:	12/4/2002	Expire Date:	12/31/2003
Division:	Horse	Breed:	Unknown
License Number:	701515	License Type:	Trainer
Commission:	Florida Division of Pari-Mutuel Wagering	State/Province:	Florida
Issue Date:	12/11/2001	Expire Date:	6/30/2004
Division:	Horse	Breed:	Unknown

License Number: *N*1034732 License Type: Trainer
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 12/11/2001 Expire Date: 6/30/2004
Division: Horse Breed: Thoroughbred

License Number: 96-1081-0701515 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/14/1996 Expire Date: 6/30/1999
Division: Horse Breed: Thoroughbred

License Number: 96-1081-0701515 License Type: Trainer
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/14/1996 Expire Date: 6/30/1999
Division: Horse Breed: Thoroughbred

License Number: *N*880920 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 10/28/1994 Expire Date: 6/30/1997
Division: Horse Breed: Thoroughbred

License Number: 943330 License Type: Assistant Trainer
Commission: Birmingham Racing Commission State/Province: Alabama
Issue Date: 7/26/1994 Expire Date: 12/31/1994
Division: Horse Breed: Thoroughbred

License Number: 93-1081-0701515 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: 93-1081-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: *N*880919 License Type: Owner
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/4/1993 Expire Date: 6/30/1996
Division: Horse Breed: Thoroughbred

License Number: 91-3049-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 6/13/1991 Expire Date: 6/30/1992
Division: Unknown Breed: Unknown

License Number: 90-3050-0701515 License Type: Unknown
Commission: Florida Division of Pari-Mutuel Wagering State/Province: Florida
Issue Date: 7/21/1990 Expire Date: 6/30/1993
Division: Unknown Breed: Unknown

Ruling History

Ruling Number: 11-011 Ruling Date: 2/10/2011
Ruling Type: Reinstatement to Good Standing in State
Fine Amount: \$ 0 Fine Paid: N/A
Suspension Start: Suspension End: 2/10/2011

Ruling Text: In the matter of trainer Kirk M. Ziadie, 3701 S.W. 141st Avenue, Miramar, FL 33027, subject of Stewards' Ruling #11-006 dated January 28, 2011. Kirk Ziadie, having paid the two hundred dollars (\$200.00) fine imposed upon him on November 16, 2010 (Ruling #10-180), is hereby reinstated to good standing. BY ORDER OF THE STEWARDS

Ruling Number: 11-006MD Ruling Date: 1/28/2011

Ruling Type: Failure to Pay Fine or Fees

Fine Amount: \$ 0 Fine Paid: N/A

Suspension Start: 1/28/2011 Suspension End: 2/10/2011

Ruling Text: Trainer Kirk M. Ziadie, 1155 N. University Drive, Suite 301, Pembroke Pines, FL 33024, having failed to pay a two hundred dollars (\$200.00) fine imposed upon him on November 16, 2010 (Ruling #10-180), is hereby suspended pending payment of the fine. [COMAR 09.10.04.05, §B.] While suspended, Kirk Ziadie is denied the privileges of all the grounds under the jurisdiction of the Maryland Racing Commission. [COMAR 09.10.01.45, Y(1)(a).] BY ORDER OF THE STEWARDS

Ruling Number: 10-180MD Ruling Date: 11/16/2010

Ruling Type: General/Miscellaneous Rulings

Fine Amount: \$ 200 Fine Paid: N/A

Suspension Start: Suspension End:

Ruling Text: Trainer Kirk M. Ziadie is fined two hundred dollars (\$200.00) for causing a late scratch of his entry, "Blazing Rate" out of the second race on October 28, 2010, by failing to have Workers' Compensation Insurance coverage at the time of the race. [Violation under COMAR 09.10.04.19.] BY ORDER OF THE STEWARDS

Ruling Number: 10-013MD Ruling Date: 1/22/2010

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 200 Fine Paid: Yes

Suspension Start: Suspension End:

Ruling Text: Trainer Kirk M. Ziadie, 1155 N. University Drive, Suite 301, Pembroke Pines, FL 33024, is fined \$200.00 for failure to have the foal certificate on file with the Horse Identifier for his entry, "D'artagnans'spirit ", in the fifth race at Laurel Park on January 21, 2010, causing a late scratch and a refund of bets placed on the horse. [COMAR 09.10.01.20, §A(1).] BY ORDER OF THE STEWARDS

Ruling Number: 415097

Ruling Date: 3/31/2009

Ruling Type: Unknown

Fine Amount: \$ 250

Fine Paid: N/A

Suspension Start: 9/30/2010

Suspension End: 9/30/2010

Ruling Text: 10-21-10 - Fine \$250 paid by Ralph Ziadie with check #1458. 9-30-10 Final Order Issued: Fined \$250 Unpaid 9-17-10 Final Order sent to agency clerk 9-9-10 - FO to Director- egb 6-16-10- PRO to Petitioner and infn officer 3-24-10- No EOR rec'd by legal. Motion for F/O being drafted. 2-3-10 - AC served by Joseph Poag to Kirk Ziadie. 320-Tampa Bay Downs, Inc. Horse: FORTUNATE TRAIL Drug: Boldenone (Greater than 40 nanograms per milliliter) Sample # 415097 Lab # A296926-HU Investigative Report sent to Legal on 09/15/2009. 2/3/2010 AC hand served to respondent by Chief Investigator Joseph Poag, Maryland Racing Commission.

Ruling Number: 426000

Ruling Date: 3/25/2009

Ruling Type: Unknown

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start: 5/2/2009

Suspension End:

Ruling Text: 320-Tampa Bay Downs, Inc. Horse: MIKE'S CLASSIC Drug: Clenbuterol (In Urine 4.5 ng/mL - In Serum 250 pg/mL) Sample # 426000 Lab # A294065-HB and A294065-HU

Ruling Number: 421937

Ruling Date: 9/11/2008

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 1000

Fine Paid: N/A

Suspension Start: 11/14/2008

Suspension End: 11/28/2008

Ruling Text: 325-Calder Race Course Horse: R SALTY VET Drug: Phenylbutazone Sample # 421937 Lab # A236124-HB HEARING DATE 11/14/2008 FINED \$1000.00 AND OCCUPATIONAL LICENSE SUSPENDED FOR 15 DAYS: 12/2 through and including 12/16/2008. FINE PAID: CHECK #81889 from Florida Horsemen's Bookkeeper Corporation for \$4300 which pays the fines issued in rulings on the following cases:2007062696, 2008054126, 2008039364, 2008037738, and 2008037729

Ruling.: 08082 Ruling Date: 8/20/2008
Number:

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 200 Fine Paid: Yes
Amount:

Suspension Suspension End:
Start:

Ruling Trainer Kirk M Ziadie, having responded is hereby assessed a civil penalty of
Text: \$200 for a medication violation "Dimethylsulfoxide" (DMSO) found in the
sample E77027 taken from the horse "Fireonthewire" following the fifth race
at Canterbury Park on August 2, 2008. MS 240.24 MRC Rule 7890.0100
Subp. 13C; 7890.0110 Subp. 1.

Ruling 70-2008 DE Ruling Date: 8/6/2008
Number:

Ruling General/Miscellaneous Rulings
Type:

Fine \$ 500 Fine Paid: N/A
Amount:

Suspension Suspension End:
Start:

Ruling 70-2008 Trainer Kirk Ziadie, DOB 8/22/68, having waived his right to a
Text: hearing, is fined the sum of five hundred (\$500.00) dollars for entering the
horse "STEELIX", which was on the Vets List at Saratoga, necessitating a
scratch from the eighth race at Delaware Park on Sunday, July 27, 2008.
Refer to D.T.R.C Rules 3.4; and 10.8.1.2. Fine to be paid within 48 hours.
Ruling 70-2008

Ruling 408414 Ruling Date: 6/27/2008
Number:

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/22/2008 Suspension End:
Start:

Ruling 325-Calder Race Course Horse: CALL ME PETE Drug: Phenylbutazone
Text: Sample # 408414 Lab # A219726-HB HEARING DATE 11/14/2008 FINED
\$1000.00 AND OCCUPATIONAL LICENSE SUSPENDED FOR 7 DAYS :
11/25, 11/26, 11/27, 11/28, 11/29, 11/30, and 12/01/2008 FINE PAID: CHECK
#81889 from Florida Horsemen's Bookkeeper Corporation for \$4300 which
pays the fines issued in rulings on the following cases:2007062696,
2008054126, 2008039364, 2008037738, and 2008037729

Ruling : 408209 Ruling Date: 6/14/2008
Number: .

Ruling Medication/Drug Violation - Animal
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/14/2008 Suspension End: 11/28/2008
Start:

Ruling 325-Calder Race Course Horse: CENZONTLE Drug: Oxyphenbutazone
Text: Sample # 408209 Lab # A217496-HB HEARING DATE 11/14/08 FINED
\$1000 3rd offense within calendar year FINE PAID: CHECK #81889 from
Florida Horsemen's Bookkeeper Corporation for \$4300 which pays the fines
issued in rulings on the following cases:2007062696, 2008054126,
2008039364, 2008037738, and 2008037729

Ruling 408219 Ruling Date: 6/14/2008
Number:

Ruling Unknown
Type:

Fine \$ 1000 Fine Paid: N/A
Amount:

Suspension 11/14/2008 Suspension End: 11/28/2008
Start:

Ruling 325-Calder Race Course Horse: ROMAGNOLA Drug: Oxyphenbtazone
Text: Sample # 408219 Lab # A217503-HB HEARING DATE 11/14/2008 FINED
\$1000.00 FINE PAID: CHECK #81889 from Florida Horsemen's Bookkeeper
Corporation for \$4300 which pays the fines issued in rulings on the following
cases:2007062696, 2008054126, 2008039364, 2008037738, and
2008037729

Ruling 2008010 Ruling Date: 6/12/2008
Number:

Ruling Failure to Report or Appear
Type:

Fine \$ 500 Fine Paid: No
Amount:

Suspension Suspension End:
Start:

Ruling Having waived his right to legal counsel and a hearing is hereby assessed a
Text: fine of \$500 for scratching the horse, "BROAD SWORD" from the Seventh
race on Tueaday, June 10, 2008 without an excuse satisfactory to the
Stewards (the horwe ran on June 8, 2008 at Delaware Park). Fine to be paid
within 72 hours.

Ruling 2008010 Ruling Date: 6/12/2008
Number:

Ruling: General/Miscellaneous Rulings

Type:

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start:

Suspension End:

Ruling Text: Having waived his right to legal counsel and a hearing is hereby assessed a fine of \$500 for scratching the horse, "BROAD SWORD" from the Seventh race on Tueaday, June 10, 2008 without an excuse satisfactory to the Stewards (the horwe ran on June 8, 2008 at Delaware Park). Fine to be paid within 72 hours.

Ruling Number: 23-2008

Ruling Date: 6/3/2008

Ruling Type: Failure to Complete License Procedure

Fine Amount: \$ 500

Fine Paid: Yes

Suspension Start:

Suspension End:

Ruling Text: 23-2008 TRAINER KIRK ZIADIE, DOB 8/22/68, HAVING WAIVED HIS RIGHT TO A HEARING, IS FINED THE SUM OF FIVE HUNDRED (\$500.00) DOLLARS FOR FAILING TO SECURE A CURRENT LICENSE AND FAILING TO HAVE WORKMEN'S COMPENSATION ON FILE WITH THE DELAWARE THOROUGHBRED RACING COMMISSION LICENSING OFFICE, NECESSITATING A SCRATCH ON THE HORSE "NAV QUATORZE" IN THE FOURTH RACE ON SATURDAY, MAY 17, 2008. REFER TO D.R.C RULES 7.1.1, 7.3.3, AND 3.4. FINE TO BE PAID WITHIN 48 HOURS. RULING 23-2008

Ruling Number: 405268 2008004736

Ruling Date: 1/5/2008

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start: 1/26/2008

Suspension End:

Ruling Text: 321-Gulfstream Park Horse: FOREIGN RUCKUS Drug: Phenylbutazone Sample # 405268 Lab # A174623-HB Ruling #2008004736 Fine \$500.00 Paid 1/27/08 Check #2325 (Total payment \$750.00 for Case / Ruling # #2008 00 5198 [Ruling #2008004736], Fine \$500.00 and 2007 06 8121 [Ruling #2008004547], Fine \$250.00)

Ruling Number: 401327 2007 06 8121

Ruling Date: 12/2/2007

Ruling : Medication/Drug Violation - Animal
Type:

Fine \$ 250
Amount:

Fine Paid: N/A

Suspension 1/25/2008
Start:

Suspension End:

Ruling Text: Bute Overage 323-Tropical At Calder Race Course Sample 401327 Lab# A168394-HU Fine \$250 Trainer Kirk Ziaidie, Ruling #2007 06 8121

Ruling Number: 401327 2008004547

Ruling Date: 12/2/2007

Ruling Type: Medication/Drug Violation - Animal

Fine \$ 250
Amount:

Fine Paid: N/A

Suspension 1/25/2008
Start:

Suspension End:

Ruling Text: 323-Tropical Park, Inc Horse: REGAL GLORY Drug: Phenylbutazone Sample # 401327 Lab # A168394-HB Ruling #2008004547 Fine \$250.00 Paid 1/27/08 Check #2325 (Total payment \$750.00 for Case / Ruling # #2008 00 5198 [Ruling #2008004736], Fine \$500.00 and 2007 06 8121 [Ruling #2008004547], Fine \$250.00)

Ruling Number: 195855

Ruling Date: 10/25/2007

Ruling Type: Unknown

Fine \$ 300
Amount:

Fine Paid: N/A

Suspension 11/14/2008
Start:

Suspension End: 11/28/2008

Ruling Text: 323-Tropical Park, Inc @ 325-Calder Race Course Horse: HOLIDAY MOMENT Drug: Clenbuterol Sample # 195855 Lab # A15973-HU Report of Positive Results delivered to the Board of Stewards for possible administrative action on November 16, 2007. HEARING HELD 11/14/08 DID NOT DISPUTE LABORATORY FINDINGS WILL COMPLY WITH THE DECISION OF THE BOARD OF STEWARDS. FINED \$300.00 1/20/09 Fine remains unpaid. FINE PAID: CHECK #81889 from Florida Horsemen's Bookkeeper Corporation for \$4300 which pays the fines issued in rulings on the following cases:2007062696, 2008054126, 2008039364, 2008037738, and 2008037729

Ruling Number: 320000964

Ruling Date: 3/11/2007

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 250

Fine Paid: N/A

Suspension Start: 3/24/2007

Suspension End:

**Ruling Text: 320-Tampa Bay Downs Expired coggins at race time JS Ruling #320-000964
Fine \$250.00 Paid on 04/13/07 Ck # 094554**

Ruling Number: 191546 320000949

Ruling Date: 1/6/2007

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 250

Fine Paid: N/A

Suspension Start: 3/3/2007

Suspension End:

**Ruling Text: 320-Tampa Bay Downs Horse: STEELIX Drug: Clenbuterol Sample #191546
Lab #A094103-HU Ruling No. 320-000949 dated 3/3/07. Fine \$250.00. Fine
paid 3/13/07 with ck#1441.**

Ruling Number: 185092 320000916

Ruling Date: 3/18/2006

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 500

Fine Paid: N/A

Suspension Start: 4/22/2006

Suspension End:

**Ruling Text: 320-Tampa Bay Downs Horse: RED HEAD CHAMP Drug: Phenylbutazone /
Oxyphenbutazone Sample #185092 Lab #A028872-HB Ruling No.
320.000916 dated 4/26/06. Fine \$500.00. Fine paid 5/6/06 with check number
232.**

Ruling Number: 320000908

Ruling Date: 3/9/2006

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 250

Fine Paid: N/A

Suspension Start: 3/19/2006

Suspension End:

**Ruling Text: 320-Tampa Bay Downs Late Scratch: (No Official Foal Certificate) JS Ruling
#320-000908 Fine \$250.00 Paid 4/1/06, Ck #060931**

Ruling Number: 184323 320000896

Ruling Date: 1/30/2006

Ruling : Medication/Drug Violation - Animal
Type:

Fine \$ 250
Amount:

Fine Paid: N/A

Suspension 3/2/2006
Start:

Suspension End:

Ruling Text: 320-Tampa Bay Downs Horse: KING DREAMER Drug: Dimethyl Sulfoxide
Sample #184323 Lab #A015171-HU JS Ruling #320-000896 dated 3/2/06 -
Fine \$250.00 Paid 3/3/06, Ck #3303.

Ruling Number: 183107 320000897

Ruling Date: 1/19/2006

Ruling Type: Medication/Drug Violation - Animal

Fine \$ 1000
Amount:

Fine Paid: N/A

Suspension 3/2/2006
Start:

Suspension End:

Ruling Text: 320-Tampa Bay Downs Horse: VIRGO VIXEN Drug: Dimethyl Sulfoxide /
Phenylbutazone >8 Sample #183107 Lab #A013322-HB JS Ruling #320-
000897 dated 3/2/06. Fine \$1,000.00. Fine Paid 3/3/06 with Ck #3303.

Ruling Number: 183059 320000895

Ruling Date: 1/15/2006

Ruling Type: Medication/Drug Violation - Animal

Fine \$ 100
Amount:

Fine Paid: N/A

Suspension 3/2/2006
Start:

Suspension End:

Ruling Text: 320-Tampa Bay Downs Horse: KING DREAMER Drug: Dimethyl Sulfoxide
Sample #183059 Lab #A011618-HU JS Ruling #320-000895 dated 3/2/06.
Fine \$100.00. Fine Paid 3/3/06 with Ck #3303.

Ruling Number: 320000869

Ruling Date: 1/12/2006

Ruling Type: Unknown

Fine \$ 250
Amount:

Fine Paid: N/A

Suspension 1/23/2006
Start:

Suspension End:

Ruling Text: 320-Tampa Bay Downs Late Stratch JS Ruling #320-000869 Fine \$250.00
Paid 2/3/06 Ck #087268

Ruling: 320000870 Ruling Date: 1/1/2006
Number: .
Ruling Type: Unknown
Fine Amount: \$ 250 Fine Paid: N/A
Suspension Start: 1/23/2006 Suspension End:
Ruling Text: 320-Tampa Bay Downs Late Scratch JS Ruling #320-000870 dated 1/23/06.
Fine \$250.00 Paid 2/3/06 Ck #087268.

Ruling Number: 96 Ruling Date: 7/7/2005
Ruling Type: Race Office/Track Rule Violation
Fine Amount: \$ 100 Fine Paid: N/A
Suspension Start: Suspension End:
Ruling Text: FAILED TO HAVE FOAL PAPERS ON FILE.

Ruling Number: 05MON76 Ruling Date: 6/23/2005
Ruling Type: Race Office/Track Rule Violation
Fine Amount: \$ 100 Fine Paid: N/A
Suspension Start: Suspension End:
Ruling Text: FAILED TO HAVE FOAL PAPERS ON FILE.

Ruling Number: 173147 325000843 Ruling Date: 5/8/2005
Ruling Type: Medication/Drug Violation - Animal
Fine Amount: \$ 300 Fine Paid: N/A
Suspension Start: 7/24/2005 Suspension End:
Ruling Text: 320-Tampa Bay Downs (Raced in Tampa Bay Downs but Stables Horses @
325-Calder Race Course) Inv Dennis Badillo Horse: DON AGUSTIN Drug:
Clenbuterol CI 3 Sample 173147 Lab #25859M JS Ruling #325-000843 Fine
\$300.00 Paid 8/23/05, Ck #32157

Ruling Number: 320000840 Ruling Date: 4/22/2005

Ruling Type: Race Office/Track Rule Violation

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: 4/17/2005 Suspension End:

Ruling Text: 320-Tampa Bay Downs No Foal Certificate At Race Time JS Ruling #320-000840 Fine \$100.00. Fine paid 4/17/05.

Ruling Number: 932228 Ruling Date: 5/2/2004

Ruling Type: Medication/Drug Violation - Animal

Fine Amount: \$ 100 Fine Paid: N/A

Suspension Start: 5/30/2004 Suspension End:

Ruling Text: 320-Tampa Bay Downs Resp Lic #701515 Inv Nicolas Miyar Horse: BRUSHED WITH GLORY Drug: Flunixin Sample #932228 Lab #73783L Ruling #325-000676 issued by Board of Stewards at Calder Race Course on May 30, 2004; respondent fined \$100.00. Fine paid June 1, 2004 by Ck. #104.

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Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2007030323	07/28/2008	LAB	90	04/27/2007	100	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007025004	02/04/2010	LAB	90	03/19/2007	45	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007023290	04/30/2007	INTN	90	03/11/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007008307	03/29/2007	LAB	90	01/08/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006067518	04/23/2007	LAB	90	11/28/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006060434	01/25/2007	LAB	90	10/15/2006	90	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2006019839	05/19/2006	LAB	90	03/18/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006022184	05/19/2006	INTN	90	03/09/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006007718	03/16/2006	LAB	90	01/30/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006006449	03/16/2006	LAB	90	01/19/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006005191	03/16/2006	LAB	90	01/15/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006005999	03/16/2006	INTN	90	01/12/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2006006002	03/16/2006	INTN	90	01/01/2006	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005064892	02/03/2006	LAB	90	12/02/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005030701	09/06/2005	LAB	90	05/08/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2005022931	05/11/2005	INTN	90	04/22/2005	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004060810	02/01/2006	LAB	90	12/03/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004057550	02/01/2006	LAB	90	10/14/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2004028212	06/04/2004	LAB	90	05/02/2004	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009039658	03/02/2010	ANON	90		100	ZIADIE, KIRK M	1021	9832	701515	CC



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Rec Lic Type	Number	Entered	Source	Status	Incident	Disp	Respondent Name	Lic Type	File No	Lic No	Public Case
1021	2008026161	05/18/2009	LAB	90	01/21/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009003113	01/20/2010	INTN	90	01/18/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009019767	04/15/2009	LAB	90	01/18/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019763	04/15/2009	LAB	90	01/17/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019761	04/15/2009	LAB	90	01/16/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017788	04/03/2009	LAB	90	01/11/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017781	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017784	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017786	04/03/2009	LAB	90	01/10/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009017783	04/03/2009	LAB	90	01/09/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2008059733	10/31/2008	LAB	90	09/20/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008054126	07/30/2009	LAB	90	09/11/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008039364	07/30/2009	LAB	90	06/27/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008037729	07/01/2008	LAB	90	06/14/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008037738	07/30/2009	LAB	90	06/14/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008005198	02/20/2008	LAB	90	01/05/2008	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007068121	02/27/2008	LAB	90	12/02/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2008004547	02/27/2008	LAB	90	12/02/2007	90	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2007062696	11/09/2009	LAB	90	10/25/2007	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2007048948	09/25/2007	LIC	90	06/30/2007	100	ZIADIE, KIRK M	1021	9832	701515	CC

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1021	2013023790	06/13/2017	LAB	90	04/26/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013016106	06/13/2017	LAB	90	03/13/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013004831	10/11/2013	LAB	90	01/06/2013	55	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2013005018	08/20/2013	LAB	90	01/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012043730	06/13/2017	LAB	90	09/27/2012	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2012044104	02/03/2015	LAB	90	09/22/2012	55	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012041948	06/13/2017	LAB	90	09/14/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012041931	06/13/2017	LAB	90	08/30/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012040949	06/09/2017	LAB	90	08/17/2012	324	ZIADIE, KIRK M	1021	9832	701515	PCF
1021	2012033990	06/09/2017	LAB	90	07/04/2012	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2012026684	06/12/2012	LAB	90	05/18/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012024018	05/23/2012	LAB	90	04/29/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012021061	05/02/2012	LAB	90	04/14/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2012011360	03/13/2012	LAB	90	02/09/2012	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009029506	06/03/2009	LAB	90	05/14/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009048213	10/05/2010	LAB	90	03/31/2009	60	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2009031718	06/12/2009	LAB	90	03/29/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009022107	05/19/2009	LAB	90	03/25/2009	90	ZIADIE, KIRK M	1021	9832	701515	JSR
1021	2009031768	06/12/2009	LAB	90	03/21/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2009019770	04/15/2009	LAB	90	01/29/2009	70	ZIADIE, KIRK M	1021	9832	701515	CC



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1021	2015037730	01/12/2016	INTN	90	05/29/2015	60	ZIADIE, KIRK M	1021	9832	701515	APDN
1021	2015022708	02/23/2017	LAB	90	05/09/2015	323	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2015020548	12/29/2016	LAB	90	04/24/2015	323	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2015009229	12/29/2016	LAB	90	02/06/2015	323	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2014052733	06/14/2017	LAB	90	12/07/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2014039033	06/14/2017	LAB	90	09/05/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2014006345	06/14/2017	LAB	90	01/19/2014	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013047021	06/14/2017	LAB	90	10/27/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013043815	06/14/2017	LAB	90	10/12/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013034195	06/14/2017	LAB	90	08/03/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013032774	06/14/2017	LAB	90	07/19/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013028055	08/27/2013	INTN	90	07/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	CASC
1021	2013031214	11/18/2013	LAB	90	07/06/2013	100	ZIADIE, KIRK M	1021	9832	701515	CC
1021	2013030616	06/14/2017	LAB	90	07/01/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013029114	06/14/2017	LAB	90	06/25/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013028031	06/14/2017	LAB	90	06/09/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013028525	06/14/2017	LAB	90	06/08/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013025126	06/14/2017	LAB	90	05/28/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013025104	06/14/2017	LAB	90	05/24/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC
1021	2013023875	06/13/2017	LAB	90	05/10/2013	324	ZIADIE, KIRK M	1021	9832	701515	ADMC

MEMORANDUM

To: The Florida Gaming Control Commission
From: Division of Pari-Mutuel Wagering
Through: Steve Woods
Re: Crashona Rachelle Gardner; Case No. 2023-058863
Date: October 20, 2023

Executive Summary

The Division of Pari-Mutuel Wagering seeks to deny Crashona Rachelle Gardner's ("Applicant") application for a Pari-Mutuel General Individual Occupational License. Applicant submitted a completed application for a Pari-Mutuel General Individual Occupational License on August 21, 2023. Applicant was convicted of a disqualifying offense and did not apply for a waiver of that conviction. Therefore, the Florida Gaming Control Commission should authorize the issuance of a Notice of Intent to Deny License.

Pertinent Facts

On August 21, 2023, Applicant submitted their application to the Division of Pari-Mutuel Wagering for a Pari-Mutuel General Individual Occupational License. Upon review of the completed application, it appears the Applicant was convicted of a felony in the state of Florida: Neglect/Causing Cruel Death to a Dog in 2015.

Relevant Law

Section 550.105(5)(b), Florida Statutes, provides in pertinent part that:

“[T]he commission may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; or a crime involving a lack of good moral character, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.”

Section 550.105(5)(d), Florida Statutes, provides that:

“[T]he term “convicted” means having been found guilty, with or without adjudication of guilt, as a result of a jury verdict, nonjury trial, or entry of a plea of guilty or nolo contendere. However, the term “conviction” shall not be applied to a crime committed prior to the effective date of this subsection in a manner that would invalidate any occupational license issued prior to the effective date of this subsection or subsequent renewal for any person holding such a license.”

Section 550.105(5)(c), Florida Statutes, provides in pertinent part that:

“[I]f the applicant establishes that she or he is of good moral character, that she or he has been rehabilitated, and that the crime she or he was convicted of is not related to pari-mutuel wagering and is not a capital offense, the restrictions excluding offenders may be waived by the director of the commission.”

Staff Recommendation: Because the Applicant was convicted of a disqualifying crime, the Florida Gaming Control Commission may deny or declare Applicant ineligible for any license. Accordingly, the Division of Pari-Mutuel Wagering recommends the Florida Gaming Control Commission authorize the issuance of a Notice of Intent to Deny License in this matter.

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering

Licensing Administrator Review – PMW Occupational License

RE: **GARDNER, CRASHONA RACHELLE-**
13709216

Case No: 2023058863

(APPLICANT'S NAME- LICENSE #)

INITIAL APPLICATION RECEIVED:	8/21/2023
COMPLETE APPLICATION RECEIVED:	8/21/2023
90-DAY DEADLINE:	11/19/2023

Tori Lanier
Application Processor

910- TLH.
Facility (d/b/a name)

Bartender
Occupation/Job Title

Pursuant to Section 550.105(5)(a): The division may deny a license of any person who has been refused a license by any other state racing commission or racing authority; under suspension or has unpaid fines in another jurisdiction. The division may deny, suspend, revoke, or declare ineligible any occupational license if the applicant for such license has been convicted in this state, in any other state, or under the laws of the United States of a capital felony, a felony, or an offense in any other state which would be a felony under the laws of this state involving arson; trafficking in, conspiracy to traffic in, smuggling, importing, conspiracy to smuggle or import, or delivery, sale, or distribution of a controlled substance; animal cruelty, illegal gambling, or has had a pari-mutuel license revoked by this state or any other jurisdiction for an offense related to pari-mutuel wagering.

Licensing Administrator Review					
Conviction					
Did the application accurately reflect the Criminal History Record? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
<input checked="" type="checkbox"/> Felony: 1 Count(s)					
<input type="checkbox"/> Misdemeanor – Industry Related/Gambling Related/Bookmaking					
<input checked="" type="checkbox"/> Animal Cruelty					
<input type="checkbox"/> Forgery, Larceny Extortion, Conspiracy to Defraud (Cardroom Professions Only)					
Comments:					
Arrest Date	Location	Charge	Level	Court Case #	Disposition
12/11/2014	Leon County, Florida	Torture Inflict Pain Serious Physical Inj Death	F	37 2014 CF 003666 A	Adjudication Withheld

Disposition Unknown					
<input type="checkbox"/> Felony Arrest(s) :					
<input type="checkbox"/> Misdemeanor Arrest – Industry Related/Gambling Related/Bookmaking					
<input type="checkbox"/> Animal Cruelty					
Comments:					

Enforcement/Jurisdiction Offenses					
<input type="checkbox"/> Currently under Suspension, Declared Ineligible, Ruled Off, Revoked, Denied, Ejected, Unpaid Fine, in this or any other racing jurisdiction.					
Comments:					

Related Licenses					
Check VR License Relations to Determine if Applicant is Related to a Business.					
Is the individual applicant related to a business? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete sections below.					
Business License Number:			Business Name:		
Has a deficiency letter been issued to the business indicating all ODSs must be licensed? Yes <input type="checkbox"/> No <input type="checkbox"/>					
Date Deficiency Letter Issued:			Initials:		
Comments:					

Licensing Administrator Review					
Disposition Confirmation					
Disqualifying Convictions/Arrests Confirmed? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> Approval					
Forward to <input type="checkbox"/> Investigations <input checked="" type="checkbox"/> Legal <input type="checkbox"/> Director			Initials: <i>sparks 10/19/23</i>		
Comments: Applicant with confirmed felony has not requested waiver to date. 90 day deadline without a waiver request is 11/19/2023.					

FAQ | Help | Sign Out

VR Home | Inbox | Entity | Application | License | Cash | Exam | Inspection | Enforcement | Report

Complaint Search Update | Change Mass Status Update | Recording License Type Public Case Info | Delete Complaint | Mass Activity Update | Mass Discipline

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: tianier

VR Home > Complaint Search > Maintain Complaint

Lic Type	1022 - Pari-Mutuel General Individual Occupational	Status	10 Initial Review	Status Date	10/19/2023
Complaint #	2023058863	Case Type	CMP - Complaint	Disposition	Disposition Date
Docket#	Respondent	GARDNER, CRASHONA RACHELLE	Responsible	ddonaldson - DONALDSON, DAVID	Private Case

Complaint | Respondent | Complainant | Add'l Info

Source	INTN - Internal	Security Level	1	<input type="checkbox"/> Parties	<input checked="" type="checkbox"/> Activities
Form	INTR - Internal	Priority		<input checked="" type="checkbox"/> Allegations	<input type="checkbox"/> Discipline
Class'n	CHIS - Criminal History	Complexity	R - Regular	<input type="checkbox"/> Violations	<input type="checkbox"/> Compliance
Security	STND - Standard	Incident	08/22/2023	<input type="checkbox"/> Related	<input checked="" type="checkbox"/> Disposition
Region	NR - Northern Region	Received	10/19/2023	<input type="checkbox"/> Inspection	
Reference				<input type="checkbox"/> Costs	
Entered	10/19/2023	Entered By	tianier	<input type="checkbox"/> Time Tracking	<input type="checkbox"/> Auto Assign
Summary	Application and rap sheet reviewed. Applicant's criminal history resulted in disqualifying conviction. No waiver received.			<input type="checkbox"/> Attachments	<input type="checkbox"/> History
Updated	10/19/2023 09:52:36	By	tianier	<input type="checkbox"/> Work Notes	<input type="checkbox"/> Print Report

Change | Save | OK | Cancel | Back

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CASE FILE ROUTING SLIP
PMW OCCUPATIONAL LICENSE APPLICATION

RE: GARDNER, CRASHONA RACHELLE-
13709216

Case No: 2023058863

(APPLICANT'S NAME- LICENSE #)

INITIAL APP RECEIVED:	<u>8/22/2023</u> (Date)
COMPLETE APP RECEIVED:	<u>8/22/2023</u> (Date)
90-DAY DEADLINE:	<u>11/20/2023</u> (Date)

Investigations Section:

Reviewed by Bradford Jones

N/A
(Initial & Date)

The attached file has been reviewed for completeness and accuracy, and has been forwarded to the Licensing Section.

Licensing Section:

Tori Lanier
Application Processor

910- TLH
Facility (d/b/a name)

Bartender
Occupation/Job Title

Reviewed by David Donaldson

[Signature]
(Initial & Date)

Is the applicant currently under suspension, has unpaid fines, or has been refused a license by any gaming or racing jurisdiction?

Yes _____ No X

Is the applicant related to another application?

Yes _____ No X

Comments: Application and rap sheet reviewed. Applicant's criminal history resulted in disqualifying conviction. No waiver received.

Office of Director:

Reviewed by Director _____

(Initial & Date)

Approve Application _____

Deny Application _____

Comments: _____

* Please attach Routing Slip to front of case file.

RECEIVED

2023 AUG 22 PM 2:45

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

FLORIDA GAMING
CONTROL COMMISSION

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Social Security Number [REDACTED]	Birth Date (MM/DD/YYYY) [REDACTED]	Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female	
Last Name Gardner	First Crashona	Middle Kachelle	Suffix
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If yes, list the name or names used: <u>Crashona Green</u>			
Race/Ethnicity (optional) <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Other			
Current Mailing Address <u>P.O. Box 70</u>		Email Address (optional)	
City <u>Gretna</u>	State <u>FL</u>	Zip Code (+4 optional) <u>32332</u>	Country, if other than USA
Primary Phone Number <u>850-590-5328</u>		Secondary/Cell Phone Number (optional)	
Current Street Address [REDACTED]			
City <u>Quincy</u>	State <u>FL</u>	Zip Code (+4 optional) <u>82352</u>	Country, if other than USA
Type of Occupational License applying for: <input type="checkbox"/> Part-Mutuel General Individual <input checked="" type="checkbox"/> Part-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee		Facility where employed and/or doing business: <u>Creek Entertainment Gretna</u>	
Occupation: <u>bartender</u>			
Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a part-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name: _____ Trainer Name (horse or greyhound racing only): _____			
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY			
Type of professional license (attach a copy of Florida professional license):		Florida License Number	
FOR DIVISION USE ONLY			
License Code <u>1022</u>	License # <u>13709216</u>	File # <u>103222</u>	App # <u>19663</u>
Association Code <u>910</u>	Date Received <u>8/1/23</u>	Entered By <u>[Signature]</u>	License Year <u>23/26</u>
License Fee <u>5</u>	FP Date <u>8/1/23</u>	FP Fee <u>32.25</u>	Total Fee <u>57.25</u>
Off Temp	Waiver Requested	ARCI	Enforcement
			Minor

2023 AUG 22 PM 2:45

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

Yes
 No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?

Yes
 No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2014	Leon	FL	Animal Neg	NO CONTEST	Probation

Yes
 No Have you ever had a racing or gaming license revoked or denied in this or any other state or county? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.

Yes
 No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:

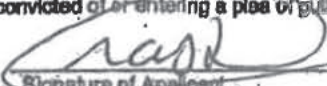
PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 663, 654; and Sections 409.2577, 409.2598, and 859.79; Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to ensure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for license identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 569.78, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.


Signature of Applicant

B-21-23
Date

APPLICANT
* See Privacy Act Notice on Back

LEAVE BLANK

TYPE OR PRINT ALL INFORMATION IN BLACK

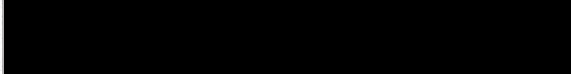
FBI LEAVE BLANK

FD-258 (Rev. 5-15-17) 1110-0046

SIGNATURE OF PERSON FINGERPRINTED:

Rachelle Gardner

RESIDENCE OF PERSON FINGERPRINTED:



DATE SIGNATURE OF OFFICIAL TAKING FINGERPRINTS

3/21/23 *Rachelle Gardner*

EMPLOYER AND ADDRESS
Gretna Racing LLC

501 Racetrack Road

REASON FINGERPRINTED
Gretna, FL 32332

LAST NAME: **NAM** FIRST NAME: **Gardner** MIDDLE NAME: **Crashona**

Rachelle

ALIASES AKA

O
R
I

DATE OF BIRTH DOB



CITIZENSHIP CTZ

US

SEX

RACE

HGT.

WGT.

EYES

HAIR

PLACE OF BIRTH POB

F

Blk

5'8"

157

Brown

Brown

Tel-FL

YOUR NO. OCA

UNIVERSAL CONTROL NO. UCN

ARMED FORCES NO. MNU

SOCIAL SECURITY NO. SOC

MISCELLANEOUS NO. MNU

LEAVE BLANK

CLASS

P

REF.

1/a

8-22-23 HZ



**FEDERAL BUREAU OF INVESTIGATION
UNITED STATES DEPARTMENT OF JUSTICE
CJIS DIVISION/CLARKSBURG, WV 26306**

1110-0046

APPLICANT

THIS CARD FOR USE BY:

1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.*
2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT, LICENSING, AND PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES, LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT.*
3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.**
4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN THE SECURITY OF THOSE INSTITUTIONS.

Please review this helpful information to aid in the successful processing of hard copy civil fingerprint submissions in order to prevent delays or rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation. Ensure all information is typed or legibly printed using blue or black ink. Enter data within the boundaries of the designated field or block. Complete all required fields. (If a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

* The required fields for hard copy civil fingerprint cards are: ORI, Date of Birth, Place of Birth, NAM, Sex, Date fingerprinted, Reason Fingerprinted, and proper completion of fingerprint impressions.

1. LOOP



CENTER OF LOOP

DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2. WHORL



DELTAS

THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

Do not use highlighters on fingerprint cards.
Do not enter data or tabers when "Leave Blank" areas.
Ensure fingerprint impressions are rolled completely from nail to nail.
Ensure fingerprint impressions are in the correct sequence.
Ensure notations are made for any missing fingerprint impression (i.e. amputation).
Do not use more than two deltas per fingerprint impression block.
Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the internet by accessing the FBI's website at www.fbi.gov, click on "Fingerprints" and click on "Ordering Fingerprint Cards & Training Aids". Direct questions to the Biometric Services Section's Customer Service Group at (304) 625-4900 or by e-mail at cbentley@fbi.gov.

Social Security Account Number (SSAN): Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN, is responsible for informing the person of their disclosure mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In the absence, the SSAN is solicited pursuant to 28 U.S.C 534 and will be used as a unique identifier to confirm your identity because many people have the same name and date of birth. Disclosure of your SSAN is voluntary; however, failure to disclose your SSAN may affect the completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to P.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Biometric Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

PAPERWORK REDUCTION ACT NOTICE

According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collection is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20530.

INSTRUCTIONS:

- * 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE "EMPLOYER AND ADDRESS". THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI. UNIVERSAL CONTROL NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- ** 3. MISCELLANEOUS NO. - RECORD: OTHER ARMED FORCES NO. PASSPORT NO. [FP], ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

FD-258 (REV. 5-15-17)

U.S. GOVERNMENT PUBLISHING OFFICE:
07/05/2018 12:11:07

RECEIVED
 2023 AUG 28 PM 3:55
 FLORIDA
 CONTROL CENTER



C.B. UPTON, CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

August 24, 2023

Ms. Crashona Rachelle Gardner
Post Office Box 70
Gretna, Florida 32332

RE: Application No. 196663, Entity 13709216
1022 - PMW General Individual Occupational

Dear Ms. Gardner:

We have received your application with the Florida Gaming Commission. Any individual or Business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - request for waiver and DBPR PMW-3185 - request for release of information and authorization to release information (forms enclosed). If you wish to apply for waiver consideration, please submit these documents by 10/05/2023. Otherwise, your application will be processed as-is.

To expedite the processing of your application, please include this letter as well as any Supporting documents and/or court disposition records that you may have. If you have An outstanding balance and would like to submit your payment by telephone, please call (850) 794-8130.

Thank you,

TL
Operations Analyst II

OFFICE OF OPERATIONS
4070 ESPLANADE WAY SUITE 250
TALLAHASSEE, FLORIDA 32399

Subject : *Results of check for GARDNER, CRASHONA RACHELLE (80GBPR04000098733)*

14CF3666A1

PROBABLE CAUSE

TPD Case #:14-32426

TO: First Appearance Magistrate

DEFENDANT: Crashona R. Green

CHARGES: Cruelty to Animals F.S. 828.12 (2)

SUMMARY OF OFFENSES AND PROBABLE CAUSE AFFIDAVIT:

The above named Defendant was arrested for the following reasons:

On 11/11/2014, patrol officer Jernigan #620 responded to a report of a dead dog at the intersection of Adkins Forest Lane and Capital Circle SE. Upon arrival on scene, she located a medium sized kennel on the grass at the above intersection, beneath the street signs. Ofc. Jernigan looked inside the kennel and observed a chocolate colored dog with a white patch on its chest that was deceased. The dog appeared severely emaciated. Inside the kennel was covered with smeared feces, blood and appeared the dog had been inside for some time. There was a red nylon collar with a blue nylon collar underneath around the dog's neck. There were several inches of space between the dog's neck and the collars indicated the collars were too big for the dog's neck, but appeared to have once fit the dog.

Animal control Ofc. Shelby #AS7 responded to the scene. AC Ofc. Shelby pulled the dog out of the kennel in an attempt to scan for a microchip, with negative results. AC Ofc. Shelby photographed the scene and then collected the dog to have a necropsy performed the next day to determine the cause of death.

Ofc. Jernigan made contact with the initial complainant who wanted to remain anonymous. He was able to point officers towards the intersection of Adkins Forest Lane and Bluebay Lane where he was aware of a resident who had a dog who had "passed." Ofc. Jernigan and AC Ofc. Shelby responded to 3073 Adkins Forest Lane where they made contact with the defendant. Ofc. Jernigan asked her if she had a brown dog that had passed away and she advised she did. The defendant advised the dog's name was "Future" and he had died the previous day at approximately 3:20 PM (11/10/2014). Ofc. Jernigan asked the defendant if it was her dog in the kennel at the above listed intersection and she confirmed it was. The defendant stated she found her dog dead and did not know what to do. She stated she called her uncle, Ron Charleston to come over and help her bury it. The defendant stated Charleston advised her to call Animal Control. The defendant stated she called animal control, but they were closed. She advised she then called dispatch. The defendant claimed someone at CDA told her to have her uncle place the dog inside his kennel at the above location due to Animal Control being closed. The defendant stated she had Charleston place the dog in the kennel, then at the above intersection for someone to pick up the next day.

AC Ofc. Shelby asked how the dog died, the defendant advised the dog started getting sick the week prior and she thought it was because he missed his other owner who had previously been arrested. The defendant stated the dog was so sick, she had to "make him get out of the cage to eat" by physically pulling him out. The defendant stated she would normally let the dog out of

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the kennel long enough to eat and use the bathroom and then either she or her kids would put him back into the kennel. The defendant advised the dog primarily lived in the kennel. The defendant stated when the dog was not eating she thought he had worms, but never took him to the vet.

The necropsy was performed by Rachel C. Barton, DVM on 11/12/2014. The necropsy report states the following: The dog died within 24-48 hours preceding the time it was placed in cold storage (the night of the incident). The stomach was empty except for partially digested bloody viscous fluid. The intestines had minimal contents except for those similar what was found in the stomach. The advanced state of emaciation and chronic heartworm infection show that the dog was not receiving appropriate husbandry and veterinary care that any reasonable owner should have been aware was necessary. The cause of death was starvation and dehydration secondary, and may have resulted in the GI illness or resulted from it.

Due to the fact the officers responded to the above intersection and located a deceased dog; it was evident that the dog was emaciated to the point of death; the defendant was identified as the owner of the dog and failed to provide veterinary care; necropsy indicated the dog is a victim of cruelty as the cause of death was starvation and dehydration; as stated in State v. Morival, the defendant is being charged with Cruelty to Animals F.S. 828.12 (2).

The preceding is true to the best of my current knowledge or belief.

SIGNATURE: *Siri Bennett* ⁷¹⁴ Inv. S Bennett #714
Tallahassee Police Department

Notarization: Sworn to and subscribed before me this 4 day of December, 2014.

TP15162
NOTARY/ASA: *Daniel Davis* 222

My commission expires: **LAW ENFORCEMENT OFFICER**

approved, Steven Vollich, Bureau, AS 12/4/14
ORDER: THIS CAUSE coming before me as a First Appearance Magistrate, and having reviewed the preceding Affidavits, find:

Probable cause sufficient;
 Probable cause not sufficient and unless corrected within seventy-two hours, the defendant shall be released on his own recognizance.

Bond Amount Requested _____

Ronald W. Perry
JUDGE SIGNATURE

In the County Court Leon County, Florida

STATE OF FLORIDA

vs.

Crashona Green

COMPLAINT

3073 Adkins Forest Ln.
Tallahassee, FL 32311

IN THE NAME AND BY THE AUTHORITY OF THE STATE OF FLORIDA:

SPIN # 238635
REF # 3009
Warrant # 14CF36666A1
Agency Case # 14-32426
Officer I.D. # 714
Charge: Conservation-Animals
Cause Cruel Death Pain And
Suffering
Degree of Charge: 3F
Statute Nos.: 828.12(2)
License #: [REDACTED]
License State: FL
DOB: [REDACTED]
Sex: F
Race: B
Height: 5'03"
Weight:
Hair: Black
Eyes: Brown
SSN: [REDACTED]

Before me, the undersigned authority, personally appeared Investigator S. Bennett, who, being first duly sworn says that on the 11th day of November, 2014, in Leon County, Florida, the aforesaid defendant did intentionally commit an act to any animal, a dog, which resulted in the cruel death, or excessive or repeated infliction of unnecessary pain or suffering, or caused the same to be done,

: contrary to Section., 828.12(2) F.S.

contrary to the statute, rule, regulation or other provision of law in such case made and provided, and against the peace and dignity of the State of Florida.



Investigator S. Bennett

Complainant

Tallahassee Police Department

234 E. 7th Ave. Tallahassee, FL 32303

Address

Sworn to and subscribed before me this 7th day of December, 2014.



Judge, Assistant State Attorney or Notary Public

SEAL

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FLORIDA

In Computer

STATE OF FLORIDA

IN THE SECOND JUDICIAL
CIRCUIT COURT, IN AND FOR
LEON COUNTY

-VS-

CASE NUMBER 2014 CF 3666 A

CRASHONA GREEN

Defendant

DC NUMBER N29109

Local Jurisdiction Identification Number: 238635

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- been found guilty by jury verdict of
- entered a plea of nolo contendere to
- been found guilty by the court trying the case without a jury of

Count (1) NEGLIGENCE CAUSING CRUEL DEATH TO A DOG	Count _____
Count _____	Count _____
Count _____	Count _____
Count _____	Count _____

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

- Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of 30 months under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

- committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of 1 day with credit for 1 day jail time, as a special condition of supervision.

CLERK OF COURT
 LEON COUNTY
 15 MAY - 8 AM '14
 FILED

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of \$50.00 per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in Leon County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 1815 S. Gadsden Street, Tallahassee, Florida 32301.

SPECIAL CONDITIONS

1. You must undergo a Drug and Alcohol evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
Additional instructions ordered: _____
2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:
NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____
- NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____

SPECIAL CONDITIONS – CONTINUED

- 3. You will be required to pay for drug testing unless exempt by the court.
- 4. You will enter the Department of Corrections Non-Secure Drug Treatment Program or other residential treatment program/Probation and Restitution Center for a period of successful completion as approved by your officer. You are to remain until you successfully complete said Program and Aftercare. You are to comply with all Rules and Regulations of the Program. You shall be confined in the county jail until placement in said program, and if you are confined in the jail, the Sheriff will transport you to said program.
- 5. You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.
- 6. You will submit to urinalysis testing on a monthly basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.
- 7. You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.
- 8. You will successfully complete 300 hours of community service at a rate of 20 HOURS A MONTH, at a work site approved by your officer.
Additional instructions ordered: SIGN-UP WITHIN 30 DAYS - TO BE COMPLETED AT TALLAHASSEE MUSEUM WITH ANIMALS
- 9. You will remain at your residence between 10 p.m. and 6 a.m. due to a curfew imposed, unless otherwise directed by the court.
- 10. You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay \$_____ per month for the cost of the electronic monitoring service.
- 11. You will not associate with _____ during the period of supervision.
- 12. You will have no contact (direct or indirect) with the victim or the victim's family during the period of supervision.
- 13. You will have no contact (direct or indirect) with _____ during the period of supervision.
- 14. You will maintain full time employment or attend school/vocational school full time or a combination of school/work during the term of your supervision.
- 15. You will make a good faith effort toward completing basic or functional literacy skills or a high school equivalency diploma.
- 16. You will successfully complete the Probation & Restitution Program, abiding by all rules and regulations.
- 17. You will attend a support group with a focus on _____ at least monthly, unless otherwise directed by the court.
- 18. You must successfully complete Anger Management, and be responsible for the payment of any costs incurred while receiving said treatment, unless waived. If convicted of a Domestic Violence offense, as defined in s. 741.28, F.S., you must attend and successfully complete a batterer's intervention program, unless otherwise directed by the court.
Additional instructions ordered: _____
- 19. You will attend an HIV/AIDS Awareness Program consisting of a class of not less than two (2) hours or more than four (4) hours in length, the cost for which will be paid by you.
- 20. If you have been found to have committed a crime on or after October 1, 2008 for the purpose of benefitting, promoting, or furthering the interests of a criminal gang, you are prohibited from knowingly associating with other criminal gang members or associates, except as authorized by law enforcement officials, prosecutorial authorities, or the court, for the purpose of aiding in the investigation of criminal activity.
- 21. You will successfully complete a Post-adjudicatory treatment-based drug court program, as provided in s. 397.334(3), F.S.
- 22. If you are required to register as a sexual predator under s. 775.21 or sexual offender under s. 943.0435, s. 944.606, or

s. 944.607, F.S., you will undergo an evaluation, at your expense, by a qualified practitioner to determine whether you need sexual offender treatment. If the qualified practitioner determines that sexual offender treatment is needed and recommended, you must successfully complete and pay for the treatment as provided in s. 948.31, F.S.

- 23. Other: **NO EARLY TERMINATION OF PROBATION**
- 24. Other: **DO NOT OWN OR POSSESS ANY ANIMALS DURING PROBATION**
- 25. Other: _____
- 26. Other: _____
- 27. Other: _____
- 28. Other: _____
- 29. Other: _____
- 30. Other: _____

AND, IF PLACED ON DRUG OFFENDER PROBATION, YOU WILL COMPLY WITH THE FOLLOWING CONDITION OF SUPERVISION IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) You will participate in a specialized drug treatment program, either as an in-patient or out patient, as recommended by the treatment provider. You will attend all counseling sessions, submit to random urinalysis and, if an in-patient, you will comply with all operating rules, regulations and procedures of the treatment facility. You will pay for all costs associated with treatment and testing unless otherwise directed.

Additional instructions ordered: _____

(16) You will remain at your residence between _____ p.m. and _____ a.m. due to a curfew imposed, unless otherwise directed by the court.

(17) You will successfully complete a Post-adjudicatory treatment-based drug court program, as provided in s. 397.334(3), F.S.

AND, IF PLACED ON COMMUNITY CONTROL, YOU WILL COMPLY WITH THE FOLLOWING CONDITIONS, IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) You will report to your officer as directed, at least one time a week, unless you have written consent otherwise.

(16) You will remain confined to your approved residence except for one-half hour before and after your approved employment, public service work, or any other special activities approved by your officer.

(17) You will maintain an hourly accounting of all your activities on a daily log, which you will submit to your officer on request.

(18) You will successfully complete _____ hours of community service at a rate of _____, at a work site approved by your officer.

Additional instructions ordered: _____

(19) You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay \$ _____ per month for the cost of the electronic monitoring service.

AND, IF PLACED ON PROBATION OR COMMUNITY CONTROL FOR A SEX OFFENSE PROVIDED IN CHAPTER 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, COMMITTED ON OR AFTER OCTOBER 1, 1995 YOU WILL COMPLY WITH THE FOLLOWING STANDARD SEX OFFENDER CONDITIONS, IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) A mandatory curfew from 10 p.m. to 6 a.m. The court may designate another 8-hour period if the offender's employment precludes the above specified time, and the alternative is recommended by the Department of Corrections. If the court determines that imposing a curfew would endanger the victim, the court may consider alternative sanctions.

(16) If the victim was under the age of 18, a prohibition on living within 1,000 feet of a school, child care facility, park, playground, or other place where children regularly congregate, as prescribed by the court. The 1,000-foot distance shall be measured in a

straight line from the offender's place of residence to the nearest boundary line of the school, child care facility, park, playground, or other place where children congregate. The distance may not be measured by a pedestrian route or automobile route.

- (17) Active participation in and successful completion of a sex offender treatment program with qualified practitioners specifically trained to treat sex offenders, at the offender's own expense. If a qualified practitioner is not available within a 50-mile radius of the offender's residence, the offender shall participate in other appropriate therapy.
- (18) A prohibition on any contact with the victim, directly or indirectly, including through a third person, unless approved by the victim, a qualified practitioner in the sexual offender treatment program, and the sentencing court.
- (19) If the victim was under the age of 18, a prohibition on contact with a child under the age of 18 except as provided in this paragraph. The court may approve supervised contact with a child under the age of 18 if the approval is based upon a recommendation for contact issued by a qualified practitioner who is basing the recommendation on a risk assessment. Further, the sex offender must be currently enrolled in or have successfully completed a sex offender therapy program. The court may not grant supervised contact with a child if the contact is not recommended by a qualified practitioner and may deny supervised contact with a child at any time.
- (20) If the victim was under age 18, a prohibition on working for pay or as a volunteer at any place where children regularly congregate, including, but not limited to any school, child care facilities, park, playground, pet store, library, zoo, theme park, or mall.
- (21) Unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program, a prohibition on viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material, including telephonic, electronic media, computer programs, or computer services that are relevant to the offender's deviant behavior pattern.
- (22) A requirement that the offender submit a DNA sample to the Florida Department of Law Enforcement to be registered with the DNA data bank.
- (23) A requirement that the offender make restitution to the victim, as ordered by the court under s. 775.089, for all necessary medical and related professional services relating to physical, psychiatric, and psychological care.
- (24) Submission to a warrantless search by the community control or probation officer of the offender's person, residence, or vehicle.

EFFECTIVE FOR PROBATIONER OR COMMUNITY CONTROLLEE WHOSE CRIME WAS COMMITTED ON OR AFTER OCTOBER 1, 1997, AND WHO IS PLACED ON COMMUNITY CONTROL OR SEX OFFENDER PROBATION FOR A VIOLATION OF CHAPTER 794, s. 800.04, s. 827.071, s. 847.0135(5) or s. 847.0145, IN ADDITION TO ANY OTHER PROVISION OF THIS SECTION, YOU MUST COMPLY WITH THE FOLLOWING CONDITIONS OF SUPERVISION:

- (25) As part of a treatment program, participation at least annually in polygraph examinations to obtain information necessary for risk management and treatment and to reduce the sex offender's denial mechanisms. A polygraph examination must be conducted by a polygrapher who is a member of a national or state polygraph association and who is certified as a postconviction sex offender polygrapher, where available, and at the expense of the offender.
- (26) Maintenance of a driving log and a prohibition against driving a motor vehicle alone without the prior approval of the supervising officer.
- (27) A prohibition against obtaining or using a post office box without the prior approval of the supervising officer.
- (28) If there was sexual contact, a submission to, at the offender's expense, an HIV test with the results to be released to the victim and/or the victim's parent or guardian.
- (29) Electronic monitoring when deemed necessary by the probation officer and supervisor, and ordered by the court at the recommendation of the Department of Corrections. If you are placed on electronic monitoring, you must pay the department for the cost of the electronic monitoring service.
- (30) Effective for an offender whose crime was committed on or after July 1, 2005, and who are placed on supervision for violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, a prohibition on accessing the Internet or other computer services until a qualified practitioner in the offender's sex offender treatment program, after a risk assessment is completed, approves and implements a safety plan for the offender's accessing or using the Internet or other computer services.

- (31) Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:
- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
 - Are designated as a sexual predator pursuant to s. 775.21; or
 - Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

- (32) Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(a)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:
- (a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.
 - (b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

- (33) Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay the following charges/costs/fees if checked:

CHECK ALL THAT ARE ORDERED:

FINES

- \$ _____ Total of fines assessed in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.
- \$ _____ Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S.
- \$ _____ Other: _____

MANDATORY COSTS IN ALL CASES

- \$225.00 Additional court cost for felony offense, pursuant to s. 938.05(1)(a), F.S.
- \$ 60.00 Additional court cost for misdemeanor or criminal traffic offense, pursuant to s. 938.05(1)(b) or (c), F.S.
- \$ 50.00 Crimes Compensation Trust Fund pursuant to s. 938.03(1), F.S.
- \$ _____ County Crime Prevention Fund pursuant to s. 775.083(2), F.S. (\$50 Felony/\$20 any other offense)
- \$ 3.00 Additional Court Costs Clearing Trust Fund pursuant to s. 938.01(1), F.S. (Requires an adjudication except when adjudication withheld pursuant to s. 318.14(9) or (10), F.S.)
- \$ _____ Prosecution Costs, pursuant to s. 938.27, F.S. (Minimum of \$100 Felony/\$50 Misdemeanor)

- \$ _____
- \$ 20.00
- \$ _____

Investigative Costs, pursuant to s. 938.27, F.S. (if applicable and requested)
 Crime Stoppers Trust Fund pursuant to s. 938.06(1), F.S.
 Other: _____

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

- \$ 50.00
- \$ _____
- \$ _____

Public Defender/Appointed Counsel Application Fee, if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
 Public Defender/Appointed Counsel Fees and Costs, pursuant to s. 938.29, F.S. as determined locally (Minimum of \$100 Felony/\$50.00 Misdemeanor).
 Other: _____

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

- \$ 151.00
- \$ 201.00
- \$ 151.00
- \$ 135.00
- \$ 3.00

Rape Crisis Program Trust Fund, pursuant to s. 938.085, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, or 794.011, F.S.
 Domestic Violence Trust Fund, pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
 Certain Crimes Against Minors, pursuant to s. 938.10(1), F.S. for any violations of s. 784.085, chapter 787, chapter 794, s. 796.03, s. 796.035, s. 800.04, chapter 827, s. 847.012, s. 847.0133, s. 847.0135(5), s. 847.0138, s. 847.0145, s. 893.147(3), or s. 985.701, or any offense in violation of s. 775.21, s. 823.07, s. 847.0125, s. 847.0134, or s. 943.0435, F.S.
 DUI Court Costs, pursuant to s. 938.07, F.S. for any violations of ss. 316.193 or 327.35, F.S.
 State Agency Law Enforcement Radio System Trust Fund, pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.

- \$ _____

Other: _____

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

- \$ 2.00
- \$ _____
- \$ 3.00

Criminal Justice Education by Municipalities and Counties, pursuant to s. 938.15, F.S.
 Additional court costs for local requirements and other county funded programs pursuant to s. 939.185(1)(a), F.S.
 Teen Court pursuant to s. 938.19(2), F.S.

- \$ _____

Other: _____

DISCRETIONARY

- \$ 1.00
- \$ _____
- \$ _____

Per month during the term of supervision to the following nonprofit organization established for the sole purpose of supplementing the rehabilitative efforts of the Department of Corrections, pursuant to s. 948.039(2), F.S.: _____
 Other: _____
 Other: _____

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

- \$ _____
- \$ 100.00
- \$ _____

County Alcohol and Other Drug Abuse Trust Fund, pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s. 856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
 Operating Trust Fund of the FDLE, pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses
 Other: _____

TOTAL AMOUNT OF COURT COSTS ORDERED = _____

OTHER OBLIGATIONS IMPOSED

- \$ 2.00
- \$ _____
- \$ _____

Per month for each month of supervision for Training Trust Fund Surcharge, pursuant to s. 948.09, F.S.
 Other: _____
 Other: _____

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.

- Court Costs/Fines Waived
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 5-7-10

NUNC PRO TUNC 02/27/15

Frank E. Sheffield, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

Defendant

Instructed by: _____
Supervising Officer

RULE 3.992(a) CRIMINAL PUNISHMENT CODE "CORESHEET"

1. DATE OF SENTENCE 2/27/15	2. DEFENDER'S NAME <input type="checkbox"/> DC <input checked="" type="checkbox"/> IAO Wab White	3. COUNTY Leon	4. SENTENCING JUDGE Sheffield
5. NAME (LAST, FIRST, MI) Green, Orashone	6. DOB [REDACTED]	8. RACE <input checked="" type="checkbox"/> B <input type="checkbox"/> W <input type="checkbox"/> OTHER	10. PRIMARY OFF. DATE 11/11/14
	7. DC#	9. GENDER <input type="checkbox"/> M <input checked="" type="checkbox"/> F	11. PRIMARY DOCKET # 14CF-3666
			12. PLEA <input checked="" type="checkbox"/> TRIAL <input type="checkbox"/>

I. PRIMARY OFFENSE: If Qualifier, please check A S C R (A=Attempt, S=Sollicitation, C=Conspiracy, R=Recidivism/Arrest)

FELONY DEGREE	F.S.#	DESCRIPTION	OFFENSE LEVEL	POINTS
F3	828.1212	Cruelty to animal causing death	2	10

(Level - Points: 1=4, 2=10, 3=18, 4=22, 5=28, 6=38, 7=58, 8=74, 9=92, 10=118)

Prior capital felony triples Primary Offense points

10

II. ADDITIONAL OFFENSE(S): Supplemental page attached

DOCKET#	FEL/MM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY A B C R	COUNTS	POINTS	TOTAL
				□□□□	X		
				□□□□	X		
				□□□□	X		

(Level - Points: M=0.2, 1=0.7, 2=1.2, 3=2.4, 4=3.6, 5=5.4, 6=18, 7=28, 8=37, 9=48, 10=58)

Prior capital felony triples Additional Offense points

Supplemental page points

0

III. VICTIM INJURY:

	Number	Total		Number	Total
2 nd Degree Murder	240 X		Slight	4 X	
Death	120 X		Sex Penetration	80 X	
Severe	40 X		Sex Contact	40 X	
Moderate	18 X				

0

IV. PRIOR RECORD: Supplemental page attached

FEL/MM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY A B C R	DESCRIPTION	NUMBER	POINTS	TOTAL
			□□□□		X		
			□□□□		X		
			□□□□		X		
			□□□□		X		
			□□□□		X		

(Level - Points: M=0.2, 1=0.5, 2=0.8, 3=1.6, 4=2.4, 5=3.6, 6=9, 7=14, 8=19, 9=23, 10=28)

Supplemental page points

SCANNED

FILED IN OPEN COURT

Date 02/27/2015

Page 1 Subtotal:

0
10

- V. Legal Status violation = 4 Points V.
- VI. Community Sanction violation before the court for sentencing VI.
 6 points x each successive violation OR
 New felony conviction = 12 points x each successive violation
- VII. Firearm/Semi-Automatic or Machine Gun = 16 or 25 Points VII.
- VIII. Prior Serious Felony = 30 Points VIII.

Subtotal Sentence Points

IX. Enhancements (only if the primary offense qualifies for enhancement)

Law Enforcement Protection <u> </u> x 1.5 <u> </u> x 2.0 <u> </u> x 2.5	Drug Trafficking <u> </u> x 1.5	Grand Theft Motor Vehicle <u> </u> x 1.5	Street Gang (offense committed on or after 10-1-03) <u> </u> x 1.5	Domestic Violence (offense committed on or after 10-1-07) <u> </u> x 1.5
---	---------------------------------------	--	---	---

Enhanced Subtotal Sentence Points IX.

TOTAL SENTENCE POINTS 10

SENTENCE COMPUTATION

If total sentence points are less than or equal to 44, the lowest permissible sentence is any non-state prison sanction.

If total sentence points are greater than 44:

$$\frac{16}{\text{total sentence points}} \text{ minus } 25 = \text{ } \times .75 = \frac{\text{ }}{\text{lowest permissible prison sentence in months}}$$

The maximum sentence is up to the statutory maximum for the primary and any additional offenses as provided in s. 775.082, F.S., unless the lowest permissible sentence under the code, exceeds the statutory maximum. Such sentences may be imposed concurrently or consecutively. If total sentence points are greater than or equal to 363, a life sentence may be imposed.

$$\frac{5}{\text{maximum sentence in years}}$$

TOTAL SENTENCE IMPOSED

	Years	Months	Days
<input type="checkbox"/> State Prison	<u> </u>	<u> </u>	<u> </u>
<input type="checkbox"/> County Jail	<u> </u>	<u> </u>	<u> </u>
<input type="checkbox"/> Community Control	<u> </u>	<u> </u>	<u> </u>
<input type="checkbox"/> Probation	<u> </u>	<u> </u>	<u> </u>

Please check if sentenced as habitual offender, habitual violent offender, violent career criminal, prison releasee reoffender, or a mandatory minimum applies.

Mitigated Departure Plea Bargain

Other Reason

JUDGE'S SIGNATURE

Leon County Clerk of the Circuit Court and Comptroller Court Case Search

Full Case View

Print Page

37 2014 CF.003666 A - STATE OF FLORIDA vs. GREEN, CRASHONA

Charges: A001: GREEN, CRASHONA - NEGLIGENCE CAUSING CRUEL DEATH TO A DOG ▾

Party Status	Party	Party Code	Attorney	Attorney Status
STATE		PLAINTIFF	WADE D WHITE	ACTIVE

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Action Descr	Open/Reopen Status	Open/Reopen Date	Disposition	Disposition Date	Judge
NEGLECT CAUSING CRUEL DEATH TO A DOG	DISPOSED	4/2/2015 9:38:43 AM	PROBATION ORDERED ADJUDICATION WITHHELD	2/27/2015 8:15:00 AM	SHEFFIELD
NEGLECT CAUSING CRUEL DEATH TO A DOG	DISPOSED	4/2/2015 9:38:43 AM	ORDER GRANTING POST CONVICTION MOTION	4/7/2015 9:04:54 AM	SHEFFIELD

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Charge #	Action Code	Description	Plea Date	Plea	Decision Date	Court Action	Charge Disposition	Citation
1	828.12	CONSERVATION-ANIMALS TORTURE INFLICT PAIN SERIOUS PHYSICAL INJ DEATH	2/5/2015	N	4/7/2015 9:04:54 AM	ORDER GRANTING POST CONVICTION MOTION	OGMP	


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Judges Appearing on Case				
Last Name	First Name	Date Assigned	Source	
SHEFFIELD	FRANK	4/2/2015 9:38:47 AM	JIS	

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Viewing Option for Sensitive and Confidential Cases

Non-Viewable images in Court Cases Requiring Clerk Assistancess



Docket Table Headers Are Sortable; Click For Ascending, Again For Descending Order
Source Table Abbreviations: BM = Benchmark; JIS = Justice Informations System

Docket Date	CC/ISSeqNbr	Docket Code	ECertify	Docket Text	OR Book	OR Page	Source
12/5/2014	1	WRSO		WARRANT TO SHERIFF 05-DEC-14			JIS
12/5/2014	2	CCBW		CASE CREATED BY WARRANT CONSERVATION-ANIMALS CAUSE			JIS

12/5/2014	3	CMPL PDF	<input type="checkbox"/> «-Reg §	CRUEL DEATH PAIN AND SUFFERING COMPLAINT. CONSERVATION-ANIMALS. CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	4	AFFPC PDF	<input type="checkbox"/> «-Reg §	AFFIDAVIT - PROBABLE CAUSE CONSERVATION-ANIMALS CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	5	WARL PDF	<input type="checkbox"/> «-Reg §	WARRANT ISSUED CONSERVATION-ANIMALS CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	6	BAMT		BOND AMOUNT SET: BOND AMOUNT: 2500	JIS
12/5/2014	7	DOCR		DOCUMENT RECEIVED 05-DEC-2014	JIS
12/5/2014	8	BDCD		BOND/RELEASE CONDITIONS POSSESS NO ANIMALS	JIS
12/11/2014	9	BOIN		BOOKING INFORMATION BOOKING INFORMATION ENTERED: OBTS# 3701066554/BOOKING# 15175/REP# 140138137	JIS
12/11/2014	10	WBOJ		WARRANT BOOKED AT JAIL BOOKING# 2014-15175	JIS
12/11/2014	11	JDAS		JUDGE ASSIGNED DIV-B JUDGE SHEFFIELD FRANK E JUDGE ID-75	JIS
12/11/2014	13	BOND		BONDED OUT BOND /DATE: 12/11/2014 04:00:31 PM	JIS
12/12/2014	14	WARE PDF	<input type="checkbox"/> «-Reg §	WARRANT RETURNED EXECUTED	JIS
12/12/2014	15	SUBN PDF	<input type="checkbox"/> «-Reg §	SURETY BOND	JIS
12/12/2014	16	ARFI PDF	<input type="checkbox"/> «-Reg §	ARREST AFFIDAVIT / NOTICE TO APPEAR / INCIDENT REPORT	JIS
12/15/2014	17	SAAS		STATE ATTORNEY ASSIGNED Prosecuting Attorney Added: 679380 - WHITE WADE	JIS
1/16/2015	18	INFO PDF	<input type="checkbox"/> «-Reg §	INFORMATION FILED. NEGLECT CAUSING CRUEL DEATH TO A DOG	JIS
1/16/2015	19	CCHG		COURT CHARGE LITERAL ENTERED NEGLECT CAUSING CRUEL DEATH TO A DOG	JIS
1/16/2015	20	ARNS PDF	<input type="checkbox"/> «-Reg §	ARRAIGNMENT SET: ARRAIGNMENT(ARN) SET: 02/05/2015 08:00AM /ROOM# 3A	JIS
2/5/2015	1	RECEIPT PDF	<input type="checkbox"/> «-Reg §	PAYMENT \$50.00 RECEIPT #992841	BM
2/5/2015	21	PDAP		PUBLIC DEFENDER APPOINTED	JIS
2/5/2015	22	PLNG		PLEAD NG/RESERV RIGHT FILE MTN	JIS
2/5/2015	23	PLES PDF	<input type="checkbox"/> «-Reg §	PLEA HEARING SET: E-FILED: 02/27/2015 08:15 AM ROOM - 3A	JIS
2/5/2015	24	CTCM PDF	<input type="checkbox"/> «-Reg §	COURT MINUTES	JIS
2/5/2015	25	AFSI PDF	<input type="checkbox"/> «-Reg §	APPLICATION OF INDIGENT STATUS-INDIGENT	JIS
2/5/2015	26	PHRE		PLEA HEARING RESET PLEA HEARING RESET TO: 02/27/2015 08:15AM /ROOM# 3A	JIS
2/6/2015	27	PDAS		PUBLIC DEFENDER ASSIGNED Public Defender Added: 85722 - BEARD ALLEN	JIS
2/6/2015	28	CPNG PDF	<input type="checkbox"/> «-Reg §	CONDITIONAL PLEA OF NOT GUILTY DIGITAL DOC. FILED:	JIS

Date	Case No.	Case Name	Description	Case No.	Case Name
2/6/2015	29	NTCD	CONDITIONAL PLEA OF NOT GUILTY <input type="checkbox"/> «-Reg \$ NOTICE OF DISCOVERY DIGITAL DOC. FILED: NOTICE OF DISCOVERY		JIS
2/9/2015	30	ANDD	<input type="checkbox"/> «-Reg \$ ANSWER TO DEMAND FOR DISCOVERY ANSWER TO DEMAND FOR DISCOVERY		JIS
2/27/2015	2	acfp	DEFENDANT ENTERED PLEA OF NOLO-CONTENDERE SEQ 1		BM
2/27/2015	3	acat	ADJ. W/H BY JUDGE SEQ. 1		BM
2/27/2015	31	PLAC	PLEA ACCEPTED		JIS
2/27/2015	32	DEPL	DEFENDANT PLEAD 02/27/2015 08:15 AM		JIS
2/27/2015	33	CTCM	<input type="checkbox"/> «-Reg \$ COURT MINUTES		JIS
2/27/2015	34	PLEN	DEFENDANT ENTERED PLEA OF NOLO CONTENDRE		JIS
2/27/2015	35	PRBW	PROBATION ORDERED ADJUDICATION WITHHELD 02/27/2015		JIS
2/27/2015	36	ADJW	ADJUDICATION WITHHELD		JIS
2/27/2015	37	COJA	JAIL TIME ORDERED (YYMMDD) 000001		JIS
2/27/2015	38	JACR	JAIL TIME CREDIT (DAYS) 000001		JIS
2/27/2015	39	PROR	PROBATION ORDERED (YYMMDD) 020600		JIS
2/27/2015	40	COST	COURT COSTS AMOUNT 420		JIS
2/27/2015	41	PDAF	APPLICATION FEE 50		JIS
2/27/2015	42	PDLA	PD LIEN AMOUNT 100		JIS
2/27/2015	43	PRFA	PROSECUTION FEE AMOUNT 100		JIS
2/27/2015	44	CCCE	CASE COMMENTS FROM COURT EVENT DO NOT OWN OR POSSESS ANY ANIMALS DURING PROBATION NO EARLY TERMINATION OF PROBATION		JIS
2/27/2015	45	CRCP	CIRCUIT PROBATION		JIS
2/27/2015	46	COMS	COMMUNITY SERVICE 300 HOURS; SIGN-UP WITHIN 30 DAYS AT 20 HOURS A MONTH TO BE COMPLETED AT TALLAHASSEE MUSEUM WITH ANIMALS		JIS
2/27/2015	47	AOAR	ADVISED OF APPEAL RIGHTS		JIS
2/27/2015	48	WPAR	<input type="checkbox"/> «-Reg \$ PLEA/ACKNOWLEDGMENT OF RIGHTS FILED		JIS
2/27/2015	49	JDSE	<input type="checkbox"/> «-Reg \$ JUDGMENT AND SENTENCE 4770 252		JIS
2/27/2015	50	SEGL	<input type="checkbox"/> «-Reg \$ SENTENCING GUIDELINES SCORESHEET		JIS
3/4/2015	51	SBDC	<input type="checkbox"/> «-Reg \$ SURETY BOND DISCHARGED PSE513429		JIS
3/5/2015	4	JACR	CREDIT FOR TIME SERVED - 1 DAYS - CHRG 001		BM
3/5/2015	5	PPRS	PAYMENT PLAN SET/RESTRUCTURED//PAYABLE AT \$50 PER MONTH TO BEGIN 02/27/2015		BM
3/5/2015	6	PPLTR2	PARTIAL PAYMENT DELINQUENT PAYMENT LETTER		BM
3/5/2015	7	DLSP	REQUEST FOR DL SUSPENSION PURSUANT TO FS-322.245		BM
3/11/2015	8	RECEIPT	<input checked="" type="checkbox"/> «-Reg \$ PAYMENT \$142.00 RECEIPT #1006163		BM
3/11/2015	9	DLCLEAR	<input type="checkbox"/> «-Reg \$ DRIVERS LICENSE CLEARANCE PREPARED		BM

3/11/2015	10	RECEIPT_301	<input type="checkbox"/> «-Req \$	RECEIPT # 1006163 MODIFIED: PAYMENT \$142.00	BM
4/1/2015	52	MOMP_301	<input type="checkbox"/> «-Req \$	MOTION TO MODIFY PROBATION UNOPPOSED MOTION TO MODIFY PROBATION	JIS
4/2/2015	53	REOT		REOPEN POST CONVICTION - OTHER	JIS
4/2/2015	54	JDAS		JUDGE ASSIGNED DIV-B JUDGE SHEFFIELD FRANK E JUDGE ID: 75	JIS
4/6/2015	56	OGMP_301	<input type="checkbox"/> «-Req \$	ORDER GRANTING POST CONVICTION MOTION	JIS
4/27/2015	11	RECEIPT_301	<input type="checkbox"/> «-Req \$	PAYMENT \$50.00 RECEIPT #1021579	BM
5/8/2015	57	OPRB_301	<input type="checkbox"/> «-Req \$	ORDER OF PROBATION	JIS
6/4/2015	12	PPLTR2		PARTIAL PAYMENT DELINQUENT PAYMENT LETTER	BM
6/4/2015	13	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245	BM
7/10/2015	14	COL		PARTIAL PAY SENT FOR COLLECTION ON OUTSTANDING AMTS Total due to collection agency, including collection fee = \$681.80	BM
7/10/2015	15	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245 SENT ON: 07/10/2015	BM
7/10/2015	16	COL		PARTIAL PAY SENT FOR COLLECTION ON OUTSTANDING AMTS Total due to collection agency, including collection fee = \$681.80	BM
7/10/2015	17	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245 SENT ON: 07/10/2015	BM

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Event	Date	Start	Location	Judge	Result	Source
PLEA HEARING	2/27/2015	8:15 AM		SHEFFIELD		JIS
ARRAIGNMENT	2/5/2015	8:00 AM		LEWIS		JIS

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Docket Application	Owed	Paid	Dismissed	Due
PUBLIC DEFENDER APPOINTMENT APPLICATION FEE (FELONY)	\$50.00	\$50.00	\$0.00	\$0.00
CIRCUIT CRIMINAL COURT COSTS - ADJUDICATION WITHHELD	\$420.00	\$150.00	\$0.00	\$270.00
PUBLIC DEFENDER APPOINTMENT APPLICATION FEE (FELONY)	\$50.00	\$0.00	\$100.00	\$0.00
LEGAL ASSISTANCE LIEN	\$100.00	\$0.00	\$0.00	\$100.00
PROSECUTION COST STATE ATTORNEY	\$100.00	\$0.00	\$0.00	\$100.00
PARTIAL PAYMENT SETUP FEE - FELONY CASE	\$25.00	\$25.00	\$0.00	\$0.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$17.00	\$0.00	\$0.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00

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Ordered Amt	Paid	Ar Plan Dismissed	Balance	Delinquent
\$159.00	\$142.00	\$0.00	\$17.00	\$0.00
\$554.00	\$50.00	\$0.00	\$504.00	\$504.00

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Schedule Date	Ar Schedule	Amt Owed	Amt Paid	Paid Date
2/27/2015		\$92.00	\$92.00	
3/27/2015		\$50.00	\$50.00	
4/27/2015		\$50.00	\$50.00	
5/27/2015		\$84.00	\$0.00	
6/29/2015		\$50.00	\$0.00	
7/27/2015		\$50.00	\$0.00	
8/27/2015		\$50.00	\$0.00	
9/28/2015		\$50.00	\$0.00	
10/27/2015		\$50.00	\$0.00	
11/30/2015		\$50.00	\$0.00	
12/28/2015		\$50.00	\$0.00	
1/27/2016		\$50.00	\$0.00	
2/29/2016		\$20.00	\$0.00	

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RECEIVED
2023 AUG 22 PM 12:48
FLORIDA GAMING
CONTROL COMMISSION

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search	Change Recording License Type	Delete Complaint	Mass Activity Update	Mass Discipline Update
Mass Status Update	Public Case Info			

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jdye1

VR Home > Complaint Search

Search Criteria	Results
-----------------	---------

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
No items found.										

Total: 0

Print	Back
-------	------

 Get Adobe Reader.

LICENSES



Licensee Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: CRASHONA
Middle Name:
Last Name: GARDNER
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

Subject : *Results of check for GARDNER, CRASHONA RACHELLE (80GBPR040000098733)*



FLORIDA GAMING CONTROL COMMISSION

C.B. UPTON, CHAIRMAN
JULIE I. BROWN, COMMISSIONER
CHUCK DRAGO, COMMISSIONER
JOHN D'AQUILA, COMMISSIONER
TINA REPP, COMMISSIONER

August 24, 2023

Ms. Crashona Rachelle Gardner
Post Office Box 70
Gretna, Florida 32332

RE: Application No. 196663, Entity 13709216
1022 - PMW General Individual Occupational

Dear Ms. Gardner:

We have received your application with the Florida Gaming Commission. Any individual or Business applicant may request a waiver of disqualifying factors in an application that would otherwise be grounds for denial. DBPR PMW 3180 - request for waiver and DBPR PMW-3185 - request for release of information and authorization to release information (forms enclosed). If you wish to apply for waiver consideration, please submit these documents by 10/05/2023. Otherwise, your application will be processed as-is.

To expedite the processing of your application, please include this letter as well as any Supporting documents and/or court disposition records that you may have. If you have An outstanding balance and would like to submit your payment by telephone, please call (850) 794-8130.

Thank you,

TL
Operations Analyst II

OFFICE OF OPERATIONS
4070 ESPLANADE WAY SUITE 250
TALLAHASSEE, FLORIDA 32399

STATE OF FLORIDA

IN THE SECOND JUDICIAL
CIRCUIT COURT, IN AND FOR
LEON COUNTY

-VS-

CASE NUMBER 2014 CF 3666 A

CRASHONA GREEN

Defendant

DC NUMBER N29109

Local Jurisdiction Identification Number: 238635

ORDER OF PROBATION

This cause coming before the Court to be heard, and you, the defendant, being now present before the court, and you having

- entered a plea of guilty to
- been found guilty by jury verdict of
- entered a plea of nolo contendere to
- been found guilty by the court trying the case without a jury of

Count (1) NEGLIGENCE CAUSING CRUEL DEATH TO A DOG	Count _____
Count _____	Count _____
Count _____	Count _____
Count _____	Count _____

SECTION 1: JUDGMENT OF GUILT

- The court hereby adjudges you to be guilty of the above offense(s).

Now, therefore, it is ordered and adjudged that the imposition of sentence is hereby withheld and that you be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.

SECTION 2: ORDER WITHHOLDING ADJUDICATION

- Now, therefore, it is ordered and adjudged that the adjudication of guilt is hereby withheld and that you be placed on Probation for a period of 30 months under the supervision of the Department of Corrections, subject to Florida law.

SECTION 3: INCARCERATION DURING PORTION OF SUPERVISION SENTENCE

It is hereby ordered and adjudged that you be:

- committed to the Department of Corrections for a term of _____ prison with credit for _____ jail time, followed by Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of _____ with credit for _____ jail time. After you have served _____ of the term, you shall be placed on Probation for a period of _____ under the supervision of the Department of Corrections, subject to Florida law.
- or
- confined in the County Jail for a term of 1 day with credit for 1 day jail time, as a special condition of supervision.

CLERK OF COURT
 LEON COUNTY, FLORIDA
 15 MAY - 8 AM 8:15
 FILED

IT IS FURTHER ORDERED that you shall comply with the following standard conditions of supervision as provided by Florida law:

- (1) You will report to the probation officer as directed.
- (2) You will pay the State of Florida the amount of \$50.00 per month, as well as 4% surcharge, toward the cost of your supervision in accordance with s. 948.09, F.S., unless otherwise exempted in compliance with Florida Statutes.
- (3) You will remain in a specified place. You will not change your residence or employment or leave the county of your residence without first procuring the consent of your officer.
- (4) You will not possess, carry or own any firearm. You will not possess, carry, or own any weapon without first procuring the consent of your officer.
- (5) You will live without violating any law. A conviction in a court of law is not necessary for such a violation of law to constitute a violation of your probation, community control, or any other form of court ordered supervision.
- (6) You will not associate with any person engaged in any criminal activity.
- (7) You will not use intoxicants to excess or possess any drugs or narcotics unless prescribed by a physician. Nor will you visit places where intoxicants, drugs or other dangerous substances are unlawfully sold, dispensed or used.
- (8) You will work diligently at a lawful occupation, advise your employer of your probation status, and support any dependents to the best of your ability, as directed by your officer.
- (9) You will promptly and truthfully answer all inquiries directed to you by the court or the officer, and allow your officer to visit in your home, at your employment site or elsewhere, and you will comply with all instructions your officer may give you.
- (10) You will pay restitution, court costs, and/or fees in accordance with special conditions imposed or in accordance with the attached orders.
- (11) You will submit to random testing as directed by your officer or the professional staff of the treatment center where you are receiving treatment to determine the presence or use of alcohol or controlled substances.
- (12) You will submit a DNA sample, as directed by your officer, for DNA analysis as prescribed in ss. 943.325 and 948.014, F.S.
- (13) You will submit to the taking of a digitized photograph by the department. This photograph may be displayed on the department's website while you are on supervision, unless exempt from disclosure due to requirements of s. 119.07, F.S.
- (14) You will report in person within 72 hours of your release from incarceration to the probation office in Leon County, Florida, unless otherwise instructed by the court or department. (This condition applies only if section 3 on the previous page is checked.) Otherwise, you must report immediately to the probation office located at 1815 S. Gadsden Street, Tallahassee, Florida 32301.

SPECIAL CONDITIONS

1. You must undergo a Drug and Alcohol evaluation and, if treatment is deemed necessary, you must successfully complete the treatment, and be responsible for the payment of any costs incurred while receiving said evaluation and treatment, unless waived by the court.
Additional instructions ordered: _____
2. You will make restitution to the following victim(s), as directed by the court, until the obligation is paid in full:
NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____
- NAME: _____
TOTAL AMOUNT: \$ _____
Additional instructions ordered, including specific monthly amount, begin date, due date, or joint & several: _____

SPECIAL CONDITIONS – CONTINUED

- 3. You will be required to pay for drug testing unless exempt by the court.
- 4. You will enter the Department of Corrections Non-Secure Drug Treatment Program or other residential treatment program/Probation and Restitution Center for a period of successful completion as approved by your officer. You are to remain until you successfully complete said Program and Aftercare. You are to comply with all Rules and Regulations of the Program. You shall be confined in the county jail until placement in said program, and if you are confined in the jail, the Sheriff will transport you to said program.
- 5. You will abstain entirely from the use of alcohol and/or illegal drugs, and you will not associate with anyone who is illegally using drugs or consuming alcohol.
- 6. You will submit to urinalysis testing on a monthly basis to determine the presence of alcohol or illegal drugs. You will be required to pay for the tests unless exempt by the court.
- 7. You will not visit any establishment where the primary business is the sale and dispensing of alcoholic beverages.
- 8. You will successfully complete 300 hours of community service at a rate of 20 HOURS A MONTH, at a work site approved by your officer.
Additional instructions ordered: SIGN-UP WITHIN 30 DAYS - TO BE COMPLETED AT TALLAHASSEE MUSEUM WITH ANIMALS
- 9. You will remain at your residence between 10 p.m. and 6 a.m. due to a curfew imposed, unless otherwise directed by the court.
- 10. You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay \$_____ per month for the cost of the electronic monitoring service.
- 11. You will not associate with _____ during the period of supervision.
- 12. You will have no contact (direct or indirect) with the victim or the victim's family during the period of supervision.
- 13. You will have no contact (direct or indirect) with _____ during the period of supervision.
- 14. You will maintain full time employment or attend school/vocational school full time or a combination of school/work during the term of your supervision.
- 15. You will make a good faith effort toward completing basic or functional literacy skills or a high school equivalency diploma.
- 16. You will successfully complete the Probation & Restitution Program, abiding by all rules and regulations.
- 17. You will attend a support group with a focus on _____ at least monthly, unless otherwise directed by the court.
- 18. You must successfully complete Anger Management, and be responsible for the payment of any costs incurred while receiving said treatment, unless waived. If convicted of a Domestic Violence offense, as defined in s. 741.28, F.S., you must attend and successfully complete a batterer's intervention program, unless otherwise directed by the court.
Additional instructions ordered: _____
- 19. You will attend an HIV/AIDS Awareness Program consisting of a class of not less than two (2) hours or more than four (4) hours in length, the cost for which will be paid by you.
- 20. If you have been found to have committed a crime on or after October 1, 2008 for the purpose of benefitting, promoting, or furthering the interests of a criminal gang, you are prohibited from knowingly associating with other criminal gang members or associates, except as authorized by law enforcement officials, prosecutorial authorities, or the court, for the purpose of aiding in the investigation of criminal activity.
- 21. You will successfully complete a Post-adjudicatory treatment-based drug court program, as provided in s. 397.334(3), F.S.
- 22. If you are required to register as a sexual predator under s. 775.21 or sexual offender under s. 943.0435, s. 944.606, or

s. 944.607, F.S., you will undergo an evaluation, at your expense, by a qualified practitioner to determine whether you need sexual offender treatment. If the qualified practitioner determines that sexual offender treatment is needed and recommended, you must successfully complete and pay for the treatment as provided in s. 948.31, F.S.

- 23. Other: NO EARLY TERMINATION OF PROBATION
- 24. Other: DO NOT OWN OR POSSESS ANY ANIMALS DURING PROBATION
- 25. Other: _____
- 26. Other: _____
- 27. Other: _____
- 28. Other: _____
- 29. Other: _____
- 30. Other: _____

AND, IF PLACED ON DRUG OFFENDER PROBATION, YOU WILL COMPLY WITH THE FOLLOWING CONDITION OF SUPERVISION IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) You will participate in a specialized drug treatment program, either as an in-patient or out patient, as recommended by the treatment provider. You will attend all counseling sessions, submit to random urinalysis and, if an in-patient, you will comply with all operating rules, regulations and procedures of the treatment facility. You will pay for all costs associated with treatment and testing unless otherwise directed.

Additional instructions ordered: _____

- (16) You will remain at your residence between _____ p.m. and _____ a.m. due to a curfew imposed, unless otherwise directed by the court.
- (17) You will successfully complete a Post-adjudicatory treatment-based drug court program, as provided in s. 397.334(3), F.S.

AND, IF PLACED ON COMMUNITY CONTROL, YOU WILL COMPLY WITH THE FOLLOWING CONDITIONS, IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) You will report to your officer as directed, at least one time a week, unless you have written consent otherwise.

(16) You will remain confined to your approved residence except for one-half hour before and after your approved employment, public service work, or any other special activities approved by your officer.

(17) You will maintain an hourly accounting of all your activities on a daily log, which you will submit to your officer on request.

(18) You will successfully complete _____ hours of community service at a rate of _____, at a work site approved by your officer.
Additional instructions ordered: _____

- (19) You will submit to electronic monitoring, follow the rules of electronic monitoring, and pay \$ _____ per month for the cost of the electronic monitoring service.

AND, IF PLACED ON PROBATION OR COMMUNITY CONTROL FOR A SEX OFFENSE PROVIDED IN CHAPTER 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, COMMITTED ON OR AFTER OCTOBER 1, 1995 YOU WILL COMPLY WITH THE FOLLOWING STANDARD SEX OFFENDER CONDITIONS, IN ADDITION TO THE STANDARD CONDITIONS LISTED ABOVE AND ANY OTHER SPECIAL CONDITIONS ORDERED BY THE COURT:

(15) A mandatory curfew from 10 p.m. to 6 a.m. The court may designate another 8-hour period if the offender's employment precludes the above specified time, and the alternative is recommended by the Department of Corrections. If the court determines that imposing a curfew would endanger the victim, the court may consider alternative sanctions.

(16) If the victim was under the age of 18, a prohibition on living within 1,000 feet of a school, child care facility, park, playground, or other place where children regularly congregate, as prescribed by the court. The 1,000-foot distance shall be measured in a

straight line from the offender's place of residence to the nearest boundary line of the school, child care facility, park, playground, or other place where children congregate. The distance may not be measured by a pedestrian route or automobile route.

- (17) Active participation in and successful completion of a sex offender treatment program with qualified practitioners specifically trained to treat sex offenders, at the offender's own expense. If a qualified practitioner is not available within a 50-mile radius of the offender's residence, the offender shall participate in other appropriate therapy.
- (18) A prohibition on any contact with the victim, directly or indirectly, including through a third person, unless approved by the victim, a qualified practitioner in the sexual offender treatment program, and the sentencing court.
- (19) If the victim was under the age of 18, a prohibition on contact with a child under the age of 18 except as provided in this paragraph. The court may approve supervised contact with a child under the age of 18 if the approval is based upon a recommendation for contact issued by a qualified practitioner who is basing the recommendation on a risk assessment. Further, the sex offender must be currently enrolled in or have successfully completed a sex offender therapy program. The court may not grant supervised contact with a child if the contact is not recommended by a qualified practitioner and may deny supervised contact with a child at any time.
- (20) If the victim was under age 18, a prohibition on working for pay or as a volunteer at any place where children regularly congregate, including, but not limited to any school, child care facilities, park, playground, pet store, library, zoo, theme park, or mall.
- (21) Unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program, a prohibition on viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material, including telephone, electronic media, computer programs, or computer services that are relevant to the offender's deviant behavior pattern.
- (22) A requirement that the offender submit a DNA sample to the Florida Department of Law Enforcement to be registered with the DNA data bank.
- (23) A requirement that the offender make restitution to the victim, as ordered by the court under s. 775.089, for all necessary medical and related professional services relating to physical, psychiatric, and psychological care.
- (24) Submission to a warrantless search by the community control or probation officer of the offender's person, residence, or vehicle.

EFFECTIVE FOR PROBATIONER OR COMMUNITY CONTROLLEE WHOSE CRIME WAS COMMITTED ON OR AFTER OCTOBER 1, 1997, AND WHO IS PLACED ON COMMUNITY CONTROL OR SEX OFFENDER PROBATION FOR A VIOLATION OF CHAPTER 794, s. 800.04, s. 827.071, s. 847.0135(5) or s. 847.0145, IN ADDITION TO ANY OTHER PROVISION OF THIS SECTION, YOU MUST COMPLY WITH THE FOLLOWING CONDITIONS OF SUPERVISION:

- (25) As part of a treatment program, participation at least annually in polygraph examinations to obtain information necessary for risk management and treatment and to reduce the sex offender's denial mechanisms. A polygraph examination must be conducted by a polygrapher who is a member of a national or state polygraph association and who is certified as a postconviction sex offender polygrapher, where available, and at the expense of the offender.
- (26) Maintenance of a driving log and a prohibition against driving a motor vehicle alone without the prior approval of the supervising officer.
- (27) A prohibition against obtaining or using a post office box without the prior approval of the supervising officer.
- (28) If there was sexual contact, a submission to, at the offender's expense, an HIV test with the results to be released to the victim and/or the victim's parent or guardian.
- (29) Electronic monitoring when deemed necessary by the probation officer and supervisor, and ordered by the court at the recommendation of the Department of Corrections. If you are placed on electronic monitoring, you must pay the department for the cost of the electronic monitoring service.
- (30) Effective for an offender whose crime was committed on or after July 1, 2005, and who are placed on supervision for violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, a prohibition on accessing the Internet or other computer services until a qualified practitioner in the offender's sex offender treatment program, after a risk assessment is completed, approves and implements a safety plan for the offender's accessing or using the Internet or other computer services.

(31) Effective for offenders whose crime was committed on or after September 1, 2005, there is hereby imposed, in addition to any other provision in this section, mandatory electronic monitoring as a condition of supervision for those who:

- Are placed on supervision for a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older; or
- Are designated as a sexual predator pursuant to s. 775.21; or
- Has previously been convicted of a violation of chapter 794, s. 800.04(4), (5), or (6), s. 827.071, or s. 847.0145 and the unlawful sexual activity involved a victim 15 years of age or younger and the offender is 18 years of age or older.

You are hereby placed on notice that should you violate your probation or community control, and the conditions set forth in s. 948.063(1) or (2) are satisfied, whether your probation or community control is revoked or not revoked, you shall be placed on electronic monitoring in accordance with F.S. 948.063.

(32) Effective for offenders who are subject to supervision for a crime that was committed on or after May 26, 2010, and who has been convicted at any time of committing, or attempting, soliciting, or conspiring to commit, any of the criminal offenses listed in s. 943.0435(1)(a)1.a.(I), or a similar offense in another jurisdiction, against a victim who was under the age of 18 at the time of the offense; the following conditions are imposed in addition to all other conditions:

(a) A prohibition on visiting schools, child care facilities, parks, and playgrounds, without prior approval from the offender's supervising officer. The court may also designate additional locations to protect a victim. The prohibition ordered under this paragraph does not prohibit the offender from visiting a school, child care facility, park, or playground for the sole purpose of attending a religious service as defined in s. 775.0861 or picking up or dropping off the offender's children or grandchildren at a child care facility or school.

(b) A prohibition on distributing candy or other items to children on Halloween; wearing a Santa Claus costume, or other costume to appeal to children, on or preceding Christmas; wearing an Easter Bunny costume, or other costume to appeal to children, on or preceding Easter; entertaining at children's parties; or wearing a clown costume; without prior approval from the court.

(33) Effective for offenders whose crime was committed on or after October 1, 2014, and who is placed on probation or community control for a violation of chapter 794, s. 800.04, s. 827.071, s. 847.0135(5), or s. 847.0145, in addition to all other conditions imposed, is prohibited from viewing, accessing, owning, or possessing any obscene, pornographic, or sexually stimulating visual or auditory material unless otherwise indicated in the treatment plan provided by a qualified practitioner in the sexual offender treatment program. Visual or auditory material includes, but is not limited to, telephone, electronic media, computer programs, and computer services.

YOU ARE HEREBY PLACED ON NOTICE that the court may at any time rescind or modify any of the conditions of your probation, or may extend the period of probation as authorized by law, or may discharge you from further supervision. If you violate any of the conditions of your probation, you may be arrested and the court may revoke your probation, adjudicate you guilty if adjudication of guilt was withheld, and impose any sentence that it might have imposed before placing you on probation or require you to serve the balance of the sentence.

IT IS FURTHER ORDERED that when you have been instructed as to the conditions of probation, you shall be released from custody if you are in custody, and if you are at liberty on bond, the sureties thereon shall stand discharged from liability. (This paragraph applies only if section 1 or section 2 is checked.)

IT IS FURTHER ORDERED that you pay the following charges/costs/fees if checked:

CHECK ALL THAT ARE ORDERED:

FINES

- \$ _____ Total of fines assessed in sentence, pursuant to s. 775.083 (1)(a) through (g) or Chapter 316, F.S.
- \$ _____ Statutorily mandated 5% surcharge/cost if fine assessed (on first line) pursuant to s. 938.04, F.S.
- \$ _____ Other: _____

MANDATORY COSTS IN ALL CASES

- \$225.00 Additional court cost for felony offense, pursuant to s. 938.05(1)(a), F.S.
- \$ 60.00 Additional court cost for misdemeanor or criminal traffic offense, pursuant to s. 938.05(1)(b) or (c), F.S.
- \$ 50.00 Crimes Compensation Trust Fund pursuant to s. 938.03(1), F.S.
- \$ _____ County Crime Prevention Fund pursuant to s. 775.083(2), F.S. (\$50 Felony/\$20 any other offense)
- \$ 3.00 Additional Court Costs Clearing Trust Fund pursuant to s. 938.01(1), F.S. (Requires an adjudication except when adjudication withheld pursuant to s. 318.14(9) or (10), F.S.)
- \$ _____ Prosecution Costs, pursuant to s. 938.27, F.S. (Minimum of \$100 Felony/\$50 Misdemeanor)

- \$ _____ Investigative Costs, pursuant to s. 938.27, F.S. (if applicable and requested)
- \$ 20.00 Crime Stoppers Trust Fund pursuant to s. 938.06(1), F.S.
- \$ _____ Other: _____

MANDATORY COURT COSTS FOR COURT-APPOINTED COUNSEL CASES

- \$ 50.00 Public Defender/Appointed Counsel Application Fee, if not previously collected, pursuant to ss. 27.52 and s. 938.29, F.S.
- \$ _____ Public Defender/Appointed Counsel Fees and Costs, pursuant to s. 938.29, F.S. as determined locally (Minimum of \$100 Felony/\$50.00 Misdemeanor).
- \$ _____ Other: _____

MANDATORY COSTS IN SPECIFIC TYPES OF CASES

- \$ 151.00 Rape Crisis Program Trust Fund, pursuant to s. 938.085, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, or 794.011, F.S.
- \$ 201.00 Domestic Violence Trust Fund, pursuant to s. 938.08, F.S. for any violations of ss. 784.011, 784.021, 784.03, 784.041, 784.045, 784.048, 784.07, 784.08, 784.081, 784.082, 784.083, 784.085, 794.011, or any offense of Domestic Violence described in s. 741.28, F.S.
- \$ 151.00 Certain Crimes Against Minors, pursuant to s. 938.10(1), F.S. for any violations of s. 784.085, chapter 787, chapter 794, s. 796.03, s. 796.035, s. 800.04, chapter 827, s. 847.012, s. 847.0133, s. 847.0135(5), s. 847.0138, s. 847.0145, s. 893.147(3), or s. 985.701, or any offense in violation of s. 775.21, s. 823.07, s. 847.0125, s. 847.0134, or s. 943.0435, F.S.
- \$ 135.00 DUI Court Costs, pursuant to s. 938.07, F.S. for any violations of ss. 316.193 or 327.35, F.S.
- \$ 3.00 State Agency Law Enforcement Radio System Trust Fund, pursuant to s. 318.18(17), F.S. for any violations of offenses listed in s. 318.17 including ss. 316.1935, 316.027, 316.061, 877.111, chapter 893, ss. 316.193, 316.192, 316.067, 316.072(3), 316.545(1), or any other offense in chapter 316 which is classified as a criminal violation.
- \$ _____ Other: _____

MANDATORY COURT COSTS AUTHORIZED BY LOCAL GOVERNMENTAL ENTITIES

- \$ 2.00 Criminal Justice Education by Municipalities and Counties, pursuant to s. 938.15, F.S.
- \$ _____ Additional court costs for local requirements and other county funded programs pursuant to s. 939.185(1)(a), F.S.
- \$ 3.00 Teen Court pursuant to s. 938.19(2), F.S.
- \$ _____ Other: _____

DISCRETIONARY

- \$ 1.00 Per month during the term of supervision to the following nonprofit organization established for the sole purpose of supplementing the rehabilitative efforts of the Department of Corrections, pursuant to s. 948.039(2), F.S.: _____
- \$ _____ Other: _____
- \$ _____ Other: _____

DISCRETIONARY COSTS FOR SPECIFIC TYPES OF CASES

- \$ _____ County Alcohol and Other Drug Abuse Trust Fund, pursuant to s. 938.21 and s. 938.23, F.S. for violations of s. 316.193, s.856.011, s. 856.015, or chapter 562, chapter 567, or chapter 568, F.S.
- \$ 100.00 Operating Trust Fund of the FDLE, pursuant to s. 938.25, F.S. for violations of s. 893.13 offenses
- \$ _____ Other: _____

TOTAL AMOUNT OF COURT COSTS ORDERED = _____

OTHER OBLIGATIONS IMPOSED

- \$ 2.00 Per month for each month of supervision for Training Trust Fund Surcharge, pursuant to s. 948.09, F.S.
- \$ _____ Other: _____
- \$ _____ Other: _____

Payments processed through the Department of Corrections will be assessed a 4% surcharge pursuant to s. 945.31, F.S.

- Court Costs/Fines Waived
- Court Costs/Fines in the amount of _____ converted to _____ community service hours
- Court Costs/Fines in the amount of _____ reduced to civil judgment.

SPECIFIC INSTRUCTIONS FOR PAYMENT: _____

IT IS FURTHER ORDERED that the clerk of this court file this order in the clerk's office and provide certified copies of same to the officer for use in compliance with the requirements of law.

DONE AND ORDERED, on 5-7-10

NUNC PRO TUNC 02/27/15



Frank E. Sheffield, Circuit Judge

I acknowledge receipt of a copy of this order and that the conditions have been explained to me and I agree to abide by them.

Date: _____

Defendant

Instructed by: _____
Supervising Officer

RULE 3.992(4) CRIMINAL PUNISHMENT CODE "CORESHEET"

1. DATE OF SENTENCE 2/27/15	2. PREPARER'S NAME <input type="checkbox"/> DC <input checked="" type="checkbox"/> SAO Wab White	3. COUNTY Leon	4. SENTENCING JUDGE Sheffield
5. NAME (LAST, FIRST, M.I.) Green, Orahona	6. DOB [REDACTED]	8. RACE <input checked="" type="checkbox"/> B <input type="checkbox"/> W <input type="checkbox"/> OTHER	10. PRIMARY OFF. DATE 11/11/14
	7. DC#	9. GENDER <input type="checkbox"/> M <input checked="" type="checkbox"/> F	11. PRIMARY DOCKET # 14CF366b
			12. PLEA <input checked="" type="checkbox"/> TRIAL <input type="checkbox"/>

I. PRIMARY OFFENSE: If Qualifier, please check A S C R (A=Attempt, S=Solicitation, C=Conspiracy, R=Reclassification)

FELONY DEGREE	F.S.#	DESCRIPTION	OFFENSE LEVEL	POINTS
F3	28-12(2)	Crucify to animal causing death	2	10

(Level - Points: 1=4, 2=10, 3=18, 4=22, 5=28, 6=38, 7=68, 8=74, 9=82, 10=116)

Prior capital felony triples Primary Offense points

II. ADDITIONAL OFFENSE(S): Supplemental page attached

DOCKET#	FELMM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY A B C R	COUNTS	POINTS	TOTAL
				□□□□	X		
				□□□□	X		
				□□□□	X		

(Level - Points: M=0.2, 1=0.7, 2=1.2, 3=2.4, 4=3.6, 5=5.4, 6=18, 7=28, 8=37, 9=48, 10=58)

Prior capital felony triples Additional Offense points

Supplemental page points 0

III. VICTIM INJURY:

	Number	Total		Number	Total
2nd Degree Murder	240 X	=	Slight	4 X	=
Death	120 X	=	Sex Penetration	80 X	=
Severe	40 X	=	Sex Contact	40 X	=
Moderate	18 X	=			

0

IV. PRIOR RECORD: Supplemental page attached

FELMM DEGREE	F.S.#	OFFENSE LEVEL	QUALIFY A S C R	DESCRIPTION	NUMBER	POINTS	TOTAL
			□□□□		X		
			□□□□		X		
			□□□□		X		
			□□□□		X		
			□□□□		X		
			□□□□		X		

(Level - Points: M=0.2, 1=0.5, 2=0.8, 3=1.6, 4=2.4, 5=3.6, 6=9, 7=14, 8=19, 9=23, 10=29)

Supplemental page points 0

SCANNED

FILED IN
OPEN COURT
Date 02/27/2015
Page 1 Subtotal:

IV. 10

APPLICANT
See Privacy Act Notice on Back

LEAVE BLANK

TYPE OR PRINT ALL INFORMATION IN BLACK

FBI

LEAVE BLANK

FD-258 (Rev. 5-15-17) 1110-0046

LAST NAME - NAM FIRST NAME MIDDLE NAME

Gardner Crashona Rachele

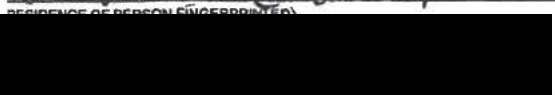
SIGNATURE OF PERSON FINGERPRINTED:

Crashona Gardner

ALIASES AKA

O
R
I

DATE OF BIRTH DOB



CITIZENSHIP CTZ

US

SEX	RACE	HGT.	WGT.	EYES	HAIR	PLACE OF BIRTH	POB
F	Blk	5'8"	157	Brown	Blk	Tell. FL	

DATE

3/21/23

SIGNATURE OF OFFICIAL TAKING FINGERPRINTS

Crashona Gardner

EMPLOYER AND ADDRESS

Gretna Racing LLC
501 Racetrack Road
Gretna, FL 32332

YOUR NO. OCA

UNIVERSAL CONTROL NO. UCN

ARMED FORCES NO. MNU

MISCELLANEOUS NO. MNU

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CLASS

P

REF.

1/2

8-22-23 HZ

REASON FINGERPRINTED



FEDERAL BUREAU OF INVESTIGATION
 UNITED STATES DEPARTMENT OF JUSTICE
 CJIS DIVISION/CLARKSBURG, WV 26306

1110-0046

APPLICANT

THIS CARD FOR USE BY:

1. LAW ENFORCEMENT AGENCIES IN FINGERPRINTING APPLICANTS FOR LAW ENFORCEMENT POSITIONS.*
2. OFFICIALS OF STATE AND LOCAL GOVERNMENTS FOR PURPOSES OF EMPLOYMENT, LICENSING, AND PERMITS, AS AUTHORIZED BY STATE STATUTES AND APPROVED BY THE ATTORNEY GENERAL OF THE UNITED STATES. LOCAL AND COUNTY ORDINANCES, UNLESS SPECIFICALLY BASED ON APPLICABLE STATE STATUTES DO NOT SATISFY THIS REQUIREMENT.*
3. U.S. GOVERNMENT AGENCIES AND OTHER ENTITIES REQUIRED BY FEDERAL LAW.**
4. OFFICIALS OF FEDERALLY CHARTERED OR INSURED BANKING INSTITUTIONS TO PROMOTE OR MAINTAIN THE SECURITY OF THOSE INSTITUTIONS.

Please review this helpful information to aid in the successful processing of hard copy civil fingerprint submissions in order to prevent delays or rejections. Hard copy fingerprint submissions must meet specific criteria for processing by the Federal Bureau of Investigation.

Ensure all information is typed or legibly printed using blue or black ink.
 Enter date within the boundaries of the designated field or block.
 Complete all required fields. (If a required field is left blank, the fingerprint card may be immediately rejected without further processing.)

* The required fields for hard copy civil fingerprint cards are: ORI, Date of Birth, Place of Birth, NAM, Sex, Date fingerprinted, Reason Fingerprinted, and proper completion of fingerprint impression lines.

Do not use highlighters on fingerprint cards.
 Do not enter data or labels within 'Leave Blank' areas.
 Ensure fingerprint impressions are rolled completely from nail to nail.
 Ensure fingerprint impressions are in the correct sequence.
 Ensure notations are made for any missing fingerprint impression (i.e. amputation).
 Do not use more than two retabs per fingerprint impression block.
 Ensure no stray marks are within the fingerprint impression blocks.

Training aids can be ordered online via the internet by accessing the FBI's website at www.fbi.gov, click on 'Fingerprints', then click on 'Ordering Fingerprint Cards & Training Aids'. Direct questions to the Biometric Services Section's Customer Service Group at (304) 625-5100 or by e-mail at identity@fbi.gov.

Social Security Account Number (SSAN): Pursuant to the Privacy Act of 1974, any Federal, state, or local government agency that requests an individual to disclose his or her SSAN, is responsible for informing the person of the disclosure's mandatory or voluntary, by what statutory or other authority the SSAN is solicited, and what uses will be made of it. In this instance, the SSAN is solicited pursuant to 28 U.S.C. 534 and will be used as a unique identifier to confirm your identity because many people have the same name and date of birth. Disclosure of your SSAN is voluntary; however, failure to disclose your SSAN may affect completion or approval of your application.

PRIVACY ACT STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub.L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprints repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

PAPERWORK REDUCTION ACT NOTICE

According to the Paperwork Reduction Act of 1995, no persons are required to provide the information requested unless a valid OMB control number is displayed. The valid OMB control number for this information collected is 1110-0046. The time required to complete this information collected is estimated to be 10 minutes, including time reviewing instructions, gathering, completing, reviewing and submitting the information collection. If you have any comments concerning the accuracy of this time estimate or suggestions for reducing this burden, please send to: Department Clearance Officer, United States Department of Justice, Justice Management Division, Policy and Planning Staff, Washington, DC 20530.

INSTRUCTIONS:

- * 1. PRINTS MUST GENERALLY BE CHECKED THROUGH THE APPROPRIATE STATE IDENTIFICATION BUREAU, AND ONLY THOSE FINGERPRINTS FOR WHICH NO DISQUALIFYING RECORD HAS BEEN FOUND LOCALLY SHOULD BE SUBMITTED FOR FBI SEARCH.
- 2. IDENTITY OF PRIVATE CONTRACTORS SHOULD BE SHOWN IN SPACE 'EMPLOYER AND ADDRESS'. THE CONTRIBUTOR IS THE NAME OF THE AGENCY SUBMITTING THE FINGERPRINT CARD TO THE FBI. UNIVERSAL CONTROL NUMBER, IF KNOWN, SHOULD ALWAYS BE FURNISHED IN THE APPROPRIATE SPACE.
- ** 3. MISCELLANEOUS NO. - RECORD: OTHER ARMED FORCES NO. PASSPORT NO. [FP], ALIEN REGISTRATION NO. (AR), PORT SECURITY CARD NO. (PS), SELECTIVE SERVICE NO. (SS) VETERANS' ADMINISTRATION CLAIM NO. (VA).

1. LOOP

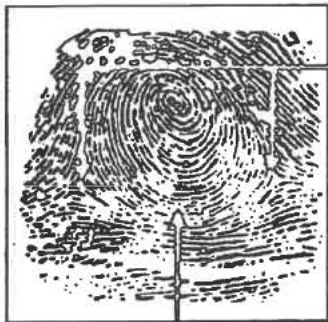


CENTER OF LOOP

DELTA

THE LINES BETWEEN CENTER OF LOOP AND DELTA MUST SHOW

2. WHORL



DELTAS

THESE LINES RUNNING BETWEEN DELTAS MUST BE CLEAR

3. ARCH



ARCHES HAVE NO DELTAS

FD-258 (REV. 5-15-17)

U.S. GOVERNMENT PUBLISHING OFFICE: 07/05/2018 12:11:07

RECEIVED
 CONTROL DIVISION
 FLORIDA
 2023 AUG 22 PM 12:56

RECEIVED

2023 AUG 22 PM 12:45

Department of Business and Professional Regulation
Division of Pari-Mutuel Wagering
DBPR PMW-3120 - Individual Occupational License Application

FLORIDA GAMING
 CONTROL COMMISSION

Instructions: Please review this application thoroughly and complete all sections that pertain to you and are not marked optional. Print clearly in black or blue ink. Do not write in the space labeled "For Division Use Only."

DEMOGRAPHIC INFORMATION			
Social Security Number	Birth Date (MM/DD/YYYY)	Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female	
Last Name		First	Middle
Gardner		Crashona	Rachelle
Have you used, been known as, or called by another name (example - maiden name, pseudonym, nickname) or alias other than the name used on the application? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
If yes, list the name or names used: <u>Crashona Green</u>			
Race/Ethnicity (optional) <input checked="" type="checkbox"/> Black or African American <input type="checkbox"/> White or Caucasian <input type="checkbox"/> Asian or Pacific Islander <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Native American or Alaskan Native <input type="checkbox"/> Other			
Current Mailing Address		Email Address (optional)	
PO Box 70			
City	State	Zip Code (+4 optional)	Country, if other than USA
Gretna	FL	32332	
Primary Phone Number		Secondary/Cell Phone Number (optional)	
Current Street Address			
City	State	Zip Code (+4 optional)	Country, if other than USA
Quincy	FL	82352	
Type of Occupational License applying for: <input type="checkbox"/> Pari-Mutuel General Individual <input checked="" type="checkbox"/> Pari-Mutuel Professional Individual <input type="checkbox"/> Cardroom Employee		Facility where employed and/or doing business: <u>Creek Entertainment Gretna</u>	
Occupation: <u>bartender</u>			
Does your position require access to the Cardroom? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		Is this your first time applying for a racing/gaming license in Florida? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are you a Supervisor, Manager, or Shareholder of a business with a pari-mutuel permit? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Do you own or lease animals intended for racing in Florida? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, complete the following: Stable Name, Kennel Name, or Business Name _____ Trainer Name (horse or greyhound racing only) _____			
TO BE COMPLETED BY DOCTORS, VETERINARIANS, NURSES, PARAMEDICS, AND EMTS ONLY			
Type of professional license (attach a copy of Florida professional license):		Florida License Number	
FOR DIVISION USE ONLY			
License Code	License #	File #	App #
1022	13709216	103222	196663
Association Code	Date Received	Entered By	License Year
910	8/1/23		23/26
License Fee	FP Date	FP Fee	Total Fee
5	8/1/23	3225	52.25
Off Temp	Waiver Requested	ARCI	Enforcement
			Minor

2023 AUG 22 PM 2:45

FLORIDA GAMING CONTROL COMMISSION

BACKGROUND INFORMATION (ATTACH ADDITIONAL PAGES AS NECESSARY)

- Yes No Have you ever been convicted of, or had adjudication withheld for, a felony or misdemeanor involving forgery, larceny, extortion, conspiracy to defraud, or filing false reports to a government agency, racing or gaming commission or authority, in this state or any other state, or under the laws of the United States?
- Yes No Have you ever been convicted of or had adjudication withheld for any crime, or pled guilty or nolo contendere to any criminal charges against you? If yes, the court disposition records for all convictions must be submitted with this application and you must list the details in the chart provided below.

DATE OF DISPOSITION	COUNTY	STATE	OFFENSE	MISDEMEANOR OR FELONY?	SENTENCE
2014	Leon	FL	Animal Neg	NO CONTEST	Probation

- Yes No Have you ever had a racing or gaming license revoked or denied in this or any other state or country? If yes, you must list the state(s) or jurisdiction(s) of licensure revocation or denial and explain why.
- Yes No Is any racing or gaming license you hold currently suspended or subject to other discipline, such as an unpaid fine? If yes, you must list the state(s) or jurisdiction(s) of licensure and give details the offense and discipline.

If you answered yes to any of the questions above, provide details here:


PLEASE READ AND SIGN BELOW

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless specifically required by Federal Statute. In this instance, disclosure of Social Security numbers is mandatory pursuant to Title 42, United States Code, Sections 653, 654; and Sections 409.2577, 409.2598, and 659.79; Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all occupational license applications and are used for licensee identification purposes pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

I hereby authorize the Department of Business and Professional Regulation, Division of Pari-Mutuel Wagering, to submit a set of my fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of accessing and reviewing Florida and national criminal history records that may pertain to me. I understand that I am able to obtain a national criminal history record that may pertain to me directly from the Federal Bureau of Investigation (FBI) pursuant to Title 28, Code of Federal Regulations (CFR), Sections 16.30-16.34. I understand that my fingerprints may be retained at FDLE and the FBI for the purpose of providing any subsequent arrest notifications and that I am entitled to challenge the accuracy and completeness of any information contained in any such report. I am aware that procedures for challenging FDLE or FBI criminal history records are set forth in F.S. 943.056 and Title 28, CFR, Section 16.34. I may obtain a prompt determination as to the validity of my challenge before the Division makes a final determination about my status as a licensee. A copy of the Noncriminal Justice Applicant's Privacy Rights is available on the Division's website.

Each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, or owner or chief executive of the applicant without the need for witnesses unless otherwise required by law.

I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as an oath or affirmation. I declare that I have read the foregoing application and to the best of my knowledge, all information contained on this application is true and complete. I understand that falsification of any information on this application may result in administrative action, including fines up to \$1,000, denial, suspension or revocation of the license. I agree to abide by and obey all rules and regulations of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. Under penalty of perjury, I agree to inform the Division within 48 hours of being convicted of or entering a plea of guilty or nolo contendere to any disqualifying offense, regardless of adjudication.


Signature of Applicant

8-21-23
Date

Leon County Clerk of the Circuit Court and Comptroller Court Case Search

Full Case View

Print Page

37 2014 CF 003666 A - STATE OF FLORIDA vs GREEN, CRASHONA

Charges: A001: GREEN, CRASHONA - NEGLECT CAUSING CRUEL DEATH TO A DOG

Party Status	Party	Party Code	Attorney	Attorney Status
	STATE	PLAINTIFF	WADE D WHITE	ACTIVE

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Action Dscr	Open/Reopen Status	Open/Reopen Date	Disposition	Disposition Date	Judge
NEGLECT CAUSING CRUEL DEATH TO A DOG	DISPOSED	4/2/2015 9:38:43 AM	PROBATION ORDERED ADJUDICATION WITHHELD	2/27/2015 8:15:00 AM	SHEFFIELD
NEGLECT CAUSING CRUEL DEATH TO A DOG	DISPOSED	4/2/2015 9:38:43 AM	ORDER GRANTING POST CONVICTION MOTION	4/7/2015 9:04:54 AM	SHEFFIELD

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Charge #	Action Code	Description	Plea Date	Plea	Decision Date	Court Action	Charge Disposition	Citation
1	828.12 2	CONSERVATION-ANIMALS TORTURE INFLCT PAIN SERIOUS PHYSICAL INJ DEATH	2/5/2015	N	4/7/2015 9:04:54 AM	ORDER GRANTING POST CONVICTION MOTION	OGMP	

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Judges Appearing on Case				
Last Name	First Name	Date Assigned	Source	
SHEFFIELD	FRANK	4/2/2015 9:38:47 AM	JIS	

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Viewing Option for Sensitive and Confidential Cases
Non-Viewable images in Court Cases Requiring Clerk Assistancess

e-Certify



Docket Table Headers Are Sortable: Click For Ascending, Again For Descending Order
Source Table Abbreviations: BM = Benchmark; JIS = Justice Informations System

Docket Date	CCISSeqNbr	Docket Code	ECertify	Docket Text	OR Book	OR Page	Source
12/5/2014	1	WRSO		WARRANT TO SHERIFF 05-DEC-14			JIS
12/5/2014	2	CCBW		CASE CREATED BY WARRANT CONSERVATION-ANIMALS CAUSE			JIS

12/5/2014	3	CMPL PDF	<input type="checkbox"/> «-Reg §	CRUEL DEATH PAIN AND SUFFERING COMPLAINT CONSERVATION-ANIMALS.CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	4	AFPC PDF	<input type="checkbox"/> «-Reg §	AFFIDAVIT - PROBABLE CAUSE CONSERVATION-ANIMALS CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	5	WARI PDF	<input type="checkbox"/> «-Reg §	WARRANT ISSUED CONSERVATION-ANIMALS CAUSE CRUEL DEATH PAIN AND SUFFERING	JIS
12/5/2014	6	BAMT		BOND AMOUNT SET: BOND AMOUNT: 2500	JIS
12/5/2014	7	DOCR		DOCUMENT RECEIVED 05-DEC-2014	JIS
12/5/2014	8	BDCD		BOND/RELEASE CONDITIONS POSSESS NO ANIMALS	JIS
12/11/2014	9	BOIN		BOOKING INFORMATION BOOKING INFORMATION ENTERED: OBTS# 3701066554/BOOKING# 15175/REP# 140138137	JIS
12/11/2014	10	WBOJ		WARRANT BOOKED AT JAIL BOOKING# 2014-15175	JIS
12/11/2014	11	JDAS		JUDGE ASSIGNED DIV-B JUDGE SHEFFIELD FRANK E JUDGE-ID-75	JIS
12/11/2014	13	BOND		BONDED OUT BOND /DATE: 12/11/2014 04:00:31 PM	JIS
12/12/2014	14	WARE PDF	<input type="checkbox"/> «-Reg §	WARRANT RETURNED EXECUTED	JIS
12/12/2014	15	SUBN PDF	<input type="checkbox"/> «-Reg §	SURETY BOND	JIS
12/12/2014	16	ARFL PDF	<input type="checkbox"/> «-Reg §	ARREST.AFFIDAVIT. / NOTICE TO APPEAR / INCIDENT.REPORT	JIS
12/15/2014	17	SAAS		STATE ATTORNEY ASSIGNED Prosecuting Attorney Added: 679380 - WHITE WADE	JIS
1/16/2015	18	INFO PDF	<input type="checkbox"/> «-Reg §	INFORMATION FILED.NEGLECT CAUSING CRUEL DEATH TO A DOG	JIS
1/16/2015	19	CCHG		COURT CHARGE LITERAL ENTERED NEGLECT CAUSING CRUEL DEATH TO A DOG	JIS
1/16/2015	20	ARNS PDF	<input type="checkbox"/> «-Reg §	ARRAIGNMENT SET: ARRAIGNMENT(ARN) SET: 02/05/2015 08:00AM /ROOM# 3A	JIS
2/5/2015	1	RECEIPT PDF	<input type="checkbox"/> «-Reg §	PAYMENT \$50.00 RECEIPT #992841	BM
2/5/2015	21	PDAP		PUBLIC DEFENDER APPOINTED	JIS
2/5/2015	22	PLNG		PLEAD NG/RESERV RIGHT FILE MTN	JIS
2/5/2015	23	PLES PDF	<input type="checkbox"/> «-Reg §	PLEA HEARING SET: E-FILED: 02/27/2015 08:15 AM ROOM - 3A	JIS
2/5/2015	24	CTGM PDF	<input type="checkbox"/> «-Reg §	COURT MINUTES	JIS
2/5/2015	25	AFSI PDF	<input type="checkbox"/> «-Reg §	APPLICATION OF INDIGENT STATUS-INDIGENT	JIS
2/5/2015	26	PHRE		PLEA HEARING RESET PLEA HEARING RESET TO: 02/27/2015 08:15AM /ROOM# 3A	JIS
2/6/2015	27	PDAS		PUBLIC DEFENDER ASSIGNED Public Defender Added: 85722 - BEARD ALLEN	JIS
2/6/2015	28	CPNG PDF	<input type="checkbox"/> «-Reg §	CONDITIONAL PLEA OF NOT GUILTY DIGITAL DOC. FILED:	JIS

			CONDITIONAL PLEA OF NOT GUILTY	
2/6/2015	29	NTCD PDF	<input type="checkbox"/> «-Req \$ NOTICE OF DISCOVERY DIGITAL DOC. FILED: NOTICE OF DISCOVERY	JIS
2/9/2015	30	ANDD PDF	<input type="checkbox"/> «-Req \$ ANSWER TO DEMAND FOR DISCOVERY ANSWER TO DEMAND FOR DISCOVERY	JIS
2/27/2015	2	acfp	DEFENDANT ENTERED PLEA OF NOLO-CONTENDERE SEQ 1	BM
2/27/2015	3	acat	ADJ. W/H BY JUDGE SEQ: 1	BM
2/27/2015	31	PLAC	PLEA ACCEPTED	JIS
2/27/2015	32	DEPL	DEFENDANT PLEAD 02/27/2015 08:15 AM	JIS
2/27/2015	33	CTCM PDF	<input type="checkbox"/> «-Req \$ COURT MINUTES	JIS
2/27/2015	34	PLEN	DEFENDANT ENTERED PLEA OF NOLO CONTENDRE	JIS
2/27/2015	35	PRBW	PROBATION ORDERED ADJUDICATION WITHHELD 02/27/2015	JIS
2/27/2015	36	ADJW	ADJUDICATION WITHHELD	JIS
2/27/2015	37	COJA	JAIL TIME ORDERED (YYMMDD) 000001	JIS
2/27/2015	38	JACR	JAIL TIME CREDIT (DAYS) 000001	JIS
2/27/2015	39	PROR	PROBATION ORDERED (YYMMDD) 020600	JIS
2/27/2015	40	COST	COURT COSTS AMOUNT 420	JIS
2/27/2015	41	PDAF	APPLICATION FEE 50	JIS
2/27/2015	42	PDLA	PD LIEN AMOUNT 100	JIS
2/27/2015	43	PRFA	PROSECUTION FEE AMOUNT 100	JIS
2/27/2015	44	CCCE	CASE COMMENTS FROM COURT EVENT DO NOT OWN OR POSSESS ANY ANIMALS DURING PROBATION NO EARLY TERMINATION OF PROBATION	JIS
2/27/2015	45	CRCP	CIRCUIT PROBATION	JIS
2/27/2015	46	COMS	COMMUNITY SERVICE 300 HOURS; SIGN-UP WITHIN 30 DAYS AT 20 HOURS A MONTH TO BE COMPLETED AT TALLAHASSEE MUSEUM WITH ANIMALS	JIS
2/27/2015	47	AOAR	ADVISED OF APPEAL RIGHTS	JIS
2/27/2015	48	WPAR PDF	<input type="checkbox"/> «-Req \$ PLEA/ACKNOWLEDGMENT OF RIGHTS FILED	JIS
2/27/2015	49	JDSE PDF	<input type="checkbox"/> «-Req \$ JUDGMENT AND SENTENCE 4770 252	JIS
2/27/2015	50	SEGL PDF	<input type="checkbox"/> «-Req \$ SENTENCING GUIDELINES SCORESHEET	JIS
3/4/2015	51	SBDC PDF	<input type="checkbox"/> «-Req \$ SURETY BOND DISCHARGED PSE513429	JIS
3/5/2015	4	JACR	CREDIT FOR TIME SERVED - 1 DAYS - CHRG 001	BM
3/5/2015	5	PPRS	PAYMENT PLAN SET/RESTRUCTURED//PAYABLE AT \$50 PER MONTH TO BEGIN 02/27/2015	BM
3/5/2015	6	PPLTR2	PARTIAL PAYMENT DELINQUENT PAYMENT LETTER	BM
3/5/2015	7	DLSP	REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245	BM
3/11/2015	8	RECEIPT PDF	<input type="checkbox"/> «-Req \$ PAYMENT \$142.00 RECEIPT #1006163	BM
3/11/2015	9	DLCLEAR PDF	<input type="checkbox"/> «-Req \$ DRIVERS LICENSE CLEARANCE PREPARED	BM

3/11/2015	10	RECEIPT	<input type="checkbox"/> <<-Req \$	RECEIPT # 1006163 MODIFIED: PAYMENT \$142.00	BM
4/1/2015	52	MOMP	<input type="checkbox"/> <<-Req \$	MOTION TO MODIFY PROBATION UNOPPOSED MOTION TO MODIFY PROBATION	JIS
4/2/2015	53	REOT		REOPEN POST CONVICTION - OTHER	JIS
4/2/2015	54	JDAS		JUDGE ASSIGNED DIV-B JUDGE SHEFFIELD FRANK E JUDGE ID-75	JIS
4/6/2015	56	OGMP	<input type="checkbox"/> <<-Req \$	ORDER GRANTING POST CONVICTION MOTION	JIS
4/27/2015	11	RECEIPT	<input type="checkbox"/> <<-Req \$	PAYMENT \$50.00 RECEIPT #1021579	BM
5/8/2015	57	OPRB	<input type="checkbox"/> <<-Req \$	ORDER OF PROBATION	JIS
6/4/2015	12	PPLTR2		PARTIAL PAYMENT DELINQUENT PAYMENT LETTER	BM
6/4/2015	13	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245	BM
7/10/2015	14	COL		PARTIAL PAY SENT FOR COLLECTION ON OUTSTANDING AMTS Total due to collection agency, including collection fee = \$681.80	BM
7/10/2015	15	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245 SENT ON: 07/10/2015	BM
7/10/2015	16	COL		PARTIAL PAY SENT FOR COLLECTION ON OUTSTANDING AMTS Total due to collection agency, including collection fee = \$681.80	BM
7/10/2015	17	DLSP		REQUEST FOR DL SUSPENSION PURSUANT TO FS 322.245 SENT ON: 07/10/2015	BM

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Event	Date	Start	Location	Judge	Result	Source
PLEA HEARING	2/27/2015	8:15 AM		SHEFFIELD		JIS
ARRAIGNMENT	2/5/2015	8:00 AM		LEWIS		JIS

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Docket Application	Owed	Paid	Dismissed	Due
PUBLIC DEFENDER APPOINTMENT APPLICATION FEE (FELONY)	\$50.00	\$50.00	\$0.00	\$0.00
CIRCUIT CRIMINAL COURT COSTS - ADJUDICATION WITHHELD	\$420.00	\$150.00	\$0.00	\$270.00
PUBLIC DEFENDER APPOINTMENT APPLICATION FEE (FELONY)	\$50.00	\$0.00	\$100.00	\$0.00
LEGAL ASSISTANCE LIEN	\$100.00	\$0.00	\$0.00	\$100.00
PROSECUTION COST STATE ATTORNEY	\$100.00	\$0.00	\$0.00	\$100.00
PARTIAL PAYMENT SETUP FEE - FELONY CASE	\$25.00	\$25.00	\$0.00	\$0.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$17.00	\$0.00	\$0.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00
PARTIAL PAYMENT LATE FEE - FELONY CASE	\$17.00	\$0.00	\$0.00	\$17.00

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Ordered Amt	Paid	Ar Plan Dismissed	Balance	Delinquent
\$159.00	\$142.00	\$0.00	\$17.00	\$0.00
\$554.00	\$50.00	\$0.00	\$504.00	\$504.00

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Schedule Date	Ar Schedule Amt Owed	Amt Paid	Paid Date
2/27/2015	\$92.00	\$92.00	
3/27/2015	\$50.00	\$50.00	
4/27/2015	\$50.00	\$50.00	
5/27/2015	\$84.00	\$0.00	
6/29/2015	\$50.00	\$0.00	
7/27/2015	\$50.00	\$0.00	
8/27/2015	\$50.00	\$0.00	
9/28/2015	\$50.00	\$0.00	
10/27/2015	\$50.00	\$0.00	
11/30/2015	\$50.00	\$0.00	
12/28/2015	\$50.00	\$0.00	
1/27/2016	\$50.00	\$0.00	
2/29/2016	\$20.00	\$0.00	

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FLORIDA GAMING CONTROL COMMISSION

2023 AUG 22 PM 12:48

RECEIVED

VR Home	Inbox	Entity	Application	License	Cash	Exam	Inspection	Enforcement	Report
---------	-------	--------	-------------	---------	------	------	------------	-------------	--------

Complaint Search | Change Recording License Type | Delete Complaint | Mass Activity Update | Mass Discipline Update
 Mass Status Update | Public Case Info

Domain 10 - Division of Pari-Mutuel Wagering

Logged in as: jdye1

VR Home > Complaint Search

Search Criteria	Results
-----------------	---------

Case Type	Complaint #	Status	Reference	Incident	Respondent	Complainant	Lic Type	Public Case	View	Process
-----------	-------------	--------	-----------	----------	------------	-------------	----------	-------------	------	---------

No items found.

Total: 0

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licenses



Licensee
Search

Page 1 of 1. 0 total matches.

Search Parameters
Govt. Number:
Date of Birth:
First Name: CRASHONA
Middle Name:
Last Name: GARDNER
Sex:
Commission:
License Type:

Master ID	Last Name	First Name	Middle Name	SSN/Federal ID	Rulings	Birth Date	City	State
-----------	-----------	------------	-------------	----------------	---------	------------	------	-------

Online Payment Receipt
License Type: 1022 Pari-Mutuel General Individual Occupational
Application Number: 196663
Transaction Code: 1020
Transaction Desc: Initial Gen Indivi Occupational License
File Number: 103222
License Number:
Individual/Org Number: 13709216
Validation Number: 237105292
Payment Amount: \$52.25
Postmark Date: August 21, 2023
Name: GARDNER, CRASHONA R
Address Line 1: POST OFFICE BOX 70
Address Line 2:
Address Line 3:
City: GRETNA
State: FL
Zip Code: 32332
Birthdate: XXXXXXXXXX
Phone: 8505905328
Email Address:

9. Discussion of Policies and Procedures



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Law Enforcement Authority

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.01.01

FGCC SECTION

Law Enforcement

AUTHORITY

Section 16.711, Florida Statutes (F.S.)

Section 509.143, F.S.

Section 509.162, F.S.

Section 790.02, F.S.

Section 810.097, F.S.

Section 812.015, F.S.

Section 901.15, F.S.

Section 901.18, F.S.

GA Code 35-1-15 (2022)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to limit its members to only exercise the authority granted to them by law.

While this division recognizes the power of peace officers to make arrests and take other enforcement action, special agents are encouraged to use sound discretion in the enforcement of the law. This division does not tolerate abuse of law enforcement authority.

II. PURPOSE

The purpose of this policy is to affirm the authority of the members of the Division of Gaming Enforcement to perform their functions based on established legal authority.

III. PEACE OFFICER POWERS

Certified special agents of this division are authorized to detect, apprehend, and arrest for any alleged violation of chapter 24, part II of chapter 285, chapter 546, chapter 550, chapter 551, or chapter 849, or any rule adopted pursuant thereto, or any law of this state, and to exercise peace officer powers, pursuant to applicable state law sections 16.711, 901.15, Florida Statutes.

(1) Arrest Authority Within the Jurisdiction of the Division of Gaming Enforcement

The arrest authority within the jurisdiction of the Division of Gaming Enforcement pursuant to sections 16.711; 901.15, F.S. includes:

- A. Arrests made pursuant to an arrest warrant.
- B. Arrests made without an arrest warrant pursuant to section 901.15, F.S., including but not limited to:
 - 1. A person committed a felony or a misdemeanor or violated a municipal or county ordinance in the presence of the special agent. The special agent shall make the arrest immediately or in fresh pursuit.
 - 2. A felony has been committed and the special agent reasonably believes that the person committed it.
 - 3. An arrest warrant has been issued and is held by another special agent for execution.
 - 4. A Chapter 316 violation has been committed in the presence of the special agent, and the special agent makes the arrest is made immediately or in fresh pursuit.
 - 5. There is probable cause to believe the person has committed a criminal act according to section 901.15(6), (9), F.S., including but not limited to carrying a concealed weapon, section 790.02, F.S.; any battery upon another person, section 784.03, F.S.; violation of an injunction for protection against domestic violence, section 741.31, F.S.; violation of an

injunction for protection against exploitation of a vulnerable adult, section 825.1036, F.S.; domestic violence, section 741.28, F.S.; child abuse, section 827.03, F.S.; an act of criminal mischief or a graffiti-related offense, section 806.13, F.S.; a violation of a safety zone, security zone, regulated navigation area, or naval vessel protection zone, section 327.461, F.S.; a racing, street takeover, or stunt driving violation, section 316.191(2), F.S.; and an exposure of sexual organs, section 800.03, F.S.

6. The special agent has determined that there is probable cause to believe that a misdemeanor has been committed, based upon a signed affidavit provided to the officer by a law enforcement officer of the United States Government, a United States military law enforcement officer, or the United States Department of Defense Regulations, when the misdemeanor was committed in the presence of the United States law enforcement officer or the United States military law enforcement officer on federal military property over which the state has maintained exclusive jurisdiction for such a misdemeanor.
7. The special agent reasonably believes that a felony involving violence has been or is being committed and that the person to be arrested has committed or is committing the felony.
8. While engaged in the exercise of his or her Division law enforcement duties, the special agent reasonably believes that a felony has been or is being committed.
9. There is probable cause to believe that the person has committed assault upon a law enforcement officer, a firefighter, an emergency medical care provider, public transit employees or agents, or other specified officers as set forth in section 784.07, F.S., or has committed assault or battery upon any employee of a receiving facility as defined in section 394.455, F.S., who is engaged in the lawful performance of his or her duties.

- C. Arrests made without a warrant when there is probable cause to believe the person has committed any other qualifying offense for which warrantless arrest is authorized, including but not limited to: disorderly conduct on premises of an establishment section 509.143, F.S.; theft of personal property in a public establishment section 509.162, F.S.; trespass upon school grounds section 810.097, F.S.; and retail or farm theft section 812.015, F.S.

(2) Granting Authority to Others

A special agent making a lawful arrest may command the aid of persons he/she deems necessary to make an arrest. A person commanded to aid shall render assistance as

directed by the special agent and have the same authority to arrest as the special agent sections 901.18, F.S.; and 30.15, F.S.

IV. INTERSTATE PEACE OFFICER POWERS

Peace officer powers may be extended to other states:

- A. As applicable under interstate compacts, memorandums of understanding, or mutual aid agreements in compliance with the laws of each state.
- B. Into Georgia in fresh pursuit of a person believed to have committed a felony that is also a felony under Georgia law (O.C.G.A. § 35-1-15). The special agent shall, without unnecessary delay, take the person arrested before a judicial officer of that state.

V. CONSTITUTIONAL REQUIREMENTS

All members shall observe and comply with every person's clearly established rights under the United States and Florida constitutions.

Special Agents will at all times respect and afford an arrestee the rights and privileges provided to him/her by this policy manual, the laws of the state of Florida, and the Constitution of the United States.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Code or Canon of Ethics

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.02.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 – 7.01M](#)

[CFA 5.20 – 7.01M](#)

I. STATEMENT OF POLICY

The law enforcement code or canon of ethics shall be administered to all peace officer trainees during the Florida Basic Recruit Training course and to all other special agents at the time of appointment.

The civilian member code or canon of ethics shall be administered to all members at the time of appointment or hire

II. PURPOSE

The purpose of this policy is to ensure that all members are aware of their individual responsibilities to maintain their integrity and that of the Division of Gaming Enforcement at all times.

III. LAW ENFORCEMENT CODE OF CANON OF ETHICS

As a civilian member, my fundamental duty is to serve the community and to respect the constitutional rights of all to liberty, equality, and justice.

I will keep my private life unsullied as an example to all and will behave in a manner that does not bring discredit to me or to my agency. I will be honest in thought and deed both in my personal and official life. I will be exemplary in obeying the law and the regulations of my department. Whatever I see or hear of a confidential nature or that is confided to me in my official capacity will be kept ever secret unless revelation is necessary in the performance of my duty.

I will never act officiously or permit personal feelings, prejudices, political beliefs, aspirations, animosities, or friendships to influence my decisions.

I recognize that my position is a symbol of public faith, and I accept it as a public trust to be held so long as I am true to the ethics of service. I will never engage in acts of corruption or bribery, nor will I condone such acts by other employees. I will cooperate with all legally authorized agencies and their representatives in the pursuit of justice. I know that I alone am responsible for my own standard of professional performance and will take every reasonable opportunity to enhance and improve my level of knowledge and competence. I will constantly strive to achieve these objectives and ideals, dedicating myself to my chosen profession ... public service.

IV. ACKNOWLEDGEMENT

The Division requires all members, sworn and nonsworn, to abide by the code or canon of ethics. All members must acknowledge the code of canon of ethics adopted by the Division. [CFA 5.19 – 7.01M](#) and [CFA 5.20 – 7.01M](#).

V. TRAINING

The Division will provide all employees with ethics training on an annual basis.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Use of Force

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.04.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 – 4.01M \(B\)](#) and [CFA 5.20 - 4.01M \(B\)](#)

[CFA 5.19 - 4.02M](#) and [CFA 5.20 - 4.02M](#)

[CFA 5.19 – 4.07M](#) and [CFA 5.20 – 4.07M](#)

[CFA 5.19 – 4.08M](#) and [CFA 5.20 – 4.08M](#)

[CFA 5.19 - 4.09M \(A\), \(B\) and \(C\)](#), [5.20 - 4.09M \(A\), \(B\) and \(C\)](#)

[CFA 5.20 – 4.11M \(A\), \(B\), and \(C\)](#)

[Section 943.1735, Florida Statutes \(F.S.\)](#)

[Section 776.05, F.S.](#), and [776.051, F.S.](#)

[Section 943.6872, F.S.](#)

I. STATEMENT OF POLICY

The use of force by law enforcement personnel is a matter of critical concern, both to the public and to the law enforcement community. Special Agents are involved on a daily basis in numerous and varied interactions and, when warranted, may use reasonable force in carrying out their duties.

Special Agents must have an understanding of, and true appreciation for, their authority and limitations. This is especially true with respect to overcoming resistance while engaged in the performance of law enforcement duties.

The Division of Gaming Enforcement recognizes and respects the value of all human life and dignity without prejudice to anyone. Vesting special agents with the authority to use reasonable force and to protect the public welfare requires monitoring, evaluation, and a careful balancing of all interests.

1. Duty to Intercede and Report

- A. Any special agent present and observing another law enforcement officer or a member using force that is clearly beyond that which is objectively reasonable under the circumstances shall, when in a position to do so, intercede to prevent the use of unreasonable force [Section 943.1735, F.S.](#)
- B. Any special agent who observes another law enforcement officer or a member use force that is potentially beyond that which is objectively reasonable under the circumstances should report these observations to a supervisor as soon as feasible.

2. Perspective

- A. When observing or reporting force used by a law enforcement officer, each special agent should take into account the totality of the circumstances and the possibility that other law enforcement officers may have additional information regarding the threat posed by the subject.

II. PURPOSE

This policy provides guidelines on the reasonable use of force. While there is no way to specify the exact amount or type of reasonable force to be applied in any situation, every member of this division is expected to use these guidelines to make such decisions in a professional, impartial, and reasonable manner [Section 943.1735, F.S.](#)

In addition to those methods, techniques, and tools set forth below, the guidelines for the reasonable application of force contained in this policy shall apply to all policies addressing the potential use of force, including but not limited to the Control Devices and Conducted Energy Device policies.

III. DEFINITIONS

- A. Deadly Force** - Force reasonably anticipated and intended to create a substantial likelihood of causing death or very serious injury.

B. De-escalation techniques - Taking action or communicating verbally or nonverbally during a potential force encounter in an attempt to stabilize the situation and reduce the immediacy of the threat so that more time, options, and resources can be called upon to resolve the situation without the use of force or with a reduction in the force necessary.

Adopted budgets shall be reviewed and monitored periodically by the Chief of Law Enforcement to ensure expenditures do not exceed allocated funds.

C. Feasible - Reasonably capable of being done or carried out under the circumstances to successfully achieve the arrest or lawful objective without increasing risk to the special agent or another person.

D. Force - The application of physical techniques or tactics, chemical agents, or weapons to another person. It is not a use of force when a person allows themselves to be searched, escorted, handcuffed, or restrained.

E. Imminent - Ready to take place; impending. Note that imminent does not mean immediate or instantaneous.

F. Totality of the Circumstances - All facts and circumstances known to the special agent at the time, taken as a whole, including the conduct of the special agent and the subject leading up to the use of force.

IV. USE OF FORCE

Special Agents shall use only that amount of force that reasonably appears necessary given the facts and circumstances perceived by the special agent at the time of the event to accomplish a legitimate law enforcement purpose [Section 943.1735, F.S.](#)

The reasonableness of force will be judged from the perspective of a reasonable special agent on the scene at the time of the incident. Any evaluation of reasonableness must allow for the fact that special agents are often forced to make split-second decisions about the amount of force that reasonably appears necessary in a particular situation, with limited information and in circumstances that are tense, uncertain and rapidly evolving.

Given that no policy can realistically predict every possible situation a special agent might encounter, special agents are entrusted to use well-reasoned discretion in determining the appropriate use of force in each incident.

It is also recognized that circumstances may arise in which special agents reasonably believe that it would be impractical or ineffective to use any of the tools, weapons or methods provided by this division. Special Agents may find it more effective or reasonable to improvise their response to rapidly unfolding conditions that they are

confronting. In such circumstances, the use of an improvised device or method must nonetheless be reasonable and utilized only to the degree that reasonably appears necessary to accomplish a legitimate law enforcement purpose.

While the ultimate objective of every law enforcement encounter is to avoid or minimize injury, nothing in this policy requires a special agent to retreat or be exposed to possible physical injury before applying reasonable force.

1. Alternative Tactics -De-Escalation

When circumstances reasonably permit, special agents shall use non-violent strategies and de-escalation techniques to decrease the intensity of a situation, improve decision-making, improve communication, reduce the need for force, and increase voluntary compliance (e.g., summoning additional resources, formulating a plan, attempting verbal persuasion) [Section 943.1735, F.S.](#)

2. Use of Force to Effect an Arrest

A special agent is justified in the use of force which he/she reasonably believes necessary to defend him/herself or another from bodily harm while making a lawful arrest and is not required to retreat or desist because of resistance or threatened resistance to the arrest [Section 776.05, F.S.](#), and [776.051, F.S.](#)

3. Factors Used to Determine the Reasonableness of Force

When determining whether to apply force and evaluating whether a special agent has used reasonable force, a number of factors should be taken into consideration, as time and circumstances permit. These factors include but are not limited to:

- A. Immediacy and severity of the threat to special agents or others.
- B. The conduct of the individual being confronted, as reasonably perceived by the special agent at the time.
- C. Special Agent/subject factors (e.g., age, size, relative strength, skill level, injuries sustained, level of exhaustion or fatigue, the number of special agents available vs. subjects).
- D. The effects of suspected drug or alcohol use.
- E. The individual's mental state or capacity
- F. The individual's ability to understand and comply with special agent commands.
- G. Proximity of weapons or dangerous improvised devices.

- H. The degree to which the individual has been effectively restrained and his/her ability to resist despite being restrained.
- I. The availability of other reasonable and feasible options and their possible effectiveness.
- J. Seriousness of the suspected offense or reason for contact with the individual.
- K. Training and experience of the special agent.
- L. Potential for injury to special agents, suspects, and others.
- M. Whether the individual appears to be resisting, attempting to evade arrest by flight, or is attacking the special agent.
- N. The risk and reasonably foreseeable consequences of escape.
- O. The apparent need for immediate control of the individual or a prompt resolution of the situation.
- P. Whether the conduct of the individual being confronted no longer reasonably appears to pose an imminent threat to the special agent or others.
- Q. Prior contacts with the individual or awareness of any propensity for violence.
- R. Any other exigent circumstances.

4. Pain Compliance Techniques

Pain compliance techniques may be effective in controlling a physically or actively resisting individual. Special Agents may only apply those pain compliance techniques for which they have successfully completed division-approved training. Special Agents utilizing any pain compliance technique should consider:

- A. The degree to which the application of the technique may be controlled given the level of resistance.
- B. Whether the individual can comply with the direction or orders of the special agent.
- C. Whether the individual has been given sufficient opportunity to comply.

The application of any pain compliance technique shall be discontinued once the special agent determines that compliance has been achieved.

5. Vascular Neck Restraints

A vascular neck restraint is a technique designed to control an individual by temporarily restricting blood flow to the brain through the application of pressure to the side of the neck and, unlike a chokehold, does not restrict the airway. The proper application of the vascular neck restraint may be effective in restraining a violent or combative individual. However, due to the potential for injury, the use of the vascular neck restraint is prohibited except in those circumstances where deadly force is authorized as defined by the Division and is subject to the following:

- A. At all times during the application of the vascular neck restraint, the response of the individual should be monitored. The vascular neck restraint should be discontinued when circumstances indicate that the application no longer reasonably appears necessary.
- B. Any individual who has had the vascular neck restraint applied, regardless of whether the individual was rendered unconscious, shall be promptly examined by paramedics or other qualified medical personnel and should be monitored until such examination occurs.
- C. The special agent shall inform any person receiving custody, or any person placed in a position of providing care, that the individual has been subjected to the vascular neck restraint and whether the individual lost consciousness as a result.
- D. Any special agent attempting or applying the vascular neck restraint shall promptly notify a supervisor of the use or attempted use of such hold.
- E. The use or attempted use of the vascular neck restraint shall be thoroughly documented by the special agent in any related reports.

6. Respiratory Restraints

The use of a respiratory restraint, also known as a chokehold, is limited to circumstances where a special agent perceives an immediate threat of serious bodily injury or death to self or another person and if applied, is subject to the same guidelines and requirements as a vascular neck restraint [Section 943.1735, F.S.](#)

7. Use of Force to Seize Evidence

In general, special agents may use reasonable force to lawfully seize evidence and to prevent the destruction of evidence. However, special agents are discouraged from using force solely to prevent a person from swallowing evidence or contraband. In the instance when force is used, special agents shall not intentionally use any technique that restricts blood flow to the head, restricts respiration, or that creates a reasonable likelihood that blood flow to the head or respiration would be restricted.

Special Agents are encouraged to use techniques and methods taught by the Division of Gaming Enforcement for this specific purpose.

V. DEADLY FORCE APPLICATIONS

When reasonable, the special agent shall, prior to the use of deadly force, make efforts to identify him/herself as a peace officer and to warn that deadly force may be used, unless the special agent has objectively reasonable grounds to believe the person is aware of those facts.

Use of deadly force is justified in the following circumstances involving imminent threat or imminent risk:

- A. A special agent may use deadly force to protect him/herself or others from what he/ she reasonably believes is an imminent threat of death or serious bodily injury.
- B. A special agent may use deadly force to stop a fleeing subject when the special agent has probable cause to believe that the individual has committed, or intends to commit, a felony involving the infliction or threatened infliction of serious bodily injury or death, and the special agent reasonably believes that there is an imminent risk of serious bodily injury or death to any other person if the individual is not immediately apprehended. Under such circumstances, a verbal warning should precede the use of deadly force, where feasible.

Imminent does not mean immediate or instantaneous. An imminent danger may exist even if the suspect is not at that very moment pointing a weapon at someone. For example, an imminent danger may exist if a special agent reasonably believes that the individual has a weapon or is attempting to access one and intends to use it against the special agent or another person. An imminent danger may also exist if the individual is capable of causing serious bodily injury or death without a weapon, and the special agent believes the individual intends to do so.

1. Moving Vehicles

Shots fired at or from a moving vehicle involve additional considerations and risks, and are rarely effective.

When feasible, special agents should take reasonable steps to move out of the path of an approaching vehicle instead of discharging their firearm at the vehicle or any of its occupants.

A special agent should only discharge a firearm at a moving vehicle or its occupants when the special agent reasonably believes there are no other reasonable means

available to avert the imminent threat of the vehicle, or if deadly force other than the vehicle is directed at the special agent or others.

Special Agents should not shoot at any part of a vehicle in an attempt to disable the vehicle.

VI. REPORTING THE USE OF FORCE

Any use of force by a member of this division shall be documented promptly, completely, and accurately in an appropriate report, depending on the nature of the incident. The member shall articulate the factors perceived and why they believed the use of force was reasonable under the circumstances. This requirement applies to sworn and non-sworn members and includes both accidental and deliberate acts that occur while performing work-related duties.

To collect data for purposes of training, resource allocation, analysis, and related purposes, the Division may require the completion of additional report forms, as specified in division policy, procedure, or law. See the Report Preparation Policy for additional circumstances that may require documentation.

1. Notifications to Supervisors

Supervisory notification shall be made as soon as practicable following the application of force in any of the following circumstances:

- A. The application caused a visible injury.
- B. The application would lead a reasonable special agent to conclude that the individual may have experienced more than momentary discomfort.
- C. The individual subjected to the force complained of injury or continuing pain.
- D. The individual indicates intent to pursue litigation.
- E. Any application of a control device.
- F. Any application of a restraint device other than handcuffs, shackles, or belly chains.
- G. The individual subjected to the force was rendered unconscious.
- H. An individual was struck or kicked.

- I. An individual alleges unreasonable force was used or that any of the above has occurred.

2. Data Reporting

Data relating to use of force incidents that result in serious bodily injury, death, or discharge of a firearm at a person is to be reported quarterly to the FDLE, including all information collected by the FBI's National Use of Force Data Collection. The Chief of Law Enforcement should ensure the Records Section has all appropriate data on a timely basis for reporting requirements [Section 943.6872, F. S.](#) See the Records Section Policy for additional guidelines.

VII. MEDICAL CONSIDERATIONS

Once it is reasonably safe to do so, medical assistance shall be obtained for any person who exhibits signs of physical distress, has sustained visible injury, expresses a complaint of injury or continuing pain, or was rendered unconscious section 943.1735, F.S. Any individual exhibiting signs of physical distress after an encounter should be continuously monitored until the individual can be medically assessed. Individuals should not be placed on their stomachs for an extended period, as this could impair their ability to breathe.

Based upon the special agent's initial assessment of the nature and extent of the individual's injuries, medical assistance may consist of an examination by an emergency medical services provider or medical personnel at a hospital or jail. If any such individual refuses medical attention, such a refusal shall be fully documented in related reports and, whenever practicable, should be witnessed by another special agent and/or medical personnel. If a recording is made of the contact or an interview with the individual, any refusal should be included in the recording, if possible.

The on-scene supervisor or, if the on-scene supervisor is not available, the primary handling special agent shall ensure that any person providing medical care or receiving custody of a person following any use of force is informed that the person was subjected to force. This notification shall include a description of the force used and any other circumstances the special agent reasonably believes would be potential safety or medical risks to the subject (e.g., prolonged struggle, extreme agitation, impaired respiration).

Individuals who exhibit extreme agitation, violent irrational behavior accompanied by profuse sweating, extraordinary strength beyond their physical characteristics, and imperviousness to pain, or who require a protracted physical encounter with multiple special agents to be brought under control, may be at an increased risk of sudden death. Calls involving these persons should be considered medical emergencies. Special Agents who reasonably suspect a medical emergency should request medical assistance as soon as practicable and have medical personnel stage away.

See the Medical Aid and Response Policy for additional guidelines.

VIII. SUPERVISOR RESPONSIBILITIES

A supervisor should respond to a reported application of force resulting in visible injury, if reasonably available. When a supervisor is able to respond to an incident in which there has been a reported application of force, the supervisor is expected to:

- A. Obtain the basic facts from the involved special agents. Absent an allegation of misconduct or excessive force, this will be considered a routine contact in the normal course of duties.
- B. Ensure that any injured parties are examined and treated.
- C. Once any initial medical assessment has been completed or first aid has been rendered, try to ensure that photographs have been taken of any areas involving visible injury or complaint of pain, as well as overall photographs of uninjured areas.
 - 1. These photographs should be retained until all potential for civil litigation has expired.
- D. Identify any witnesses not already included in related reports.
- E. Review and approve all related reports.
- F. Evaluate the circumstances surrounding the incident and initiate an administrative investigation if there is a question of policy noncompliance or if for any reason further investigation may be appropriate.

In the event that a supervisor is unable to respond to the scene of an incident involving the reported application of force, the supervisor is still expected to complete as many of the above items as circumstances permit.

1. Special Agent Supervisor Responsibility

The Special Agent Supervisor shall conduct a documented review of each use of force by any personnel within their command to ensure compliance with this policy and to address any training issues. [CFA 5.19 – 4.07 \(C\)](#) and [CFA 5.20 – 4.07M \(C\)](#).

IX. TRAINING

Special Agents will receive periodic training on this policy and demonstrate their knowledge and understanding.

Subject to available resources, special agents should receive periodic training on:

- A. Guidelines regarding vulnerable populations, including but not limited to children, elderly, pregnant persons, and individuals with physical, mental, or intellectual disabilities.
- B. De-escalation tactics, including alternatives to force.

1. Additional Required Training

Special Agents shall receive periodic training on the recognition of the symptoms and characteristics of a person with substance abuse disorder or mental illness and appropriate responses [Section 943.1735, F.S.](#) (see the Crisis Intervention Incidents Policy).

2. New Member Training

Special Agents shall receive copies of and training on this policy and acknowledge receipt of this policy before they are authorized to carry any lethal weapons or control devices.

All other members shall receive copies of and training on this policy and acknowledge receipt of this policy. [CFA 5.19 - 4.02M](#) and [CFA 5.20 - 4.02M](#)

X. USE OF FORCE ADMINISTRATIVE REVIEW AND ANALYSIS

The report should not contain the names of special agents, suspects, or case numbers, and should include ([CFA 5.19 - 4.09M \(A\), \(B\) and \(C\)](#), [5.20 - 4.09M \(A\), \(B\) and \(C\)](#)):

- A. The identification of any trends in the use of force by members.
- B. Training needs recommendations.
- C. Equipment needs recommendations.
- D. Policy revision recommendations.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Handcuffing and Restraints

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.05.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 394.463, F.S.](#)

[Section 397.341, F.S.](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement authorizes the use of restraint devices in accordance with this policy, the Use of Force Policy and division training. Restraint devices shall not be used to punish, to display authority or as a show of force.

II. PURPOSE

This policy provides guidelines for the use of handcuffs and other restraints during detentions and arrests.

III. USE OF RESTRAINTS

Only members who have successfully completed Division of Gaming Enforcement-approved training on the use of restraint devices described in this policy are authorized to use these devices.

When deciding whether to use any restraint, special agents should carefully balance officer safety concerns with factors that include, but are not limited to:

- A. The circumstances or crime leading to the arrest.
- B. The demeanor and behavior of the arrested person.
- C. The age and health of the person.
- D. Whether the person is known to be pregnant.
- E. Whether the person has a hearing or speaking disability. In such cases, consideration should be given, safety permitting, to handcuffing to the front in order to allow the person to sign or write notes.
- F. Whether the person has any other apparent disability.

1. Restraint of Detainees

Situations may arise where it may be reasonable to restrain a person who may, after brief investigation, be released without arrest. Unless arrested, the use of restraints on detainees should continue only for as long as is reasonably necessary to ensure the safety of special agents and others. When deciding whether to remove restraints from a detainee, special agents should continuously weigh the safety interests at hand against the continuing intrusion upon the detainee.

2. Restraint of Pregnant Persons

Persons who are known to be pregnant should be restrained in the least restrictive manner that is effective for officer safety. Leg irons, waist chains, or handcuffs behind the body should not be used unless the special agent has a reasonable suspicion that the person may resist, attempt escape, injure herself or others, or damage property.

No person who is in labor, delivery, or recovery after delivery shall be handcuffed or restrained except in extraordinary circumstances and only when a supervisor makes an individualized determination that such restraints are necessary for the safety of the arrestee, special agents, or others.

3. Restraint of Juveniles

A juvenile under 14 years of age should not be restrained unless he/she is suspected of a dangerous felony or when the special agent has a reasonable suspicion that the juvenile may resist, attempt escape, injure him/herself, injure the special agent or damage property.

4. Restraints During Baker or Marchman Act Transport

When transporting an individual for an involuntary examination or for involuntary treatment for substance abuse, the individual should be restrained in the least restrictive manner available and appropriate under the circumstances [Section 394.463, F.S.](#) and [397.341, F.S.](#)

5. Notifications

Whenever a special agent transports a person with the use of restraints other than handcuffs, the special agent shall inform the jail staff upon arrival at the jail that restraints were used. This notification should include information regarding any other circumstances the special agent reasonably believes would be potential safety concerns or medical risks to the person (e.g., prolonged struggle, extreme agitation, impaired respiration) that may have occurred prior to, or during, transportation to the jail.

IV. APPLICATION OF HANDCUFFS OR PLASTIC CUFFS

Handcuffs, including temporary nylon or plastic cuffs, may be used only to restrain a person's hands to ensure officer safety.

Although recommended for most arrest situations, handcuffing is discretionary and not an absolute requirement of the Division. Special Agents should consider handcuffing any person they reasonably believe warrants that degree of restraint. However, special agents should not conclude that in order to avoid risk every person should be handcuffed regardless of the circumstances.

In most situations, handcuffs should be applied with the hands behind the person's back. When feasible, handcuffs should be double-locked to prevent tightening, which may cause undue discomfort or injury to the hands or wrists.

In situations where one pair of handcuffs does not appear sufficient to restrain the person or may cause unreasonable discomfort due to the person's size, special agents should consider alternatives, such as using an additional set of handcuffs or multiple plastic cuffs.

Handcuffs should be removed as soon as it is reasonable or after the person has been searched and is safely confined within a detention facility.

V. REQUIRED DOCUMENTATION

If a person is restrained and released without an arrest, the special agent shall document the details of the detention and the need for handcuffs or other restraints.

If a person is arrested, the use of restraints other than handcuffs shall be documented in the related report. The special agent should include, as appropriate:

- A. The amount of time the person was restrained.
- B. How the person was transported and the position of the person during transport.
- C. Observations of the person's behavior and any signs of physiological problems.
- D. Any known or suspected drug use or other medical problems.

VI. TRAINING

Subject to available resources, the Training Coordinator should ensure that special agents receive periodic training on the proper use of handcuffs and other restraints, including:

- A. Proper placement and fit of handcuffs and other restraint devices approved for use by the Division.
- B. Response to complaints of pain by restrained persons.
- C. Options for restraining those who may be pregnant without the use of leg irons, waist chains, or handcuffs behind the body.
- D. Options for restraining amputees or those with medical conditions or other physical conditions that may be aggravated by being restrained.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Control Devices

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.06.01

FGCC SECTION

Law Enforcement

AUTHORITY

CFA 5-.19 – 4.06M (A), (B), (C), (D) and (E), 4.08M,
10.10M (D), 10.04M (A)

CFA 5.20 – 4.06M (A), (B), (C), (D) and (E), 4.08M,
10.10M (D), 10.04M (A)

I. STATEMENT OF POLICY

In order to control individuals who are violent or who demonstrate the intent to be violent, the Division of Gaming Enforcement authorizes special agents to use control devices in accordance with the guidelines in this policy and the Use of Force Policy. The Director of Gaming Enforcement may also authorize other assignments or individual division members to use specific control devices.

II. PURPOSE

This policy provides guidelines for the use and maintenance of control devices that are described in this policy.

III. ISSUING, CARRYING, AND USING CONTROL DEVICES

Control devices described in this policy may be carried and used by members of this division only if the device has been issued by the Division or approved by the Director of Gaming Enforcement or the authorized designee.

Only those members who have successfully completed division-approved training in the use of any control device are authorized to carry and use the device.

Control devices may be used when a decision has been made to control, restrain or arrest a person who is violent or who demonstrates the intent to be violent and the use of the device appears reasonable under the circumstances. When reasonable, a verbal warning and opportunity to comply should precede the use of these devices.

1. Off-Duty Use

Members may not use division-issued control devices off-duty. [CFA 5.19 – 4.06M \(B\)](#) and [CFA 5.20 – 4.06M \(B\)](#)

IV. RESPONSIBILITIES

1. Special Agent Supervisor Responsibilities

The Special Agent Supervisor may authorize the use of a control device by selected division members who may not currently be issued or carrying the control device or those in specialized assignments who have successfully completed the required training.

2. Rangemaster Responsibilities

The Chief of Law Enforcement shall control the inventory and issuance of all control devices and shall ensure that all damaged, inoperative, outdated or expended control devices or munitions are properly disposed of, repaired or replaced.

Every control device will be periodically inspected, no less than annually, by the Chief of Law Enforcement or designee for a particular control device. The inspection shall be documented. [CFA 5-.19 – 4.06M \(E\)](#) and [CFA 5.20 – 4.06M \(E\)](#)

3. Approved Control Devices

The Chief of Law Enforcement or designee shall establish procedures for maintaining a list of division-approved control devices.

The Chief of Law Enforcement or designee shall be responsible for ensuring an appropriate review process occurs before control devices are approved for use.

The following control devices are approved for on-duty use only ([CFA 5-.19 – 4.06M \(D\)](#) and [CFA 5.20 – 4.06M \(D\)](#)):

Type	Make/Model
OC Spray	

4. User Responsibilities

All normal maintenance, charging or cleaning shall remain the responsibility of personnel using the various devices.

Any damaged, inoperative, outdated or expended control devices or munitions, along with documentation explaining the cause of the damage, shall be returned to the Chief of Law Enforcement for disposition. Documentation shall also be forwarded through the chain of command, when appropriate, explaining the cause of damage.

V. OLEORESIN CAPSICUM (OC) GUIDELINES

As with other control devices, OC spray may be considered for use to bring under control an individual or group of individuals who are engaging in, or are about to engage in, violent behavior. OC spray should not, however, be used against individuals or groups who merely fail to disperse or do not reasonably appear to present a risk to the safety of division members or the public. [CFA 5-.19 – 4.06M \(A\)](#) and [CFA 5.20 – 4.06M \(A\)](#)

1. OC Spray

Uniformed members carrying OC spray shall carry the device in its holster on the equipment belt. Plainclothes and non-field members may carry OC spray as authorized, in accordance with the needs of their assignments or at the direction of their supervisors. [CFA 5-.19 – 4.06M \(A\)](#) and [CFA 5.20 – 4.06M \(A\)](#)

2. Treatment for OC Exposure

Persons who have been sprayed with or otherwise affected by the use of OC should be promptly provided with clean water to cleanse the affected areas. Those who complain of further severe effects shall be examined by appropriate medical personnel. [CFA 5-.19 – 4.08M](#) and [CFA 5.20 – 4.08M](#)

VI. POST-APPLICATION NOTICE

Whenever OC has been introduced into a residence, building interior, vehicle or other enclosed area, the owners or available occupants should be provided with notice of the possible presence of residue which could result in irritation or injury if the area is not

properly cleaned. Such notice should include advisement that cleanup will be at the owner's expense. Information regarding how and when the notice was delivered and the individuals notified should be included in related reports

VII. TRAINING FOR CONTROL DEVICES

The Training Coordinator shall ensure that those members who are authorized to carry a control device have been properly trained and certified to carry the specific control device and are retrained or recertified as necessary. CFA 5.19 – 4.06M (C), 10.10M (D), 10.04M (A) and CFA 5.20 – 4.06M (C), 10.10M (D), 10.04M (A).

- A. Proficiency training shall be monitored and documented by a certified, control-device weapons or tactics instructor.
- B. All training and proficiency for control devices will be documented in the member's training file.
- C. Members who fail to demonstrate proficiency with the control device or knowledge of the Use of Force Policy will be provided remedial training. If a member cannot demonstrate proficiency with a control device or knowledge of the Use of Force Policy after remedial training, the member will be restricted from carrying the control device and may be subject to discipline.
- D. Retraining or recertification, which shall include legal updates, shall occur at least biennially.
- E. No member shall be issued a control device until he/she has acknowledged receipt of the Use of Force Policy and has received training on the Use of Force Policy and the control device.

VIII. REPORTING USE OF CONTROL DEVICES

Any application of a control device shall be documented in the related incident report and reported pursuant to the Use of Force Policy.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Officer-Involved Shootings and Deaths

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.07.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 2.07M, 4.08M, 15.15M \(C\), 5.21M, 15.15M \(A\)](#)

[CFA 5.20 - 2.07M, 4.08M, 15.15M \(C\), 5.21M, 15.15M \(A\)](#)

[Section 112.532\(1\)\(d\), \(g\) and \(i\), F.S.](#)

[Section 943.1718, F.S.](#)

[Section 943.1740, F.S.](#)

I. STATEMENT OF POLICY

The policy of the Division of Gaming Enforcement is to ensure that officer-involved shootings and deaths are investigated in a thorough, fair and impartial manner.

II. PURPOSE

The purpose of this policy is to establish policy and procedures for the investigation of an incident in which a person is injured or dies as the result of an officer-involved shooting or dies as a result of other action of a special agent [Section 943.1740, F.S.](#)

In other incidents not covered by this policy, the Director of Gaming Enforcement may decide that the investigation will follow the process provided in this policy.

III. TYPES OF INVESTIGATIONS

Officer-involved shootings and deaths involve several separate investigations. The investigations may include:

- A. A criminal investigation of the suspect's actions.
- B. A criminal investigation of the involved officer's actions.
- C. An administrative investigation as to policy compliance by involved special agents.
- D. A civil investigation to determine potential liability.

1. Independent Review

An independent review of a use of force incident should be conducted by one of the following [Section 943.1740, F.S.](#):

- A. Another law enforcement agency.
- B. A special agent employed by another law enforcement agency.
- C. The state attorney of the judicial circuit in which the use of force occurred.

The Director of Gaming Enforcement or authorized designee should establish a process for initiating the review, including making necessary notifications to the appropriate reviewer.

The review should include an independent report upon the completion of the review, which shall be submitted to the Director of Gaming Enforcement. The Director of Gaming Enforcement should ensure that the report is also submitted to the state attorney of the judicial circuit in which the use of force occurred. [Section 943.1740, F.S.](#)

IV. CONTROL OF INVESTIGATIONS

Investigators from surrounding agencies may be assigned to work on the criminal investigation of officer-involved shootings and deaths. This may include at least one investigator from the agency that employs the involved officer.

Jurisdiction is determined by the location of the shooting or death and the agency employing the involved officer. The following scenarios outline the jurisdictional responsibilities for investigating officer-involved shootings and deaths.

1. Criminal Investigation of Suspect Actions

The investigation of any possible criminal conduct by the suspect is controlled by the agency in whose jurisdiction the suspect's crime occurred. For example, the Division of Gaming Enforcement may control the investigation if the suspect's crime occurred in the presence of a Florida Gaming Control special agent.

If multiple crimes have been committed in multiple jurisdictions, identification of the agency that will control the investigation may be reached in the same way as with any other crime. The investigation may be conducted by the agency in control of the criminal investigation of the involved officer, at the discretion of the Director of Gaming Enforcement and with concurrence from the other agency.

2. Criminal Investigations of Officer Actions

When a special agent from this division is involved, the criminal investigation will be handled according to the Criminal Investigation section of this policy.

Requests made of this division to investigate a shooting or death involving an outside agency's officer shall be referred to the Director of Gaming Enforcement or the authorized designee for approval.

3. Administrative and Civil Investigation

Regardless of where the incident occurs, the administrative and civil investigations of each involved officer are controlled by the respective employing agency.

V. INVESTIGATIONS PROCESS

The following procedures are guidelines used in the investigation of an officer-involved shooting or death.

1. Uninvolved Special Agent Responsibilities

Upon arrival at the scene of an officer-involved shooting or death, the first uninvolved FGCC special agent will be the special agent-in-charge and will assume the responsibilities of a supervisor until properly relieved. This special agent should, as appropriate [CFA 5.19 – 4.08M](#) and [CFA 5.20 – 4.08M](#):

- A. Secure the scene and identify and eliminate hazards for all those involved.
- B. Take reasonable steps to obtain emergency medical attention for injured individuals.
- C. Request additional resources from the Division or other agencies.
- D. Coordinate a perimeter or pursuit of suspects.
- E. Check for injured persons and evacuate as needed.

F. Brief the supervisor upon arrival.

2. Supervisor Responsibilities

Upon arrival at the scene, the first uninvolved FGCC supervisor should ensure completion of the duties as outlined above, plus:

- A. Attempt to obtain a brief overview of the situation from any uninvolved officers.
 - 1. In the event that there are no uninvolved officers who can supply adequate overview, the supervisor should attempt to obtain a brief voluntary overview from one involved officer.
- B. If necessary, the supervisor may administratively order any FGCC special agent to immediately provide public safety information necessary to secure the scene, identify injured parties and pursue suspects.
 - 1. Public safety information shall be limited to such things as outstanding suspect information, number and direction of any shots fired, perimeter of the incident scene, identity of known or potential witnesses and any other pertinent information.
 - 2. The initial on-scene supervisor should not attempt to order any involved officer to provide any information other than public safety information.
- C. Provide all available information to the Special Agent Supervisor and the Regional Communications Center. If feasible, sensitive information should be communicated over secure networks.
- D. Take command of and secure the incident scene with additional FGCC members until properly relieved by another supervisor or other assigned personnel or investigator.
- E. As soon as practicable, ensure that involved officers are transported (separately, if feasible) to a suitable location for further direction.
 - 1. Each involved FGCC special agent should be given an administrative order not to discuss the incident with other involved officers or FGCC members pending further direction from a supervisor.
 - 2. When an involved officer's weapon is taken or left at the scene for other than officer-safety reasons (e.g., evidence), ensure that he/she is provided with a comparable replacement weapon or transported by other special agents.

3. Special Agent Supervisor Responsibilities

Upon learning of an officer-involved shooting or death, the Special Agent Supervisor shall be responsible for coordinating all aspects of the incident until he/she is relieved by the Director of Gaming Enforcement or Chief of Law Enforcement.

All outside inquiries about the incident shall be directed to the Special Agent Supervisor.

4. Notifications

The following persons shall be notified as soon as practicable:

- A. Director of Gaming Enforcement (who will notify the FGCC Executive Director).
- B. Chief of Law Enforcement.
- C. Outside agency investigators (if appropriate).
- D. Inspector General.
- E. Psychological/peer support personnel.
- F. Medical Examiner (if necessary).
- G. Involved officer's agency representative (if requested).
- H. External Affairs Director.

5. Involved Officers

The following shall be considered for the involved officer ([CFA 5.19 - 2.07M, 15.15M \(C\)](#) [CFA 5.20 - 15.15M \(C\), 2.07M](#)):

- A. Any request for representation by counsel or any other representative will be accommodated pursuant to [Section 112.532\(1\)\(i\), F.S.](#)
 - 1. Involved FGCC special agents shall not be permitted to meet collectively or in a group with an attorney or any representative prior to providing a formal interview or report.
 - 2. Requests from involved non-FGCC officers should be referred to their employing agency.

- B. Discussions with licensed attorneys will be considered privileged as attorney-client communications.
- C. Discussions with employee groups/agency representatives will be privileged only as to the discussion of non-criminal information.
- D. A licensed psychotherapist shall be provided by the Division to each involved FGCC special agent. A licensed psychotherapist may also be provided to any other affected FGCC members, upon request.
 - 1. Interviews with a licensed psychotherapist will be considered privileged.
 - 2. An interview or session with a licensed psychotherapist may take place prior to the member providing a formal interview or report. However, the involved members shall not be permitted to consult or meet collectively or in a group with a licensed psychotherapist prior to providing a formal interview or report.
 - 3. A separate fitness-for-duty exam may also be required (see the Fitness for Duty Policy).
- E. Communications between the involved special agent and a first responder peer support member are addressed in the Wellness Program Policy.

Care should be taken to preserve the integrity of any physical evidence present on the involved officer's equipment or clothing, such as blood or fingerprints, until investigators or lab personnel can properly retrieve it.

Each involved FGCC special agent shall be given reasonable paid administrative leave until a preliminary administrative review is conducted following an officer-involved shooting or death. It shall be the responsibility of the Special Agent Supervisor to make schedule adjustments to accommodate such leave.

VI. CRIMINAL INVESTIGATION

The Florida Department of Law Enforcement is responsible for the criminal investigation into the circumstances of any officer-involved shooting involving injury or death.

If available, investigative personnel from Florida Gaming Control Commission may be assigned to partner with investigators from the Florida Department of Law Enforcement to avoid duplicating efforts in related criminal investigations.

Once public safety issues have been addressed, criminal investigators should be given the opportunity to obtain a voluntary statement from involved officers and to complete their interviews. The following shall be considered for the involved officer:

- A. FGCC supervisors and Inspector General personnel should not participate directly in any voluntary interview of FGCC special agents. This will not prohibit such personnel from monitoring interviews or providing the criminal investigators with topics for inquiry.
- F. If requested, any involved officer will be afforded the opportunity to consult individually with a representative of his/her choosing or an attorney prior to speaking with criminal investigators [Section 112.532\(1\)\(i\), F.S.](#) However, in order to maintain the integrity of each involved officer's statement, he/she shall not consult or meet with a representative or an attorney collectively or in groups prior to being interviewed.
- B. If any involved officer is physically, emotionally or otherwise not in a position to provide a voluntary statement when interviewed by criminal investigators, consideration should be given to allowing a reasonable period for the officer to schedule an alternate time for the interview.
- C. Any voluntary statement provided by an involved officer will be made available for inclusion in any related investigation including administrative investigations. However, no administratively coerced statement will be provided to any criminal investigators unless the officer consents.

1. Reports by Involved FGCC Special Agents

In the event that suspects remain outstanding or subject to prosecution for related offenses, this division shall retain the authority to require involved FGCC special agents to provide sufficient information for related criminal reports to facilitate the apprehension and prosecution of those individuals.

While the involved FGCC special agent may write the report, it is generally recommended that such reports be completed by assigned investigators, who should interview all involved special agents as victims/witnesses. Since the purpose of these reports will be to facilitate criminal prosecution, statements of involved special agents should focus on evidence to establish the elements of criminal activities by suspects. Care should be taken not to duplicate information provided by involved special agents in other reports.

Nothing in this section shall be construed to deprive an involved FGCC special agent of the right to consult with legal counsel prior to completing any such criminal report.

Reports related to the prosecution of criminal suspects will be processed according to normal procedures but should also be included for reference in the investigation of the officer-involved shooting or death. ([CFA 5.19 - 15.15M \(A\)](#) [CFA 5.20 - 15.15M \(A\)](#))

2. Witness Identification and Interviews

Because potential witnesses to an officer-involved shooting or death may become unavailable or the integrity of their statements compromised with the passage of time, a supervisor should take reasonable steps to promptly coordinate with criminal investigators to utilize available law enforcement personnel for the following:

- A. Identification of all persons present at the scene and in the immediate area.
 - 1. When feasible, a recorded statement should be obtained from those persons who claim not to have witnessed the incident but who were present at the time it occurred.
 - 2. Any potential witness who is unwilling or unable to remain available for a formal interview should not be detained absent reasonable suspicion to detain or probable cause to arrest. Without detaining the individual for the sole purpose of identification, attempts to identify the witness prior to his/her departure should be made whenever feasible.
- B. Witnesses who are willing to provide a formal interview should be asked to meet at a suitable location where criminal investigators may obtain a recorded statement. Such witnesses, if willing, may be transported by a member of the Division.
 - 1. A written, verbal or recorded statement of consent should be obtained prior to transporting a witness. When the witness is a minor, consent should be obtained from the parent or guardian, if available, prior to transportation.
- C. Promptly contacting the suspect's known family and associates to obtain any available and untainted background information about the suspect's activities and state of mind prior to the incident.

3. Investigative Personnel

Once notified of an officer-involved shooting or death, it shall be the responsibility of the Chief of Law Enforcement to assign appropriate investigative personnel to handle the investigation of related crimes. Division investigators may be assigned to work with investigators from the Florida Department of Law Enforcement and may be assigned to separately handle the investigation of any related crimes not being investigated by the FDLE's Office.

All related division reports, except administrative and/or privileged reports, will be forwarded to the designated supervisor for approval. Privileged reports shall be maintained exclusively by members who are authorized such access. Administrative reports will be forwarded to the Chief of Law Enforcement.

VII. ADMINISTRATIVE INVESTIGATION

In addition to all other investigations associated with an officer-involved shooting or death, this division will conduct an internal administrative investigation of involved FGCC special agents to determine conformance with division policy. This investigation will be documented and conducted under the supervision of the Inspector General.

Interviews of members shall be subject to division policies and applicable laws.

- A. Any special agent involved in a shooting or death may be requested or administratively compelled to provide a blood sample for alcohol/drug screening. Absent consent from the special agent, such compelled samples and the results of any such testing shall not be disclosed to any criminal investigative agency.
- B. If any special agent has voluntarily elected to provide a statement to criminal investigators, the assigned administrative investigator should review that statement before proceeding with any further interview of that involved special agent.
 1. If a further interview of the special agent is deemed necessary to determine policy compliance, care should be taken to limit the inquiry to new areas with minimal, if any, duplication of questions addressed in the voluntary statement. The involved special agent shall be provided with a copy of their prior statement before proceeding with any subsequent interviews.
- C. In the event that an involved special agent has elected not to provide criminal investigators with a voluntary statement, the assigned administrative investigator shall conduct an administrative interview to determine all relevant information.
 1. Although this interview should not be unreasonably delayed, care should be taken to ensure that the special agent's physical and psychological needs have been addressed before commencing the interview.
 2. If requested, the special agent shall have the opportunity to select an uninvolved representative to be present during the interview [Section 112.532\(1\)\(i\), F.S.](#) However, in order to maintain the integrity of each individual special agent's statement, involved special agents shall not

consult or meet with a representative collectively or in groups prior to being interviewed.

3. Administrative interviews shall be recorded by the investigator. The special agent may also record the interview [Section 112.532\(1\)\(g\), F.S.](#)
4. The special agent shall be informed of the nature of the investigation. If a special agent refuses to answer questions, they should be given their Garrity rights and ordered to provide full and truthful answers to all questions. The special agent shall be informed that the interview will be for administrative purposes only and that the statement cannot be used criminally [Section 112.532\(1\)\(d\), F.S.](#)
5. The Inspector General shall compile all relevant information and reports necessary for the Division to determine compliance with applicable policies.
6. Any other indications of potential policy violations shall be determined in accordance with standard disciplinary procedures.

VIII. CIVIL LIABILITY RESPONSE

A member of this division may be assigned to work exclusively under the direction of the legal counsel for the Division to assist in the preparation of materials deemed necessary in anticipation of potential civil litigation.

All materials generated in this capacity shall be considered attorney work product and may not be used for any other purpose. The civil liability response is not intended to interfere with any other investigation but shall be given reasonable access to all other investigations.

IX. AUDIO AND VIDEO RECORDINGS

Any officer involved in a shooting or death may be permitted to review available Mobile Audio/ Video (MAV), body-worn video, or other video or audio recordings prior to providing a recorded statement or completing reports [Section 943.1718, F.S.](#)

Upon request, non-law enforcement witnesses who are able to verify their presence and their ability to contemporaneously perceive events at the scene of an incident may also be permitted to review available MAV, body-worn video, or other video or audio recordings with the approval of assigned investigators or a supervisor.

Any MAV, body-worn video, and other known video or audio recordings of an incident should not be publicly released during an ongoing investigation without consulting the prosecuting attorney or General Counsel's Office, as appropriate.

X. DEBRIEFING

Following an officer-involved shooting or death, the Division of Gaming Enforcement should conduct both a Critical Incident Stress Debriefing and a tactical debriefing. See the Wellness Program Policy for guidance on Critical Incident Stress Debriefings.

1. Tactical Debriefing

A tactical debriefing should take place to identify any training or areas of policy that need improvement. The Director of Gaming Enforcement should identify the appropriate participants. This debriefing should not be conducted until all involved members have provided recorded or formal statements to the criminal and/or administrative investigators.

XI. MEDIA RELATIONS

Any media release shall be prepared with input and concurrence from the Executive Director of the Florida Gaming Control Commission and the Director of Gaming Enforcement or designee. Releases will be available to the Special Agent Supervisor, Chief of Law Enforcement and External Affairs Director in the event of inquiries from the media.

No involved FGCC special agent shall make any comment to the media unless he/she is authorized by the Director of Gaming Enforcement or Chief of Law Enforcement.

Division members receiving inquiries regarding officer-involved shootings or deaths occurring in other jurisdictions shall refrain from public comment and will direct those inquiries to the agency having jurisdiction and primary responsibility for the investigation.

XII. REPORTING

If the death of an individual occurs in the Division of Gaming Enforcement's control and qualifies to be reported to the Florida Department of Law Enforcement (FDLE) as a justifiable homicide or an in-custody death, the Chief of Law Enforcement should ensure that the Records Custodian is provided with enough information to meet the reporting guidelines established by the FDLE.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Firearms

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.08.01

FGCC SECTION

Law Enforcement

AUTHORITY

CFA 5.19 – 4.04M, 4.05M (A), 4.05M (C), 4.05M (E), 4.05M (G), 4.07M (A)
CFA 5.20 - 4.04M, 4.05M (A), 4.05M (C), 4.05M (E), 4.05M (G), 4.07M (A)
(Rule 11B-27.00212(14)(e), F.A.C.).
Section 790.151, F.S.
Section 790.174, F.S.
Section 828.05, F. S.
49 CFR 1544.219
18 USC Section 926B

I. STATEMENT OF POLICY

The Division of Gaming Enforcement will equip its members with firearms to address the risks posed to the public and division members by violent and sometimes well-armed persons. The Division will ensure firearms are appropriate and in good working order and that relevant training is provided as resources allow.

II. PURPOSE

This policy provides guidelines for issuing firearms, the safe and legal carrying of firearms, firearms maintenance and firearms training.

This policy does not apply to issues related to the use of firearms that are addressed in the Use of Force or Officer-Involved Shootings and Deaths policies.

This policy only applies to those members who are authorized to carry firearms.

III. AUTHORIZED FIREARMS, AMMUNITION AND OTHER WEAPONS

Members shall only use firearms that are issued or approved by the Division and have been thoroughly inspected by the Rangemaster. Except in an emergency or as directed by a supervisor, no firearm shall be carried by a member who has not qualified with that firearm at an authorized division range.

All other weapons not provided by the Division, including, but not limited to, edged weapons, chemical or electronic weapons, impact weapons or any weapon prohibited or restricted by law or that is not covered elsewhere by division policy, may not be carried by members in the performance of their official duties without the express written authorization of the member's Chief of Law Enforcement. This exclusion does not apply to the carrying of a single folding pocketknife that is not otherwise prohibited by law. (CFA 5.19 - 4.05M (A), 4.05M (C), 4.05M (E) CFA 5.20 - 4.05M (A), 4.05M (C), 4.05M (E))

1. Handguns

The authorized division-issued handgun is the Glock Model 43X and Glock Model 45.

2. Authorized Secondary Handgun

Members desiring to carry division or personally owned secondary handguns are subject to the following restrictions ([CFA 5.19 - 4.05M \(C\)](#) [CFA 5.20 - 4.05M \(C\)](#)):

- A. The handgun shall be in good working order and on the division list of approved firearms.
- B. Only one secondary handgun may be carried at a time.
- C. The purchase of the handgun and ammunition shall be the responsibility of the member unless the handgun and ammunition are provided by the Division.
- D. The handgun shall be carried concealed and in a holster approved by the Division at all times and in such a manner as to prevent unintentional cocking, discharge or loss of physical control.

- E. The handgun shall be inspected by the Rangemaster prior to being carried and thereafter shall be subject to inspection whenever it is deemed necessary.
- F. Ammunition shall be the same as division issue. If the caliber of the handgun is other than division issue, the Director of Gaming Enforcement or the authorized designee shall approve the ammunition.
- G. Prior to carrying the secondary handgun, members shall qualify under range supervision and thereafter shall qualify in accordance with the division qualification schedule. Members must demonstrate proficiency and safe handling, and that the handgun functions properly.
- H. Members shall provide written notice of the make, model, color, serial number and caliber of a secondary handgun to the Rangemaster, who will maintain a list of the information.

3. Authorized Off-Duty Firearms

The carrying of firearms by members while off-duty is permitted by the Director of Gaming Enforcement but may be rescinded should circumstances dictate (e.g., administrative leave). Members who choose to carry a firearm while off-duty, based on their authority as law enforcement officers, will be required to meet the following guidelines ([CFA 5.19 - 4.05M \(B\)](#), [4.05M \(C\)](#) [CFA 5.20 - 4.05M \(B\)](#), [4.05M \(C\)](#)):

- A. A personally owned firearm shall be used, carried and inspected in accordance with this policy.
 - 1. The purchase of the personally owned firearm and ammunition shall be the responsibility of the member.
- B. The firearm shall be carried concealed at all times and in such a manner as to prevent unintentional cocking, discharge or loss of physical control.
- C. It will be the responsibility of the member to submit the firearm to the Rangemaster for inspection prior to being personally carried. Thereafter the firearm shall be subject to periodic inspection by the Rangemaster.
- D. Prior to carrying any off-duty firearm, the member shall demonstrate to the Rangemaster that he/she is proficient in handling and firing the firearm and that it will be carried in a safe manner.

- E. The member will successfully qualify with the firearm prior to it being carried.
- F. Members shall provide written notice of the make, model, color, serial number and caliber of the firearm to the Rangemaster, who will maintain a list of the information.
- G. If a member desires to use more than one firearm while off-duty, he/she may do so, as long as all requirements set forth in this policy for each firearm are met.
- H. Members shall only carry division-authorized ammunition.
- I. When armed special agents shall carry their badges and Division of Gaming Enforcement identification cards under circumstances requiring possession of such identification.

4. Ammunition

Members shall carry only division-authorized ammunition. Members shall be issued fresh duty ammunition in the specified quantity for all division-issued firearms during the member's firearms qualification. Replacements for unserviceable or depleted ammunition issued by the Division shall be dispensed by the Rangemaster when needed, in accordance with established policy.

Members carrying personally owned authorized firearms of a caliber differing from division-issued firearms shall be responsible for obtaining fresh duty ammunition in accordance with the above, at their own expense. ([CFA 5.19 - 4.05M \(D\)](#) [CFA 5.20 - 4.05M \(D\)](#))

IV. EQUIPMENT

Firearms carried on- or off-duty shall be maintained in a clean, serviceable condition. Maintenance and repair of authorized personally owned firearms are the responsibility of the individual member.

1. Repairs or Modifications

Each member shall be responsible for promptly reporting any damage or malfunction of an assigned firearm to a supervisor or the Rangemaster.

Firearms that are the property of the Division or personally owned firearms that are approved for division use may be repaired or modified only by a person who is division-approved and certified as an armorer or gunsmith in the repair of the

specific firearm. Such modification or repair must be authorized in advance by the Rangemaster.

Any repairs or modifications to the member's personally owned firearm shall be done at his/her expense and must be approved by the Rangemaster.

2. Holsters

Only division-approved holsters shall be used and worn by members. Members shall periodically inspect their holsters to make sure they are serviceable and provide the proper security and retention of the handgun.

3. Tactical Lights

Tactical lights may only be installed on a firearm carried on- or off-duty after they have been examined and approved by the Rangemaster. Once the approved tactical lights have been properly installed on any firearm, the member shall qualify with the firearm to ensure proper functionality and sighting of the firearm prior to carrying it.

4. Optics or Laser Sights

Optics or laser sights may only be installed on a firearm carried on- or off-duty after they have been examined and approved by the Rangemaster. Any approved sight shall only be installed in strict accordance with manufacturer specifications. Once approved sights have been properly installed on any firearm, the member shall qualify with the firearm to ensure proper functionality and sighting of the firearm prior to carrying it.

Except in an approved training situation, a member may only sight in on a target when the member would otherwise be justified in pointing a firearm at the target.

V. SAFE HANDLING, INSPECTION AND STORAGE

Members shall maintain the highest level of safety when handling firearms and shall consider the following ([CFA 5.19 - 4.05M \(F\)](#) [CFA 5.20 - 4.05M \(F\)](#)):

- A. Members shall not unnecessarily display or handle any firearm.
- B. Members shall be governed by all rules and regulations pertaining to the use of the range and shall obey all orders issued by the Rangemaster. Members shall not dry fire or practice quick draws except as instructed by the Rangemaster or other firearms training staff.

- C. Members shall not clean, repair, load or unload a firearm anywhere in the Division, except where clearing barrels are present.
- D. Members shall not place or store any firearm or other weapon on division premises except where the place of storage is locked. No one shall carry firearms into the jail section or any part thereof when securing or processing an arrestee, but shall place all firearms in a secured location. Members providing access to the jail section to persons from outside agencies are responsible for ensuring firearms are not brought into the jail section.
- E. Members shall not use any firearm from the armory, except with approval of a supervisor.
- F. Any firearm authorized by the Division to be carried on- or off-duty that is determined by a member to be malfunctioning or in need of service or repair shall not be carried. It shall be promptly presented to the Division or a Rangemaster approved by the Division for inspection and repair. Any firearm deemed in need of repair or service by the Rangemaster will be immediately removed from service. If the firearm is the member's primary duty firearm, a replacement firearm will be issued to the member until the duty firearm is serviceable.

1. Inspection and Storage

Handguns shall be inspected regularly and upon access or possession by another person. The member shall ensure that the firearm is carried in the proper condition and loaded with approved ammunition. All firearms shall be pointed in a safe direction or into clearing barrels if available.

2. Storage at Home

Members shall ensure that all firearms and ammunition are locked and secured while in their homes, vehicles or any other area under their control, and in a manner that will keep them inaccessible to children and others who should not have access. When not in use and in the division issued vehicle, firearms and ammunitions will be securely locked in the trunk safe. Members shall not permit division-issued firearms to be handled by anyone not authorized by the Division to do so. Members should be aware that negligent storage of a firearm could result in civil and criminal liability [Section 790.174, F.S.](#)

3. Alcohol and Drugs

Firearms shall not be carried by any member, either on- or off-duty, who has consumed an amount of an alcoholic beverage, taken any drugs or medication, or

taken any combination thereof, to the extent that his or her normal faculties are impaired [Section 790.151, F.S.](#)

VI. FIREARMS TRAINING AND QUALIFICATIONS

All members who carry a firearm while on-duty are required to successfully complete training at least annually with their duty firearms. In addition to annual training, all members will qualify at least annually with their duty firearms. Members will also qualify with off-duty and secondary firearms at least once a year. Training and qualifications must be on an approved range course.

The Rangemaster is responsible for incorporating courses of fire that comply with CJSTC standards and submitting them to the CJSTC as directed in [Rule 11B-27.00212, F.A.C.](#) Training and qualifications must be documented on the Law Enforcement Officer Firearms Qualification Standard (CJSTC-86A) and maintained in the member's employment file.

When practical, all members carrying a firearm should receive practical training designed to simulate field situations including low-light shooting. ([CFA 5.19 - 10.10M \(A\), 10.10M \(E\) CFA 5.20 - 10.10M \(A\), 10.10M \(E\)](#))

1. Additional Requirements

Members shall receive training in legal updates related to firearms as applicable.

2. Non-Certification or Non-Qualification

If any member fails to meet minimum standards for firearms training or qualification for any reason, including injury, illness, duty status or scheduling conflict, that member shall submit a memorandum to his/her immediate supervisor prior to the end of the required training or qualification period.

Those who fail to meet minimum standards or qualify on their first shooting attempt shall be provided remedial training and will be subject to the following requirements:

- A. Additional range assignments may be scheduled to assist the member in demonstrating consistent firearm proficiency.
- B. Members shall be given credit for a range training or qualification when obtaining a qualifying score or meeting standards after remedial training.
- C. No range credit will be given for:
 1. Unauthorized range make-up.

2. Failure to meet minimum standards or qualify after remedial training.

Members who repeatedly fail to meet minimum standards will be removed from field assignment and may be subject to disciplinary action.

A special agent failing to meet the required qualification standard shall not be permitted to perform the duties of a sworn special agent until he/she successfully qualifies with a passing score. In the event a special agent fails to meet the qualification standard by June 30 of each reporting year, the special agent's certificate shall become inactive until the Division provides documentation to CJSTC staff establishing that the firearms qualification standard has been satisfied ([Rule 11B-27.00212\(14\), F.A.C.](#)).

If a special agent is injured in the line of duty or has a chronic illness that prevents meeting the qualification standard by June 30 of a reporting year, the Director of Gaming Enforcement or the authorized designee shall complete the Injury or Illness Exemption for the Firearms Law Enforcement Officer Qualification Standard form (CJSTC-86B). The Division shall submit the CJSTC-86B form and the supporting medical documentation to CJSTC staff prior to the June 30 deadline to ensure the special agent's certificate does not become inactive on the reporting deadline for that two-year reporting cycle. An additional CJSTC-86B form shall be submitted for each subsequent reporting cycle as necessary ([Rule 11B-27.00212\(14\)\(e\), F.A.C.](#)).

VII. FIREARM DISCHARGE

Except during training or recreational use, any member who discharges a firearm intentionally or unintentionally, on- or off-duty, shall make a verbal report to their supervisor as soon as circumstances permit. If the discharge results in injury or death to another person, additional statements and reports shall be made in accordance with the Officer-Involved Shootings and Deaths Policy. If a firearm was discharged as a use of force, the involved member shall adhere to the additional reporting requirements set forth in the Use of Force Policy. ([CFA 5.19 - 4.07M \(A\)](#) [CFA 5.20 - 4.07M \(A\)](#))

Except for discharges during training or recreational use, written reports shall be made as follows:

- A. If on-duty at the time of the incident, the member shall file a written report with their Chief of Law Enforcement or provide a recorded statement to investigators prior to the end of shift, unless otherwise directed.

- B. If off-duty at the time of the incident, the member shall file a written report or provide a recorded statement no later than the end of the next regularly scheduled shift, unless otherwise directed by a supervisor.
- C. The Chief of Law Enforcement shall conduct a documented review of the firearm discharge.

1. Destruction of Animals

Members are authorized to use firearms to stop an animal in circumstances where the animal reasonably appears to pose an imminent threat to human safety and alternative methods are not reasonably available or would likely be ineffective.

In circumstances where there is sufficient advance notice that a potentially dangerous animal may be encountered, division members should develop reasonable contingency plans for dealing with the animal (e.g., fire extinguisher, conducted energy device, oleoresin capsicum (OC) spray, animal control officer). Nothing in this policy shall prohibit any member from shooting a dangerous animal if circumstances reasonably dictate that a contingency plan has failed, becomes impractical, or if the animal reasonably appears to pose an imminent threat to human safety.

2. Injured Animals

With the approval of a supervisor, a special agent may euthanize a domestic animal that is suffering from an incurable or untreatable condition or is imminently near death from injury or disease.

A reasonable and concerted effort shall be made to locate the injured or diseased animal's owner, the owner's agent or a veterinarian. If the owner or the owner's agent is located, he/she shall be provided the animal's location and condition. If only a veterinarian is located, the animal shall be destroyed only upon the advice of the veterinarian. If no responsible person can be located the animal may be immediately destroyed by shooting it or by administering a lethal injection [Section 828.05, F. S.](#)

3. Warning and Other Shots

Generally, warning shots or shots fired for the purpose of summoning aid are discouraged and may not be discharged unless the member reasonably believes that they appear necessary, effective and reasonably safe. ([CFA 5.19 - 4.04M](#) [CFA 5.20 - 4.04M](#))

VIII. RANGEMASTER DUTIES

The range will be under the exclusive control of the Rangemaster. All members attending will follow the directions of the Rangemaster. The Rangemaster will maintain a roster of all members attending the range and will submit the roster to the Training Coordinator after each range date. Failure of any member to sign in and out with the Rangemaster may result in non-participation or non-qualification.

The Rangemaster has the responsibility of making periodic inspection, at least once a year, of all duty firearms carried by members of this division to verify proper operation. The Rangemaster has the authority to deem any division-issued or personally owned firearm unfit for service. The member will be responsible for all repairs to his/her personally owned firearm; it will not be returned to service until it has been inspected and approved by the Rangemaster.

The Rangemaster has the responsibility for ensuring each member meets the minimum requirements during training shoots and, on at least a yearly basis, can demonstrate proficiency in the care, cleaning and safety of all firearms the member is authorized to carry.

The Rangemaster shall complete and submit to the Training Coordinator documentation of the training courses provided. Documentation shall include the qualifications of each instructor who provides the training, a description of the training provided and, on a form that has been approved by the Division, a list of each member who completes the training. The Rangemaster should keep accurate records of all training shoots, qualifications, repairs, maintenance or other records as directed by the Training Coordinator.

1. Additional Duties

The Rangemaster shall maintain a record on each firearm approved by the Division for official use. ([CFA 5.19 - 4.05M \(G\)](#) [CFA 5.20 - 4.05M \(G\)](#))

IX. FLYING WHILE ARMED

The Transportation Security Administration (TSA) has imposed rules governing law enforcement officers flying armed on commercial aircraft. The following requirements apply to special agents who intend to be armed while flying on a commercial air carrier or flights where screening is conducted ([49 CFR 1544.219](#)):

- A. Special Agents wishing to fly while armed must be flying in an official capacity, not for vacation or pleasure, and must have a need to have the firearm accessible, as determined by the Division based on the law and published TSA rules.

- B. Special Agents must carry their Division of Gaming Enforcement identification card, bearing the special agent's name, a full-face photograph, identification number, the special agent's signature and the signature of the Director of Gaming Enforcement or the official seal of the Division and must present this identification to airline officials when requested. The special agent should also carry the standard photo identification needed for passenger screening by airline and TSA officials (e.g., driver license, passport).
- C. The Division of Gaming Enforcement must submit a National Law Enforcement Telecommunications System (NLETS) message prior to the special agent's travel. If approved, TSA will send the Division of Gaming Enforcement an NLETS message containing a unique alphanumeric identifier. The special agent must present the message on the day of travel to airport personnel as authorization to travel while armed.
- D. An official letter signed by the Director of Gaming Enforcement authorizing armed travel may also accompany the special agent. The letter should outline the special agent's need to fly armed, detail his/her itinerary, and include that the special agent has completed the mandatory TSA training for a law enforcement officer flying while armed.
- E. Special Agents must have completed the mandated TSA security training covering special agents flying while armed. The training shall be given by the division-appointed instructor.
- F. It is the special agent's responsibility to notify the air carrier in advance of the intended armed travel. This notification should be accomplished by early check-in at the carrier's check-in counter.
- G. Any special agent flying while armed should discreetly contact the flight crew prior to take-off and notify them of his/her assigned seat.
- H. Discretion must be used to avoid alarming passengers or crew by displaying a firearm. The special agent must keep the firearm concealed on his/her person at all times. Firearms are not permitted in carry-on luggage and may not be stored in an overhead compartment.
- I. Special Agents should try to resolve any problems associated with flying armed through the flight captain, ground security manager, TSA representative or other management representative of the air carrier.
- J. Special Agents shall not consume alcoholic beverages while aboard an aircraft, or within eight hours prior to boarding an aircraft.

X. CARRYING FIREARMS OUR OF STATE

Qualified, active, full-time special agents of this division are authorized to carry a concealed firearm in all other states subject to the following conditions ([18 USC Section 926B](#)):

- A. The special agent shall carry his/her Division of Gaming Enforcement identification card whenever carrying such firearm.
- B. The special agent may not be the subject of any current disciplinary action.
- C. The special agent may not be under the influence of alcohol or any other intoxicating or hallucinatory drug.
- D. The special agent will remain subject to this and all other division policies (including qualifying and training).

Special Agents are cautioned that individual states may enact local regulations that permit private persons or entities to prohibit or restrict the possession of concealed firearms on their property, or that prohibit or restrict the possession of firearms on any state or local government property, installation, building, base or park. Federal authority may not shield a special agent from arrest and prosecution in such locally restricted areas.

Active law enforcement officers from other states are subject to all requirements set forth in [18 USC Section 926B](#).

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Search and Seizure

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.11.01

FGCC SECTION

Law Enforcement

AUTHORITY

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to respect the fundamental privacy rights of individuals. Members of this division will conduct searches in strict observance of the constitutional rights of persons being searched. All seizures by this division will comply with relevant federal and state law governing the seizure of persons and property.

The Division will provide relevant and current training to special agents as guidance for the application of current law, local community standards and prosecutorial considerations regarding specific search and seizure situations, as appropriate.

II. PURPOSE

Both the federal and state constitutions provide every individual with the right to be free from unreasonable searches and seizures. This policy provides general guidelines for Division of Gaming Enforcement personnel to consider when dealing with search and seizure issues.

III. SEARCHES

The U.S. Constitution generally provides that a valid warrant is required in order for a search to be valid. There are, however, several exceptions to the rule that permit a warrantless search.

Examples of law enforcement activities that are exceptions to the general warrant requirement include, but are not limited to, searches pursuant to:

- A. Valid consent.
- B. Incident to a lawful arrest.
- C. Legitimate community caretaking interests.
- D. Vehicle searches under certain circumstances.
- E. Exigent circumstances

Certain other activities are recognized by federal and state courts and by certain statutes as legitimate law enforcement activities that also do not require a warrant. Such activities may include seizure and examination of abandoned property and observations of activities and property located on open public areas.

Because case law regarding search and seizure is constantly changing and subject to interpretation by the courts, each member of this division is expected to act in each situation according to current training and his/her familiarity with clearly established rights as determined by case law.

Whenever practicable, special agents are encouraged to contact a supervisor to resolve questions regarding search and seizure issues prior to electing a course of action.

IV. SEARCH PROTOCOL

Although conditions will vary, and officer safety and other exigencies must be considered in every search situation, the following guidelines should be followed whenever circumstances permit:

- A. Members of this division will strive to conduct searches with dignity and courtesy.
- B. Special Agents should explain to the person being searched the reason for the search and how the search will be conducted.
- C. Searches should be carried out with due regard and respect for private property interests and in a manner that minimizes damage. Property should be left in a condition as close as reasonably possible to its pre-search condition.
- D. In order to minimize the need for forcible entry, an attempt should be made to obtain keys, combinations or access codes when a search of locked property is anticipated.
- E. Whenever practicable, a search should not be conducted by a lone special agent. A cover special agent should be positioned to ensure safety and should not be involved in the search.
- F. When the person to be searched is of the opposite sex as the searching special agent, a reasonable effort should be made to summon a special agent of the same sex as the subject to conduct the search. When it is not practicable to summon a special agent of the same sex as the subject, the following guidelines should be followed:
 - 1. Another special agent or a supervisor should witness the search.
 - 2. The special agent should not search areas of the body covered by tight-fitting clothing, sheer clothing or clothing that could not reasonably conceal a weapon.

V. DOCUMENTATION

Special Agents are responsible for documenting any search and ensuring that any required reports are sufficient including, at minimum, documentation of:

- A. Reason for the search.
- B. Any efforts used to minimize the intrusiveness of any search (e.g., asking for consent or keys).
- C. What, if any, injuries or damage occurred.
- D. All steps taken to secure property.
- E. The results of the search including a description of any property or contraband seized.

- F. If the person searched is the opposite sex, any efforts to summon a special agent of the same sex as the person being searched and the identification of any witness special agent.

Supervisors shall review reports to ensure the reports are accurate, that actions are properly documented and that current legal requirements and division policy have been met.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Informants

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.16.01

FGCC SECTION

Law Enforcement

AUTHORITY

CFA 5.19 - 15.03M (B), 15.03 (F), 15.03M (G),
15.03M (H), 15.03M (I), 15.03M (J)

CFA 5.20 - 15.03M (B), 15.03 (F), 15.03M (G),
15.03M (H), 15.03M (I), 15.03M (J)

CFA 5.19 - 5.05M (A), 5.05M (B), 5.05M (E), 5.05M
(F)

CFA 5.20 - 5.05M (A), 5.05M (B), 5.05M (E), 5.05M
(F)

CFA 5.19 - 15.03M (A), 15.03M (B), 15.03M (C),
15.03M (D), 15.03M (F), 15.03M (K)

CFA 5.20 - 15.03M (A), 15.03M (B), 15.03M (C),
15.03M (D), 15.03M (F), 15.03M (K)

Section 914.28, F.S.

I. STATEMENT OF POLICY

The Division of Gaming Enforcement recognizes the value of informants to law enforcement efforts and will strive to protect the integrity of the informant process. It is the policy of this division that all funds related to informant payments will be routinely audited and that payments to informants will be made according to the criteria outlined in this policy.

II. PURPOSE

The purpose of this policy is to provide guidelines for the use of informants and to prioritize the safety of the informant, law enforcement personnel, suspects, and the public [Section 914.28, F.S.](#)

III. DEFINITIONS

Definitions related to this policy include:

- A. Informant** - A person who covertly interacts with other individuals or suspects at the direction or request of, or by agreement with, the Division of Gaming Enforcement for law enforcement purposes. This also includes a person agreeing to supply information to the Division of Gaming Enforcement for a benefit (e.g., a quid pro quo in the form of a reduced criminal penalty, money).

IV. USE OF INFORMANTS

1. Initial Approval

Before using an individual as an informant, a special agent must receive approval from his/ her supervisor and the Chief of Law Enforcement. The special agent shall compile sufficient information through a background investigation and experience with the informant in order to determine the suitability of the individual, including age, maturity and risk of physical harm, as well as any indicators of his/her reliability and credibility.

Members of this division should not guarantee absolute safety or confidentiality to an informant. [CFA 5.19 - 15.03M \(B\)](#) [CFA 5.20 - 15.03M \(B\)](#)

2. Juvenile Informants

The use of informants under the age of 18 is prohibited. [CFA 5.19 - 15.03M \(G\)](#), [15.03M \(H\)](#) [CFA 5.20 - 15.03M \(G\)](#), [15.03M \(H\)](#)

3. Informant Agreements

All informants are required to sign and abide by the provisions of the designated division informant agreement. The special agent using the informant shall discuss each of the provisions of the agreement with the informant.

Details of the agreement are to be approved in writing by a supervisor and the Chief of Law Enforcement before being finalized with the informant.

If requested, the person being considered for use as an informant shall be afforded an opportunity to consult with legal counsel at their own expense, before they sign the agreement to be an informant [Section 914.28, F.S.](#)

V. INFORMANT INTEGRITY

To maintain the integrity of the informant process, the following must be adhered to ([CFA 5.19 - 15.03M \(F\)](#) [CFA 5.20 - 15.03M \(F\)](#)):

- A. The identity of an informant acting in a confidential capacity shall not be withheld from the Director of Gaming Enforcement, Chief of Law Enforcement or their authorized designees.
 - 1. Identities of informants acting in a confidential capacity shall otherwise be kept confidential.
- B. Criminal activity by informants shall not be condoned.
- C. Informants shall be told they are not acting as police special agents, employees or agents of the Division of Gaming Enforcement, and that they shall not represent themselves as such.
- D. The relationship between division members and informants shall always be ethical and professional.
 - 1. Members shall not become intimately involved with an informant.
 - 2. Social contact shall be avoided unless it is necessary to conduct an official investigation, and only with prior approval of the Chief of Law Enforcement.
 - 3. Members shall neither solicit nor accept gratuities or engage in any private business transaction with an informant.
- E. Special Agents shall not meet with informants in a private place unless accompanied by at least one additional special agent or with prior approval of the Chief of Law Enforcement.
 - 1. Special Agents may meet informants alone in an occupied public place, such as a restaurant.
- F. When contacting informants for the purpose of making payments, special agents shall arrange for the presence of another special agent.

- G. In all instances when division funds are paid to informants, a voucher shall be completed in advance, itemizing the expenses.
- H. Since the decision rests with the appropriate prosecutor, special agents shall not promise the informant they will receive any form of leniency or immunity from criminal prosecution.

1. Unsuitable Informants

The suitability of any informant should be considered before engaging him/her in any way in a covert or other investigative process. Factors to be considered include, but are not limited to [Section 914.28, F.S.](#), [CFA 5.19 - 15.03M \(F\)](#) [CFA 5.20 - 15.03M \(F\)](#):

- A. The person's age and maturity.
- B. The risk the person poses to adversely affect a present or potential investigation or prosecution.
- C. The effect upon agency efforts that the disclosure of the person's cooperation in the community may have.
- D. Whether the person is a substance abuser or has a history of substance abuse or is in a court-supervised drug treatment program.
- E. The risk of physical harm to the person, his/her immediate family or close associates as a result of providing information or assistance or upon the disclosure of the person's assistance to the community.
- F. Whether the person has shown any indication of emotional instability, unreliability or furnishing false information.
- G. The person's criminal history or prior criminal record.
- H. Whether the use of the person is important to or vital to the success of an investigation.

Any member of the Division of Law Enforcement who become aware that an informant, who has been previously approved in a covert or other investigative process, may be unsuitable will notify the supervisor and the Chief of Law Enforcement, who will initiate a review to determine suitability. Until a determination is made, the informant should not be used by any member. The Chief of Law Enforcement shall determine whether the informant should be used by the Division and, if so, what conditions will be placed on his/her participation

or any information the informant provides. The supervisor shall document the decision and conditions in file notes and mark the file "unsuitable" when appropriate.

Considerations for determining whether an informant is unsuitable include, but are not limited to, the following:

- A. The informant has provided untruthful or unreliable information in the past.
- B. The informant behaves in a way that may endanger the safety of a special agent.
- C. The informant reveals to suspects the identity of a special agent or the existence of an investigation.
- D. The informant appears to be using his/her affiliation with this division to further criminal objectives.
- E. The informant creates officer-safety issues by providing information to multiple law enforcement agencies simultaneously, without prior notification and approval of each agency.
- F. The informant engages in any other behavior that could jeopardize the safety of special agents or the integrity of a criminal investigation.
- G. The informant commits criminal acts subsequent to entering into an informant agreement.

VI. INFORMANT FILES

Informant files shall be utilized as a source of background information about the informant, to enable review and evaluation of information provided by the informant, and to minimize incidents that could be used to question the integrity of division members or the reliability of the informant.

Informant files shall be maintained in a secure area within the field office. The supervisor or the authorized designee shall be responsible for maintaining informant files. Access to the informant files shall be restricted to the Director of Gaming Enforcement, Chief of Law Enforcement or their authorized designees or those whose access is required by court process or order. A notation shall be made in the file each time the file is accessed showing the date and who accessed the file [Section 914.28, F.S.](#)

The Chief of Law Enforcement should arrange for an audit using a representative sample or randomly selected informant files on a periodic basis, but no less than one time per year. If the supervisor is replaced, the files will be audited before the new supervisor takes over management of the files. The purpose of the audit is to ensure compliance with file content and updating provisions of this policy. The audit should be conducted by a supervisor who does not have normal access to the informant files.

Informant files shall be retained according to the established retention schedule. [CFA 5.19 - 15.03M \(A\), 15.03M \(C\), 15.03M \(D\), 15.03M \(K\)](#) [CFA 5.20 - 15.03M \(A\), 15.03M \(C\), 15.03M \(D\), 15.03M \(K\)](#)

1. File System Procedure

All informant files shall be part of a master informant file used by the Division. A separate file shall be maintained on each informant and shall be coded with an assigned informant control number. An informant history that includes the following information shall be prepared for each file ([CFA 5.19 - 15.03M \(B\), 15.03M \(A\)](#) [CFA 5.20 - 15.03M \(A\), 15.03M \(B\)](#)):

- A. Name and aliases
- B. Date of birth
- C. Physical description: sex, race, height, weight, hair color, eye color, scars, tattoos, or other distinguishing features
- D. Photograph
- E. Current home address and telephone numbers
- F. Current employers, positions, addresses, and telephone numbers
- G. Vehicles owned and registration information
- H. Places frequented
- I. Briefs of information provided by the informant and the informant's subsequent reliability
 1. If an informant is determined to be unsuitable, the informant's file is to be marked "unsuitable" and notations included detailing the issues that caused this classification.
- J. Name of the special agent initiating use of the informant

- K. Signed informant agreement
- L. Update on active or inactive status of informant

VII. **INFORMANT PAYMENTS**

No informant will be told in advance or given an exact amount or percentage for his/her service. The amount of funds to be paid to any informant will be evaluated against the following criteria ([CFA 5.19 - 15.03M \(E\), 5.05M \(B\), 5.05M \(F\)](#) [CFA 5.20 - 15.03M \(E\), 5.05M \(B\), 5.05M \(F\)](#)):

- A. The extent of the informant's personal involvement in the case
- B. The significance, value or effect on crime
- C. The value of assets seized
- D. The quantity of the drugs or other contraband seized
- E. The informant's previous criminal activity
- F. The level of risk taken by the informant

The field office supervisor will discuss the above factors with the Chief of Law Enforcement and recommend the type and level of payment, subject to approval by the Director of Gaming Enforcement.

1. **Payment Process**

1. Payments of \$500 and under may be paid in cash from the buy/expense fund. [CFA 5.19 - 5.05M \(C\), 5.05M \(D\), 5.05M \(F\)](#) [CFA 5.20 - 5.05M \(C\), 5.05M \(D\), 5.05M \(F\)](#)
 - A. The field office supervisor shall sign the voucher for cash payouts from the buy/expense fund.
2. Payments exceeding \$500 shall be made by issuance of a check, payable to the special agent who will be delivering the payment.
 - A. The check shall list the case numbers related to and supporting the payment.

- B. A written statement of the informant's involvement in the case shall be placed in the informant's file.
 - C. The statement shall be signed by the informant verifying the statement as a true summary of his/her actions in the case.
 - D. Authorization signatures from the Director of Gaming Enforcement and the Executive Director are required for disbursement of the funds.
3. To complete the payment process for any amount, the special agent delivering the payment shall complete a cash transfer form.
- A. The cash transfer form shall include:
 - 1. Date.
 - 2. Payment amount.
 - 3. Division of Gaming Enforcement case number.
 - 4. A statement that the informant is receiving funds in payment for information voluntarily rendered.
 - 5. Member's name.
 - 6. Informant's name or identifier.
 - 7. Purpose of payment.
 - B. The cash transfer form shall be signed by the informant.
 - C. The cash transfer form will be kept in the informant's file.

2. Reporting of Payment

Each informant receiving a cash payment shall be advised of his/her responsibility to report the cash to the Internal Revenue Service (IRS) as income.

The informant shall be provided a letter identifying the amount he/she must report on a tax return as "other income" and shall be required to provide a signed acknowledgement of receipt of the letter. The completed acknowledgement form and a copy of the letter shall be retained in the informant's file.

3. **Audit of Payments**

The Chief of Law Enforcement or the authorized designee shall be responsible for compliance with any audit requirements associated with grant provisions, applicable state and federal law, and the accounting system for confidential funds.

At least quarterly, the Director of Gaming Enforcement or the authorized designee shall conduct an internal audit of all informant funds for the purpose of accountability and security of the funds. The audit shall include a report of expenditures and shall be forwarded to the Director of Gaming Enforcement upon completion. The funds and related documents (e.g., buy/expense fund records, cash transfer forms, invoices, receipts, logs) will assist with the audit process. [CFA 5.19 - 5.05M \(E\)](#), [5.05M \(A\)](#) [CFA 5.20 - 5.05M \(A\)](#), [5.05M \(E\)](#)

VIII. **TRAINING**

The Division shall ensure that members who are involved in recruiting or handling of informants receive periodic training on this policy and any procedures associated with the policy. Documentation of the training will be stored in the member's training file [Section 914.28, F.S.](#), [CFA 5.19 - 15.03M \(I\)](#) [CFA 5.20 - 15.03M \(I\)](#)

IX. **ANNUAL REVIEW**

The Director of Gaming Enforcement or the authorized designee shall conduct a documented annual internal review of the division practices to ensure conformity with policies, procedures, and state laws. [CFA 5.19 - 15.03M \(J\)](#) [CFA 5.20 - 15.03M \(J\)](#)

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Personal Protective Equipment

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.20.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 14.08M \(E\)](#)

[CFA 5.20 - 14.08M \(E\)](#)

[29 CFR 1910.95](#)

[29 CFR 1910.133](#)

[29 CFR 1910.1020](#)

[29 CFR 1910.132](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement endeavors to protect members by supplying certain PPE to members as provided in this policy.

II. PURPOSE

This policy identifies the different types of personal protective equipment (PPE) provided by the Division as well as the requirements and guidelines for the use of PPE.

This policy does not address ballistic vests or protection from communicable disease, as those issues are addressed in the Body Armor and Communicable Diseases policies.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Personal protective equipment (PPE)** - Specialized equipment worn by a member for protection against a hazard. PPE is designed to protect a member from serious workplace injuries or illnesses resulting from contact with chemical, radiological, physical, electrical, mechanical, or other workplace hazards. General work clothes (e.g., uniforms, pants, shirts, blouses) that are not intended to function as protection against a hazard are not considered to be protective equipment.

- B. **Respiratory PPE** - Any device that is worn by the user to protect from exposure to atmospheres where there is smoke, low levels of oxygen, high levels of carbon monoxide, or the presence of toxic gases or other respiratory hazards. For purposes of this policy, respiratory PPE does not include particulate-filtering masks such as N95 or N100 masks.

IV. SPECIAL AGENT RESPONSIBILITIES

Members are required to use PPE as provided in this policy and pursuant to their training.

Members are responsible for proper maintenance and storage of issued PPE. PPE should be stored in an appropriate location so that it is available when needed.

Any member who identifies hazards in the workplace is encouraged to utilize the procedures in the Illness and Injury Prevention Policy to recommend new or improved PPE or additional needs for PPE

V. HEARING PROTECTION

Approved hearing protection shall be used by members during firearms training. Hearing protection should meet or exceed industry standards for use at firing ranges ([29 CFR 1910.95](#)).

VI. EYE PROTECTION

Approved eye protection, including side protection, shall be used by members during firearms training. Eye protection for members who wear prescription lenses shall incorporate the prescription (e.g., eye protection that can be worn over prescription lenses). Members shall ensure their eye protection does not interfere with the fit of their hearing protection.

The Rangemaster should ensure eye protection meets or exceeds consensus standards set by the American National Standards Institute ([29 CFR 1910.133](#)).

VII. RECORDS

The Training Coordinator is responsible for maintaining records of all: (a) PPE training.

The records should be maintained in accordance with the established records retention schedule and [29 CFR 1910.1020](#).

VIII. TRAINING

Members should be trained in the respiratory and other hazards to which they may be potentially exposed during routine and emergency situations.

All members should be trained in the proper use and maintenance of PPE issued to them, including when the use is appropriate; how to put on, remove, and adjust PPE; how to care for the PPE; and the limitations ([29 CFR 1910.132](#)).

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Crime Analysis

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.21.01

FGCC SECTION

Law Enforcement

AUTHORITY

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to utilize crime analysis as a tool in crime control and prevention efforts. This entails gathering, analyzing and correlating data to effectively deploy the resources of this division.

II. PURPOSE

This policy provides guidelines for utilizing crime analysis to support the overall law enforcement efforts of the Division of Gaming Enforcement. It addresses the collection

and dissemination of crime analysis data that is useful to long-range planning and that can assist in identifying enforcement priorities, strategies and tactics.

III. DATA SOURCES

Crime analysis data is extracted from many sources including, but not limited to:

1. Crime reports.
2. Field Interview (FI) cards.
3. Parole and probation records.
4. Activity records from Regional Communications Center.
5. Florida Department of Law Enforcement offender databases and alerts.
6. Florida Fusion Center.
7. Florida Highway Safety and Motor Vehicles databases and reports.

IV. CRIME ANALYSIS FACTORS

The following minimum criteria should be used in collecting data for crime analysis:

1. Frequency by type of crime
2. Geographic factors
3. Temporal factors
4. Victim and target descriptors
5. Suspect descriptors
6. Suspect vehicle descriptors
7. Modus operandi factors
8. Physical evidence information

V. CRIME ANALYSIS DISSEMINATION

Information developed through crime analysis should be disseminated to the appropriate sections or members on a timely basis. Information that is relevant to the operational or tactical plans of specific line members should be sent directly to them. Information relevant to the development of division strategic plans should be provided to the appropriate command staff members.

When information pertains to tactical and strategic plans, it should be provided to all affected members.

Louis Trombetta

Date

Executive Director



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Custodial Searches

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.25.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 2.01M, 21.02M, 22.04M \(A\), \(B\)](#)

[CFA 5.20 - 2.01M, 21.02M, 22.04M \(A\), \(B\)](#)

[Section 901.211, F.S.](#)

[28 CFR 115.115](#)

I. STATEMENT OF POLICY

All searches shall be conducted with concern for safety, dignity, courtesy, respect for privacy and hygiene, and in compliance with policy and law to protect the rights of those who are subject to any search.

Searches shall not be used for intimidation, harassment, punishment or retaliation.

II. PURPOSE

This policy provides guidance regarding searches of individuals in custody. Such searches are necessary to eliminate the introduction of contraband, intoxicants or

weapons into a law enforcement or jail facility. Such items can pose a serious risk to the safety and security of division members, individuals in custody, contractors and the public.

Nothing in this policy is intended to prohibit the otherwise lawful collection of evidence from an individual in custody.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Custody search** - An in-custody search of an individual and of his/her property, shoes and clothing, including pockets, cuffs and folds on the clothing, to remove all weapons, dangerous items and contraband.
- B. **Physical body cavity search** - A search that includes a visual inspection and may include a physical intrusion into a body cavity. Body cavity means the stomach or rectal cavity of an individual, and the vagina of a female person.
- C. **Strip search** - A search that requires an individual to remove or rearrange some or all of his/ her clothing to permit a visual inspection of the underclothing, breasts, buttocks, anus or outer genitalia ([Section 901.211, F.S.](#)). This includes monitoring an individual who is changing clothes, where his/her underclothing, buttocks, genitalia or female breasts are visible.

IV. FIELD AND TRANSPORTATION SEARCHES

A special agent shall conduct a custody search of an individual for weapons and contraband immediately after the individual's arrest, when receiving an individual from the custody of another, and before transporting a person who is in custody in any division vehicle.

Whenever practicable, a custody search should be conducted by a special agent or other on-scene law enforcement officer of the same sex as the person being searched. If a special agent of the same sex is not reasonably available, a witnessing special agent should be present during the search. [CFA 5.19 - 21.02M](#) [CFA 5.20 - 21.02M](#)

V. SEARCHES AT POLICE FACILITIES

Custody searches shall be conducted on all individuals in custody, upon entry to the Division of Gaming Enforcement facilities. Except in exigent circumstances, the search should be conducted by a member of the same sex as the individual being searched. If a member of the same sex is not available, a witnessing member must be present during the search.

Custody searches should also be conducted any time an individual in custody enters or re-enters a secure area, or any time it is reasonably believed that a search is necessary to maintain the safety and security of the facility. [CFA 5.19 - 22.04M \(A\)](#) [CFA 5.20 - 22.04M \(A\)](#)

1. Property

Members shall take reasonable care in handling the property of an individual in custody to avoid discrepancies or losses. Property retained for safekeeping shall be kept in a secure location until the individual is released or transferred.

Some property may not be accepted by a facility or agency that is taking custody of an individual from this division, such as weapons or large items. These items should be retained for safekeeping in accordance with the Property and Evidence Section Policy.

All property shall be inventoried by objective description (this does not include an estimated value). The individual from whom it was taken shall be required to sign the completed inventory. If the individual's signature cannot be obtained, the inventory shall be witnessed by another division member. The inventory should include the case number, date, time, member's Division of Gaming Enforcement identification number and information regarding how and when the property may be released. [CFA 5.19 - 22.04M \(B\)](#) [CFA 5.20 - 22.04M \(B\)](#)

2. Verification of Money

All money shall be counted in front of the individual from whom it was received. When possible, the individual shall initial the dollar amount on the inventory. Additionally, all money should be placed in a separate envelope and sealed. Negotiable checks or other instruments and foreign currency should also be sealed in an envelope with the amount indicated but not added to the cash total. All envelopes should clearly indicate the contents on the front. The division member sealing it should place his/her initials across the sealed flap. Should any money be withdrawn or added, the member making such change shall enter the amount below the original entry and initial it. The amount of money in the envelope should always be totaled and written on the outside of the envelope.

VI. STRIP SEARCHES

A person arrested for a traffic, regulatory or nonviolent misdemeanor offense shall not be subjected to a strip search unless the offense involves a weapon or a controlled substance, or if there is probable cause to believe the person is concealing a weapon, stolen property or a controlled substance ([Section 901.211, F.S.](#)). Factors to be

considered in determining reasonable suspicion and probable cause include, but are not limited to:

- A. The detection of an object during a custody search that may be a weapon or contraband and cannot be safely retrieved without a strip search.
- B. Circumstances of a current arrest that specifically indicate the individual may be concealing a weapon or contraband.
 - 1. A felony arrest charge or being under the influence of a controlled substance should not suffice as reasonable suspicion absent other facts.
- C. Custody history (e.g., past possession of contraband while in custody, assaults on division members, escape attempts).
- D. The individual's actions or demeanor.
- E. Criminal history (i.e., level of experience in a custody setting).

No transgender or intersex individual shall be searched or examined for the sole purpose of determining the individual's genital status. If the individual's genital status is unknown, it may be determined during conversations with the person, by reviewing medical records, or as a result of a broader medical examination conducted in private by a medical practitioner ([28 CFR 115.115](#)).

1. Strip Search Procedures

Strip searches at Division of Gaming Enforcement facilities shall be conducted as follows ([28 CFR 115.115](#); [Section 901.211, F.S.](#), [CFA 5.19 - 2.01M](#), [CFA 5.20 - 2.01M](#)):

- A. Written authorization from the Special Agent Supervisor shall be obtained prior to the strip search.
- B. All members involved with the strip search shall be of the same sex as the individual being searched, unless the search is conducted by a medical practitioner.
- C. All strip searches shall be conducted in a professional manner under sanitary conditions and in a secure area of privacy so that the search cannot be observed by those not participating in the search. The search shall not be reproduced through a visual or sound recording.

- D. Whenever possible, a second member of the same sex should also be present during the search, for security and as a witness to the finding of evidence.
- E. Members conducting a strip search shall not touch the breasts, buttocks or genitalia of the individual being searched.
- F. The primary member conducting the search shall prepare a written report to include:
 - 1. The facts that led to the decision to perform a strip search.
 - 2. The reasons less intrusive methods of searching were not used or were insufficient.
 - 3. The written authorization for the search, obtained from the Special Agent Supervisor.
 - 4. The name of the individual who was searched.
 - 5. The name and sex of the members who conducted the search.
 - 6. The name, sex and role of any person present during the search.
 - 7. The time and date of the search.
 - 8. The place at which the search was conducted.
 - 9. A list of the items, if any, that were recovered.
 - 10. The facts upon which the member based his/her belief that the individual was concealing a weapon or contraband.
- G. No member should view an individual's private underclothing, buttocks, genitalia or female breasts while that individual is performing bodily functions or changing clothes, unless he/she otherwise qualifies for a strip search.

VII. PHYSICAL BODY CAVITY SEARCH

Physical body cavity searches shall be strictly prohibited. [CFA 5.19 - 2.01M](#) [CFA 5.20 - 2.01M](#)

VIII. TRAINING

The Training Coordinator shall ensure members have training that includes ([28 CFR 115.115](#)):

- A. Conducting searches of cross-gender individuals.
- B. Conducting searches of transgender and intersex individuals.
- C. Conducting searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Body Armor

POLICY NUMBER

EFFECTIVE DATE:

02.27.01

REVISED:

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 14.10M \(A\), \(B\), \(C\)](#)

[CFA 5.20 - 14.10M \(A\), \(B\), \(C\)](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to maximize special agent safety through the use of body armor when appropriate in combination with prescribed safety procedures. While body armor provides a significant level of protection, it is not a substitute for the observance of special agent safety procedures.

II. PURPOSE

The purpose of this policy is to provide special agents with guidelines for the proper use of body armor.

III. ISSUANCE

The Chief of Law Enforcement shall ensure that body armor is issued to all special agents and that, when issued, the body armor meets or exceeds the standards of the National Institute of Justice.

Body armor shall be issued when a special agent begins service at the Division of Gaming Enforcement and shall be replaced when the body armor becomes expired, worn or damaged to the point that its effectiveness or functionality has been compromised. [CFA 5.19 - 14.10M \(B\)](#) [CFA 5.20 - 14.10M \(B\)](#)

1. Use

Generally, the required use of body armor is subject to the following ([CFA 5.19 - 14.10M \(A\)](#), [14.10M \(C\)](#) [CFA 5.20 - 14.10M \(A\)](#), [14.10M \(C\)](#)):

- A. Members shall only wear division-approved body armor.
- B. Members shall wear body armor any time they are in a situation where they could reasonably be expected to take enforcement action.
- C. Members shall wear body armor when taking part in division range training.
- D. Members are not required to wear body armor when they are functioning primarily in an administrative or support capacity and would not reasonably be expected to take enforcement action.
- E. Special Agents may be excused from wearing body armor when they are involved in undercover or plainclothes work that their supervisor determines could be compromised by wearing body armor, or when a supervisor determines that other circumstances make it inappropriate to mandate wearing body armor.
 1. In those instances when body armor is not worn, special agents should have reasonable access to their body armor.

2. Inspection

Supervisors should ensure through routine observation and periodic documented inspections that body armor is worn and maintained in accordance with this policy.

Annual inspections of body armor should be conducted by a person trained to perform the inspection for fit, cleanliness, signs of damage, abuse, and wear, and to confirm the body armor is not expired.

3. Care and Maintenance

The required care and maintenance of body armor is subject to the following:

- A. Members are responsible for inspecting their body armor for signs of damage, wear and cleanliness at the start of each shift.
 - 1. Unserviceable body armor shall be reported to the supervisor.
- B. Members are responsible for the proper storage of their body armor.
 - 1. Body armor should not be stored for an extended period of time in an area where environmental conditions (e.g., temperature, light, humidity) could potentially degrade its effectiveness.
- C. Members are responsible for the care and cleaning of their body armor pursuant to the manufacturer's care instructions.
 - 1. Body armor should not be exposed to any cleaning agents or methods not specifically recommended by the manufacturer.
 - 2. Failure to follow manufacturer's care instructions may damage the ballistic performance capabilities of the body armor. If care instructions for the body armor cannot be located, the manufacturer should be contacted to request the instructions.
- D. Body armor should be replaced in accordance with the manufacturer's recommended replacement schedule, or when its effectiveness or functionality has been compromised.

IV. CHIEF OF LAW ENFORCEMENT RESPONSIBILITIES

The responsibilities of the Chief of Law Enforcement include, but are not limited to:

- A. Monitoring technological advances in the body armor industry for any appropriate changes to division-approved body armor.
- B. Assessing the level of weapons and ammunition currently utilized by the public and the suitability of approved body armor to protect against those threats.

C. Educating special agents about the safety benefits of wearing body armor.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Fitness for Duty

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.28.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 2.07M](#)

[CFA 5.20 - 2.07M](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement strives to provide a safe and productive work environment and ensure that all members of this division can safely and effectively perform the essential functions of their jobs. Under limited circumstances, the Division may require a professional evaluation of a member's physical and/or mental capabilities to determine his/her ability to perform essential functions.

II. PURPOSE

Monitoring members' fitness for duty is essential for the safety and welfare of the members of the Division and the community. The purpose of this policy is to ensure that all members of this division remain fit for duty and able to perform their job functions.

III. MEMBER RESPONSIBILITIES

It is the responsibility of each member of this division to maintain physical stamina and psychological stability sufficient to safely and effectively perform the essential duties of his/her position.

During working hours, all members are required to be alert, attentive and capable of performing their assigned responsibilities.

Any member who feels unable to perform his/her duties shall promptly notify a supervisor. In the event that a member believes that another division member is unable to perform his/her duties, such observations and/or belief shall be promptly reported to a supervisor.

IV. SUPERVISOR RESPONSIBILITIES

All supervisors should be alert to any indication that a member may be unable to safely perform his/her duties due to an underlying physical or psychological impairment or condition.

Such indications may include:

- A. An abrupt and negative change in the member's normal behavior.
- B. A pattern of irrational conduct, hostility or oppositional behavior.
- C. Personal expressions of instability.
- D. Inappropriate use of alcohol or other substances, including prescribed medication.
- E. A pattern of questionable judgment, impulsive behavior or the inability to manage emotions.
- F. Any other factor or combination of factors causing a supervisor to believe the member may be suffering from an impairment or condition requiring intervention.

Supervisors shall maintain the confidentiality of any information consistent with this policy.

1. Reporting

A supervisor observing a member, or receiving a report of a member, who is perceived to be unable to safely or effectively perform his/her duties shall promptly document all objective information and/or observations.

The supervisor should attempt to meet with the member to inquire about the conduct or behavior giving rise to the concerns.

Whether a meeting does or does not resolve the supervisor's concerns or does not take place, the supervisor shall promptly document his/her observations and actions in a written report and inform the Chief of Law Enforcement or in his/her absence notify the Director of Gaming Enforcement (Director).

1. Duty Status

In conjunction with the Chief of Law Enforcement, the Director should make a preliminary determination regarding the member's duty status.

If a determination is made that the member can safely and effectively perform the essential functions of his/her job, the member should be returned to duty and arrangements made for appropriate follow-up.

If a preliminary determination is made that the member's conduct or behavior represents an inability to safely and effectively perform the essential functions of his/her job, the Chief of Law Enforcement or Director should immediately relieve the member of duty pending further evaluation.

Employees relieved of duty shall comply with the administrative leave provisions of the Personnel Complaints Policy. [CFA 5.19 - 2.07M](#) [CFA 5.20 - 2.07M](#)

V. FITNESS-FOR-DUTY EVALUATIONS

A fitness-for-duty evaluation may be ordered whenever circumstances reasonably indicate that a member is unfit for duty or following an officer-involved shooting or death-in-custody incident.

1. Process

The Director of Gaming Enforcement, in cooperation with the Office of Human Resources, may order the member to undergo a fitness-for-duty evaluation.

The examining practitioner will provide the Division with a report indicating whether the member is fit for duty. If the member is not fit for duty, the practitioner will include the existing restrictions or conditions in the report.

In order to facilitate the evaluation of any member, the Division will provide all appropriate documents and available information.

All reports and evaluations submitted by the examining practitioner shall be part of the member's confidential medical file.

Any member ordered to undergo a fitness-for-duty evaluation shall comply with the terms of the order and cooperate fully with the examining practitioner. Any failure to comply with such an order and any failure to cooperate with the practitioner may be deemed insubordination and shall subject the member to discipline, up to and including termination.

Determinations regarding duty status of members who are found to be unfit for duty or fit for duty with limitations will be made in cooperation with the Office of Human Resources.

VI. LIMITATION ON HOURS WORKED

Absent emergency operations, members should not work more than:

- 16 hours in a one-day (24 hours) period
- 30 hours in any two-day (48 hours) period
- 84 hours in any seven-day (168 hours) period

Except in unusual circumstances, members should have a minimum of eight hours off between shifts. Supervisors should give consideration to reasonable rest periods and are authorized to deny overtime or relieve any member who has exceeded the above guidelines to off-duty status.

Limitations on the number of hours worked apply to shift changes, shift trades, rotation, holdovers, training, general overtime and any other work assignments.

VII. APPEALS

Employees disputing the application or interpretation of this policy may submit a grievance as provided in the Grievances Policy.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Uniforms and Civilian Attire

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.29.01

FGCC SECTION

Law Enforcement

AUTHORITY

I. STATEMENT OF POLICY

The Division of Gaming Enforcement will provide uniforms for all employees who are required to wear them in the manner, quantity and frequency agreed upon in the respective employee group's collective bargaining agreement. The Division may provide other division members with uniforms at the direction of the Director of Gaming Enforcement.

All uniforms and equipment issued to division members shall be returned to the Division upon termination or resignation.

II. PURPOSE

This policy provides guidelines for Division of Gaming Enforcement-authorized uniforms and civilian attire regulations. It is established to ensure that uniformed members will be readily identifiable to the public through the proper use and wearing of division uniforms, and that the appearance of members who wear civilian attire reflects favorably on the Division.

This policy addresses the wearing and maintenance of division uniforms and badges; the requirements for members who wear civilian attire; and the authorized use of optional equipment and accessories by members of the Division.

Other related topics are addressed in the Badges, Patches and Identification, Division-Owned and Personal Property, and Personal Appearance Standards policies.

III. UNIFORMS

The Director of Gaming Enforcement or the authorized designee shall maintain and update uniform and equipment specifications, which should be consulted by all members as needed. Uniforms shall be worn as described therein and as specified in this policy.

The following shall apply to those assigned to wear division-issued uniforms:

- A. Uniforms and equipment shall be maintained in a serviceable condition and shall be ready at all times for immediate use. Uniforms shall be neat, clean and appear professionally pressed.
- B. Uniforms shall be worn in compliance with any applicable division specifications.
- C. Members shall wear only the uniforms specified for their ranks and assignments.
- D. Civilian attire shall not be worn in combination with any distinguishable part of a uniform.
- E. Uniforms are only to be worn while on-duty, for court, at official division functions or events, while in transit to or from work, or when authorized by the Director of Gaming Enforcement or the authorized designee.
- F. Members are not to purchase or drink alcoholic beverages while wearing any part of division-issued uniforms, including the uniform pants.

- G. All supervisors will perform periodic inspections of members under their commands to ensure conformance to this policy.

1. Mourning Band

Uniformed members shall wear a black mourning band across the division badge whenever a law enforcement officer is killed in the line of duty or as directed by the Director of Gaming Enforcement. The following mourning periods will be observed:

- A. Division of Gaming Enforcement special agent - From the time of death until midnight on the 14th day after the death.
- B. A special agent from this or an adjacent county - From the time of death until midnight on the day of the funeral.
- C. Funeral attendee - While attending the funeral of an out-of-region fallen officer.
- D. National Peace Officers' Memorial Day (May 15) - From 0001 hours until 2359 hours.
- E. As directed by the Director of Gaming Enforcement.

IV. UNIFORM CLASSES

The Director of Gaming Enforcement or the authorized designee shall determine the uniform to be worn by each division member or any deviations that may be authorized.

Uniforms are classified as follows:

- A. Class C - General utility uniform to be worn by designated Division members

1. Class C Uniform

The Director of Gaming Enforcement or the authorized designee will establish the specifications, regulations and conditions for wearing the Class C uniform.

V. CIVILIAN ATTIRE

There are assignments within the Division that do not require a uniform because recognition and authority are not essential to their functions. There are also assignments for which civilian attire is necessary.

- A. Civilian attire shall fit properly, be clean and free of stains, and not be damaged or excessively worn.
- B. Members assigned to administrative, investigative and support positions shall wear business-appropriate clothing that is conservative in style.
- C. Variations from this policy are allowed at the discretion of the Director of Gaming Enforcement or the authorized designee when the member's assignment or current task is not conducive to wearing such clothing.
- D. No item of civilian attire may be worn while on-duty that would adversely affect the reputation of the Division of Gaming Enforcement or the morale of the members.
- E. The following items shall not be worn while on-duty or when representing the Division in any official capacity:
 - 1. Clothing that reveals cleavage, the back, chest, stomach or buttocks
 - 2. T-shirt alone or exposed undergarments
 - 3. Denim pants of any color
 - 4. Open-toed shoes
 - 5. Clothing, buttons or pins displaying racial, sexual, discriminatory, gang-related or obscene language

VI. OPTIONAL EQUIPMENT

Any items that are allowed by the Division of Gaming Enforcement but that have been identified as optional shall be purchased entirely at the expense of the member. No part of the purchase cost shall be offset by the division.

Maintenance of optional items shall be the financial responsibility of the purchasing member (e.g., repairs due to normal wear and tear).

Replacement of items listed in this policy as optional shall be managed as follows:

- A. When the item is no longer functional because of normal wear and tear, the member bears the full cost of replacement.
- B. When the item is no longer functional because of damage in the course of the member's duties, it shall be replaced in accordance with the Division-Owned and Personal Property Policy.

VII. UNAUTHORIZED UNIFORMS, EQUIPMENT AND ACCESSORIES

Division of Gaming Enforcement members may not wear any uniform item, accessory or attachment unless specifically authorized by the Director of Gaming Enforcement or the authorized designee.

Division members may not use or carry any safety item, tool or other piece of equipment unless specifically authorized by the Director of Gaming Enforcement or the authorized designee.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Conflict of Interest

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.30.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 12.03](#)

[CFA 5.20 - 12.03](#)

[Section 112.3135, F.S.](#)

I. STATEMENT OF POLICY

Members of the Division of Gaming Enforcement are expected to conduct themselves with the utmost professional integrity and objectivity. Members will guard against actual or perceived conflicts of interest in order to ensure the fair and equitable treatment of division members and the public, and thereby maintain the trust of the public and division members.

II. PURPOSE

The purpose of this policy is to assist members in recognizing and avoiding potential conflicts of interest, thereby ensuring effective and ethical operating practices on the part of the Division of Gaming Enforcement.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Conflict of interest-** Any actual, perceived or potential conflict, in which it reasonably appears that a member's action, inaction or decisions are or may be influenced by a personal or business relationship.

IV. PROHIBITIONS

The Division prohibits the following types of personal or business relationships among members (CFA 5.19 - 12.03 CFA 5.20 - 12.03):

- A. Members are prohibited from directly supervising, occupying a position in the line of supervision or being directly supervised by any other member who is a relative or with whom they are involved in a personal or business relationship.
 - 1. If circumstances require that such a supervisor/subordinate relationship exist temporarily, the supervisor shall make every reasonable effort to defer matters pertaining to the involved member to an uninvolved supervisor.
 - 2. When personnel and circumstances permit, the Division will attempt to make every reasonable effort to avoid placing members in such supervisor/ subordinate situations. The Division, however, reserves the right to transfer or reassign any member to another position within the same classification in order to avoid conflicts with any provision of this policy.
- B. Members are prohibited from participating in, contributing to or recommending promotions, assignments, performance evaluations, transfers or other personnel decisions affecting a member who is a relative or with whom they are involved in a personal or business relationship.
- C. Whenever possible, field training officers (FTOs) and other trainers will not be assigned to train relatives. Division FTOs and other trainers are prohibited from entering into or maintaining personal or business relationships with any member they are assigned to train until such time as the training has been successfully completed and the person is off probation.

V. MEMBER RESPONSIBILITIES

Members shall avoid situations that create a conflict of interest. Members should take reasonable steps to address a perception of a conflict of interest when such a perception is reasonably foreseeable and avoidable (e.g., deferring a decision to an uninvolved member).

Whenever any member is placed in circumstances that would require him/her to take enforcement action or to provide official information or services to any relative or individual with whom the member is involved in a personal or business relationship, that member shall promptly notify his/ her uninvolved, immediate supervisor.

In the event that no uninvolved supervisor is immediately available, the member shall promptly notify the dispatcher to have another uninvolved member either relieve the involved member or, minimally, remain present to witness the action.

VI. SUPERVISOR RESPONSIBILITIES

Upon being notified of or otherwise becoming aware of any circumstance that could result in or constitute an actual or potential violation of this policy, a supervisor shall take all reasonable steps to promptly mitigate or avoid such violations whenever possible. Supervisors shall also promptly notify the Director of Gaming Enforcement or the authorized designee of such actual or potential violations through the chain of command.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Line-of-Duty Deaths

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.32.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 112.19, F.S.](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to make appropriate notifications and to provide assistance and support to survivors and coworkers of a member who dies in the line of duty.

It is also the policy of this division to respect the requests of the survivors when they conflict with these guidelines, as appropriate.

II. PURPOSE

The purpose of this policy is to provide guidance to members of the Division of Gaming Enforcement in the event of the death of a member occurring in the line of duty and to direct the Division in providing proper support for the member's survivors.

The Director of Gaming Enforcement may also apply some or all of this policy in situations where members are injured in the line of duty and the injuries are life-threatening.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Line-of-duty death** - The death of a sworn member during the course of performing law enforcement-related functions while on- or off-duty, or a non-sworn member during the course of performing their assigned duties.
- B. **Survivors** - Immediate family members of the deceased member, which can include spouse, children, parents, other next of kin or significant others. The determination of who should be considered a survivor for purposes of this policy should be made on a case-by-case basis given the individual's relationship with the member and whether the individual was previously designated by the deceased member.

IV. INITIAL ACTIONS BY COMMAND STAFF

- A. Upon learning of a line-of-duty death, the deceased member's supervisor should provide all reasonably available information to the Chief of Law Enforcement and the Regional Communications Center.
 - 1. Communication of information concerning the member and the incident should be restricted to secure networks to avoid interception by the media or others (see the External Affairs Director section of this policy).
- B. The Special Agent Supervisor should ensure that notifications are made in accordance with the Officer-Involved Shootings and Deaths and Major Incident Notification policies as applicable.
- C. The Director of Gaming Enforcement or the authorized designee should, as soon as practicable, notify the Executive Director of the FGCC and the FGCC Division of Administration/Human Resources of the member's death.
- D. If the member has been transported to the hospital, the Special Agent Supervisor or the designee should respond to the hospital to assume temporary responsibilities as the Hospital Liaison.

- E. The Director of Gaming Enforcement or the authorized designee should assign members to handle survivor notifications and assign members to the roles of Hospital Liaison (to relieve the temporary Hospital Liaison) and the Division Liaison as soon as practicable (see the Notifying Survivors section and the Division Liaison and Hospital Liaison subsections in this policy).

V. NOTIFYING SURVIVORS

Survivors should be notified as soon as possible in order to avoid the survivors hearing about the incident in other ways.

The Director of Gaming Enforcement or the authorized designee should review the deceased member's emergency contact information and make accommodations to respect the member's wishes and instructions specific to notifying survivors. However, notification should not be excessively delayed because of attempts to assemble a notification team in accordance with the member's wishes.

The Director of Gaming Enforcement, Chief of Law Enforcement or the authorized designee should select at least two members to conduct notification of survivors.

Notifying members should:

- A. Make notifications in a direct and compassionate manner, communicating as many facts of the incident as possible, including the current location of the member. Information that is not verified should not be provided until an investigation has been completed.
- B. Determine the method of notifying surviving children by consulting with other survivors and taking into account factors such as the child's age, maturity and current location (e.g., small children at home, children in school).
- C. Plan for concerns such as known health concerns of survivors or language barriers.
- D. Offer to transport survivors to the hospital, if appropriate. Survivors should be transported in division vehicles. Notifying members shall inform the Hospital Liaison over a secure network that the survivors are on their way to the hospital and should remain at the hospital while the survivors are present.
- E. When survivors are not at their residences or known places of employment, actively seek information and follow leads from neighbors, other law enforcement, postal authorities and other sources of information in order to accomplish notification in as timely a fashion as possible. Notifying members shall not disclose the reason for their contact other than a family emergency.

- F. If making notification at a survivor's workplace, ask a workplace supervisor for the use of a quiet, private room to meet with the survivor. Members shall not inform the workplace supervisor of the purpose of their visit other than to indicate that it is a family emergency.
- G. Offer to call other survivors, friends or clergy to support the survivors and to avoid leaving survivors alone after notification.
- H. Assist the survivors with meeting childcare or other immediate needs.
- I. Provide other assistance to survivors and take reasonable measures to accommodate their needs, wishes and desires. Care should be taken not to make promises or commitments to survivors that cannot be met.
- J. Inform the survivors of the name and phone number of the Survivor Support Liaison (see the Survivor Support Liaison section of this policy), if known, and the Division Liaison.
- K. Provide their contact information to the survivors before departing.
- L. Document the survivor's names and contact information, as well as the time and location of notification. This information should be forwarded to the Division Liaison.
- M. Inform the Director of Gaming Enforcement or the authorized designee once survivor notifications have been made so that other Division of Gaming Enforcement members may be apprised that survivor notifications are complete.

1. Out-of-Area Notifications

The Division Liaison should request assistance from law enforcement agencies in appropriate jurisdictions for in-person notification to survivors who are out of the area.

- a. The Division Liaison should contact the appropriate jurisdiction using a secure network and provide the assisting agency with the name and telephone number of the division member that the survivors can call for more information following the notification by the assisting agency.
- b. The Division Liaison may assist in making transportation arrangements for the member's survivors, but will not obligate the Division to pay travel expenses without the authorization of the Director of Gaming Enforcement.

VI. NOTIFYING DIVISION MEMBERS

Supervisors or members designated by the Director of Gaming Enforcement are responsible for notifying division members of the line-of-duty death as soon as possible after the survivor notification is made. Notifications and related information should be communicated in person or using secure networks and should not be transmitted over the radio.

Notifications should be made in person and as promptly as possible to all members on-duty at the time of the incident. Members reporting for subsequent shifts within a short amount of time should be notified in person at the beginning of their shift. Members reporting for duty from their residence should be instructed to contact their supervisor as soon as practicable. Those members who are working later shifts or are on days off should be notified by phone as soon as practicable.

Members having a close bond with the deceased member should be notified of the incident in person. Supervisors should consider assistance (e.g., peer support, modifying work schedules, approving leave for members who are especially affected by the incident.

Supervisors should direct members not to disclose any information outside the Division regarding the deceased member or the incident.

VII. LIAISONS AND COORDINATORS

The Director of Gaming Enforcement or the authorized designee should select members to serve as liaisons and coordinators to handle responsibilities related to a line-of-duty death, including but not limited to:

- a. Division Liaison.
- b. Hospital Liaison.
- c. Survivor Support Liaison.
- d. Wellness Support Liaison.
- e. Funeral Liaison.
- f. Mutual aid coordinator.
- g. Benefits Liaison.
- h. Finance coordinator.

Liaisons and coordinators will be directed by the Division Liaison and should be given sufficient duty time to complete their assignments.

Members may be assigned responsibilities of more than one liaison or coordinator position depending on available division resources. The Division Liaison may assign separate liaisons and coordinators to accommodate multiple family units, if needed.

1. Division Liaison

The Division Liaison should be Chief of Law Enforcement or of sufficient rank to effectively coordinate division resources, and should serve as a facilitator between the deceased member's survivors and the Division. The Division Liaison reports directly to the Director of Gaming Enforcement. The Division Liaison's responsibilities include, but are not limited to:

- a. Directing the other liaisons and coordinators in fulfilling survivors' needs and requests. Consideration should be given to organizing the effort using the National Incident Management System (NIMS).
- b. Establishing contact with survivors within 24 hours of the incident and providing them contact information.
- c. Advising survivors of the other liaison and coordinator positions and their roles and responsibilities.
- d. Identifying locations that will accommodate a law enforcement funeral and presenting the options to the appropriate survivors, who will select the location.
- e. Coordinating all official law enforcement notifications and arrangements. Making necessary contacts for authorization to display flags at half-mast.
- f. Ensuring that division members are reminded of appropriate information-sharing restrictions regarding the release of information that could undermine future legal proceedings.
- g. Coordinating security checks of the member's residence as necessary and reasonable.
- h. Serving as a liaison with visiting law enforcement agencies during memorial and funeral services.

2. Hospital Liaison

The Hospital Liaison should work with hospital personnel to:

- a. Arrange for appropriate and separate waiting areas for:
 1. The survivors and others whose presence is requested by the survivors.
 2. Division members and friends of the deceased member.
 3. Media personnel.
- b. Ensure, as much as practicable, that any suspects who are in the hospital and their families or friends are not in close proximity to the member's survivors or Division of Gaming Enforcement members (except for members who may be guarding the suspect).
- c. Ensure that survivors receive timely updates regarding the member before information is released to others.
- d. Arrange for survivors to have private time with the member, if requested.
 1. The Hospital Liaison or hospital personnel may need to explain the condition of the member to the survivors to prepare them accordingly.
 2. The Hospital Liaison should accompany the survivors into the room, if requested.
- e. Stay with survivors and ensure that they are provided with other assistance as needed at the hospital.
- f. If applicable, explain to the survivors why an autopsy may be needed.
- g. Ensure hospital bills are directed to the Division, that the survivors are not asked to sign as guarantor of payment for any hospital treatment and that the member's residence address, insurance information and next of kin are not included on hospital paperwork.

Other responsibilities of the Hospital Liaison include, but are not limited to:

- Arranging transportation for the survivors back to their residence.
- Working with investigators to gather and preserve the deceased member's equipment and other items that may be of evidentiary value.

- Documenting his/her actions at the conclusion of his/her duties.

3. Survivor Support Liaison

The Survivor Support Liaison should work with the Division Liaison if they are not one and the same, to fulfill the immediate needs and requests of the survivors of any member who has died in the line of duty, and serve as the long-term division contact for survivors.

The Survivor Support Liaison should be selected by the Chief of Law Enforcement. The following should be considered when selecting the Survivor Support Liaison:

- The liaison should be an individual the survivors know and with whom they are comfortable working.
- If the survivors have no preference, the selection may be made from names recommended by the deceased member's supervisor and/or coworkers. The deceased member's partner or close friends may not be the best selection for this assignment because the emotional connection to the member or survivors may impair their ability to conduct adequate liaison duties.
- The liaison must be willing to assume the assignment with an understanding of the emotional and time demands involved.

The responsibilities of the Survivor Support Liaison include but are not limited to:

- a. Arranging for transportation of survivors to hospitals, places of worship, funeral homes, and other locations, as appropriate.
- b. Communicating with the Division Liaison if they are not one and the same, regarding appropriate security measures for the family residence, as needed.
- c. If requested by the survivors, providing assistance with instituting methods of screening telephone calls made to their residence after the incident.
- d. Providing assistance with travel and lodging arrangements for out-of-town survivors.

- e. Returning the deceased member's personal effects from the Division and the hospital to the survivors. The following should be considered when returning the personal effects:
 - 1. Items should not be delivered to the survivors until they are ready to receive the items.
 - 2. Items not retained as evidence should be delivered in a clean, unmarked box.
 - 3. All clothing not retained as evidence should be cleaned and made presentable (e.g., items should be free of blood or other signs of the incident).
 - 4. The return of some personal effects may be delayed due to ongoing investigations.
- f. Assisting with the return of division-issued equipment that may be at the deceased member's residence.
 - 1. Unless there are safety concerns, the return of the equipment should take place after the funeral at a time and in a manner considerate of the survivors' wishes.
- g. Working with the Wellness Support Liaison to ensure that survivors have access to available counseling services.
- h. Coordinating with the agency's External Affairs Director (PIO) to brief the survivors on pending press releases related to the incident and to assist the survivors with media relations in accordance with their wishes (see the External Affairs Director section of this policy).
- i. Briefing survivors on investigative processes related to the line-of-duty death, such as criminal, internal, and administrative investigations.
- j. Informing survivors of any related criminal proceedings and accompanying them to such proceedings.
- k. Introducing survivors to prosecutors, victim's assistance personnel, and other involved personnel as appropriate.
- l. Maintaining long-term contact with survivors and taking measures to sustain a supportive relationship (e.g., follow-up visits, phone calls, cards on special occasions, special support during holidays).

- m. Inviting survivors to division activities, memorial services, or other functions as appropriate.

Survivor Support Liaisons providing services after an incident resulting in multiple members being killed should coordinate with and support each other through conference calls or meetings as necessary.

The Division recognizes that the duties of a Survivor Support Liaison will often affect regular assignments over many years, and is committed to supporting members in the assignment.

If needed, the Survivor Support Liaison should be issued a personal communication device (PCD) owned by the Division to facilitate communications necessary to the assignment. The division- issued PCD shall be used in accordance with the Personal Communication Devices Policy.

4. Wellness Support Liaison

The Wellness Support Liaison should work with the division wellness coordinator or the authorized designee and other liaisons and coordinators to make wellness support and counseling services available to members and survivors who are impacted by a line-of-duty death. The responsibilities of the Wellness Support Liaison include but are not limited to:

- a. Identifying members who are likely to be significantly affected by the incident and may have an increased need for wellness support and counseling services, including:
 - 1. Members involved in the incident.
 - 2. Members who witnessed the incident.
 - 3. Members who worked closely with the deceased member but were not involved in the incident.
- b. Ensuring that members who were involved in or witnessed the incident are relieved of division responsibilities until they can receive wellness support.
- c. Ensuring that wellness support and counseling resources (e.g., peer support, Critical Incident Stress Debriefing) are available to members as soon as reasonably practicable following the line-of-duty death.

- d. Coordinating with the Survivor Support Liaison if they are not one and the same, to ensure survivors are aware of available wellness support and counseling services and assisting with arrangements as needed.
- e. Following up with members and the Survivor Support Liaison in the months following the incident to determine if additional wellness support or counseling services are needed.

5. Funeral Liaison

The Funeral Liaison should work with the Division Liaison and Survivor Support Liaison if they are not one and the same and survivors to coordinate funeral arrangements to the extent the survivors wish. The Funeral Liaison's responsibilities include, but are not limited to:

- a. Assisting survivors in working with the funeral director regarding funeral arrangements and briefing them on law enforcement funeral procedures.
- b. Completing funeral notification to other law enforcement agencies.
- c. Coordinating the funeral activities of the Division, including, but not limited to the following:
 - 1. Honor Guard
 - a. Casket watch
 - b. Color guard
 - c. Pallbearers
 - d. Bell/rifle salute
 - 2. Bagpipers/bugler
 - 3. Uniform for burial
 - 4. Flag presentation
 - 5. Last radio call
- d. Briefing the Director of Gaming Enforcement and command staff concerning funeral arrangements.

- e. Assigning a special agent to remain at the family home during the viewing and funeral.
- f. Arranging for transportation of the survivors to and from the funeral home and interment site using division vehicles and drivers.

6. Mutual Aid Coordinator

The mutual aid coordinator should work with the Division Liaison and the Funeral Liaison if they are not one and the same, to request and coordinate any assistance from outside law enforcement agencies needed for, but not limited to:

- a. Traffic control during the deceased member's funeral. The mutual aid coordinator should perform his/her duties in accordance with the Outside Agency Assistance Policy.

7. Benefits Liaison

The Benefits Liaison should provide survivors with information concerning available benefits and will assist them in applying for benefits. Responsibilities of the Benefits Liaison include, but are not limited to:

- a. Confirming the filing of workers' compensation claims and related paperwork (see the Work-Related Illness and Injury Reporting Policy).
- b. Researching and assisting survivors with application for federal government survivor benefits, such as those offered through the:
 - 1. Public Safety Officers' Educational Assistance (PSOEA) Program.
 - 2. Social Security Administration.
 - 3. Department of Veterans Affairs.
- c. Researching and assisting survivors with application for state and local government survivor benefits.
 - 1. Florida state death benefits ([Section 112.19, F.S.](#)) including, where applicable, funeral expenses, monetary survivor benefits, health insurance coverage and education cost waivers at state schools.
- d. Researching and assisting survivors with application for other survivor benefits such as:

1. Private foundation survivor benefits programs.
2. Survivor scholarship programs.
- e. Researching and informing survivors of support programs sponsored by police associations and other organizations.
- f. Documenting and informing survivors of inquiries and interest regarding public donations to the survivors.
 1. If requested, working with the finance coordinator to assist survivors with establishing a process for the receipt of public donations.
- g. Providing survivors with a summary of the nature and amount of benefits applied for, including the name of a contact person at each benefit office. Printed copies of the summary and benefit application documentation should be provided to affected survivors.
- h. Maintaining contact with the survivors and assisting with subsequent benefit questions and processes as needed.

8. Finance Coordinator

The finance coordinator should work with the Director of Gaming Enforcement and the Division Liaison to manage financial matters related to the line-of-duty death. The finance coordinator's responsibilities include, but are not limited to:

- a. Establishing methods for purchasing and monitoring costs related to the incident.
- b. Providing information on finance-related issues, such as:
 1. Paying survivors' travel costs if authorized.
 2. Transportation costs for the deceased.
 3. Funeral and memorial costs.
 4. Related funding or accounting questions and issues.
- c. Working with the Benefits Liaison to establish a process for the receipt of public donations to the deceased member's survivors.

- d. Providing accounting and cost information as needed.

VIII. EXTERNAL AFFAIRS DIRECTOR

In the event of a line-of-duty death, the FGCC's External Affairs Director should be the agency's contact point for the media. As such, the PIO should coordinate with the Division Liaison to:

- a. Collect and maintain the most current incident information and determine what information should be released.
- b. Ensure that division members are instructed to direct any media inquiries to the External Affairs Director.
- c. Prepare necessary press releases.
 - 1. Ensure coordination with other entities having media roles (e.g., outside agencies involved in the investigation or incident).
 - 2. Ensure that important public information is disseminated, such as information on how the public can show support for the division and deceased member's survivors.
- d. Arrange for community and media briefings by the Director of Gaming Enforcement or the authorized designee as appropriate.
- e. Respond, or coordinate the response, to media inquiries.
- f. If requested, assist the member's survivors with media inquiries.
 - 1. Brief the survivors on handling sensitive issues such as the types of questions that reasonably could jeopardize future legal proceedings.
- g. Release information regarding memorial services and funeral arrangements to division members, other agencies and the media as appropriate.
- h. If desired by the survivors, arrange for the recording of memorial and funeral services via photos and/or video.

The identity of deceased members should be withheld until the member's survivors have been notified. If the media has obtained identifying information for

the deceased member prior to survivor notification, the External Affairs Director should request that the media withhold the information from release until proper notification can be made to survivors. The External Affairs Director should ensure that media are notified when survivor notifications have been made.

IX. INVESTIGATION OF THE INCIDENT

The Director of Gaming Enforcement shall ensure that line-of-duty deaths are investigated thoroughly and may choose to use the investigation process outlined in the Officer-Involved Shootings and Deaths Policy

Investigators from other agencies may be assigned to work on any criminal investigation related to line-of-duty deaths. Partners, close friends or personnel who worked closely with the deceased member should not have any investigative responsibilities because such relationships may impair the objectivity required for an impartial investigation of the incident.

Involved division members should be kept informed of the progress of the investigations and provide investigators with any information that may be pertinent to the investigations.

X. NON-LINE-OF-DUTY DEATH

The Director of Gaming Enforcement may authorize certain support services for the death of a member not occurring in the line of duty.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Investigative and Evidence
Revolving Fund

POLICY NUMBER

02.33.01

EFFECTIVE DATE:

Law Enforcement

REVISED:

AUTHORITY

925.055 Florida Statutes

I. Statement of Policy

The Florida Gaming Control Commission (FGCC) shall establish and maintain a procedure for the usage and accountability of the Investigative and Evidence (I & E) Revolving Fund for the Division of Gaming Enforcement.

II. Purpose

To establish procedures for documenting an audit trail for the usage and accountability of I & E funds that are used to purchase information or physical evidence relating to criminal activity. FGCC will provide agents funds when it becomes necessary to obtain evidence or make payment to confidential sources for information that would otherwise be unavailable.

III. Procedures

- A. Agents must make requests to the Chief of Law Enforcement Services or Director of Law Enforcement in advance and utilize the Information and Evidence Revolving Funds Operational Accounting form (F#02.001.01). This form allows agents to request funds and return unused funds. This form must be completed and approved prior to requesting a check from the Director of Administration. No payments are to be made to confidential sources prior to receiving requested I & E funds.
- B. All expenditures in any amount, by issue of check, shall require the signatures of two of the following three: Director of Administration, Director of Gaming Enforcement, and/or Executive Director.
- C. Expenditures of \$5,000 or more shall require the Executive Director's approval on the Request for Information and Evidence Revolving Fund form prior to submission to the Director of Administration.
- D. Authorized **purchases** include but are not limited to:
 - 1. The purchase of information or physical evidence that may lead to the arrest of a suspect under FGCC Gaming Enforcement investigation.
 - 2. When expenditures are requested to pay a confidential source, the confidential source will not be named on any form but will be designated by assigned code name or number.
- E. Audits and reconciliation of the I & E Fund shall be performed in accordance with the Chief Financial Officer and the Auditor General of the State of Florida. The FGCC Director of **Administration**, or designee, shall conduct quarterly audits of the FGCC I & E Fund. The results of the audit shall be forwarded to the Executive Director, the Director of Gaming Enforcement, and the Chief of Law Enforcement Services.
- F. The following **accounting** protocols are established:
 - 1. The Chief of Law Enforcement Services will be the I & E Fund custodian within the Division of Gaming Enforcement. The Chief of Law Enforcement Services, or delegate, will maintain all information and backup of all fund requests, case numbers, funds spent, funds returned, and accounting of expenses by case number. The Chief of Law Enforcement Services shall make these records available to internal auditors for reconciliation purposes.
 - 2. Within 10 days of the use of I & E funds, the Gaming Enforcement Agent must complete an Informational and Evidence Revolving Funds Usage Operational Summary form that will contain a detailed summary of how the I & E funds were spent. Information to include, but not limited to, the amount expended with documented results, the specific action of expense, the date expended, and any amount of unused funds. This form to be submitted and approved by the Chief of Law Enforcement Services and filed in the respective case file(s).

3. After a check is signed as described in this policy, Section III. Procedures (B), the Agent will retrieve the check from the Director of Administration and sign the Information and Evidence Revolving Funds Operational Accounting form that the check was received. A copy of the form will be provided for inclusion in the case file.
4. The monthly statement from the State Treasurer's Office shall be reconciled on a monthly basis by the FGCC Director of Administration, or designee. The state will be compared with the check register and computer database to determine that the balance is correct.
5. The unused checks and check register shall be kept in a secure location designated by the Director of Administration.
6. Voided checks will be marked with the word "void" in bold print across the face of the check and returned to the Director of Administration.
7. Any unused funds, including partially expended funds, will be returned to the Director of Administration. The agent will complete the corresponding Information and Evidence Revolving Funds Operational Accounting Form that resides in the case file and contains the information regarding when the funds were received by the Agent. The agent will complete the Recovered and Returned Information and Evidence Funds section and submit the completed form and funds to the Chief of Law Enforcement Services. The Chief of Law Enforcement Services will approve and submit the form and funds to the Director of Administration for deposit back into the I & E fund. After funds are deposited into the account, the Director of Administration will complete the form with the deposit number and date and return the form to be included in the respective case file.
8. In the absence of the Chief of Law Enforcement Services, the Director of Gaming Enforcement shall perform the duties assigned to the Chief of Law Enforcement Services as outlined in this policy. When this occurs and the request for I & E funds is authorized by the Director of Gaming Enforcement, the check must be signed by the Executive Director and the Director of Administration.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Registered Offender Information

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.35.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 15.16M, 15.17M](#)

[CFA 5.20 - 15.16M, 15.17M](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to assist offenders who seek advice on how to register.

II. PURPOSE

This policy establishes guidelines by which the Division of Gaming Enforcement will address issues associated with certain offenders.

III. REGISTRATION

In the event a member of the agency is approached and asked how to register as a sexual predator or offender, the member will provide the address and telephone of the County Sheriff's office where the offender lives. [CFA 5.19 - 15.16M, 15.17M](#) [CFA 5.20 - 15.16M, 15.17M](#)

Louis Trombetta

Date

Executive Director



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Criminal Organizations

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.39.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 19.01M \(A\), \(B\), \(C\), \(D\), 19.02M \(A\), \(B\), \(C\), \(D\)](#)

[CFA 5.20 - 19.01M \(A\), \(B\), \(C\), \(D\), 19.02M \(A\), \(B\), \(C\), \(D\)](#)

[28 CFR 23.20](#)

[Section 874.01, Fla. Stat. et seq.](#)

[Section 943.0321, Fla. Stat.](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement recognizes that certain criminal activities often involve some degree of regular coordination and may involve a large number of participants over a broad geographical area.

It is the policy of this division to collect and share relevant information while respecting the privacy and legal rights of the public.

II. PURPOSE

The purpose of this policy is to ensure that the Division of Gaming Enforcement appropriately utilizes criminal intelligence systems and temporary information files to support investigations of criminal organizations and enterprises.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Criminal intelligence system** - Any record system that receives, stores, exchanges or disseminates information that has been evaluated and determined to be relevant to the identification of a criminal organization or enterprise, its members or affiliates. This does not include temporary information files.

Any criminal intelligence system must be separate from the division's central records system.

IV. INVESTIGATIVE CHIEF OF LAW ENFORCEMENT

The Chief of Law Enforcement should ensure procedures are developed ([CFA 5.19 - 19.01M \(C\)](#), [19.01M \(A\)](#) [CFA 5.20 - 19.01M \(A\)](#), [19.01M \(C\)](#)):

- A. To ensure that the collection, organization, collation and analysis of criminal intelligence information or documentation is conducted in a secure environment, is limited to criminal conduct and relates to activities that present a threat to the Division of Gaming Enforcement community.
- B. For the utilization of intelligence members, available equipment and/or systems and techniques.
- C. For the release of information from authorized criminal intelligence systems to division requestors as well as other criminal justice agencies.
- D. For the purging of information from the approved criminal intelligence systems that is no longer active.

V. CRIMINAL INTELLIGENCE SYSTEM

No division member may create, submit to or obtain information from a criminal intelligence system unless the Director of Gaming Enforcement has approved the system for division use. [CFA 5.19 - 19.01M \(C\)](#), [19.02M \(A\)](#), [19.02M \(C\)](#), [19.02M \(D\)](#) [CFA 5.20 - 19.01M \(C\)](#), [19.02M \(A\)](#), [19.02M \(C\)](#), [19.02M \(D\)](#)

Any criminal intelligence system approved for division use should meet or exceed the standards of [28 CFR 23.20](#).

The Chief of Law Enforcement or designee will be responsible for maintaining each criminal intelligence system that has been approved for division use. The Chief of Law Enforcement or the authorized designee should ensure the following:

- A. Members using any such system are appropriately selected and trained.
- B. Use of every criminal intelligence system is appropriately reviewed and audited.
- C. Any system security issues are reasonably addressed.
 - 1. Security pertains to physical security as well as the protection against unauthorized attempts to access, modify, remove or destroy stored information.

1. System Entries

It is the designated supervisor's responsibility to approve the entry of any information from a report, field interview (FI), photo, or other relevant document into an authorized criminal intelligence system (e.g., the Regional Organized Crime Information Center, a Regional Information Sharing System (RISS) project, a Florida Department of Law Enforcement (FDLE) database created pursuant to the Criminal Gang Prevention Act ([Section 874.01, Fla. Stat. et seq.](#)), the Florida Domestic Security and Counter-Terrorism Center database created pursuant to ([Section 943.0321, Fla. Stat.](#)). If entries are made based upon information that is not on file with this division, such as open- or public-source documents or documents that are on file at another agency, the designated supervisor shall ensure copies of those documents are retained in a secure storage area separate from the Records Section. Any supporting documentation for an entry shall be retained separate from the Records Section in accordance with the established records retention schedule and for at least as long as the entry is maintained in the system. [CFA 5.19 - 19.01M \(C\), 19.02M \(D\)](#) [CFA 5.20 - 19.01M \(C\), 19.02M \(D\)](#)

The designated supervisor shall ensure that any documents retained are appropriately marked as intelligence information. The Records Custodian may not purge such documents without the approval of the designated supervisor.

VI. TEMPORARY INFORMATION FILE

No member may create or keep files on individuals that are separate from the approved criminal intelligence system. However, members may maintain temporary information that is necessary to actively investigate whether a person or group qualifies for entry into the division-approved criminal intelligence system only as provided in this section. Once information qualifies for inclusion, it should be submitted to the supervisor responsible for consideration of criminal intelligence system entries

1. File Contents

A temporary information file may only contain information and documents that, within one year, will have a reasonable likelihood to meet the criteria for entry into an authorized criminal intelligence system. [CFA 5.19 - 19.01M \(B\)](#) [CFA 5.20 - 19.01M \(B\)](#)

Information and documents contained in a temporary information file:

- A. Must only be included upon documented authorization of the responsible division supervisor.
- B. Should not be originals that would ordinarily be retained by the Records Section or Property and Evidence Section, but should be copies of, or references to, retained documents, such as copies of reports, FI forms, Regional Communications Center records or booking forms.
- C. Shall not include opinions. No person, organization or enterprise shall be labeled as being involved in crime beyond what is already in the document or information.
- D. May include information collected from publicly available sources or references to documents on file with another government agency. Attribution identifying the source should be retained with the information.

2. File review and Purging

The contents of a temporary information file shall not be retained longer than one year. At the end of one year, the contents must be purged. [CFA 5.19 - 19.01M \(D\)](#) [CFA 5.20 - 19.01M \(D\)](#)

The designated supervisor shall periodically review the temporary information files to verify that the contents meet the criteria for retention. Validation and purging of files is the responsibility of the supervisor.

VII. INFORMATION RECOGNITION

Division members should document facts that suggest an individual, organization or enterprise is involved in criminal activity and should forward that information appropriately. Examples include, but are not limited to:

- A. Information related to an illegal gambling operation.
- B. Information related to organized criminal organizations and their subordinate partners.

Division supervisors who utilize an authorized criminal intelligence system should work with the Training Coordinator to train members to identify information that may be particularly relevant for inclusion. [CFA 5.19 - 19.01M \(B\)](#) [CFA 5.20 - 19.01M \(B\)](#)

VIII. RELEASE OF INFORMATION

Division members shall comply with the rules of an authorized criminal intelligence system regarding inquiries and release of information.

Information from a temporary information file may only be furnished to division members and other law enforcement agencies on a need-to-know basis and consistent with the Records Maintenance and Release Policy. [CFA 5.19 - 19.02 M \(B\)](#) [CFA 5.20 - 19.02 M \(B\)](#)

IX. TRAINING

The Training Coordinator should provide training on best practices in the use of each authorized criminal intelligence system to those tasked with investigating criminal organizations and enterprises. Training should include:

- A. The protection of civil liberties.
- B. Participation in a multi-agency criminal intelligence system.
- C. Submission of information into a multi-agency criminal intelligence system or the receipt of information from such a system, including any governing federal and state rules and statutes.
- D. The type of information appropriate for entry into a criminal intelligence system or temporary information file.
- E. The review and purging of temporary information files.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Chief Executive Officer

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.41.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 943.13, Fla. Stat.](#)

[11B-35.006, F.A.C. et seq.](#)

[11B-30.006, F.A.C. et seq.](#)

[Section 943.135, Fla. Stat.](#)

[Section 16.711, Fla. Stat.](#)

[Section 16.713, Fla. Stat.](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement that the Director of Gaming Enforcement meets the minimum standards for exercising his/her authority granted by law.

II. PURPOSE

This policy provides guidelines for the appointment of the Director of the Division of Gaming Enforcement, who is required to exercise the powers and duties of the office as prescribed by state law.

III. DIRECTOR OF GAMING ENFORCEMENT REQUIREMENTS

The Director of Gaming Enforcement of this division, as a condition of employment, shall:

- A. Meet the following minimum qualifications ([Sections 16.711; 16.713; 943.13, Fla. Stat.](#)):
 1. Be certified as a law enforcement officer as defined in section 943.10(1), Fla. Stat.
 2. Be at least 19 years of age
 3. Be a citizen of the United States
 4. Have earned a high school diploma or equivalent (GED)
 5. Not have been convicted of any felony or of a misdemeanor involving perjury or false statement
 6. Never have received a dishonorable discharge from any of the Armed Forces of the United States
 7. Not have plead guilty or nolo contendere or have been found guilty of any felony or of a misdemeanor involving perjury or a false statement after July 1, 1981
 8. Not have been convicted of or found guilty of or pled nolo contendere to, regardless of adjudication, a felony within 5 years before the date of application for employment with the Florida Gaming Control Commission
 9. Not have been convicted of or found guilty of or pled nolo contendere to, regardless of adjudication, a misdemeanor within 5 years before the date of application which the Commission determines bears a close relationship to the duties and responsibilities of the Director of Gaming Enforcement
 10. Not have been dismissed from prior employment for gross misconduct or incompetence or intentionally making a false statement concerning a material fact in connection with the application for employment with the Commission
 11. Have documentation of processed fingerprints on file with the Commission
 12. Pass a physical examination by a licensed physician, physician assistant, or certified advanced registered nurse practitioner
 13. Have good moral character as determined by a background investigation under procedures established by the Criminal Justice Standards and Training Commission (CJSTC)
 14. Be eligible for employment pursuant to section 16.713(2), Fla. Stat.
- B. Successfully complete the required CJSTC certified course of training, or obtain a CJSTC exemption from training, pursuant to Rule [11B-35.006, F.A.C. et seq.](#)
- C. Successfully complete the State Officer Certification Exam (SOCE) pursuant to Rule [11B-30.006, F.A.C. et seq.](#), and obtain and retain a CJSTC Basic Certificate pursuant to Rule 11B-35, F.A.C.

- D. Comply with the continuing training or education requirements of [Section 943.135, Fla. Stat.](#)
- E. Comply with the powers, duties, and obligations required by [Section 16.711, Fla. Stat.](#)
- F. Execute and submit to the Division an affidavit-of-applicant form authorized by the CJSTC.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Biased Policing

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.43.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 2.06M \(A\), \(B\), \(C\), \(E\), \(F\)](#)

[CFA 5.20 - 2.06M \(A\), \(B\), \(C\), \(E\), \(F\)](#)

[Rule 11B-27.00212, F.A.C.](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement is committed to providing law enforcement services to the regulated business community with due regard for the racial, cultural or other differences of those served. It is the policy of this division to provide law enforcement services and to enforce the law equally, fairly, impartially and without discrimination toward any individual or group.

II. PURPOSE

This policy provides guidance to division members that affirms the Division of Gaming Enforcement 's commitment to policing that is fair and impartial.

Nothing in this policy prohibits the use of specified characteristics in law enforcement activities designed to strengthen the division's relationship with its diverse communities and regulated businesses.

Additional guidance on handling complaints from the public can be found in the Personnel Complaints policy.

III. DEFINITIONS

Definitions related to this policy include [CFA 5.19 - 2.06M \(C\)](#) [CFA 5.20 - 2.06M \(C\)](#):

- A. **Biased policing** - An inappropriate consideration of specified characteristics while enforcing the law or providing law enforcement services. Specified characteristics include but are not limited to actual or perceived race, ethnicity or ethnic background, national origin, religion, sex or gender, sexual orientation, gender identity or expression, economic or socioeconomic status, age, cultural group, disability, political status, affiliation with any non-criminal group, or any other legally protected characteristics (protected characteristics).

IV. BIASED POLICING PROHIBITED

Biased policing is strictly prohibited.

However, nothing in this policy is intended to prohibit a special agent from considering protected characteristics in combination with credible, timely, and distinct information connecting a person or people of a specific characteristic to a specific unlawful incident, or to specific unlawful incidents, specific criminal patterns, or specific schemes.

Complaints of biased policing shall be handled and processed in accordance with the Personnel Complaints Policy. [CFA 5.19 - 2.06M \(F\)](#)

1. Enforcement or Services

The prohibition of biased policing extends to all division services and actions such as field contacts, searches and seizures, and in asset seizure and forfeiture efforts.

V. MEMBER RESPONSIBILITIES

Every member of this division shall perform their duties in a fair and impartial manner and is responsible for promptly reporting any suspected or known instances of biased policing to a supervisor. Members should, when reasonable to do so, intervene to prevent any biased actions by another member.

1. Reason for Contact

Special Agents contacting a person shall be prepared to articulate sufficient reason for the contact, independent of the protected characteristics of the individual.

To the extent that written documentation would otherwise be completed (e.g., arrest report, field interview (FI) card), the involved should include those facts giving rise to the contact, as applicable.

Except for required data-collection forms or methods, nothing in this policy shall require any special agent to document a contact that would not otherwise require reporting.

VI. SUPERVISOR RESPONSIBILITIES

Supervisors should monitor those individuals under their command for compliance with this policy and shall handle any alleged or observed violations in accordance with the Personnel Complaints Policy. [CFA 5.19 - 2.06M \(B\)](#) [CFA 5.20 - 2.06M \(B\)](#)

- A. Supervisors should discuss any issues with the involved special agent and the special agent's supervisor in a timely manner.
 - a. Supervisors should document these discussions, in the prescribed manner.
- B. Supervisors shall notify the Chief of Law Enforcement of any actual or alleged violations of this policy.
 - a. Corrective measures shall be taken, in accordance with the Personnel Complaint Policy, if biased policing occurs
- C. Supervisors should take prompt and reasonable steps to address any retaliatory action taken against any member of this division who discloses information concerning biased policing.

VII. ADMINISTRATION

The Chief of Law Enforcement shall conduct an annual review the efforts and procedures of the Division to provide fair and impartial policing and submit the annual administrative review, including public concerns and complaints, to the Director of Gaming Enforcement. The annual review should not contain any identifying information about any specific complaint, member of the public, or special agent. It should be reviewed by the Director of Gaming Enforcement to identify any needed changes in policy, training, equipment, or operations, or any discipline issues that should be addressed.

Supervisors should review the annual administrative review and discuss the results with those they are assigned to supervise. [CFA 5.19 - 2.06M \(E\)](#) [CFA 5.20 - 2.06M \(E\)](#)

2. Community Awareness

Community awareness of the division's policy against biased policing shall be promoted during public education events through the External Affairs Director or

another member tasked with the responsibility. The Division's policy may be posted on the Commission's web page. [CFA 5.19 - 2.06M \(D\)](#) [CFA 5.20 - 2.06M \(D\)](#)

3. Annual report Topics

The annual report should cover at least the following topics [CFA 5.19 - 2.06M \(E\)](#) [CFA 5.20 - 2.06M \(E\)](#):

- Seizures
- Forfeitures
- Field contacts
- Complaints

VIII. TRAINING

Training on fair and impartial policing and review of this policy should be conducted as directed by the Chief of Law Enforcement or designee.

This training shall include legal aspects, in accordance with Florida statutes, the curriculum developed by the Florida Criminal Justice Standards and Training Commission and may include traffic stop procedures identified by the Florida Police Chiefs Association ([Rule 11B-27.00212, F.A.C.](#)), [CFA 5.19 - 2.06M \(A\)](#) [CFA 5.20 - 2.06M \(A\)](#).

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Response to Bomb Calls

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.46.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 17.07M \(A\), \(B\), \(C\) \(D\), \(E\), \(F\), \(G\), \(H\), \(I\), 17.08M \(D\)](#)

[CFA 5.20 - 17.07M \(A\), \(B\), \(C\) \(D\), \(E\), \(F\), \(G\), \(H\), \(I\), 17.08M \(D\)](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to place a higher priority on the safety of persons and the public over damage or destruction to public or private property.

II. PURPOSE

The purpose of this policy is to provide guidelines to assist members of the Division of Gaming Enforcement in their initial response to incidents involving explosives or explosive devices, explosion/bombing incidents or threats of such incidents. Under no circumstances should these guidelines be interpreted as compromising the safety of first

responders or the public. When confronted with an incident involving explosives, safety should always be the primary consideration.

III. RECEIPT OF BOMB THREAT

Division members receiving a bomb threat shall obtain as much information from the individual as reasonably possible, including the type, placement and alleged detonation time of the device. If the bomb threat is received on a recorded line, reasonable steps shall be taken to ensure that the recording is preserved in accordance with established division evidence procedures.

The member receiving the bomb threat shall ensure that the local jurisdiction where the threat is located is immediately advised and informed of the details. This will enable the Special Agent Supervisor to ensure that the appropriate personnel are dispatched, and, as appropriate, the threatened location is given an advance warning. [CFA 5.19 - 17.07M \(A\), 17.07M \(B\)](#) [CFA 5.20 - 17.07M \(B\), 17.07M \(A\)](#)

1. Notifications

After notifying the local jurisdiction, the Division member shall ensure notification of the Director of Gaming Enforcement and the Chief of Law Enforcement. [CFA 5.19 - 17.07M \(B\)](#) [CFA 5.20 - 17.07M \(B\)](#)

IV. GOVERNMENT FACILITY OR PROPERTY

A bomb threat targeting a government facility may require a different response based on the government agency.

1. Division of Gaming Enforcement Facility

If the bomb threat is against the Division of Gaming Enforcement facility or regulated business, the Special Agent Supervisor will direct and assign special agents as required for coordinating a general building search or evacuation of the Commission facility or regulated business, as he/ she deems appropriate. [CFA 5.19 - 17.07M \(D\), 17.07M \(F\)](#) [CFA 5.20 - 17.07M \(D\), 17.07M \(F\)](#)

V. FOUND DEVICE

When handling an incident involving a suspected explosive device, the following guidelines, while not all inclusive, should be followed ([CFA 5.19 - 17.07M \(C\), 17.07M \(D\), 17.07M \(F\), 17.07M \(H\), 17.08M \(D\)](#) [CFA 5.20 - 17.07M \(C\), 17.07M \(D\), 17.07M \(F\), 17.07M \(H\), 17.08M \(D\)](#)):

- A. No known or suspected explosive item should be considered safe regardless of its size or apparent packaging.

- B. The device should not be touched or moved except by the bomb squad or military explosive ordnance disposal team.
- C. Personnel should not transmit on any equipment that is capable of producing radio frequency energy within the evacuation area around the suspected device. This includes:
 - 1. Two-way radios.
 - 2. Cell phones.
 - 3. Other personal communication devices.
- D. The appropriate bomb squad or military explosive ordnance disposal team should be summoned for assistance.
- E. The largest perimeter reasonably possible should initially be established around the device based upon available personnel and the anticipated danger zone.
- F. A safe access route should be provided for support personnel and equipment.
- G. Search the area for secondary devices as appropriate and based upon available resources.
- H. Consider evacuation of buildings and personnel near the device or inside the danger zone and the safest exit route.
- I. Promptly relay available information to the Special Agent Supervisor including:
 - 1. The time of discovery.
 - 2. The exact location of the device.
 - 3. A full description of the device (e.g., size, shape, markings, construction).
 - 4. The anticipated danger zone and perimeter.
 - 5. The areas to be evacuated or cleared

1. Notifications

When a possible explosive device is located, the following notifications should be made, as appropriate, and efforts coordinated if they respond ([CFA 5.19 - 17.07M \(E\), 17.07M \(G\), 17.08M \(D\)](#) [CFA 5.20 - 17.07M \(E\), 17.07M \(G\), 17.08M \(D\)](#)):

- A. Bomb squad (if the device is of possible military origin, a military bomb team should be notified at the direction of the bomb squad leader)
- B. Fire department
- C. Additional division personnel, such as investigators and forensic services

VI. EXPLOSION/BOMBING INCIDENTS

1. Considerations

Special Agents responding to explosions, whether accidental or a criminal act, should consider the following actions ([CFA 5.19 - 17.07M \(C\), 17.07M \(I\)](#) [CFA 5.20 - 17.07M \(C\), 17.07M](#)):

- A. Assess the scope of the incident, including the number of victims and extent of injuries.
- B. Request additional personnel and resources, as appropriate.
- C. Assist with first aid.
- D. Identify and take appropriate precautions to mitigate scene hazards, such as collapsed structures, bloodborne pathogens and hazardous materials.
- E. Assist with the safe evacuation of victims, if possible.
- F. Establish an inner perimeter to include entry points and evacuation routes. Search for additional or secondary devices.
- G. Preserve evidence.
- H. Establish an outer perimeter and evacuate if necessary.
- I. Identify witnesses.

2. Notifications

When an explosion has occurred, the following people should be notified as appropriate:

- Fire department
- Bomb squad
- Director of Gaming Enforcement
- Other law enforcement agencies, including local, state or federal agencies, such as the FBI and the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF)
- Other government agencies, as appropriate

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Oath of Office

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.49.01

FGCC SECTION

Law Enforcement

AUTHORITY

[Section 876.05 Fla. Stat.](#)

[Art. II, Section 5, Fla. Const.](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement that, when appropriate, division members affirm the oath of their office as an expression of commitment to the constitutional rights of those served by the Division and the dedication of its members to their duties.

II. PURPOSE

The purpose of this policy is to ensure that oaths, when appropriate, are administered to division members.

III. OATH OF OFFICE

All division members, when appropriate, shall take and subscribe to the oaths or affirmations applicable to their positions as required by Art. II, section 5, Fla. Const. and [Section 876.05\(1\), Fla. Stat.](#)

I, (employee name), a citizen of the State of Florida and of the United States of America, and being employed by or an officer of the Division of Gaming Enforcement and a recipient of public funds as such employee or officer, do solemnly swear (or affirm) that I will support, protect and defend the Constitution and Government of the United States and of the State of Florida; that I am duly qualified to hold office under the Constitution of the State; and that I will well and faithfully perform the duties of a Special Agent, on which I am now about to enter, so help me God."

If a member is opposed to taking an oath, he/she shall be permitted to substitute the word "affirm" for the word "swear."

IV. MAINTENANCE OF RECORDS

The oath of office shall be filed with the records of the Division of Gaming Enforcement prior to the approval of any voucher for the payment of salary, expenses or other compensation ([Section 876.05\(2\), Fla. Stat.](#)).

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Accreditation Standards

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.50.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 10.18](#)

[CFA 5.20 - 10.18](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement participates in the CFA certification or accreditation standards established for Florida law enforcement agencies.

II. PURPOSE

The purpose of this policy is to establish the roles and responsibilities associated with compliance with Commission for Florida Law Enforcement Accreditation (CFA) certification and accreditation standards.

III. ACCREDITATION MANAGER

The Director of Gaming Enforcement or the authorized designee shall appoint an accreditation manager to oversee the certification and accreditation process for the Division of Gaming Enforcement.

1. Accreditation Manager Responsibilities

The accreditation manager or the authorized designee is responsible for ensuring that division policy content is in compliance with CFA accreditation standards, including but not limited to:

- A. Compiling and maintaining a list of all periodic reports, reviews, audits, inspections, and other activities mandated by applicable CFA accreditation standards.
- B. Developing and maintaining a system for tracking such activities.
- C. Maintaining detailed records of all CFA and policy compliance tasks.
- D. Ensuring that the required reports or other necessary actions are completed in a timely manner.
- E. Submitting an annual report to CFA by January 31 each year.
- F. Providing public notice at least 30 days prior to any CFA accreditation assessment.

IV. ACCREDITATION MANAGER TRAINING

Newly appointed accreditation managers shall receive specialized accreditation training before their appointment or no later than 12 months after their appointment to the position. Training should include, at a minimum ([CFA 5.19 - 10.18](#) [CFA 5.20 - 10.18](#)):

- Essential components of the accreditation process.
- The accreditation standards manual.
- Accreditation file maintenance.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Badges, Patches and Identification

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.51.01

FGCC SECTION

Law Enforcement

AUTHORITY

I. STATEMENT OF POLICY

Members of the Division will use the FGCC badge and identification card, as well as the likeness of these items, appropriately and professionally.

II. PURPOSE

The Division of Gaming Enforcement (FGCC) badge and identification card, as well as the likeness of these items and the name of the Division, are property of the Division. Their use shall be restricted as set forth in this policy.

III. UNAUTHORIZED USE

The FGCC badge and identification card shall not be displayed or used by any member except when acting in an official or authorized capacity.

Division members shall not:

- A. Display or use the FGCC badge or identification card for personal gain or benefit.
- B. Loan the FGCC badge or identification card to others or permit these items to be reproduced or duplicated.
- C. Use images of the FGCC badge or identification card, or the likeness thereof, or the Division of Gaming Enforcement name, for personal or private reasons including, but not limited to, letters, memoranda and electronic communications, such as email, blogs, social networking or websites.

1. Lost Badge or Identification Card

Division members shall promptly notify their supervisors whenever their FGCC badges or identification cards are lost, damaged or are otherwise removed from their control.

IV. BADGES

The Director of Gaming Enforcement shall determine the form of badges authorized for use by division members. No other badges may be used, carried, worn or displayed. Only badges issued by this division are authorized to be used, displayed, carried or worn by members while on-duty or otherwise acting in an official or authorized capacity. Members, with the written approval of the Director of Gaming Enforcement, may purchase at their own expense a second badge.

1. Retiree Badges

The Director of Gaming Enforcement may establish rules for allowing honorably retired members to keep their badges in some form upon retirement, for use as private memorabilia.

2. Permitted Use by Employee Groups

The likeness of the FGCC badge shall not be used for any purpose without the express authorization of the Director of Gaming Enforcement and shall be subject to the following:

- A. An authorized employee group may use the likeness of the FGCC badge for merchandise and official employee group business provided it is used in a clear representation of the employee group and not the Division of Gaming Enforcement. The following modification shall be included:
 - 1. Any text identifying the Division of Gaming Enforcement is replaced with the name of the employee group.
 - 2. A badge number is not included. That portion of the badge may display the acronym of the employee group.

V. IDENTIFICATION CARDS

All members will be issued an official FGCC identification card bearing the member's name, full- face photograph, member identification number, member's signature and signature of the Director of Gaming Enforcement or the official seal of the Division. All members shall be in possession of their division-issued identification cards at all times while on-duty or in division facilities.

- A. Whenever on-duty or acting in an official capacity representing the Division, members shall display their division-issued identification cards in a courteous manner to any person upon request and as soon as practicable.
- B. Special Agents or other members working specialized assignments may be excused from the possession and display requirements when directed by the Chief of Law Enforcement.

VI. BUSINESS CARDS

The FGCC will supply business cards to those members whose assignments involve frequent interaction with the public or who may require the use of a business card. The only authorized business cards are those issued or approved by the Executive Director of the FGCC and should contain identifying information including, but not limited to, the member's name, section, badge or other identification number and contact information (e.g., telephone number, email address).

Members should provide a business card upon request.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Contracts and Temporary Detentions

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.54.01

FGCC SECTION

Law Enforcement

AUTHORITY

[CFA 5.19 - 15.07 \(A\), \(B\), \(C\)](#)

[CFA 5.20 - 15.07 \(A\), \(B\), \(C\)](#)

[Section 901.151, Fla. Stat.](#)

I. STATEMENT OF POLICY

The Division of Gaming Enforcement respects the right of the public to be free from unreasonable searches or seizures. Due to an unlimited variety of situations confronting the special agent, the decision to temporarily detain a person and complete an FI, pat-down search or field photograph shall be left to the special agent based on the totality of the circumstances, officer safety considerations and constitutional safeguards.

II. PURPOSE

The purpose of this policy is to establish guidelines for temporarily detaining but not arresting persons in the field, conducting field interviews (FI) and pat-down searches, and the taking and disposition of photographs.

III. DEFINITIONS

Definitions related to this policy include:

- A. **Consensual encounter** - When a special agent contacts an individual but does not create a detention through words, actions, or other means. In other words, a reasonable individual would believe that his/her contact with the special agent is voluntary.
- B. **Field interview (FI)** - The brief detainment of an individual, whether on foot or in a vehicle, based on reasonable suspicion for the purpose of determining the individual's identity and resolving the special agent's suspicions.
- C. **Field photographs** - Posed photographs taken of a person during a contact, temporary detention, or arrest in the field. Undercover surveillance photographs of an individual and recordings captured by the normal operation of a Mobile Audio/Video (MAV) system, body-worn camera, or public safety camera when persons are not posed for the purpose of photographing are not considered field photographs.
- D. **Pat-down search** - A type of search used by special agents in the field to check an individual for dangerous weapons. It involves a thorough patting-down of clothing to locate any weapons or dangerous items that could pose a danger to the special agent, the detainee, or others.
- E. **Reasonable suspicion** - When, under the totality of the circumstances, a special agent has articulable facts that criminal activity may be afoot and a particular person is connected with that possible criminal activity.
- F. **Temporary detention** - When a special agent intentionally, through words, actions, or physical force, causes an individual to reasonably believe he/she is required to restrict his/her movement without an actual arrest. Temporary detentions also occur when a special agent actually restrains a person's freedom of movement.

IV. FIELD INTERVIEWS

Based on observance of suspicious circumstances or upon information from investigation, a special agent may initiate the stop of a person, and conduct an FI, when there is articulable, reasonable suspicion to do so. A person, however, shall not be detained longer than is reasonably necessary to resolve the special agent's suspicion.

Nothing in this policy is intended to discourage consensual contacts. Frequent casual contact with consenting individuals is encouraged by the Division of Gaming Enforcement to strengthen community involvement, community awareness and problem identification. [CFA 5.19 - 15.07 \(A\)](#) [CFA 5.20 - 15.07 \(A\)](#)

1. Initiating A Field Interview

When initiating the stop, the special agent should be able to point to specific facts which, when considered with the totality of the circumstances, reasonably warrant the stop. Such facts include but are not limited to an individual's (CFA [5.19 - 15.07 \(A\)](#) [CFA 5.20 - 15.07 \(A\)](#)):

- A. Appearance or demeanor suggesting that he/she is part of a criminal enterprise or is engaged in a criminal act.
- B. Actions suggesting that he/she is engaged in a criminal activity.
- C. Presence in an area at an inappropriate hour of the day or night.
- D. Presence in a particular area is suspicious.
- E. Carrying of suspicious objects or items.
- F. Excessive clothes for the climate or clothes bulging in a manner that suggest he/she is carrying a dangerous weapon.
- G. Location in proximate time and place to an alleged crime.
- H. Physical description or clothing worn that matches a suspect in a recent crime.
- I. Prior criminal record or involvement in criminal activity as known by the special agent.

V. PAT-DOWN SEARCHES

Once a valid stop has been made, and consistent with the special agent's training and experience, a special agent may pat a suspect's outer clothing for weapons if the special agent has a reasonable, articulable suspicion the suspect may pose a safety risk. The purpose of this limited search is not to discover evidence of a crime, but to allow the special agent to pursue the investigation without fear of violence. Circumstances that may establish justification for performing a pat-down search include but are not limited to ([Section 901.151, Fla. Stat.](#)):

- A. The type of crime suspected, particularly in crimes of violence where the use or threat of weapons is involved.
- B. Where more than one suspect must be handled by a single special agent.
- C. The hour of the day and the location or area where the stop takes place.
- D. Prior knowledge of the suspect's use of force and/or propensity to carry weapons.
- E. The actions and demeanor of the suspect.
- F. Visual indications which suggest that the suspect is carrying a firearm or other dangerous weapon.

Whenever practicable, a pat-down search should not be conducted by a lone special agent. A cover special agent should be positioned to ensure safety and should not be involved in the search.

VI. FIELD PHOTOGRAPHS

All available databases should be searched before photographing any field detainee. If a photograph is not located, or if an existing photograph no longer resembles the detainee, the special agent shall carefully consider, among other things, the factors listed below.

[CFA 5.19 - 15.07 \(B\)](#) [CFA 5.20 - 15.07 \(B\)](#)

1. Field Photographs Taken with Consent

Field photographs may be taken when the subject being photographed knowingly and voluntarily gives consent. When taking a consensual photograph, the special agent should have the individual read and sign the appropriate form accompanying the photograph. [CFA 5.19 - 15.07 \(B\)](#) [CFA 5.20 - 15.07 \(B\)](#)

2. Field Photographs Taken without Consent

Field photographs may be taken without consent only if they are taken during a detention that is based upon reasonable suspicion of criminal activity, and the photograph serves a legitimate law enforcement purpose related to the detention. The special agent must be able to articulate facts that reasonably indicate that the subject was involved in or was about to become involved in criminal conduct. The subject should not be ordered to remove or lift any clothing for the purpose of taking a photograph.

If, prior to taking a photograph, the special agent's reasonable suspicion of criminal activity has been dispelled, the detention must cease and the photograph should not be taken.

All field photographs and related reports shall be submitted to a supervisor and retained in compliance with this policy. [CFA 5.19 - 15.07 \(B\)](#) [CFA 5.20 - 15.07 \(B\)](#)

3. Disposition of Photographs

All detainee photographs must be adequately labeled and submitted to the Special Agent Supervisor with either an associated FI card or other documentation explaining the nature of the contact. If an individual is photographed as a suspect in a particular crime, the photograph should be submitted as an evidence item in the related case, following standard evidence procedures. [CFA 5.19 - 15.07 \(C\)](#) [CFA 5.20 - 15.07 \(C\)](#)

If a photograph is not associated with an investigation where a case number has been issued, the Special Agent Supervisor should review and forward the photograph to one of the following locations:

- A. If the photograph and associated FI or documentation is relevant to criminal organization/enterprise enforcement, the Special Agent Supervisor will forward the photograph and documents to the designated criminal intelligence system supervisor. The supervisor will ensure the

photograph and supporting documents are retained as prescribed in the Criminal Organizations Policy.

- B. Photographs that do not qualify for retention in a criminal intelligence system or temporary information file shall be forwarded to the Records Section.

When a photograph is taken in association with a particular case, the investigator may use such photograph in a photo lineup. Thereafter, the individual photograph should be retained as a part of the case file. All other photographs shall be retained in accordance with the established records retention schedule.

4. Supervisor Responsibilities

While it is recognized that field photographs often become valuable investigative tools, supervisors should monitor such practices in view of the above listed considerations. This is not to imply that supervisor approval is required before each photograph is taken.

Access to, and use of, field photographs shall be strictly limited to law enforcement purposes.

VII. WITNESS IDENTIFICATION AND INTERVIEWS

Because potential witnesses to an incident may become unavailable or the integrity of their statements compromised with the passage of time, special agents should, when warranted by the seriousness of the case, take reasonable steps to promptly coordinate with an on-scene supervisor and/or criminal investigator to utilize available members for the following:

- A. Identifying all persons present at the scene and in the immediate area.
 - 1. When feasible, a recorded statement should be obtained from those who claim not to have witnessed the incident but who were present at the time it occurred.
 - 2. Any potential witness who is unwilling or unable to remain available for a formal interview should not be detained absent reasonable suspicion to detain or probable cause to arrest. Without detaining the individual for the sole purpose of identification, special agents should attempt to identify the witness prior to his/her departure.
- B. Witnesses who are willing to provide a formal interview should be asked to meet at a suitable location where criminal investigators may obtain a recorded statement. Such witnesses, if willing, may be transported by division members.

1. A written, verbal or recorded statement of consent should be obtained prior to transporting a witness. When the witness is a minor, consent should be obtained from the parent or guardian, if available, prior to transport

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Fictitious Identification for Law Enforcement Purposes

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.55.01

FGCC SECTION

Law Enforcement

AUTHORITY

I. Statement of Policy

To develop an undercover identity, it is necessary to utilize various fictitious documents to establish credibility. These fictitious documents may include driver's licenses, credit cards, social security cards, vehicle registrations, birth certificates and physical examination forms, etc. A procedure for obtaining, utilizing and returning these documents must be established to regulate the proper use of these documents. Only approved agency members will be eligible to receive any fictitious identification documents.

II. Purpose

The purpose of this order is to establish guidelines for the use and issue of undercover identification.

III. Procedures

- A. The Chief of Law Enforcement will maintain all files for agency members using fictitious documents to include driver's licenses, credit cards, social security cards, vehicle registrations, birth certificates and physical examination forms, etc. The Chief of Law Enforcement will facilitate, coordinate, and manage all alias SSNs processes per the Florida Department of Law Enforcement's (FDLE) Memo of Understanding on Provision of Alias Social Security Program (herein referred to as MOU) and will be designated as the agency's point of contact for the FDLE. The Chief of Law Enforcement shall adhere to all requirements and responsibilities of the FDLE's MOU.
- B. All fictitious documents and financial instruments will be used for law enforcement purposes only and will not be used for any personal reason. There are unique undercover operations that may require additional fictitious documents not listed in this procedure. All applications for and use of any fictitious documents require prior approval from the Director of Gaming Enforcement via chain of command.

Once any fictitious document is received, a copy shall be provided to the Chief of Law Enforcement. All fictitious documents delivered to Gaming Enforcement will be verified and issued to the user via a signed receipt. A copy of this receipt will be forwarded to the Director of Administration to be included on the employee's annual inventory checklist.

Personnel receiving any fictitious document from Gaming Enforcement may photocopy the forms and receipts for their records, but the originals shall be stored in a secure file maintained by the Chief of Law Enforcement or designee. Upon termination of an undercover assignment for any reason, all fictitious records and documents will be returned to the Chief of Law Enforcement for proper filing or destruction.

Personnel in possession of a fictitious document shall produce the document at any time upon demand of the Chief of Law Enforcement or designee.

Personnel shall take every reasonable measure to protect the document(s) from loss or theft.

- C. Due to the federal REAL ID Act, any law enforcement official wishing to obtain a fictitious driver's license/identification must provide proof of an alias social security number to establish compliance. Obtaining a fictitious identification for law enforcement purposes is a two-part process involving two separate issuing authorities. The Florida Department of Law Enforcement (FDLE) maintains the

Alias Social Security Program, and the Florida Highway Safety and Motor Vehicles (FLHSMV) Confidential Credentialing Unit (CCU) is the issuer of fictitious driver licenses/identifications.

D. Alias Social Security Number

1. To obtain an alias social security number, the agency member shall complete the following and forward to the Chief of Law Enforcement, who shall coordinate the request with FDLE in accordance with the MOU.
 - a. Memorandum of request to the Director of Gaming Enforcement via chain of command to apply for an alias SSN and fictitious driver's license/identification for use during authorized law enforcement activities. The memo must contain the intended alias name
 - b. Social Security Administration application (Form SS-5)
 - c. Individual Alias SSN Application and Certification - Initial proof of citizenship
 - d. Copy of driver's license
 - e. Copy of law enforcement identification
 - f. Payment "check" in the amount of \$125.00
2. The original alias social security card will be mailed to FDLE in accordance with the MOU. Should the original be needed by the agency member, the Chief of Law Enforcement will contact FDLE to request that the card be mailed overnight to the Gaming Enforcement's office. Once the original card is no longer needed, it will be returned to FDLE.
3. If the alias SSN is used to obtain any financial instruments, the agency member shall:
 - a. Immediately provide the Chief of Law Enforcement with the institution's name and account number associated with the fictitious account.
 - b. Immediately notify the Chief of Law Enforcement of any earnings and any benefits issued to the agency member, of which the member becomes aware that have been claimed on the alias SSN.
4. Upon completion of the member's assignment, the member will return the alias SSN to the Chief of Law Enforcement.

E. Fictitious Driver's License

1. To obtain a fictitious Florida driver's license, the agency member must have received prior approval from the Director of Gaming Enforcement and received the required Alias Social Security Card. The agency member shall submit a request to the Chief of Law Enforcement and provide the following:
 - a. A copy of the agency member's true driver's license
 - b. The agency member's fictitious name, fictitious date of birth, and fictitious address
 - c. A completed Self-Certification of Social Security Number as required by the Florida Department of Highway Safety and Motor Vehicles.
2. Primary identification and proof of residential address under the fictitious name will be supplied by Criminal Intelligence.
3. A letter signed by the Director of Gaming Enforcement or designee requesting the fictitious driver's license and mailed to the Department of Motor Vehicles in Tallahassee with the aforementioned information. Once approved, the Department of Motor Vehicles will return a letter with instructions for obtaining the license.
4. Upon the agency member receiving the fictitious driver's license, the member will provide a copy of the fictitious driver's license to the Chief of Law Enforcement.
5. During undercover operations, this fictitious license may be used to maintain an undercover identity.
6. Upon completion of an assignment, agency members will return the fictitious driver's licenses to the Chief of Law Enforcement. The licenses will be returned to the Department of Motor Vehicles along with a letter signed by the Director of Gaming Enforcement or designee.

F. Fictitious Credit Card

To obtain a fictitious credit card, the agency member shall submit a request to the Director of Gaming Enforcement via the chain of command. This credit card will be issued in the undercover identity of the agency member. This credit card will be used to maintain undercover status. The usage and accountability are the sole responsibility of the assigned agency member, who shall adhere to the following parameters:

1. Upon the member receiving a fictitious credit card, the agency member will provide a copy of the credit card, along with a copy of the agency member's identification, to the Chief of Law Enforcement.
2. The account balance of the credit card will be paid off totally at the end of each billing period.
3. Any investigative expenses incurred by the use of the credit card will be documented and reviewed by the member and their supervisor.
4. Upon completion of the member's assignment, the member will return the undercover credit card to the Chief of Law Enforcement.

G. The Chief of Law Enforcement shall:

1. Establish and maintain a file system that readily identifies all uses of the alias SSN and the true identity associated with a particular alias SSN. The file will include:
 - a. a photocopy of the alias SSN card,
 - b. the SS-5 form,
 - c. the Individual Alias SSN Application,
 - d. proof of citizenship,
 - e. proof of identity,
 - f. proof of employment,
 - g. letter requesting the issuance of the alias SSN; and
 - h. a copy of the payment check
2. Certify to FDLE on an annual basis that all alias SSNs provided to the agency are only being used in an appropriate manner consistent with the MOU.
3. Retain all fictitious identification together with any supporting or underlying documents and materials for a period of five (5) years after the fictitious identification has been deactivated or longer if required by law.

H. Audit

1. Personnel receiving an alias social security number, fictitious driver's license, fictitious vehicle registration, fictitious credit card, or any fictitious document shall participate in any audit.
2. The Chief of Law Enforcement shall compile the audits and submit an annual report to the Director of Gaming Enforcement. The report shall confirm active, valid status of each fictitious driver license/identification in use by agency members.

Adopted by the Florida Gaming Control Commission on:

Louis Trombetta
Executive Director

Date



FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES

TITLE: Policy Manual

POLICY NUMBER

02.58.01

EFFECTIVE DATE:

FGCC SECTION

Law Enforcement

REVISED:

AUTHORITY

CFA 5.19 - 3.01M (C), (E)
CFA 5.20 - 3.01M (C), (E)

I. Statement of Policy

Except where otherwise expressly stated, the provisions of this manual shall be considered as guidelines. It is recognized that the work of law enforcement is not always predictable and that circumstances may arise that warrant departure from these guidelines. It is the intent of this manual to be viewed from an objective standard, taking into consideration the sound discretion entrusted to members of this division under the circumstances reasonably available at the time of any incident.

Commission for Florida Law Enforcement Accreditation, Inc. (CFA) In 1993, Florida Statute 943.125 encouraged the Florida Sheriffs Association (FSA) and the Florida Police Chiefs Association (FPCA) to create an independent voluntary law enforcement agency accreditation program. The Commission's purpose is to establish a program for accreditation that can be achieved by all Florida law enforcement agencies and Inspectors General investigations offices.

The provisions contained in the Policy Manual are not intended to create an employment contract nor any employment rights or entitlements. The policies contained within this manual are for the internal use of the Division of Gaming Enforcement and shall not be

construed to create a higher standard or duty of care for civil or criminal liability against the State, its officials or division members. Violations of any provision of any policy contained within this manual shall only form the basis for administrative action, training or discipline.

The Gaming Enforcement Policy Manual is comprised of policies that have completed the process defined in the FGCC agency policy number 01.01.01 Adopting, Changing and Repealing Administrative Policies and Procedures.

II. Purpose

The manual of the Division of Gaming Enforcement is hereby established and shall be referred to as the Policy Manual or the manual. The manual is a statement of the current policies, procedures, rules and guidelines of this division. All members are to conform to the provisions of this manual.

III. Authority

The Director of Gaming Enforcement or the authorized designee is authorized to issue Gaming Enforcement Division Directives, which shall modify those provisions of the manual to which they pertain. Division Directives shall be approved by the Executive Director. These Directives shall remain in effect until such time as they may be permanently incorporated into the manual. CFA 5.19 - 3.01M (E) CFA 5.20 - 3.01M (E) Modifications to Gaming Enforcement Policies contained in Division Directives shall be adopted, in accordance with FGCC policy.

IV. Definitions

The following words and terms shall have these assigned meanings throughout the Policy Manual, unless it is apparent from the content that they have a different meaning:

- A. **Adult** - Any person 18 years of age or older.
- B. **State** - The State of Florida
- C. **Non-sworn** - Employees and volunteers who are not a special agent or, as specified by the Criminal Justice Standards and Training Commission (CJSTC), other professional employee in the criminal justice system (§ 943.10(11), Fla. Stat.).
- D. **CJSTC** - Criminal Justice Standards and Training Commission.
- E. **Employee** - Any person employed by the Division.
- F. **DHSMV** -- Department of Highway Safety and Motor Vehicles.
- G. **FDLE** - Florida Department of Law Enforcement.
- H. **FHP** - Florida Highway Patrol.
- I. **Manual** - The Division of Gaming Enforcement Policy Manual.
- J. **May** - Indicates a permissive, discretionary or conditional action.

- K. **Member** - Any person employed or appointed by the Division of Gaming Enforcement, including:
- Full- and part-time employees
 - Certified special agents
 - Reserve or part-time special agents
 - Non-sworn employees
 - Volunteers
 - Interns
- L. **Special Agent** - Those members, regardless of rank, who are elected, appointed, or employed full time by the Division of Gaming Enforcement; who are vested with authority to carry firearms and make arrests; and whose primary responsibilities are the prevention and detection of crime or the enforcement of the laws of the state. This definition includes all certified supervisory and command personnel whose duties include, in whole or in part, the supervision, training, guidance and management responsibilities of full-time special agents or part-time special agents but does not include support personnel (§ 943.10(1), Fla. Stat.).
- M. **On-duty** - A member's status during the period when he/she is actually engaged in the performance of his/her assigned duties.
- N. **Order** - A written or verbal instruction issued by a superior.
- O. **Part-time special agent** - Those members who are employed or appointed less than full time, with or without compensation, who are vested with authority to bear arms and make arrests and whose primary responsibilities are the prevention and detection of crime or the enforcement of the penal, criminal, traffic or highway laws of the state (§ 943.10(6), Fla. Stat.).
- P. **Rank** - The title of the classification held by a special agent.
- Q. **Shall or will** - Indicates a mandatory action.
- R. **Should** - Indicates a generally required or expected action, absent a rational basis for failing to conform.
- S. **Supervisor** - A person in a position of authority that may include responsibility for hiring, transfer, suspension, promotion, discharge, assignment, reward or discipline of other division members, directing the work of other members or having the authority to adjust grievances. The supervisory exercise of authority may not be merely routine or clerical in nature but requires the use of independent judgment.
- The term "supervisor" may also include any person (e.g., special agent-in-charge, lead or senior worker) given responsibility for the direction of the work of others without regard to a formal job title, rank or compensation.
- When there is only one division member on-duty, that person may also be the supervisor, except when circumstances reasonably require the notification or involvement of the member's off-duty supervisor or an on-call supervisor.

V. **Issuing the Policy Manual**

An electronic version of the Policy Manual will be made available to all members on the division network for viewing and printing. No changes shall be made to the manual without authorization from the Director of Gaming Enforcement or the authorized designee.

Each member shall acknowledge that he/she has been provided access to and has had the opportunity to review the Policy Manual and Departmental Directives. Members shall seek clarification as needed from an appropriate supervisor for any provisions that they do not fully understand.

VI. Periodic Review of the Policy Manual

The Director of Gaming Enforcement will ensure that the Policy Manual is periodically reviewed and updated as necessary. CFA 5.19 - 3.01M (C) CFA 5.20 - 3.01M (C)

VII. Revisions to Policies

All revisions to the Policy Manual will be provided to each member upon execution. Each member will be required to acknowledge that he/she has reviewed the revisions and shall seek clarification from an appropriate supervisor as needed.

Members are responsible for keeping abreast of all Policy Manual revisions. Each Supervisor will ensure that members under his/her command are aware of any Policy Manual revision.

All division members suggesting revision of the contents of the Policy Manual shall forward their written suggestions to their supervisors, who will consider the recommendations and forward them to the command staff as appropriate.

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Retiree Concealed Firearms

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

02.61.01

FGCC SECTION

Law Enforcement

AUTHORITY

[18 USC § 926C](#)

[Section 943.132, Fla. Stat.](#)

[Rule 11B-27.014, F.A.C](#)

I. STATEMENT OF POLICY

It is the policy of the Division of Gaming Enforcement to provide identification cards to qualified former or retired special agents to facilitate the lawful carrying of concealed weapons by those individuals.

II. PURPOSE

The purpose of this policy is to provide guidelines for the issuance, denial, suspension or revocation of Division of Gaming Enforcement identification cards to qualified former or retired law enforcement officers under the Law Enforcement Officers' Safety Act (LEOSA) and Florida law ([18 USC § 926C](#); [Section 943.132, Fla. Stat.](#); [Rule 11B-27.014, F.A.C](#)).

III. LEOSA

The Director of Gaming Enforcement may issue an identification card for LEOSA purposes to any qualified former special agent of this division who ([18 USC § 926C](#)):

- A. Separated from service in good standing from this division as a special agent.
- B. Before such separation, had regular employment as a law enforcement officer for an aggregate of 10 years or more or, if employed as a law enforcement officer for less than 10 years, separated from service after completing any applicable probationary period due to a service-connected disability as determined by this division.
- C. Has not been disqualified for reasons related to mental health.
- D. Has not entered into an agreement with this division where the special agent acknowledges that he/she is not qualified to receive a firearms qualification certificate for reasons related to mental health.
- E. Is not prohibited by federal law from receiving or possessing a firearm.

1. **LEOSA Identification Card Format**

The LEOSA identification card should contain a photograph of the former special agent and identify him/her as having been employed as a special agent. If the Division of Gaming Enforcement qualifies the former special agent, the LEOSA identification card or separate certification should indicate the date the former special agent was tested or otherwise found by the Division to meet the active duty standards for qualification to carry a firearm.

2. **Authorization**

Any qualified former law enforcement officer, including a former special agent of this division, may carry a concealed firearm under [18 USC § 926C](#) when he/she is:

- A. In possession of photographic identification that identifies him/her as having been employed as a law enforcement officer, and one of the following:
 - 1. An indication from the person's former law enforcement agency that he/she has, within the past year, been tested or otherwise found by the law enforcement agency to meet agency-established active duty standards for qualification in firearms training to carry a firearm of the same type as the concealed firearm.

- B. A certification, issued by either the state in which the person resides or by a certified firearms instructor who is qualified to conduct a firearms qualification test for active duty law enforcement officers within that state, indicating that the person has, within the past year, been tested or otherwise found to meet the standards established by the state or, if not applicable, the standards of any agency in that state ([Section 943.132, Fla. Stat.](#); [Rule 11B-27.014, F.A.C](#))
- C. Not under the influence of alcohol or another intoxicating or hallucinatory drug or substance.
- D. Not prohibited by federal law from receiving a firearm.
- E. Not in a location prohibited by Florida law or by a private person or entity on his/her property if such prohibition is permitted by Florida law.

IV. FIREARMS PROFICIENCY VERIFICATION CARD

Qualified retired law enforcement special agents must obtain a Firearms Proficiency Verification Card authorized by the Florida Criminal Justice Standards and Training Commission (CJSTC) and issued by a Rangemaster with current certifications from CJSTC ([Section 943.132, Fla. Stat.](#); [Rule 11B-27.014, F.A.C](#)) A retiree shall meet the same firearms proficiency standards as required for active special agents with the Division

1. Firearms Proficiency Verification Card Format

The Firearms Proficiency Verification Card shall indicate the former special agent's name and the date he/she achieved a passing score on the firing range testing component of the firearms proficiency course [Section 943.132, Fla. Stat.](#)

2. Authorization

Qualified former special agents with a Firearms Proficiency Verification Card issued by a CJSTC-certified instructor under state law may carry concealed, within this state, any firearm inspected and approved by the Division. The card does not itself authorize a retiree to carry a concealed firearm outside this state. The former special agent must also meet state and LEOSA requirements.

V. FORMER SPECIAL AGENT RESPONSIBILITIES

A former special agent with a card issued under this policy shall immediately notify the Special Agent Supervisor of his/her arrest or conviction in any jurisdiction, or that he/she is the subject of a court order, in accordance with the Reporting of Arrests, Convictions and Court Orders Policy.

1. Responsibilities Under LEOSA

In order to obtain or retain a LEOSA identification card, the former special agent shall:

- A. Sign a waiver of liability of the Division for all acts taken related to carrying a concealed firearm, acknowledging both his/her personal responsibility as a private person for all acts taken when carrying a concealed firearm as permitted by LEOSA and also that these acts were not taken as an employee or former employee of the Division.
- B. Remain subject to all applicable division policies and federal, state and local laws.
- C. Demonstrate good judgment and character commensurate with carrying a loaded and concealed firearm.
- D. Successfully pass an annual criminal history background check indicating that he/she is not prohibited by law from receiving or possessing a firearm.

VI. DENIAL, SUSPENSION OR REVOCATION

A LEOSA identification card may be denied or revoked upon a showing of good cause as determined by the Division. In the event that an identification card is denied, suspended or revoked, the former special agent may request a review by the Director of Gaming Enforcement. The decision of the Director of Gaming Enforcement is final.

VII. FIREARM QUALIFICATIONS

The Rangemaster may provide former special agents from this division an opportunity to qualify. Written evidence of the qualification and the weapons used will be provided and will contain the date of the qualification. The Rangemaster shall maintain a record of the qualifications and weapons used.

VIII. FIREARM QUALIFICATIONS FOR NON-DIVISION RETIREES

If the Rangemaster is authorized to provide qualifications for former law enforcement officers who did not retire from this division, the Rangemaster is required to follow the requirements of [Section 943.132, Fla. Stat.](#); [Rule 11B-27.014, F.A.C](#)

Louis Trombetta
Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Standards of Conduct

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

03.01.01

FGCC Section

Human Resource Management

AUTHORITY

[Section 112.313, F.S.](#)
[Section 112.0455, F.S.](#)
[Chapter 60L-36, F.A.C.](#)
[Rule 60L-34.0042\(3\), F.A.C.](#)

I. STATEMENT OF POLICY

- A. This policy establishes standards of conduct that are consistent with the values and mission of the Florida Gaming Control Commission (“FGCC,” “Commission”) and are expected of all FGCC employees. The standards contained in this policy are not intended to be an exhaustive list of requirements and prohibitions, but they do identify many of the important matters concerning conduct.
- B. The continued employment or appointment of every employee of the FGCC shall be based on conduct that reasonably conforms to the guidelines set forth herein. Failure to meet the guidelines set forth in this policy, whether on- or off-duty, may be cause for disciplinary action.
- C. Employees are expected to act in a professional, responsible, and courteous manner deserving of public trust and to foster a positive, healthy, and productive work

environment. Behavior that is disruptive, unproductive, or unprofessional is cause for discipline up to and including dismissal. All employees are responsible for ensuring that the work environment at the Commission is positive, healthy, and productive.

- D. FGCC expects employees to use common sense and good judgment. It is impossible to identify all unacceptable conduct. Employees cannot excuse their unacceptable conduct because it is not specified in this policy. Supervisory and managerial employees determine what is considered as acceptable behavior, not the employee.

II. DEFINITIONS

- A. **Lawful Order:** an order given by a supervisor or person in a supervisory role that is authorized, sanctioned, and not forbidden by law, rules, policies, or procedures.

III. DUTY TO OBEY LAWFUL ORDERS

- A. Employees shall obey any lawful order from any division director or person in a position of authority, absent a reasonable and bona fide justification. This includes orders from a supervisor that are relayed by another employee.
- B. Supervisors shall not knowingly issue orders or directives that, if carried out, would result in a violation of any law or Commission policy. Supervisors should not issue orders that conflict with any previous order without making reasonable clarification that the new order is intended to countermand the earlier order.
- C. No employee is required to obey any order that appears to be in direct conflict with any federal law, state law or local ordinance. Following a known unlawful order is not a defense and does not relieve the employee from criminal or civil prosecution or discipline. If the legality of an order is in doubt, the affected employee shall ask the issuing supervisor to clarify the order or shall confer with a higher authority. The responsibility for refusal to obey rests with the employee, who shall subsequently be required to justify the refusal.
- D. Unless it would jeopardize the safety of any individual, employees who are presented with a lawful order that is in conflict with a previous lawful order, Commission policy or other directive shall respectfully inform the issuing supervisor of the conflict. The issuing supervisor is responsible for either resolving the conflict or clarifying that the lawful order is intended to countermand the previous lawful order or directive, in which case the employee is obligated to comply.
- E. Employees who are compelled to follow a conflicting lawful order after having given the issuing supervisor the opportunity to correct the conflict will not be held accountable for not complying with the lawful order or directive that was initially issued.

The person countermanding the original order shall notify, in writing, the person issuing the original order, indicating the action taken and the reason.

IV. SUPERVISOR RESPONSIBILITIES

- A. Supervisors and managers are required to follow all policies and procedures and may be subject to discipline for:
1. Failure to be reasonably aware of the performance of their subordinates or to provide appropriate guidance and control.
 2. Failure to report any known misconduct of an employee promptly and fully to his/her immediate supervisor or to document such misconduct appropriately or as required by policy.
 3. Directing a subordinate to violate a policy or directive, acquiescing to such a violation, or exhibiting indifference to such a violation.
 4. Exercising unequal or disparate authority toward any employee for malicious or other improper purpose.

V. GENERAL STANDARDS

- A. Employees shall conduct themselves, whether on- or off-duty, in accordance with all applicable laws, ordinances, and rules.
- B. Employees shall familiarize themselves with policies and procedures and are responsible for compliance with each. Employees should seek clarification and guidance from supervisors in the event of any perceived ambiguity or uncertainty.
- C. Discipline may be initiated for any good cause. No punitive action may be taken against any law enforcement officer unless he/she is notified of the action and the reason or reasons for the action before the effective date of the action.
- D. It is not mandatory that a specific policy or rule violation be cited to sustain discipline. This policy is not intended to cover every possible type of misconduct.

VI. CAUSES FOR DISCIPLINE

[Rule 60L-36.005, F.A.C.](#), Disciplinary Standards, provides the minimum standards of conduct that applies to all FGCC employees. A violation of this rule may result in dismissal. Disciplinary action may be imposed for violations which shall include, but not be limited to the following:

- A. Poor performance. Employees shall strive to perform at the highest level of efficiency and effectiveness; they shall do more than “just get by.”
1. Employees are expected to be reliable and dependable. They should, for example, show up for work, ready to work; observe established work hours and scheduled meetings; complete work on time; and obtain permission before being off work and schedule leave in a manner that minimizes work disruption.
 2. Employees are expected to be effective. They should, for example, organize their work; stay focused on job related activities during work hours; provide the level of effort necessary to get the job done; demonstrate willingness and ability to make decisions and exercise sound judgment; produce work that consistently meets or exceeds expectations; accept responsibility for their actions and decisions; adapt to changes in work assignments, procedures, and technology; and be committed to improving individual performance.
- B. Negligence. Employees shall exercise due care and reasonable diligence in the performance of job duties.
- C. Inefficiency or inability to perform assigned duties. Employees shall, at a minimum, be able to perform duties in a competent and adequate manner.
- D. Inappropriate idleness while working. Continued and deliberate idleness during work periods that results in the employee’s failure to perform assigned tasks. This includes, but is not limited to, deliberately wasting time, engaging in idle talk or gossip or conducting personal business during work periods.
- E. Insubordination. Employees shall follow lawful orders and carry out the directives of persons with duly delegated authority. Employees shall resolve any differences with management in a constructive manner.
- F. Violation of law or agency rules. Employees shall abide by the law and applicable rules and policies and procedures, including those of the FGCC and the rules of the State Personnel System. All employees are subject to Part III of Chapter 112, F.S., governing standards of conduct, which agencies shall make available to employees. FGCC may determine that an employee has violated the law even if the violation has not resulted in arrest or conviction. Employees shall abide by both the criminal law, for example, drug laws; and the civil law, for example, laws prohibiting sexual harassment and employment discrimination.
- G. Abuse of sick leave privileges. Employees shall use sick leave in accordance with [Rule 60L-34.0042\(3\), F.A.C.](#) Employees shall not use or claim sick leave for inappropriate,

unjustified purposes. For example, calling in sick on a day where annual leave was requested and denied.

- H. Conduct unbecoming a public employee. Employees shall conduct themselves, on and off the job, in a manner that will not bring discredit or embarrassment to the state.
 - 1. Employees shall be courteous, considerate, respectful, and prompt in dealing with and serving the public and co-workers.
 - 2. Employees shall maintain high standards of honesty, integrity, and impartiality. Employees shall place the interests of the public ahead of personal interests. Employees shall not use, or attempt to use, their official position for personal gain or confidential information for personal advantage.
 - 3. Employees shall protect state property from loss or abuse, and they shall use state property, equipment and personnel only in a manner beneficial to the agency.
- I. Misconduct. Employees shall refrain from conduct which, though not illegal or inappropriate for a state employee generally, is inappropriate for a person in the employee's particular position. For example, cowardice may be dishonorable in people generally, but it may be entirely unacceptable in law enforcement officers. By way of further example, people are generally free to relate with others, but it may be entirely unacceptable for certain employees to enter into certain relations with others, such as supervisors in romantic relationships with their subordinates.
- J. Revealing confidential information to unauthorized person(s). It is crucial that employees exercise appropriate care with confidential information and adhere to all agency requirements governing confidentiality. Maintaining the security of personal and medical information of employees and Commission business are responsibilities of paramount importance. Knowingly and improperly disclosing confidential information acquired as a public servant to benefit personal interests or discussion of confidential information not relevant to the business need is strictly prohibited.
- K. Habitual drug use. FGCC shall not tolerate violations of Florida's Drug Free Workplace Act, [Section 112.0455, F.S.](#) or other misuse of mood- or mind-altering substances, including alcohol and prescription medications.
- L. Convictions. Conviction of any crime, including a plea of nolo contendere and a plea of guilty with adjudication withheld, as set forth in section 16.713, Florida Statutes

VII. DISCIPLINARY ACTION FOR VIOLATIONS

- A. There must be evidence that an employee failed to comply with a standard or

expectation before corrective action is taken.

- B. Supervisors shall follow the procedures as outlined in Policy 03.01.XX, Employee Counseling and Discipline prior to taking any disciplinary action against an employee.

Adopted by the Florida Gaming Control Commission on:

Louis Trombetta, Executive Director

Date



**FLORIDA GAMING CONTROL COMMISSION
ADMINISTRATIVE POLICIES AND PROCEDURES**

TITLE: Overtime Compensation

EFFECTIVE DATE:

REVISED:

POLICY NUMBER

03.13.01

FGCC SECTION

Human Resource Management

AUTHORITY

[Chapter 110, Florida Statutes \(F.S.\)](#)

[Chapter 112, F.S.](#)

U.S. Department of Labor Federal Fair Labor Standards Act (FLSA), 29 U.S.C. § 201, et seq.

29 CFR 553.20-28

Chapter 60L-32, F.A.C.

[Chapter 60L-34, F.A.C.](#)

I. STATEMENT OF POLICY

- 1) This policy establishes guidelines and procedures regarding overtime for employees, in conformance with the Fair Labor Standards Act (FLSA).
- 2) Guidelines set forth herein include, but are not limited to, the approval of overtime by supervisors and provisions for earning, utilizing, and payment of overtime.
- 3) The Florida Gaming Control Commission (“FGCC” “Commission”) will compensate included employees who work authorized overtime either by payment of wages or by the accrual of compensatory leave. Employees who are excluded from FLSA are not compensated for overtime worked.

II. DEFINITIONS

- A. **Excluded:** A position that has been designated as exempt from the overtime provisions of the Fair Labor Standards Act (FLSA).
- B. **Included:** A position that receives overtime pay or compensatory leave credits in accordance with the FLSA.
- C. **Overtime:** Hours of work suffered, permitted or required, in excess of 40 hours during the established workweek or in excess of the number of full-time contract hours in the established extended work period, for which included employees are paid at the rate of time and one half, in accordance with the FLSA.

III. GENERAL GUIDELINES

- A. Management is responsible for scheduling the normal hours of work for Commission employees.
- B. Commission employees are not authorized to work overtime without the prior approval of a supervisor.
- C. Supervisors will establish regular work schedules to limit the occurrence of overtime and should make every effort to ensure that additional hours worked are adjusted (offset) during the workweek or extended work period for included employees and during the regular work period for excluded employees.
- D. Prior to working overtime, employees will obtain approval through their chain of command, regardless of whether they intend to receive paid overtime or accrue compensatory leave. Additionally, included employees will not work in excess of 16 hours, including regularly scheduled work time in any consecutive 24-hour period without supervisory approval.
- E. People First is the system of record for recording time worked. All employees shall record their actual time worked in the People First system. Time worked shall be recorded in quarter increments as follows.

<u>Minutes</u>	<u>Indicate on Timesheet</u>
- 07	0.0
08 – 22	0.25
23 – 37	0.50
38 – 52	0.75
53 – 60	1.00

- F. Payment of wages to included employees for overtime, or accrual of compensatory leave in lieu of compensation for overtime worked, shall be at the rate of one and one-half hours for each hour worked in excess of the employee's workweek or extended work period.
 - 1. If an included employee separates from the Commission or moves to an excluded position with accumulated credits, the Commission shall pay the employee for all unused credits at the employee's current straight time regular

hourly rate of pay or the rate in effect when the leave was accrued, whichever is greater, in accordance with the FLSA.

2. The Commission may allow the accrual and payment of FLSA compensatory leave credits on a biannual or annual basis. At the close of business on the relevant date or dates, the Commission shall pay the included employee for all unused credits at the employee's current straight time regular hourly rate of pay, in accordance with the FLSA.

G. As addressed in Policy 03.04.01, Attendance and Leave, if an excluded career service employee is required to work hours in excess of the regular work period, the employee shall earn regular compensatory leave credits on an hour-for-hour basis; provided, no employee may accrue more than 240 hours.

1. The regular compensatory leave credits shall not transfer to another agency or pay plan. Unused credits shall be forfeited upon separation from the Commission or the pay plan.
2. If an employee is laid off, the Commission shall hold the credits in abeyance and, if the employee is reemployed within one year following layoff, shall credit them to the employee upon reemployment.

H. Supervisors may not authorize or approve their own overtime.

IV. SUPERVISOR RESPONSIBILITIES

- A. Prior to authorizing an employee to work overtime, supervisors shall evaluate the need for the overtime. Supervisors should not authorize any request to work overtime if the overtime would not be an appropriate use of the Commission's resources.
- B. Supervisors shall verify the actual time worked by the employee prior to approving the employee's timesheet in People First. Supervisors identifying any unauthorized overtime or discrepancy shall counsel with the employee or initiate disciplinary action consistent with the Standards of Conduct and Discipline policy.

V. REQUESTING USE OF COMPENSATORY LEAVE

- A. Employees who have accrued compensatory leave credits shall be allowed to use that leave for time off within a reasonable period after making a request if the request does not unduly disrupt the unit's operations. Requests to use compensatory leave will be submitted to the employee's supervisor at least 24 hours in advance of its intended use. Supervisors may make exceptions in unusual or extraordinary circumstances.
- B. Compensatory leave may not be used for time off for a date and time when the employee is required to appear in court on Commission-related matters. Supervisors shall not unreasonably deny an employee's request to use compensatory leave.

VI. SUBSTITUTION OF SPECIAL COMPENSATORY LEAVE AND THE HIERARCHY FOR SUBSTITUTING

- A. The Commission shall require all employees to use accrued special compensatory leave credits before approving an employee's request for annual leave or regular compensatory leave, unless otherwise provided by an applicable collective bargaining agreement.
- B. The hierarchy for substituting compensatory leave before annual leave is as follows:

1. Special compensatory leave credits
2. Regular compensatory leave credits
3. Annual leave credits, unless such annual leave credits are being substituted for an employee's unpaid individual medical leave granted in accordance with the federal Family and Medical Leave Act (FMLA), or family medical leave or parental leave granted in accordance with section 110.221, F.S., the FMLA, or both.

Adopted by the Florida Gaming Control Commission on:

Louis Trombetta, Executive Director

Date

10. Executive Director Update